

# MATH 355 HOMEWORK 11

## PROBLEM 1

Assume for contradiction that  $\vec{v}$  and  $\vec{w}$  are linearly dependent, so  $a\vec{v} + b\vec{w} = \vec{0}$  for some  $a, b \in \mathbb{R}$ , not both zero. Without loss of generality, we may assume  $a \neq 0$  so  $\vec{v} = c\vec{w}$  (where  $c = -\frac{b}{a}$ ). Since  $\vec{v}, \vec{w} \neq \vec{0}$  by assumption, we have  $c \neq 0$ . Applying  $f$  gives

$$f(\vec{v}) = f(c\vec{w})$$

$$f(\vec{v}) = cf(\vec{w})$$

$$\lambda\vec{v} = c\mu\vec{w}$$

$$\lambda c\vec{w} = c\mu\vec{w}.$$

Since  $c \neq 0$  and  $\vec{w} \neq \vec{0}$ , this implies  $\lambda = \mu$ , a contradiction. Thus,  $\vec{v}$  and  $\vec{w}$  are linearly independent.

## PROBLEM 2

(a). Suppose  $\tau(A) = 0$ . Then

$$\begin{aligned} A + A^t &= 0 \\ \begin{pmatrix} 2a & b+c \\ b+c & 2d \end{pmatrix} &= \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \\ &\iff \\ a = d = 0 &\quad b = -c. \end{aligned}$$

Thus,

$$\ker \tau = \left\{ \begin{pmatrix} 0 & z \\ -z & 0 \end{pmatrix} \mid z \in \mathbb{C} \right\} = \text{Span}_{\mathbb{C}} \left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right\}$$

so  $\dim_{\mathbb{C}} \ker \tau = 1$ . By the dimension formula, we have

$$\dim_{\mathbb{C}} \text{Im } \tau = \dim_{\mathbb{C}} V - \dim_{\mathbb{C}} \ker \tau = 4 - 1 = 3.$$

For the sake of completeness, we can verify this directly by computing

$$\text{Im } \tau = \left\{ \begin{pmatrix} u & v \\ v & w \end{pmatrix} \mid u, v, w \in \mathbb{C} \right\}.$$

To see this, note that

$$\tau \left( \begin{pmatrix} \frac{u}{2} & \frac{v}{2} \\ \frac{v}{2} & \frac{w}{2} \end{pmatrix} \right) = \begin{pmatrix} u & v \\ v & w \end{pmatrix},$$

which proves

$$\text{Im } \tau \supseteq \left\{ \begin{pmatrix} u & v \\ v & w \end{pmatrix} \mid u, v, w \in \mathbb{C} \right\}.$$

For the other inclusion, if

$$\tau \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 2a & b+c \\ b+c & 2d \end{pmatrix} \in \text{Im } \tau,$$

set  $u = 2a, v = b + c, w = 2d$ . Hence,

$$\text{Im } \tau = \left\{ \begin{pmatrix} u & v \\ v & w \end{pmatrix} \mid u, v, w \in \mathbb{C} \right\} = \text{Span}_{\mathbb{C}} \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\},$$

so  $\dim_{\mathbb{C}} \text{Im } \tau = 3$ .

(b). Since  $\dim_{\mathbb{C}} \ker \tau = 1$  and  $\dim_{\mathbb{C}} \operatorname{Im} \tau = 3$ , it is enough to show that  $\ker \tau \cap \operatorname{Im} \tau = \{\vec{0}\}$ . But this follows directly from the explicit computation of  $\ker$  and  $\operatorname{Im}$  above. To be precise, if  $A \in \ker \tau$ , then  $A = \begin{pmatrix} 0 & z \\ -z & 0 \end{pmatrix}$  for some  $z \in \mathbb{C}$ . On the other hand, if  $A \in \operatorname{Im} \tau$ , then  $A = \begin{pmatrix} u & v \\ v & w \end{pmatrix}$  for some  $u, v, w \in \mathbb{C}$ . Hence, if  $A \in \ker \tau \cap \operatorname{Im} \tau$ , then

$$\begin{pmatrix} 0 & z \\ -z & 0 \end{pmatrix} = \begin{pmatrix} u & v \\ v & w \end{pmatrix}$$

shows that  $u = w = 0$  and  $v = z = -z \implies v = 0$ , so  $A = 0$ .

### PROBLEM 3

The characteristic polynomial of  $A$  is

$$\begin{aligned} \det(\lambda I - A) &= \det \begin{pmatrix} \lambda + 2 & 2 & 9 \\ 1 & \lambda - 1 & 3 \\ -1 & -1 & \lambda - 4 \end{pmatrix} \\ &= (\lambda + 2) \det \begin{pmatrix} \lambda - 1 & 3 \\ -1 & \lambda - 4 \end{pmatrix} - \det \begin{pmatrix} 2 & 9 \\ -1 & \lambda - 4 \end{pmatrix} + (-1) \det \begin{pmatrix} 2 & 9 \\ \lambda - 1 & 3 \end{pmatrix} \\ &= (\lambda + 2)((\lambda - 1)(\lambda - 4) - (-1)(3)) - (2(\lambda - 4) - (-1)(9)) + (-1)((2)(3) - (\lambda - 1)(9)) \\ &= \lambda^3 + 3\lambda^2 - 4\lambda + 2. \end{aligned}$$

By our keen powers of perception, we see that 1 is a root of this polynomial, so the characteristic polynomial factors as  $(\lambda - 1)(\lambda^2 - 2\lambda + 2)$ . Thus, the eigenvalues of  $A$  are  $1, 1 + i, 1 - i$ .

(a). Since  $A$  has non-real eigenvalues, it is not diagonalizable over  $\mathbb{R}$ .

(b). Since  $A$  has three distinct eigenvalues, it is diagonalizable over  $\mathbb{C}$ .

(c). Let  $\vec{v}_1 = \begin{pmatrix} a \\ b \\ c \end{pmatrix}$  be an eigenvector for  $\lambda = 1$ . Then  $A\vec{v}_1 = \vec{v}_1$ , so we get a system of equations

$$\begin{aligned} -2a - 2b - 9c &= a \\ -a + b - 3c &= b \\ a + b + 4c &= c. \end{aligned}$$

Since the eigenspace for  $\lambda = 1$  is one-dimensional, this equation will have infinitely many solutions. To simplify computations, let's set  $c = 1$  and hope that there is still a solution:

$$\begin{aligned} 3a + 2b &= -9 \\ a &= -3 \\ a + b &= -3 \end{aligned}$$

We have a solution  $a = -3, b = 0, c = 1$ , so we set  $\vec{v}_1 = \begin{pmatrix} -3 \\ 0 \\ 1 \end{pmatrix}$ .

Let  $\vec{v}_2 = \begin{pmatrix} a \\ b \\ c \end{pmatrix}$  be an eigenvector for  $\lambda = 1 + i$ . Then  $A\vec{v}_2 = (1 + i)\vec{v}_2$  yields the system

$$\begin{aligned} -2a - 2b - 9c &= a + ai \\ -a + b - 3c &= b + bi \\ a + b + 4c &= c + ci \end{aligned}$$

Let's again set  $c = 1$ , so the system becomes

$$3a + 2b = -9 - ai$$

$$a = -3 - bi$$

$$a + b = -3 + i$$

The second two equations yield  $b - bi = i$ . Splitting  $b$  into its real and imaginary parts  $b = b_0 + b_1i$ , we have

$$b_0 + b_1i - b_0i + b_1 = i$$

$$(b_0 + b_1) + (b_1 - b_0)i = i,$$

which yields the real system

$$b_0 + b_1 = 0$$

$$-b_0 + b_1 = 1,$$

so  $b = b_0 + b_1i = \frac{-1+i}{2}$ . From the original system, we have  $a = -3 - bi = \frac{-5+i}{2}$ . We could take  $\vec{v}_2$  with these entries, but multiplying by 2 yields another eigenvector with the same eigenvalue, so we can take  $\vec{v}_2$  to be the slightly more pleasing vector

$$\vec{v}_2 = \begin{pmatrix} -5 + i \\ -1 + i \\ 2 \end{pmatrix}.$$

For the last eigenvector, we're saved from further computation. Since  $1 + i$  and  $1 - i$  are conjugate, an eigenvector for  $1 - i$  is just the conjugate of an eigenvector for  $1 + i$ . Hence, we can take

$$\vec{v}_3 = \overline{\vec{v}_2} = \begin{pmatrix} -5 - i \\ -1 - i \\ 2 \end{pmatrix}.$$

Thus,

$$\mathbb{B} = \{\vec{v}_1, \vec{v}_2, \vec{v}_3\} = \left\{ \begin{pmatrix} -3 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} -5 + i \\ -1 + i \\ 2 \end{pmatrix}, \begin{pmatrix} -5 - i \\ -1 - i \\ 2 \end{pmatrix} \right\}$$

is a basis of eigenvectors for  $\mathbb{C}^3$ .

**(d).** Since  $\mathbb{B}$  is a basis of eigenvectors,  $\text{Rep}_{\mathbb{B}}f$  is diagonal with entries corresponding to the eigenvalues of the basis elements. Thus,

$$\text{Rep}_{\mathbb{B}}f = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 + i & 0 \\ 0 & 0 & 1 - i \end{pmatrix}.$$