# Task-Oriented Dialog Systems that Consider Multiple Appropriate Responses under the Same Context

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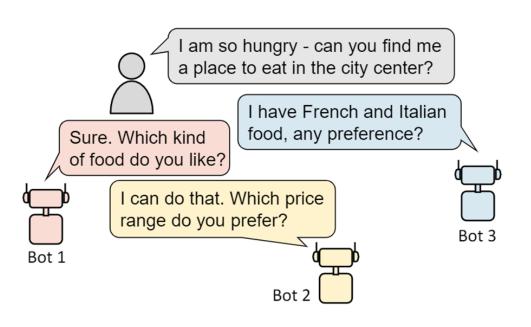


#### **Outline**

- Problem
  - One-to-Many Problem in Task-Oriented Dialogs
  - Difficulty: Data Bias in Collected Dialog Datasets
- Proposed Method
  - Framework: Multi-Action Data Augmentation (MADA)
  - Model: Domain Aware Multi-Decoder Network (DAMD)
- Experiments
- Conclusion & Future Work

#### **One-to-Many Problem in Task-Oriented Dialogs**

Multiple responses can be appropriate for the same dialog context.



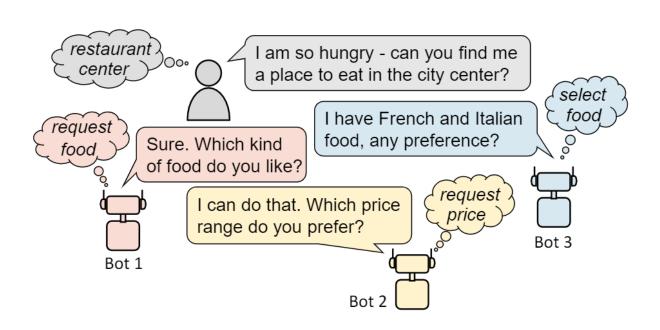
**Dialog Context** 



Multiple Appropriate System Responses

#### **One-to-Many Problem in Task-Oriented Dialogs**

Results from the one-to-many mapping in dialog policy.



Dialog State domain=restaurant price=? food=? area=?



Multiple Valid System Actions

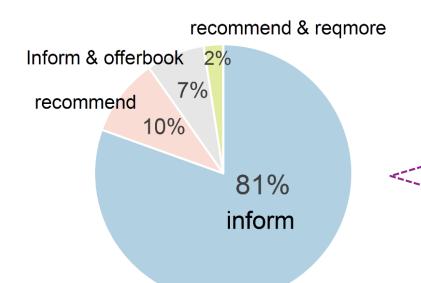
restaurant-request-food restaurant-request-price

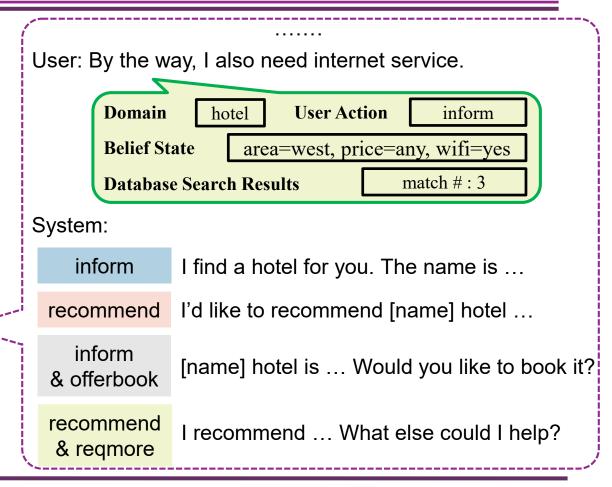
...

(domain-act-slot)

## **Difficulty: Data Bias in Collected Dialog Datasets**

- Only provide a single reference
- Unbalanced action distribution



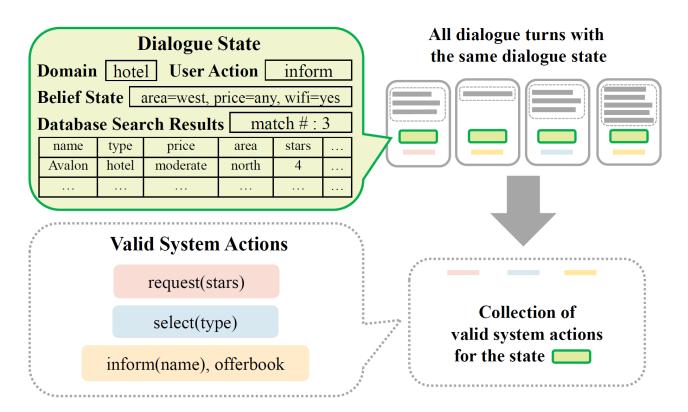


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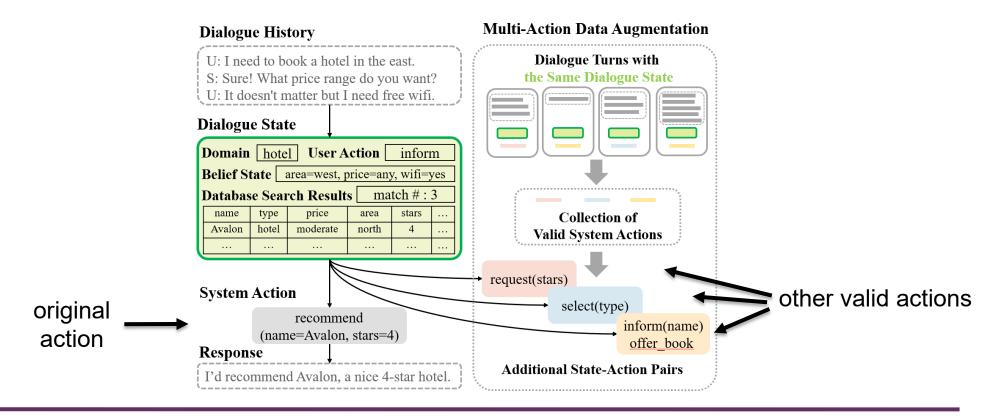
## Framework: Multi-Action Data Augmentation (MADA)

Before training: collect all the valid system actions for each dialog state



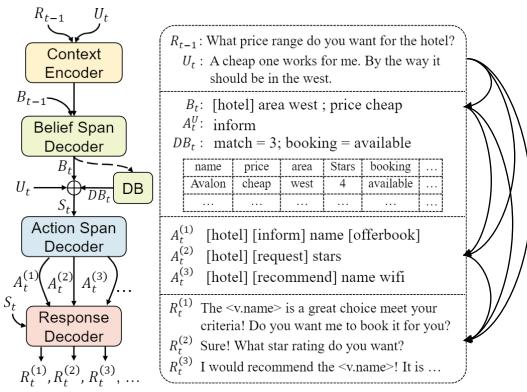
#### Framework: Multi-Action Data Augmentation (MADA)

During training: use all the valid state-action pairs as additional data for policy learning



#### Model: Domain Aware Multi-Decoder Network (DAMD)

 DAMD: an end-to-end model for multi-domain response generation which can take full advantage of the proposed MADA framework.



Hierarchal Seq2Seq Learning

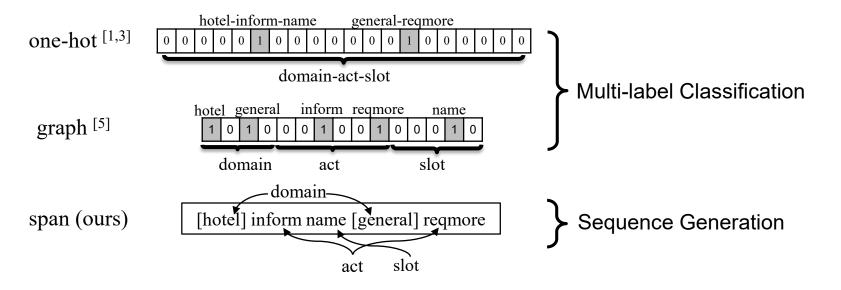
Copy Mechanism<sup>[2]</sup>

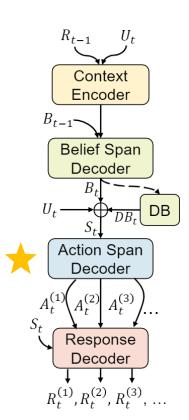
Compared to Sequicity<sup>[3]</sup>:

- (1) Generalize to multi-domain settings
- (2) Add the system action span decoder

#### **Text Span Based System Action Modeling**

- Low space complexity and high representation ability.
- Enable the use of diverse decoding techniques<sup>[6,7,8]</sup> to generate system actions with higher diversity.





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  - The One-to-Many Property in Human Conversations
  - Difficulty: Data Bias in Collected Dialog Datasets
- Proposed Method
  - Framework: Multi-Action Data Augmentation (MADA)
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## **Experiments - MultiWOZ Dataset**

- Human-human task-oriented dialogs
- 7 domains: restaurant, hotel, attraction, train, taxi, police, hospital
- Multiple domains in a single dialog
- Large scale
- Complex ontology

Metric	DSTC2	SFX	<b>WOZ2.0</b>	FRAMES	KVRET	M2M	MultiWOZ
# Dialogues	1,612	1,006	600	1,369	2,425	1,500	8,438
Total # turns	23,354	12,396	4,472	19,986	12,732	14,796	115,424
Total # tokens	199,431	108,975	50,264	251,867	102,077	121,977	1,520,970
Avg. turns per dialogue	14.49	12.32	7.45	14.60	5.25	9.86	13.68
Avg. tokens per turn	8.54	8.79	11.24	12.60	8.02	8.24	13.18
Total unique tokens	986	1,473	2,142	12,043	2,842	1,008	24,071
# Slots	8	14	4	61	13	14	25
# Values	212	1847	99	3871	1363	138	4510

#### **Experiments - Multiple Response Generation**

- Automatic evaluation of generated actions
- Human evaluation of generated responses
- Generating system responses with:
  - high policy-level diversity
  - good appropriateness

Model	Diversity	App	Good%	OK%	Invalid%
DAMD	3.12	2.50	56.5%	37.4%	6.1%
DAMD(+)	3.65	2.53	63.0%	27.1%	9.9%
HDSA(+)	2.14	2.47	57.5%	32.5%	10.0%

<sup>\* (+)</sup> denotes applying our data augmentation method.

#### Human evaluation details:

- (1) Each model generates 5 responses.
- (2) Score the appropriateness (good/ok/invalid) for each of the response.
- (3) Score a diversity score (1-5) of the 5 responses generated by each model.

Model & Decoding Scheme	Action #		Slot #			
Woder & Decoding Scheme	w/o	w/	w/o	w/		
Single-Action Baselines						
DAMD + greedy	1.00	1.00	1.95	2.51		
HDSA + fixed threshold	1.00	1.00	2.07	2.40		
5-Action Generation						
DAMD + beam search	2.67	2.87	3.36	4.39		
DAMD + diverse beam search	2.68	2.88	3.41	4.50		
DAMD + top-k sampling	3.08	3.43	3.61	4.91		
DAMD + top-p sampling	3.08	3.40	3.79	5.20		
HDSA + sampled threshold	1.32	1.50	3.08	3.31		
10-Action Generation						
DAMD + beam search	3.06	3.39	4.06	5.29		
DAMD + diverse beam search	3.05	3.39	4.05	5.31		
DAMD + top-k sampling	3.59	4.12	4.21	5.77		
DAMD + top-p sampling	3.53	4.02	4.41	6.17		
HDSA + sampled threshold	1.54	1.83	3.42	3.92		

<sup>\*</sup> HDSA<sup>[5]</sup> is the previous SOTA model.

#### **Experiments - Model Comparison and Ablation Study**

SOTA results on the MultiWOZ context-to-response generation benchmark.

# Different accesses to ground-truth information

#### **Metrics**

Inform: entity match rate

**Success**: entity match + answer all the requests **Combined Score**: 0.5\*(inform+success)+BLEU

Model	Belief State	System		Inform	Success	BLEU	Combined
	Type	Type	Form	(%)	(%)	DLLC	Score
1. Seq2Seq + Attention (Budzianowski et al. 2018)	oracle	-	-	71.3	61.0	18.9	85.1
2. Seq2Seq + Copy	oracle	-	-	86.2	72.0	15.7	94.8
3. MD-Sequicity	oracle	-	-	86.6	71.6	16.8	95.9
4. SFN + RL (Mehri et al. 2019)	oracle	generated	one-hot	82.7	72.1	16.3	93.7
5. HDSA (Chen et al. 2019)	oracle	generated	graph	82.9	68.9	23.6	99.5
★ 6. DAMD	oracle	generated	span	89.5	75.8	18.3	100.9
★ 7. DAMD + multi-action data augmentation	oracle	generated	span	89.2	<b>77.9</b>	18.6	102.2
8. SFN + RL (Mehri et al. 2019)	oracle	oracle	one-hot	-	-	29.0	106.0
9. HDSA (Chen et al. 2019)	oracle	oracle	graph	87.9	78.0	30.4	113.4
★ 10. DAMD + multi-action data augmentation	oracle	oracle	span	95.4	87.2	27.3	118.5
11. SFN + RL (Mehri et al. 2019)	generated	generated	one-hot	73.8	58.6	16.9	83.0
★ 12. DAMD + multi-action data augmentation	generated	generated	span	76.3	60.4	16.6	85.0

#### **Conclusion & Future Work**

- Proposed MADA, an effective data augmentation framework for diverse policy learning.
- Experimentally justified that our MADA results in generating responses with better diversity and appropriateness.
- Proposed DAMD, a new state-of-the-art model on MultiWOZ response generation benchmark, which can take full advantage of our data augmentation framework.

#### What's Next

- Build diverse and comprehensive user models.
- Apply to RL-based policy learning methods for higher exploration efficiency.

#### Reference

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## Thank you!

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Paper: <a href="https://arxiv.org/abs/1911.10484">https://arxiv.org/abs/1911.10484</a>

Code: <a href="https://github.com/594zyc/damd-multiwoz">https://github.com/594zyc/damd-multiwoz</a>