#### Training Set Selection for Image Classification

John Koo Advisors: David Crandall, Michael Trosset

December 16, 2019

#### Background: Image Classification

- Most predictive modeling involves some sort of  $n \times p$  data matrix
  - ightharpoonup Observations typically thought of as points in  $\mathbb{R}^k$
- Image data are harder to think of in terms of data matrices and Euclidean space
- Maybe use pixel values as features
  - Extremely high dimensional
    - Maybe can exploit spatial correlation to reduce number of dimensions
  - Doesn't account for image transformations such as translation or rotation
- Image classification (object/scene detection) doesn't fit well into traditional predictive modeling frameworks

#### Background: Convolutional Neural Networks

- Most neural networks are "fully connected", i.e., each node of a layer is connected to every node of the previous layer and every node of the next layer
- Convolutional layers are locally connected, i.e., nodes point to small, spatially connected groups of nodes
- Accounts for localized features (e.g., edge detection)
- CNNs often outperform other image classification methods (but their complexity requires large training sets)

#### INSERT IMAGE OF NEURAL NET VS. CNN HERE

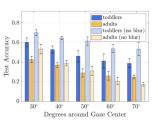
Deep convolutional neural networks make use of the current wealth of curated image datasets and computational resources

- ▶ Deep convolutional neural networks make use of the current wealth of curated image datasets and computational resources
- ► CNNs may fit poorly when there is insufficient data, and the data collection and labelling process can be expensive

- ▶ Deep convolutional neural networks make use of the current wealth of curated image datasets and computational resources
- CNNs may fit poorly when there is insufficient data, and the data collection and labelling process can be expensive
- ► Main question: Is it possible to successfully train a neural network with a small number of carefully selected images?

- ▶ Deep convolutional neural networks make use of the current wealth of curated image datasets and computational resources
- CNNs may fit poorly when there is insufficient data, and the data collection and labelling process can be expensive
- ► Main question: Is it possible to successfully train a neural network with a small number of carefully selected images?
- Largely based on "Toddler-Inspired Visual Object Learning" by Bambach, Crandall, Smith, and Yu (2018)

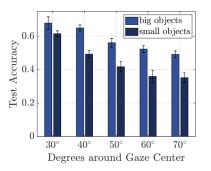
- Collected two samples of images:
  - ► Taken from first-person cameras mounted on toddlers
  - ► Taken from first-person cameras mounted on adults
- ► Task: Identify which objects (toys) are in the images
- ► Training VGG16 using toddler data resulted in higher test accuracy than training on parent data (same test set in both cases)

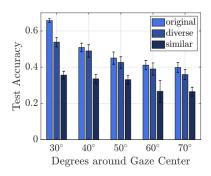


- ▶ Identified two characteristics of images from the toddler set vs. the adult set:
  - Objects in images from the toddler sample typically occupied more of the frame compared to objects in images from the adult sample
  - ► The toddler sample tended to be more "diverse" than the adult sample

- Two experiments performed based on object size and sample diversity
  - Find a subsample of big objects and a subsample of small objects, and train VGG16 on each. Determine which model attains a higher test accuracy.
    - Object "size" defined by determining how much of the image a bounding box drawn around the object takes up
  - Find a heterogeneous subsample and a homogeneous subsample, and train VGG16 on each. Determine which model attains a higher test accuracy.
    - Subsample "diversity" determined by pairwise distances of an image embedding (GIST features)

- Results consistent with intuition
  - Subsample of big objects resulted in better models than subsample of small objects
  - Heterogeneous subsample resulted in better models than homogeneous subsample





#### Outline and Summary

 $1. \ \, \mathsf{Applying} \,\, \mathsf{the} \,\, \mathsf{Toddler} \,\, \mathsf{study} \,\, \mathsf{to} \,\, \mathsf{additional} \,\, \mathsf{datasets}$ 

#### Outline and Summary

- 1. Applying the Toddler study to additional datasets
- 2. Overview of some other ways to select training sets

#### Outline and Summary

- 1. Applying the Toddler study to additional datasets
- 2. Overview of some other ways to select training sets
- 3. Conclusions and future work

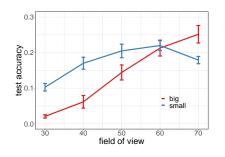
#### Reproduction Study: Stanford Dogs dataset

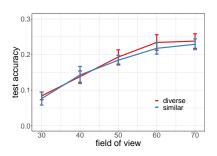
- ► ~20,000 images of 120 dog breeds
- ▶ 100 images per breed set aside for training
  - ▶ further subdivided into 50-50 big/small or diverse/similar
- ▶ 25 images per breed set aside for validation
- Remainder for testing





#### Reproduction Study: Stanford Dogs dataset





#### Reproduction Study: CIFAR-10

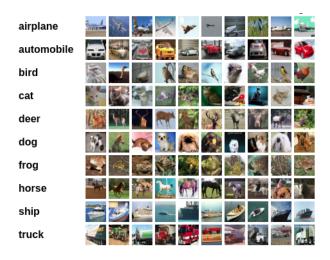
#### CIFAR-10

- ightharpoonup 32 imes 32 RGB images of 10 different object classes
- ▶ 5,000 training and 1,000 testing images per class
- No bounding box information
  - Diversity experiment only
  - No adjusting field of view

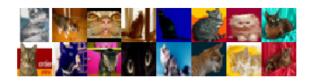
#### Sampling method

- 1. Choose training size n
- 2. Draw 2n images using diverse, similar, or random sampling
- 3. Split the data in half for training and validation
  - Validation set used to determine when to stop training
- 4. Fit VGG16 and assess accuracy on the test set

#### Reproduction Study: CIFAR-10



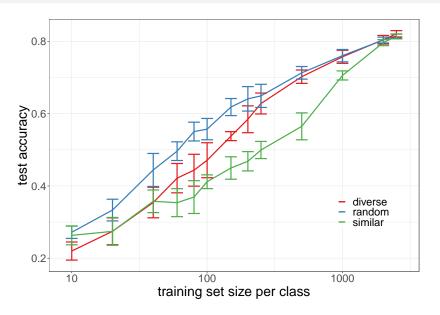
### Diverse vs. Similar vs. Random Samples of CIFAR-10 Cats



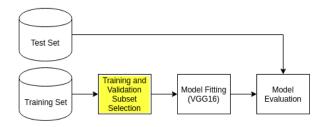




#### Replication Study: CIFAR-10



#### New Approaches to Training Set Selection



#### Wilson Editing

- Originally developed for k-nearest neighbors
- ► Algorithm
  - 1. Start with a sample  $X_1,...,X_n \in \mathbb{R}^p$  and corresponding discrete labels  $Y_1,...,Y_n \in \{1,...,q\}$
  - 2. For i=1,...,n, determine  $\hat{Y}_i$  using leave-one-out cross-validated k-nearest neighbors classification
  - 3. Discard  $i \in \{1,...,n\}$  where  $Y_i \neq \hat{Y}_i$  to construct a reduced, "edited" training set
  - 4. Use the edited training set to fit a new k-nearest neighbors model
- ightharpoonup Outperforms "unedited" k-nearest neighbors (comparing risk on a held-out test set)

#### Wilson Editing

#### Method

- 1. Use Wilson editing (using the GIST embedding) to reduce the training set
- 2. For a training size n, draw a diverse sample from the edited training set
- 3. Fit VGG16 on the diverse, edited training subset
- 4. Assess model performance on the test set
- Idea: Draw a diverse sample while excluding "outliers"

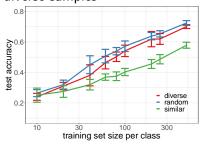
## Diverse Sample vs. Diverse Sample After Wilson Editing



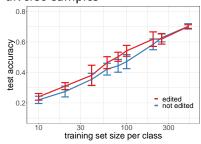


#### Wilson Editing

# Editing done prior to drawing diverse samples



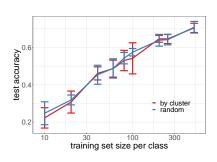
# Effect of editing prior to drawing diverse samples



#### Clustering

#### Algorithm

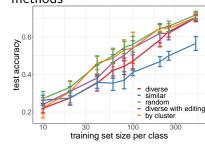
- Use k-means clustering (using the GIST embedding) to split each class into "subclasses"
- 2. For a training size n, draw a cluster/subclass-stratified sample
- 3. Fit VGG16 on the stratified training subset
- 4. Assess model performance on the test set



#### Summary and Conclusions

- Diverse sampling outperforms similar sampling but fails to improve upon uniform random sampling
- Removing outlier images prior to drawing a diverse sample seems to improve model performance
- Cluster-stratified sampling resulted in equivalent model performance as uniform random sampling
- Future work
  - More sophisticated clustering methods
  - Active learning based approaches

Comparison of sampling methods



## Supplemental Slides

#### VGG16

## **GIST** Embedding

#### Autoencoders

#### Transfer Learning

- CNNs can be thought of as supervised image embedding methods
  - Second to last layer should be a linearly separable embedding
- Pretrained Xception model (~80% accuracy on CIFAR-10)
  - Xception embedding results in ~80% accuracy using k-NN
  - Can be thought of as an ideal case for embedding CIFAR-10

 Diverse sampling on Xception embedding still results in worse performance than random sampling

