Species diversity and stand structure as drivers of canopy complexity in southern African woodlands

John L. Godlee

9th August 2021

1 Abstract

Atmospheric CO₂ enrichment and human-induced climate change are expected to drive woody encroachment and an increase in tree cover across African savannas, with consequences for ecosystem function, particularly related to carbon dynamics. The patch dynamics of savanna-woodland mosaics are complex however, as woody growth is mediated by seasonal fire that is itself driven by woody canopy structure. It is unclear how variation in existing tree species composition and stand structure in this ecosystem affects canopy structure, and how this might determine vegetation dynamics. Here, I conducted the first study of canopy structure using terrestrial LiDAR in southern African savannas, at sites in Angola and Tanzania, to explore relationships between tree species diversity, stand structure, and canopy structure. I found that WHAT

1 Introduction

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Atmospheric CO₂ enrichment, coupled with climate change and changing disturbance regimes, 13 is expected to drive woody encroachment, i.e. proliferation of trees in previously non-wooded 14 areas, and woody thickening, i.e. increased growth of trees in currently wooded areas, across the 15 savanna biome over the coming century (Criado et al., 2020; Stevens et al., 2016; Mitchard & 16 Flintrop, 2013). As atmospheric CO₂ concentrations increase, C₃ trees are expected to gain a 17 competitive edge over C₄ grasses due to differences in photosynthetic pathway (Buitenwerf et al., 2012), with cascading effects on canopy cover, grass growth, and therefore disturbance regime 19 (Bond & Midgley, 2012). If realised, woody encroachment and thickening will have significant 20 effects on the global carbon cycle, as more CO₂ is stored as woody biomass, as well as myriad 21 other effects on ecosystem structure (Donohue et al., 2013). Indeed, tropical savannas have 22 been identified as the fastest increasing component of the terrestrial carbon sink (Sitch et al., 23 2015). Previous studies however, have reported wide variation in rates of woody encroachment 24 and thickening (Mitchard & Flintrop, 2013), particularly in disturbance-prone savannas such as 25 miombo woodlands in southern Africa (Lewis et al., 2009), and it is unclear how the fertilisation 26 effect of atmospheric CO₂ enrichment will interact other ecosystem properties to alter vegetation 27 (Körner, 2017; Reich et al., 2014). 28 Savanna vegetation is defined by the coexistence of trees and grasses (Scholes & Archer, 1997). 29 In the tropical mesic savannas of southern Africa, disturbance by fire and herbivory are the main 30 limitations on tree cover, preventing the competitive exclusion of shade-sensitive C₄ grasses 31 where climatic conditions would otherwise allow for closed canopy forest (Sankaran et al., 2005). C₄ grasses also provide the main fuel source for seasonal fires in these savannas (Frost, 1996), 33 producing a positive feedback where an increase in tree cover reduces grass fuel load, reducing 34 fire frequency and intensity, increasing tree cover, and so on (Staver & Koerner, 2015). As such, 35 even small perturbations in tree cover can lead to large changes in vegetation structure if critical 36 thresholds of tree cover are crossed (Hirota et al., 2011). Previous research has sought to identify 37 environmental factors which affect tree cover and its responses to atmospheric CO₂ enrichment, but few have considered the functional role of the existing tree community and its effect on ecosystem processes.

Canopy structure describes the spatial distribution of tree canopy foliage (Lowman & Rinker, 41 2004). Canopy structural complexity, i.e. the spatial heterogeneity of foliage distribution within 42 the canopy, has been linked to increased net ecosystem productivity (Hardiman et al., 2011; 43 Chen et al., 2012; Law et al., 2001; Baldocchi & Wilson, 2001; Morin, 2015), increased resilience 44 of productivity (Pretzsch, 2014), reduced understorey light penetration (Scheuermann et al., 45 2018; Fotis et al., 2018), and greater moderation of understorey micro-climate (Wright et al., 2017). Furthermore, in temperate and boreal forests, functional differences among coexisting 47 tree species in their vertical and horizontal canopy occupation provide a link between species 48 diversity, canopy structural complexity and canopy density, with canopy structure constituting 49 a mechanism for observed positive biodiversity-ecosystem function effects in wooded ecosystems 50 (Pretzsch, 2014; Barry et al., 2019). In tropical savannas, tree species diversity might therefore 51 influence ecosystem-level woody thickening in response to elevated atmospheric CO₂, where diverse tree communities are less limited by competition due to niche separation, and can more 53 effectively increase foliage density and reduce understorey light penetration, excluding grass and 54 thus reducing disturbance. 55

As well as the species diversity of trees, the spatial distribution and relative size of trees, i.e. 56 stand structure, is also expected to affect canopy structural complexity (Stark et al., 2015). 57 Heterogeneity in tree size, whether a result of species diversity, disturbance history or some 58 other factor, is expected to increase canopy complexity and canopy density as individuals of 59 different sizes occupy different parts of the vertical canopy space (Panzou et al., 2020), and 60 may differ in light requirements (Charles-Dominique et al., 2018). Additionally, clustering of individuals in space is expected to increase canopy structural heterogeneity across the wider 62 savanna landscape, but ultimately decrease total foliage density due to an increase in competitive 63 interactions (Dohn et al., 2017). Clustering may occur as a result of disturbance history, or 64 as a result of strong facilitation effects among individuals in stressful environments (Ratcliffe 65 et al., 2017). More diverse communities may allow more dense clustering, as differences in canopy occupancy among species can reduce competition, meaning that diversity may reduce 67 the negative effect of disturbance on tree cover (). 68

Functional differences among floristic types of savanna may also drive variation in canopy structure, irrespective of species diversity. Some savanna trees form denser canopies than others, 70 as a result of variation in leaf size and branch architecture. Previous studies have compared the 71 branch architecture of ex-Acacia and miombo archetypal tree species. While ex-Acacia species 72 tend to inhabit drier, heavily grazed or seasonally flooded areas, miombo species tend to inhabit 73 dystrophic wetter areas structured heavily by fire (Ribeiro et al., 2020). These studies have 74 shown that ex-Acacia species develop sparser canopies, cagey branch architecture, and wider 75 spreading crowns, while miombo species develop thicker canopies and can grow to large trees 76 (Mugasha et al., 2013; Archibald & Bond, 2003; Privette et al., 2004). Under identical stem 77 densities, miombo woodland species may therefore exclude grass more effectively. 78

Canopy structure is multi-dimensional and has previously been explained using a plethora 79 of simple metrics that originated in forest and community ecology (Kershaw et al., 2017). 80 Assessments of canopy structure have most often modelled tree canopies as a series of ellipses 81 (2D), ellipsoids or cones (3D) based on field measurements with measuring tapes (Jucker et al., 82 2015), or used surrogate proxies for 3D canopy structure due to its inherent complexity (Seidel 83 et al., 2011). Measurements of this kind are time consuming and yet remain an over-simplification of canopy structure. Alternatively, canopy cover is often measured using indirect optical methods 85 which partition sky from canopy material, i.e. with hemispherical photography or the commonly 86 used LAI-2000, providing a 2D representation of the canopy but lacking information on vertical 87 canopy structure (Jonckheere et al., 2004). In recent years, particularly in temperate and boreal 88 forests, LiDAR (Light Detection And Ranging) has emerged as a suitable technology for rapidly and precisely assessing canopy structure in 3D, conserving information on 3D structure of the

calibre that is required to understand it's complexities (Muir et al., 2018; Calders et al., 2020). In tropical savannas, very few studies have used terrestrial LiDAR for vegetation analyses, 92 and in southern Africa all existing studies have been located the the Skukuza Flux Tower in 93 Kruger National Park, South Africa (Muumbe et al., 2021). Pioneering work describing the 94 ecology of southern African savannas placed large emphasis on canopy structural diversity as 95 a mediator of ecosystem function (Solbrig1996), but much of that understanding of savanna vegetation structure was derived from traditional mensuration methods. Using terrestrial LiDAR 97 to measure canopy structure in southern African savannas therefore offers a unique chance 98 to validate accepted theory and describe differences in ecosystem structure among savanna 99 vegetation types in finer detail than previously possible. 100

In this study I applied terrestrial LiDAR techniques to woodland-savanna mosaics at two sites 101 in southern Africa, with the aim of increasing our understanding of how various metrics of tree 102 canopy structural complexity relate to tree neighbourhood diversity and stand structure. I aim 103 to develop our understanding of how biotic ecosystem properties in savannas might mediate 104 responses to atmospheric CO_2 enrichment and climate change. I hypothesise that neighbourhoods 105 with greater tree diversity and greater structural diversity allow greater canopy complexity, 106 and foliage density. Thus, more diverse savannas might more effectively increase growth under 107 elevated atmospheric CO₂ and are more likely to experience woody thickening through their 108 greater occupation of environmental niche space. I also consider the functional differences among 109 tree species in these communities and assess how combinations of these functional groups affect 110 canopy structure and understorey light environment. 111

112 2 Materials and methods

113 2.1 Study sites

Field measurements were conducted at two sites, the first in Bicuar National Park, southwest 114 Angola (S15.1°, E14.8°), and the second in and around Mtarure Forest Reserve, southeast 115 Tanzania (S9.0°, E39.0°) (Figure 1). At each site, 1 ha (100x100 m) plots were located in areas 116 of savanna-woodland vegetation, across a gradient of stem density and range of savanna floristic 117 archetypes. In Angola, 15 plots were sampled, while in Tanzania, seven were sampled following 118 the curtailment of fieldwork due to COVID-19 travel restrictions. Fieldwork was conducted 119 between February and April at both sites, during the peak growth period of each site in order to 120 capture the greatest foliage volume in the canopy. 121

2.2 Field measurements

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Within each 1 ha plot we identified each woody stem >5 cm stem diameter to species, measured 123 stem diameter (Diameter at Breast Height - 1.3 m) and recorded stem location within the plot 124 using tape measures. Each 1 ha plot was further subdivided into nine 10 m diameter circular 125 subplots arranged in a regular grid, with a 15 m buffer from the plot edge and 35 m between 126 subplots. For each subplot, we recorded the distance and direction from the subplot centre of 127 each stem >5 cm diameter with canopy material inside the subplot. Within each subplot, a 128 variable number of scans were recorded using a Leica HDS6100 phase-shift Terrestrial Laser 129 Scanner (TLS). The number and position of scans within a subplot was determined by the 130 arrangement of canopy material in the subplot, to minimise shadows within the canopy of the 131 subplot, and to maximise canopy penetration. The number of scans per subplot ranged between 132 one and five across both sites. 133

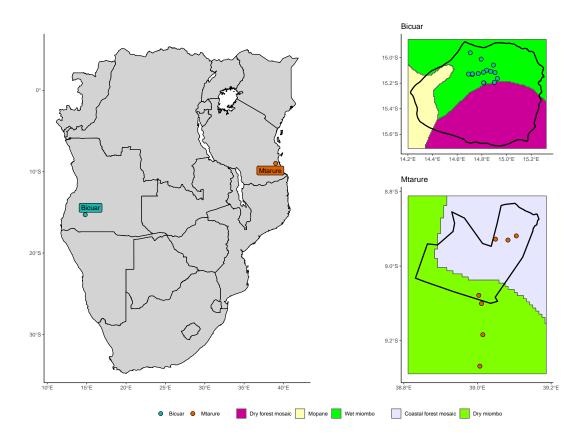


Figure 1: Location of study sites within southern Africa (left), and of 1 ha plots within each site (right). The black outlines in each site map denote the boundaries of protected areas which encompass the majority of study sites, Bicuar National Park in Angola (top), and Mtarure Forest Reserve in Tanzania (bottom). The background of each site map is a re-classified version of White's vegetation map (White, 1983). Note that all maps are on different scales.

Data analysis 2.3

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2.3.1 TLS processing

Point clouds from scans in each subplot were registered and unified using Leica Cyclone (version 136 9.1), using five reflective cross targets visible to all scans. Point clouds were voxelised to cubic 137 voxel sizes of different sizes depending on the application of the data. For subplot height profile 138 estimation and gap fraction we used 5 cm³ voxels, and for whole plot canopy rugosity we used 50 cm³ voxels. Voxels were classified as 'filled' if they intersected one or more points. Variation in 140 voxel size reflects the spatial scale of each analysis, and is bounded by the beam divergence of the 141 scanner over longer distances (). Choosing voxels that are too small can result in pock-marked 142 representations of surfaces that are especially problematic when calculating larger scale canopy 143 structure metrics such as canopy top roughness, while voxels that are too large can result in 144 an over-estimation of plant volume when estimating canopy foliage density at the subplot scale 145 (Seidel et al., 2012; Cifuentes et al., 2014). We used the noise reduction algorithm from Rusu 146 et al. (2008) to discard points based on mean nearest neighbour distances, with a mean number 147 of neighbours of eight, and a standard deviation threshold of 1.96. This effectively removed 148 'ghost points' produced by partial beam interceptions and also removed many erroneous returns 149 caused by airborne dust particles, which was common at our study sites. Raw points clouds 150 for each subplot had a mean of $\sim 2.9e + 08$ points, $\sim 4.5e + 07$ points after voxelisation to 5 cm³. 151 and ~2.1e+07 points after noise reduction. Ground points were classified using the Progressive 152 Morphological Filter (PMF) from Zhang et al. (2003). Point cloud height was reclassified height 153 based on this revised ground layer by measuring the vertical distance between the nearest ground 154 point and each point. 155

At the subplot level, we used ray-tracing to estimate canopy closure, i.e. the proportion of the sky hemisphere occluded by plant material at the subplot centre from multiple TLS scans. Hemispherical images were created using the POV-Ray ray-tracing software (Persistence of Vision Pty. Ltd., 2004). Filled voxels were represented as matt black cubes filling the voxel 159 volume, with a white sky box and no light source. A 'camera' with a 180° fisheye lens was placed at the subplot centre within POV-Ray, at a height of 1.8 m pointing directly upwards. The images produced by POV-Ray were analysed using Hemiphot (ter Steege, 2018) to estimate canopy closure as the proportion of pixels filled by canopy material. Canopy closure estimates from the TLS were validated with hemispherical photographs taken at the same location and processed using the same method in Hemiphot, and compared using Pearson's correlation (r(195))= 0.89, p<0.001). The Effective Number of Layers (ENL) measures subplot canopy complexity and was calculated as the Shannon entropy of foliage density among 50 cm height bins within each subplot. The uniformity of foliage distribution was calculated by fitting a linear model to the cumulative foliage density profile, then extracting the standard error on the slope estimate of this linear model. Total foliage density was calculated as the area under the curve of the 170 foliage height profile.

At the plot level, canopy complexity was measured by two metrics. Canopy top roughness 172 was measured as the standard deviation of canopy height across the plot. Canopy rugosity 173 was measured according to Hardiman et al. (2011), as the standard deviation of vertical and 174 horizontal foliage density within 0.5 m cubic bins. We also estimated plot-level canopy closure 175 by calculating the mean of the canopy closure values from each subplot. 176

2.3.2 Stand structure 177

For each subplot, we calculated an adapted version of the Hegyi index to estimate crowding, 178 as an alternative to stem density which does not adequately capture crowding at small spatial 179 scales, when only a small number of trees are included in the sample (Hegyi, 1974). To estimate

subplot structural diversity we calculated the coefficient of variation of stem diameter as a measure of the heterogeneity of tree size in the neighbourhood.

At the plot level, we estimated the regularity of species spatial distribution using the spatial mingling index (von Gadow & Hui, 2002). We also measured the uniformity of whole plot stem distribution using the winkelmass, which measures the spatial clustering of stems (von Gadow & Hui, 2002). Finally, we calculated plot level stem density.

2.3.3 Statistical analysis

To describe variation in species composition among plots, we conducted Non-metric Multidimensional Scaling (NMDS) analysis on genus-level basal area weighted abundance in each plot. We excluded stems that could not be identified to genus from this analysis, which accounted for 0.2% of the total basal area recorded. Four distinct vegetation types were identified, two from each site. These vegetation types are summarised in Table 1.

Linear mixed effects models tested the effects of tree species diversity and stand structural 193 diversity on canopy complexity. Mixed models were used to account for the nested sampling 194 design of subplots within plots and plots within vegetation types. Models were conducted 195 at both the subplot and plot level. Subplot models included random effects for plot nested 196 within vegetation type, while plot level models included random effects for vegetation type 197 only. Separate models were fitted for each canopy complexity metric, resulting in four models 198 at the subplot level and four models at the plot level. We compared effect sizes among fixed 199 effects in each model, and also compared AIC values and Akaike weights of models with different 200 combinations of fixed effects to determine which diversity and structural metrics best explained 201 variation in each canopy complexity metric. 202

To test the hypothesis that tree species diversity may influence canopy complexity indirectly through its effect on stand structure, we conducted a path analysis using the piecewiseSEM R package (). The path analysis investigated the direct effect of plot species richness on mean plot canopy closure, as well as the indirect effect of richness on closure via the coefficient of variation of diameter, with random intercept terms for each vegetation type.

Table 1: Description of the vegetation type clusters identified using the Ward algorithm, based on basal area weighted genus abundances. Species are indicator species from the Dufrêne-Legendre indicator species analysis, with the three highest indicator values. AGB = Above-Ground woody Biomass. Species richness, stem density and AGB are reported as the median and the interquartile range in parentheses.

Cluster	r N plots	Richness	Stem density (stems ha ⁻¹)	AGB (t ha ⁻¹)	Indicator species In	d. value
1	12	17(2)	642(194)	41(8.4)	Strychnos spinosa Combretum collinum Julbernardia paniculata	0.83 0.74 0.70
2	5	23(4)	411(137)	72(11.9)	Pteleopsis myrtifolia Diplorhynchus condylocarpon Pseudolachnostylis maprouneifolia	1.00 0.89 0.81
3	3	6(1)	196(55)	77(7.3)	Baikiaea plurijuga Baphia massaiensis Philenoptera nelsii	0.94 0.83 0.45
4	2	12(2)	288(73)	9(0.2)	Vachellia nilotica Combretum apiculatum Senegalia polyacantha	0.99 0.70 0.62

208 3 Results

209 3.1 Vertical canopy complexity

Bivariate plots showed that subplot species diversity, measured by species richness of the tree neighbourhood around each 10 m diameter subplot, appeared to have weak but positive effects on canopy layer diversity and total canopy closure (Figure 2). The Hegyi crowding index and both stand structural diversity metrics had strong positive effects on canopy complexity, for all metrics except for uniformity of foliage distribution and height of peak foliage density. The two sites in our study had similar bivariate relationships, with interaction effects of site in the bivariate linear models being non-significant in all cases (supp. material).

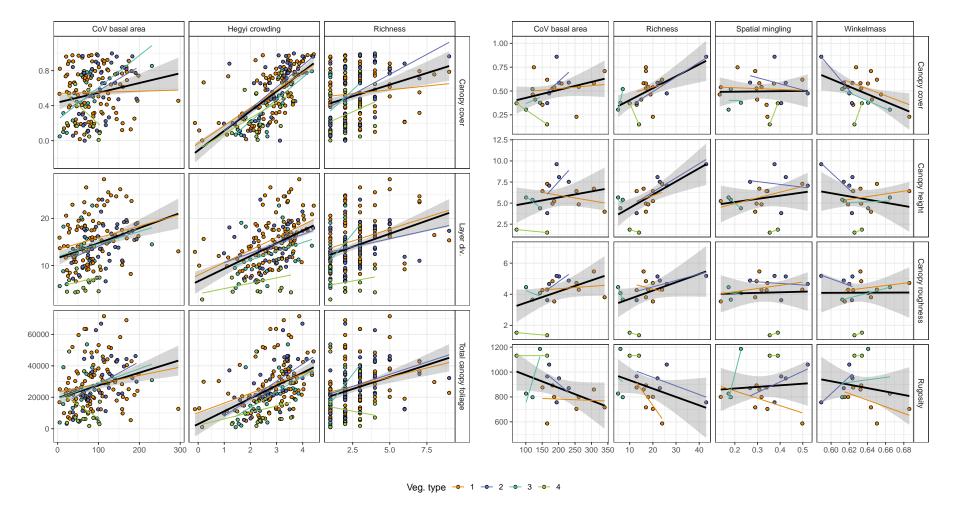


Figure 2: Bivariate relationships between diversity/stand structure metrics (x axis) and canopy structure metrics (y axis), at both the subplot level (left) and the plot level (right). Points and linear model lines of best fit are coloured by vegetation type. Black lines of best fit are linear models including all plots, with a 95% confidence interval. See Table 2 for a comparison of linear model fits by vegetation type.

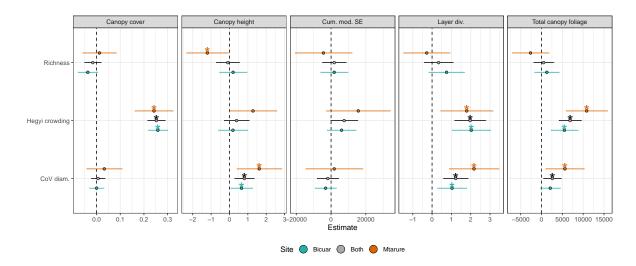


Figure 3: Standardized fixed effect slopes for each model of a canopy structure metric. Slope estimates are ± 1 standard error. Slope estimates where the interval (standard error) does not overlap zero are considered to be significant effects. Points are coloured according to site.

Linear mixed effects models showed that species richness of the subplot neighbourhood had variable effects across different measures of canopy structure, but the effect sizes were not significant (slope standard errors not overlapping zero) for any model (Figure 3). One exception being the negative effect of richness on canopy height in Mtarure only. As in the bivariate plots, the Hegyi crowding index had strong positive effects on three of six canopy complexity metrics. Heterogeneity of stem diameter had a positive effect on layer diversity and total foliage density, and a marginally significant positive effect on canopy height. Variation in crown area was only seen to have significant effects in Bicuar plots, where it correlated with a decrease in vertical uniformity of foliage distribution, and total canopy foliage density.

The model selection process showed that the best model for layer diversity included species richness. Stand structural diversity metrics were included in the best models for all canopy complexity metrics except for canopy closure, which was predicted solely by the Hegyi crowding index. Models of layer diversity, total foliage density, and canopy closure were predicted well by a combination of crowding and stand structural diversity. Models of height of peak foliage density, canopy height, and uniformity of foliage distribution were poorly constrained by the available fixed effects, with R^2_m of ~5%. The majority of the total model effect on canopy height came from the random effects of site and plot identity.

3.2 Canopy rugosity

Similar to the subplot analyses, at the whole-plot scale tree species diversity, measured here by the Shannon index, tended to have weak positive effects on canopy complexity metrics, while stand structural diversity metrics had stronger positive effects (??). Strong positive relationships of basal area on canopy complexity are driven mostly by two plots with particularly low basal area in Mtarure, M3 and M4. These plots are sparse thorny savanna, dominated by Senegalia spp. (Figure 6). Indeed, linear models using only plots in bicuar show divergent relationships. These two plots also have particularly low canopy closure, canopy height, and canopy top roughness, despite having similar tree species diversity and spatial distribution of trees (winkelmass) as other plots.

Linear mixed effects models show that increased spatial clustering of trees causes a decrease in canopy closure. Increased spatial mingling of tree species causes an increase in canopy rugosity,

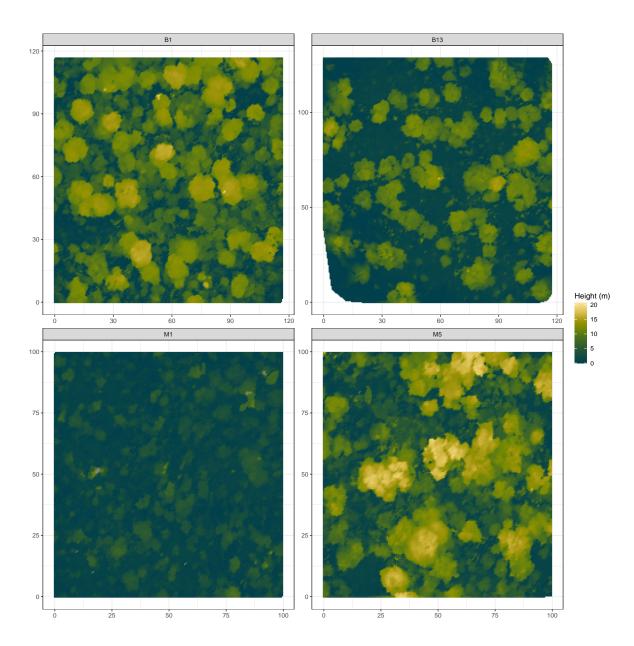


Figure 4: Representative canopy surface models for each vegetation type identified in the Non-metric Multi-dimensional Scaling (NMDS) analysis. Plot titles show the plot name and the vegetation type.

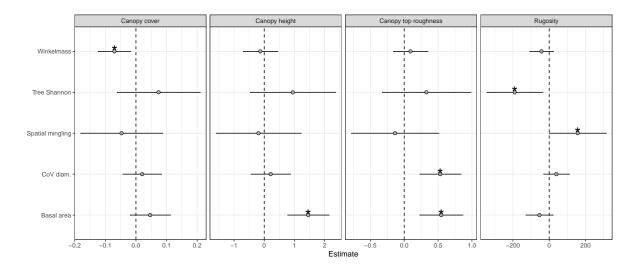


Figure 5: Standardized fixed effect slopes for whole-plot canopy rugosity. Slope estimates are ± 1 standard error. Slope estimates where the interval (standard error) does not overlap zero are considered to be significant effects.

while in contrast an increase in overall tree species diversity appears to cause a decrease in canopy rugosity. An increase in the heterogeneity of stem diameter causes an increase in canopy top roughness.

Model selection showed that all plot canopy complexity metrics except canopy rugosity were best modelled by a combination of basal area and either species diversity or structural diversity.

3.3 Comparing subplot and plot measures of canopy structure

Plot-level and subplot-level canopy structure metrics were highly correlated in many cases (Figure 5). Plot canopy height especially, tended to be strongly positively correlated with subplot canopy complexity. Additionally, as canopy top roughness increases, many subplot canopy complexity and density metrics increase. In the majority of cases, both sites had similar correlations of subplot and plot measures of canopy structure, with notable exceptions for plot roughness vs. layer diversity, plot roughnesss vs. canopy closure, and plot canopy height vs. canopy closure.

Variance of plot canopy height and plot roughness was larger in Mtarure than Bicuar. The increase in variance was caused by two particularly sparse thorny savanna plots in Mtarure, M3 and M4, which had very low canopy height and roughness.

262 4 Discussion

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We investigated the effects of tree species diversity and structural diversity on several metrics of canopy complexity that were hypothesised to affect plot productivity. Species diversity appeared to generally have weak positive effects on canopy complexity at both the subplot and plot scales, while stand structural diversity had much stronger effects. The strongest determinant of canopy complexity was stem crowding, as measured by basal area and the Hegyi crowding index.

The positive relationships between species richness and subplot canopy complexity metrics observed in the subplot bivariate models were not seen in the linear mixed effects models. This is likely because the observed species richness effect was itself driven by stand structure. The Hegyi crowding index increases with stem density, i.e. decreased distance of individuals from the subplot

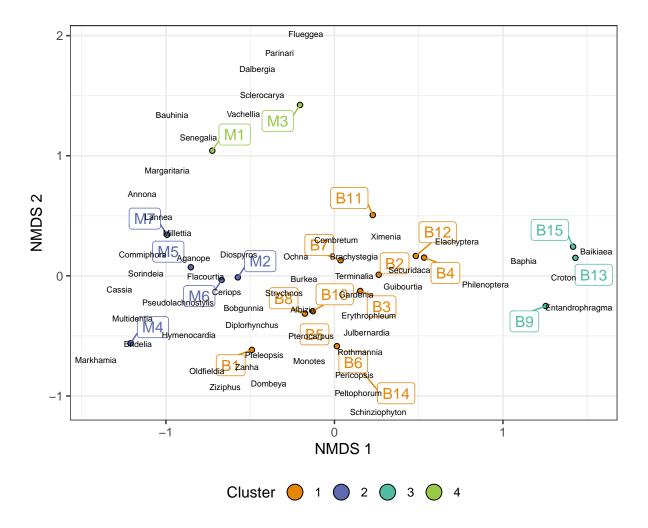


Figure 6: The first two axes of a Non-metric Multi-Dimensional Scaling (NMDS) analysis of tree species diversity in each plot. Species scores are labelled as black text, while plot scores are labelled as coloured points. Plots can be split into four principal groups: 1) B9, B13 and B15, dominated by *Baikiaea plurijuga*; 2) the other Bicuar plots; 3) M2, M5, M6, and M7,dominated by *Julbernardia* spp., *Brachystegia* spp. and *Ochna* spp.; 4) M1, M3, and M4, dominated by *Senegalia* spp. and *Vachellia* spp..

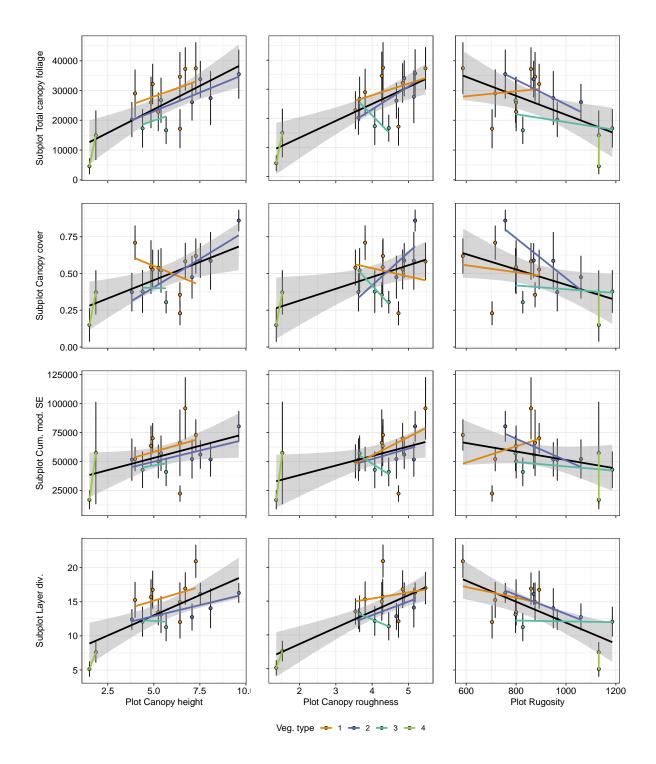


Figure 7: Bivariate plots of canopy structural metrics at the subplot (y axis) and plot level (x axis). Each point represents the mean values of a single plot. Points and linear model fits are coloured according to vegetation type. The black linear model combines all vegetation types. Error bars on points are the standard deviation of mean subplot metrics across the plot.

centre. Species richness also increases with stem density, as a greater number of individuals is 272 more likely to hold more species simply through sampling effects. Jucker et al. (2015) however, 273 did find that increased species diversity led to greater canopy packing in European forests, with 274 trees in mixed forests having generally larger crowns. Our result that species diversity did not 275 have consistent effects on canopy complexity may be specific to the vegetation type studied here. 276 Southern African open woodlands are much more heavily affected by disturbance from fire and 277 herbivory than temperate forests, meaning the effects of inter-specific competition are weakened 278 as a driver of stand and canopy structure (). 279

Canopy structure at the plot level was less well predicted by stand structure and species diversity
than subplot level canopy structure. Results at the plot level suggest that woodland vegetation
type and basal area has the greatest effect on canopy complexity. The two thorny savanna plots
in Mtarure produced strong positive effects of basal area and diameter variantion on canopy
closure, canopy height, and canopy roughness, but when these plots are removed the remaining
points do not produce strong relationships.

Facilitation might be more important in these woodlands than in temperate woodlands. Large canopy trees may cause micro-climate amelioration for understorey saplings, protecting them from drying conditions caused by the sun and wind. Facilitation has been under-played in BEFR research (Wright et al., 2021).

Scheuermann2018 Canopy rugosity didn't vary regardless of the LAI and species diversity - it just increased linearly throughout succession, for the first 100 years before becoming stable.

A more heterogeneous vegetation arrangement allows for deeper light vegetation into the canopy and when paired with a higher LAI lead to greater light interception by the subcanopy, and thus the canopy as a whole.

NPP may increase with increased canopy heterogeneity, because subcanopy plants are normally light limited and sensitive to small increases in available light such as those provided by deeper light penetration in a heterogenous canopy.

Fotis2017 Hardiman et al. 2011, 2013a, found that increased rugosity in the canopy increased NPP in temperature forests. Fahey et al. 2015 in an old-growth forest.

Canopy structure can affect canopy-level photosynthesis (Kira et al. 1969, Chen et al. 2012), light-use efficiency (Walcroft et al. 2005, Duursma and Makela 2007) and NEE (Baldochii and Wilson 2001, Law et al. 2001) through its influence on both total light interception and its variability within the canopy.

While other studies have found links between light environment and canopy structure, in our sites maybe the canopy is too sparse for this to be an issue.

Shirima2015 * In Miombo woodlands, AGB higher under denser vegetation canopies * Maybe the canopy provides amelioration of harsher environmental conditions in this system

5 Conclusion

References

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Table 2: Summary statistics of bivariate linear models comparing canopy complexity metrics with diversity and stand structural metrics. Slope refers to the slope of the predictor term in the model, ± 1 standard error. \mathbb{R}^2 refers to the whole model. T is the t-value of the slope of the predictor term in the model, Asterisks indicate the p-value of these terms (***<0.001, **<0.01, *<0.05).

Response	Predictor	Cluster	Slope	\mathbf{F}	\mathbb{R}^2	${ m T}$
Total canopy foliage	CoV basal area	1	53.2 ± 28.73	3.4(2,97)	0.03	1.85-
Total canopy foliage	CoV basal area	2	143.4 ± 49.12	8.5(2,38)	0.18	2.92**
Total canopy foliage	CoV basal area	3	94.7 ± 63.57	2.2(2,14)	0.14	1.49-
Total canopy foliage	CoV basal area	4	-173.1 ± 143.48	1.5(2,12)	0.11	-1.21-
Total canopy foliage	CoV basal area	All	80.4 ± 22.76	12.5(2,167)	0.07	3.53***
Total canopy foliage	Hegyi crowding	1	6170.5 ± 1568.73	15.5(2,102)	0.13	3.93***
Total canopy foliage	Hegyi crowding	2	11022.0 ± 2348.04	22.0(2,40)	0.36	4.69***
Total canopy foliage	Hegyi crowding	3	9972.6 ± 2370.99	17.7(2,23)	0.43	4.21***
Total canopy foliage	Hegyi crowding	4	4116.2 ± 4028.88	1.0(2,13)	0.07	1.02-
Total canopy foliage	Hegyi crowding	All	8163.7 ± 1146.64	50.7(2,184)	0.22	7.12***
Total canopy foliage	Richness	1	2337.8 ± 876.46	7.1(2,102)	0.07	2.67**
Total canopy foliage	Richness	2	3090.2 ± 1262.14	6.0(2,40)	0.13	2.45*
Total canopy foliage	Richness	3	12337.1 ± 3601.21	11.7(2,23)	0.34	3.43**
Total canopy foliage	Richness	4	-1799.1 ± 3920.48	0.2(2,13)	0.02	-0.46-
Total canopy foliage	Richness	All	3054.8 ± 669.15	20.8(2,184)	0.10	4.57***
Canopy cover	CoV basal area	1	0.0 ± 0.00	0.1(2,97)	0.00	0.24-
Canopy cover	CoV basal area	2	0.0 ± 0.00	7.3(2,39)	0.16	2.70*
Canopy cover	CoV basal area	3	0.0 ± 0.00	14.3(2,14)	0.50	3.78**
Canopy cover	CoV basal area	4	-0.0 ± 0.00	2.3(2,12)	0.16	-1.53-
Canopy cover	CoV basal area	All	0.0 ± 0.00	6.5(2,168)	0.04	2.55*
Canopy cover	Hegyi crowding	1	0.2 ± 0.02	71.2(2,102)	0.41	8.44***
Canopy cover	Hegyi crowding	2	$0.2 {\pm} 0.05$	28.8(2,41)	0.41	5.37***
Canopy cover	Hegyi crowding	3	0.2 ± 0.03	52.4(2,23)	0.69	7.24***
Canopy cover	Hegyi crowding	4	$0.2 {\pm} 0.07$	6.4(2,13)	0.33	2.52*
Canopy cover	Hegyi crowding	All	0.2 ± 0.02	148.0(2,185)	0.44	12.16***
Canopy cover	Richness	1	0.0 ± 0.02	1.1(2,102)	0.01	1.06-
Canopy cover	Richness	2	0.1 ± 0.02	14.7(2,41)	0.26	3.83***
Canopy cover	Richness	3	0.2 ± 0.08	3.9(2,23)	0.14	1.97-
Canopy cover	Richness	4	0.1 ± 0.08	0.9(2,13)	0.06	0.95-
Canopy cover	Richness	All	0.1 ± 0.01	16.9(2,185)	0.08	4.11***

	Spatial mingling	3	-2.0 ± 13.64	0.0(2,1)	0.02	-0.14-
	Spatial mingling	2	-1.0 ± 3.97	0.1(2,3)	0.02	-0.26-
	Spatial mingling	1	2.1±2.37	0.8(2,6)	0.11	0.87-
	CoV basal area	All	0.0 ± 0.00	3.9(2,16)	0.20	1.97-
	CoV basal area	4	$-0.0\pm \mathrm{NaN}$	NaN(2,0)	1.00	NA
	CoV basal area	3	-0.0 ± 0.02	0.2(2,1)	0.20	-0.50-
	CoV basal area	2	0.0 ± 0.01	1.9(2,3)	0.39	1.37-
	CoV basal area	1	0.0 ± 0.00	0.2(2,6)	0.03	0.46-
Canopy height	Winkelmass	All	-18.8 ± 23.48	0.6(2,16)	0.04	-0.80-
Canopy height	Winkelmass	4	$42.7\pm\mathrm{NaN}$	NaN(2,0)	1.00	NA
Canopy height	Winkelmass	3	4.0 ± 25.31	0.0(2,1)	0.02	0.16-
Canopy height	Winkelmass	2	-100.4 ± 63.42	2.5(2,3)	0.46	-1.58-
Canopy height	Winkelmass	1	16.3 ± 19.19	0.7(2,6)	0.11	0.85-
Canopy height	Richness	All	0.2 ± 0.04	13.2(2,16)	0.45	3.63**
Canopy height	Richness	4	$-0.1\pm \mathrm{NaN}$	NaN(2,0)	1.00	NA
Canopy height	Richness	3	-0.1 ± 0.66	0.0(2,1)	0.04	-0.21-
Canopy height	Richness	2	0.2 ± 0.06	8.6(2,3)	0.74	2.94-
Canopy height	Richness	1	0.1 ± 0.14	0.4(2,6)	0.07	0.67-
Canopy height	Spatial mingling	All	3.8 ± 4.83	0.6(2,16)	0.04	0.79-
Canopy height	Spatial mingling	4 4 11	$9.8 \pm NaN$	NaN(2,0)	1.00	NA 0.70
Canopy height	Spatial mingling	3	-23.1 ± 0.93	619.2(2,1)	1.00	-24.88* NA
Canopy height	Spatial mingling	2	-3.3 ± 13.28	0.1(2,3)	0.02	-0.25-
Canopy height	Spatial mingling	1	6.8 ± 3.82	3.2(2.6)	0.34	1.78-
Canopy height	CoV basal area	All	0.0 ± 0.01	0.9(2,16)	0.06	0.97-
Canopy height	Cov basal area CoV basal area	3 4	-0.0±0.01 -0.0±NaN	NaN(2,0)	$\frac{0.92}{1.00}$	-3.51- NA
Canopy height	Cov basal area CoV basal area	$\frac{2}{3}$	0.0 ± 0.04 -0.0 ± 0.01	1.2(2,3) 12.3(2,1)	0.28 0.92	-3.51-
Canopy height Canopy height	Cov basal area CoV basal area	$\frac{1}{2}$	0.0 ± 0.01 0.0 ± 0.04	1.1(2.6)	0.16 0.28	-1.07- 1.08-
	CoV basal area		-0.0 ± 0.01		0.16	-1.07-
Layer div.	Richness	All	1.1 ± 0.22	24.3(2,184)	0.03	4.93***
Layer div.	Richness	$\frac{3}{4}$	0.6 ± 0.84	0.5(2,23) 0.5(2,13)	0.23	0.68-
Layer div. Layer div.	Richness	$\frac{2}{3}$	3.8 ± 1.28	8.8(2,23)	0.09 0.28	2.97**
Layer div. Layer div.	Richness Richness	$\frac{1}{2}$	$1.0\pm0.28 \\ 0.7\pm0.36$	$12.5(2,102) \\ 3.8(2,40)$	$0.11 \\ 0.09$	3.54 1.94-
						3.54***
Layer div.	Hegyi crowding	All	2.7 ± 0.39	46.8(2,184)	0.20	6.84***
Layer div.	Hegyi crowding	$\frac{3}{4}$	1.1 ± 0.85	1.8(2,13)	0.13	1.33-
Layer div. Layer div.	Hegyi crowding	$\frac{2}{3}$	1.9 ± 1.00	3.6(2,23)	0.13	1.89-
Layer div. Layer div.	Hegyi crowding Hegyi crowding	$\frac{1}{2}$	2.7 ± 0.49 2.0 ± 0.75	$29.1(2,102) \\ 7.1(2,40)$	$0.22 \\ 0.15$	2.66*
						5.39***
Layer div.	CoV basal area	All	0.0 ± 0.01	17.6(2,167)	0.10	4.20***
Layer div.	CoV basal area	4	0.0 ± 0.02 0.0 ± 0.03	0.5(2,12)	0.03	0.67-
Layer div.	CoV basal area	$\frac{2}{3}$	0.0 ± 0.01 0.0 ± 0.02	1.3(2,14)	0.17	2.0 3 1.15-
Layer div. Layer div.	Cov basal area CoV basal area	$\frac{1}{2}$	$0.0\pm0.01 \\ 0.0\pm0.01$	$7.1(2,97) \\ 8.0(2,38)$	$0.07 \\ 0.17$	2.83**
Lawan din	CoV basal area	1	0.010.01	7 1(2 07)	0.07	2.66**

Richness All 0.1±0.03 3.5(2,16) 0.18 1.85		Richness	4	$-0.0\pm\mathrm{NaN}$	NaN(2,0)	1.00	NA
Winkelmass 2		Richness	All	0.1 ± 0.03	3.5(2,16)	0.18	1.88-
Winkelmass 3					,		
Winkelmass					,		
Winkelmass All 0.2±12.91 0.0(2,16) 0.00 0.02					` ' '		
Canopy cover					` ' /		
Canopy cover CoV basal area 2 0.0±0.00 0.8(2,3) 0.21 0.88- Canopy cover CoV basal area 3 0.0±0.00 0.1(2,1) 0.09 0.31- Canopy cover CoV basal area All 0.0±0.00 2.4(2,20) 0.11 1.56- Canopy cover Spatial mingling 1 -0.1±0.46 0.0(2,10) 0.00 -0.20- Canopy cover Spatial mingling 2 -0.6±1.08 0.4(2,3) 0.11 -0.60- Canopy cover Spatial mingling 3 -0.1±3.78 0.0(2,1) 0.00 -0.02- Canopy cover Spatial mingling 4 6.2±NaN NaN(2,0) 1.00 NA Canopy cover Richness 1 0.0±0.05 0.0(2,20) 0.00 0.08- Canopy cover Richness 1 0.0±0.02 0.2(2,10) 0.02 0.00 0.08- Canopy cover Richness 1 0.0±0.00 18.1(2,3) 0.86 4.25* Canopy cover Ri		Winkelmass	All	0.2 ± 12.91	0.0(2,16)	0.00	0.02-
Canopy cover CoV basal area 3 0.0±0.00 0.1(2,1) 0.09 0.31- Canopy cover CoV basal area 4 -0.0±NaN NaN(2,0) 1.00 NA Canopy cover CoV basal area All 0.0±0.00 2.4(2,20) 0.11 1.56- Canopy cover Spatial mingling 1 -0.1±0.46 0.0(2,10) 0.00 -0.20- Canopy cover Spatial mingling 3 -0.1±3.78 0.0(2,10) 0.00 -0.02- Canopy cover Spatial mingling 4 6.2±NaN NaN(2,0) 1.00 0.02- Canopy cover Spatial mingling All 0.0±0.35 0.0(2,20) 0.00 0.08- Canopy cover Richness 1 0.0±0.02 0.2(2,10) 0.00 0.08- Canopy cover Richness 1 0.0±0.02 0.2(2,10) 0.02 0.40- Canopy cover Richness 1 0.0±0.00 18.1(2,3) 0.86 4.25* Canopy cover Richness	= -						
Canopy cover CoV basal area 4 -0.0±NaN NaN(2,0) 1.00 NA Canopy cover CoV basal area All 0.0±0.00 2.4(2,20) 0.11 1.56- Canopy cover Spatial mingling 1 -0.1±0.46 0.0(2,10) 0.00 -0.20- Canopy cover Spatial mingling 2 -0.6±1.08 0.4(2,3) 0.11 -0.60- Canopy cover Spatial mingling 3 -0.1±3.78 0.0(2,1) 0.00 -0.02- Canopy cover Spatial mingling 4 6.2±NaN NaN(2,0) 1.00 NA Canopy cover Richness 1 0.0±0.03 0.0(2,20) 0.00 0.05 Canopy cover Richness 2 0.0±0.00 18.1(2,3) 0.86 4.25* Canopy cover Richness 3 0.1±0.02 28.0(2,1) 0.97 5.29- Canopy cover Richness 4 -0.1±NaN NaN(2,0) 1.00 NA Canopy cover Winkelmass 1	2 0				,		
Canopy cover CoV basal area All 0.0±0.00 2.4(2,20) 0.11 1.56- Canopy cover Spatial mingling 1 -0.1±0.46 0.0(2,10) 0.00 -0.20- Canopy cover Spatial mingling 2 -0.6±1.08 0.4(2,3) 0.11 -0.60- Canopy cover Spatial mingling 3 -0.1±3.78 0.0(2,1) 0.00 -0.02- Canopy cover Spatial mingling 4 6.2±NaN NaN(2,0) 1.00 NA Canopy cover Richness 1 0.0±0.03 0.0(2,20) 0.00 0.08- Canopy cover Richness 1 0.0±0.02 0.2(2,10) 0.02 0.40- Canopy cover Richness 2 0.0±0.00 18.1(2,3) 0.86 4.25* Canopy cover Richness 3 0.1±0.02 0.2(2,10) 0.02 0.40- Canopy cover Richness 4 -0.1±NaN NaN(2,0) 1.00 NA Canopy cover Winkelmass 1	2 0				,		
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Canopy cover Richness 3 0.1±0.02 28.0(2,1) 0.97 5.29- Canopy cover Richness 4 -0.1±NaN NaN(2,0) 1.00 NA Canopy cover Richness All 0.0±0.00 11.0(2,20) 0.35 3.32** Canopy cover Winkelmass 1 -3.6±2.10 3.0(2,10) 0.23 -1.72- Canopy cover Winkelmass 2 -11.4±3.20 12.7(2,3) 0.81 -3.56* Canopy cover Winkelmass 3 -4.1±0.57 53.4(2,1) 0.98 -7.30- Canopy cover Winkelmass 4 27.0±NaN NaN(2,0) 1.00 NA Rugosity CoV basal area 1 -0.1±0.61	= -				,		
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Canopy cover Winkelmass 3 -4.1±0.57 53.4(2,1) 0.98 -7.30-7.30-7.30-7.30-7.30-7.30-7.30-7.30					` ' '		
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$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Canopy cover	Winkelmass	All	-4.0 ± 1.62	6.0(2,20)	0.23	-2.45*
Rugosity CoV basal area 3 8.7±5.35 2.6(2,1) 0.73 1.62- Rugosity CoV basal area 4 0.0±NaN NaN(2,0) 1.00 NA Rugosity CoV basal area All -1.0±0.53 3.7(2,16) 0.19 -1.92- Rugosity Spatial mingling 1 -590.6±361.27 2.7(2,6) 0.31 -1.63- Rugosity Spatial mingling 2 849.7±520.25 2.7(2,3) 0.47 1.63- Rugosity Spatial mingling 3 7239.0±1727.67 17.6(2,1) 0.95 4.19- Rugosity Spatial mingling 4 -6.8±NaN NaN(2,0) 1.00 NA Rugosity Spatial mingling 4 -6.8±NaN NaN(2,0) 1.00 NA Rugosity Richness 1 -26.2±7.46 12.3(2,6) 0.67 -3.51* Rugosity Richness 2 -6.9±4.45 2.4(2,3) 0.45 -1.56- Rugosity Richness 3 -14.6±					,		
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Rugosity CoV basal area All -1.0±0.53 3.7(2,16) 0.19 -1.92- Rugosity Spatial mingling 1 -590.6±361.27 2.7(2,6) 0.31 -1.63- Rugosity Spatial mingling 2 849.7±520.25 2.7(2,3) 0.47 1.63- Rugosity Spatial mingling 3 7239.0±1727.67 17.6(2,1) 0.95 4.19- Rugosity Spatial mingling 4 -6.8±NaN NaN(2,0) 1.00 NA Rugosity Spatial mingling 4 -6.8±NaN NaN(2,0) 1.00 NA Rugosity Richness 1 -26.2±7.46 12.3(2,6) 0.67 -3.51* Rugosity Richness 2 -6.9±4.45 2.4(2,3) 0.45 -1.56- Rugosity Richness 3 -14.6±215.61 0.0(2,1) 0.00 -0.07- Rugosity Richness 4 0.1±NaN NaN(2,0) 1.00 NA Rugosity Winkelmass 1 -2639.6±152	9 0				* ' '		
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$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Rugosity	CoV basal area	All	-1.0±0.53	3.7(2,16)	0.19	-1.92-
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Rugosity Richness 1 -26.2 ± 7.46 $12.3(2,6)$ 0.67 -3.51^* Rugosity Richness 2 -6.9 ± 4.45 $2.4(2,3)$ 0.45 -1.56 - Rugosity Richness 3 -14.6 ± 215.61 $0.0(2,1)$ 0.00 -0.07 - Rugosity Richness 4 $0.1\pm NaN$ $NaN(2,0)$ 1.00 NA Rugosity Richness All -6.7 ± 4.25 $2.5(2,16)$ 0.13 -1.58 - Rugosity Winkelmass 1 -2639.6 ± 1527.18 $3.0(2,6)$ 0.33 -1.73 - Rugosity Winkelmass 2 6793.4 ± 2360.69 $8.3(2,3)$ 0.73 2.88 - Rugosity Winkelmass 3 969.9 ± 8178.88 $0.0(2,1)$ 0.01 0.12 - Rugosity Winkelmass 4 $-29.4\pm NaN$ $NaN(2,0)$ 1.00 NA					, ,		
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Rugosity	Spatial mingling	All	127.8 ± 384.59	0.1(2,16)	0.01	0.33-
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RugosityRichnessAll -6.7 ± 4.25 $2.5(2,16)$ 0.13 -1.58 -RugosityWinkelmass1 -2639.6 ± 1527.18 $3.0(2,6)$ 0.33 -1.73 -RugosityWinkelmass2 6793.4 ± 2360.69 $8.3(2,3)$ 0.73 2.88 -RugosityWinkelmass3 969.9 ± 8178.88 $0.0(2,1)$ 0.01 0.12 -RugosityWinkelmass4 $-29.4\pm NaN$ $NaN(2,0)$ 1.00 NA					,		
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$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Rugosity	Richness	All	-6.7 ± 4.25	2.5(2,16)	0.13	-1.58-
Rugosity Winkelmass 3 969.9 ± 8178.88 $0.0(2,1)$ 0.01 0.12 -Rugosity Winkelmass 4 $-29.4\pm NaN$ $NaN(2,0)$ 1.00 NA					,		
Rugosity Winkelmass 4 $-29.4\pm \text{NaN}$ $\text{NaN}(2,0)$ 1.00 NA					,		
					,		
Rugosity Winkelmass All -1377.6 ± 1844.00 $0.6(2,16)$ 0.03 -0.75					* ' '		
	Rugosity	Winkelmass	All	-1377.6 ± 1844.00	0.6(2,16)	0.03	-0.75-