

BIODIVERSITY - ECOSYSTEM FUNCTION RELATIONSHIPS IN SOUTHERN AFRICAN WOODLANDS



THE UNIVERSITY
of EDINBURGH

John L. Godlee

Thesis submitted for the degree of Doctor of Philosophy
School of GeoSciences
The University of Edinburgh
25th July 2021

Abstract

A broad corpus of previous research has sought to understand the role of biodiversity as a driver of ecosystem structure and function. Although theory suggests that increased biodiversity should increase ecosystem function by niche separation among co-existing species, in natural systems wide variation in the biodiversity effect exists among vegetation types and along environmental gradients. In southern African woodlands and savannas, which experience disturbance by fire and herbivory, drought and extreme temperatures, it is unclear whether positive biodiversity effects should occur. In this thesis, I explore the ecology of southern African woodlands through the lens of the biodiversity-ecosystem function relationship, to improve our understanding of the role of tree diversity as a mediator of ecosystem function, its interactions with abiotic environment, and its effect on woodland structure.

In temperate and wet tropical forests, where the majority of biodiversity-ecosystem function studies in natural woody vegetation have been conducted, the positive effect of niche complementarity hinges on the condition that conspecific competition is the limiting factor to ecosystem function. In highly disturbed and environmentally stressed systems however, this may not hold true. I conducted a regional study investigating the role of tree species diversity and structural diversity as mediators of woody biomass, using a plot network of 1235 plots spanning wide climatic and biogeographic gradients across southern Africa. Using Structural Equation Modelling, I determined that tree species diversity has a positive effect on biomass, operating mostly via its effect on structural diversity. I found that biodiversity itself increases with water availability, and that positive biodiversity effects only arise under sufficiently high stem density.

Studies of biodiversity-ecosystem function effects typically use species diversity as their chosen biodiversity measure, but this does little to help us understand the ecological mechanisms which produce positive biodiversity effects.

To further understand these mechanisms, I explored the effects of tree species diversity and woodland demographic structure on patterns of land-surface phenology. I combined a dense plot-based tree census dataset across multiple deciduous Zambian woodland types with remotely sensed measures of green-ness, to understand drivers of variation in pre-rain green-up, growing season length and productivity. I found that pre-rain green-up increased with species richness across all woodland types, while in non-miombo woodlands, species richness also increased post-rain senescence lag and season length. I also found that large size trees increase the degree of both pre-rain green-up and post-rain senescence lag, across vegetation types, with an effect size similar to that of species richness.

Southern African woodlands occur as a complex mosaic of open grassy patches and closed canopy forest-like patches, driven by positive feedbacks of fire-induced tree mortality and grass growth, but the biotic mechanisms causing variation in canopy closure are unclear. I used terrestrial LiDAR at two sites, in Tanzania and Angola, to understand at fine a spatial scale the effects of species composition and diversity on canopy architecture and canopy cover. Species diversity was found to increase with spatial clumping of trees, which drove vertical canopy layer diversity and canopy height, demonstrating an indirect role of species diversity on canopy cover via structural diversity. Taken together with the regional study of the biodiversity-ecosystem function relationship, these findings suggest a nuanced role of tree species diversity on ecosystem function, operating primarily via its effect on canopy structural diversity in southern African woodlands. I propose that higher diversity communities are more likely to produce forest-like closed canopy woodlands.

Finally, in an effort to increase our understanding of the variation in diversity and structure of woodlands across southern Africa, I conducted a study of tree species biodiversity and woodland structure in Bicuar National Park, southwest Angola, with comparison to other woodlands around the miombo ecoregion. Much of the published plot data and woodland monitoring infrastructure in miombo woodlands is located in central and eastern regions of southern Africa, while woodlands in the west of the region, which occur entirely within Angola, remain poorly represented. I found that Bicuar National Park constitutes an important woodland refuge at the transition between dry miombo woodland and *Baikiaea-Baphia* woodlands. I recorded 27 tree species not recorded elsewhere in the miombo ecoregion outside the Huíla plateau. An additional study of one-off

plots in areas previously disturbed by shifting cultivation, found that this disturbance increases tree species diversity, but ultimately reduces woody biomass, even after a period of regeneration, potentially representing a directional shift to a different stable vegetation type.

Together, the findings of this thesis demonstrate multiple relationships among tree biodiversity, ecosystem structure, and ecosystem function, measured primarily through woody biomass and productivity, at multiple spatial scales. I conclude that incorporation of diversity and canopy structural information into earth system models, by scaling up plot data using cutting edge remotely sensed datasets, could greatly improve our predictions of how climate change and biodiversity change will impact the functioning of different vegetation types across southern Africa, with consequences for carbon cycle modelling, conservation management, and ecosystem service provision.

Lay summary

Author Declaration

I declare that this thesis has been composed by myself and that the work has not been submitted, either in whole or in part, for any other degree or professional qualification. Except where otherwise acknowledged, the work presented is entirely my own.

John L. Godlee
25th July 2021

Acknowledgements

Firstly I would like to thank my two main supervisors, Kyle Dexter and Casey Ryan, for guiding me through my PhD and along the road to becoming a real academic. My PhD experience has been enriched by the many opportunities they sent my way, and by the freedom I was given to pursue my own interests throughout the project. With their guidance I have learned that research is about collaboration, creativity, and exploration.

For support during fieldwork I thank Francisco Maiato Gonçalves, who looked after me during my two visits to Angola, and who made me feel at home with his family and friends. I also thank José Tchamba, Abel Cahali, Fortunato da Silva, and Henriques Jacinto for their support and friendship during our most difficult field campaign. For braving the bees, snakes, and rain, while measuring many thousands of trees in Bicuar National Park. I also thank the rangers and support staff at Bicuar National Park for guiding us and always providing delicious food at the end of the day.

For support during my fieldwork in Kilwa District, Tanzania I thank all those who helped me from Mpingo Conservation & Development Initiative in Kilwa Masoko. In particular, I extend my deepest gratitude to Paula Whyte who helped me navigate the impenetrable Tanzanian bureaucracy, and for being a friend during the long days at the Slow Leopard as I waited for things to happen. For help during the fieldwork itself I thank Emanuel Mlimitho for his impressive work ethic and attention to detail, and to Abdala Mpotola, village chairman of Nainokwe, for his help with identifying tree species and digging us out of many situations on the road.

Thank you to the NERC Geophysical Equipment Facility for their help with the terrestrial LiDAR, and for trusting me with such a delicate and expensive piece of equipment. Thank you also to Steven Hancock who provided so much help in analysing the LiDAR data, without which I could not have attempted

such a huge task.

This PhD was funded primarily by the NERC E3 Doctoral Training Partnership. Thank you to the Davis Expedition Fund which provided funding for the Tanzania portion of the fieldwork, and to the Centenary Agroforestry 89 Fund for the Angola part of the fieldwork. Thank you to National Geographic who provided funding for the creation of the Bicuar National Park permanent plots, which will hopefully benefit many other researchers in years to come, and without which a large portion of this thesis would not have come to fruition.

Finally, thank you to Rosie for your unwavering support, for talking me through re-wiring a generator from 5000 miles away, for listening with apparent interest to many hours of chatter about LiDAR and canopy architecture, and for being my partner as we both navigate our way through our PhD programmes. During the final months of writing up you kept me healthy and positive. Now it is my turn to do the same for you.

Table of Contents

Abstract	iii
Lay Summary	v
Author Declaration	vii
Acknowledgements	x
Table of Contents	xiv
List of Figures	xxvi
List of Tables	xxviii
List of Abbreviations	xxix
1 Introduction	1
1.1 Overview	1
1.2 Thesis structure	2
1.2.1 Chapter 2: Background: The ecology of structure and function in southern African woodlands	5
1.2.2 Chapter 3: A regional assessment of the biodiversity-ecosystem function relationship in southern African woodlands	5
1.2.3 Chapter 4: Tree diversity and compositional effects on land-surface phenology in deciduous Zambian woodlands	5
1.2.4 Chapter 5: Canopy structural complexity as a mechanism for biodiversity effects on productivity	6
1.2.5 Chapter 6: Bicuar National Park: a woodland refugia at the extreme western extent of the miombo eco-region	6

1.2.6	Chapter 7: Synthesis: Biodiversity and ecosystem function in southern African woodlands	7
1.2.7	Chapter 8: Data legacy	7
1.3	Data sources and research sites	7
1.3.1	Existing datasets	8
1.3.2	New datasets	12
	References	19
2	Background: The ecology, biodiversity, structure, and function of southern African woodlands	21
2.1	The ecology of savannas	22
2.1.1	Determinants of savanna vegetation	23
2.1.2	Adaptations of savanna trees	25
2.1.3	The global carbon cycle and change in savannas	29
2.2	Southern African woodlands	30
2.3	Biodiversity and ecosystem function theory	32
2.3.1	Niche complementarity, selection effects, and facilitation .	34
2.3.2	Global distribution of biodiversity-ecosystem function re- search	37
2.3.3	Should we expect biodiversity effects on ecosystem function in southern African woodlands?	39
2.3.4	Demographic structure, structural diversity and ecosystem function	43
2.4	Conclusions	43
	References	45
3	Structural diversity and tree density drives variation in the biodiversity- ecosystem function relationship of woodlands and savannas	47
3.1	Introduction	48
3.2	Materials and Methods	51
3.2.1	Study location	51
3.2.2	Data collection	52
3.2.3	Data analysis	54
3.3	Results	57
3.3.1	Structural and species diversity models	58
3.3.2	Variation among vegetation types	58

3.3.3	Moderation of Diversity-AGB relationship by stem density	59
3.3.4	Environmental covariates and tree diversity	59
3.4	Discussion	60
3.4.1	Inter-related effects of tree species and structural diversity on AGB	60
3.4.2	Organismal density and disturbance	62
3.4.3	Effects of water availability and soil fertility	63
3.4.4	Vegetation type responses	64
3.5	Conclusions	66
3.6	Tables	68
3.7	Figures	70
	References	77
4	Diversity mediates land-surface phenology in Zambian deciduous woodlands	83
4.1	Introduction	84
4.2	Materials and methods	86
4.2.1	Plot data	86
4.2.2	Plot data analysis	89
4.2.3	Land-surface phenology data	90
4.3	Results	93
4.4	Discussion	98
4.5	Conclusion	102
	References	103
5	TLS chapter	105
6	Diversity and Structure of an Arid Woodland in Southwest An- gola, with Comparison to the Wider Miombo Ecoregion	107
6.1	Introduction	2
6.2	Materials and Methods	5
6.2.1	Study area	5
6.2.2	Plot data collection	7
6.2.3	Climatic data	9
6.2.4	Data analysis	10
6.3	Results	11

6.3.1	Alpha diversity	11
6.3.2	Beta diversity	15
6.3.3	Woodland structure	17
6.3.4	Effect of disturbance via shifting cultivation on diversity within Bicuar National Park	18
6.4	Discussion	23
6.4.1	Comparison of Bicuar National Park with other woodlands across the miombo ecoregion	23
6.4.2	Delineation of woodland types within Bicuar National Park	23
6.4.3	Comparison of disturbed and undisturbed woodland plots	24
	References	27
7	Discussion	29
8	Data legacy	31
8.1	Introduction	31
8.2	Permanent plots in Bicuar National Park	31
8.2.1	Bicuar National Park as a ‘Supersite’	36
8.3	Terrestrial LiDAR	38
8.3.1	Tree taper modelling from Terrestrial LiDAR	39
8.3.2	Biomass of large trees: testing scaling theory for estimating biomass	41
8.3.3	Effects of canopy structure on understorey light environ- ment and grass biomass	47
	References	50

List of Figures

1.4 SEOSAW plots in various bivariate environmental spaces. Blue circles are permanent plots, where individual stems can be matched among censuses. The permanent plots in Bicuar National Park constructed as part of this thesis are shown as red points. Black crosses show one-off plots. The background of each plot is shaded according to the density of pixels in the SEOSAW working region, as described in Figure 8.2, from blue (low) to yellow (high). From left to right: 1) Climate space, using Mean Annual Temperature (MAT) and Mean Annual Precipitation (MAP), both extracted from the WorldClim dataset gridded at 30" (arc seconds, ~900 m at the equator) (Fick2017). 2) ‘Disturbance space’, using herbivore biomass from Hempson2017 and fire frequency between 2001 and 2018 from the MODIS burned area product (MCD64A1) (MCD64A1). 3) ‘Human influence space’, using human population density taken from the WorldPop dataset (Linard2012), and travel time to nearest city taken from the Malaria Atlas Project (Meijer2018). Note that both axes for the human influence plot are log transformed.	10
1.5 Data from the SASSCAL weather station located at the main station near the centre of Bicuar National Park. Data are daily aggregates, collected between March 2015 and June 2019.	14
1.6 The principal vegetation formations seen in Bicuar National Park, southwest Angola. a) Julbernardia-Brachystegia miombo woodland, b) Burkea-Pseudolachnostylis miombo woodland, c) Baikiae-Baphia woodland, and d) open grassy wetland (“mulola”).	16
1.7 Land cover of Bicuar National Park, reclassified from the ESA CCI land cover map (v2.0.7) (ESACCI). This map highlights the clear deforestation north of the Park, with much land transformed to cropland and grassy pasture. The map also shows the discrepancy between the official park boundary as taken from the World Database on Protected Areas, and the park boundary fence, which is easily seen as a boundary running east-west at approximately S15.0°, E14.8°, with areas of agricultural encroachment beyond the Park boundary fence particularly to northeast of the Park, near the town of Folgares, situated along the Kunene River.	17

2.1	The global distribution of tropical (yellow) and extra-tropical (pale brown) savanna ecosystems, re-classified from the Terrestrial Ecoregions of the World (Dinerstein2017). Dashed lines mark the latitudinal extent of the tropics from N23.5° to S23.5°.	23
2.2	The relationship between rainfall (Mean Annual Precipitation) and proportional tree cover, across 854 savanna sites in Africa, adapted from Sankaran2005 . The line of best fit uses a broken-stick 99th quantile piece-wise linear regression to identify the breakpoint at which rainfall no longer sets the upper limit for tree cover. Above the breakpoint (650 ± 134 mm MAP) other processes such as disturbance by fire and local edaphic limitations are thought to determine tree cover.	26
2.3	The positive feedback mechanisms which determine the alternative stable states of mesic tropical savannas. Left: increased fire increases tree mortality, which decreases canopy cover, increasing available light for grass growth, leading to more fire and a further reduction in canopy cover. Right: decreased fire decreases tree mortality, which increases canopy cover, reducing available light to the grassy understorey, causing a reduction in grass fuel load, fewer fires and a further increase in canopy cover. Bottom: stabilising feedback loops can be disrupted given a large enough perturbation, causing a switch to another stable state. Some of these perturbations have different outcomes depending on the principal limitation of woody cover. In arid savannas, increased rainfall leads to an increase in woody cover, as more water percolates to deeper tree tap roots, while in a mesic savanna where water is not limiting, increased rainfall may lead to an increase in grass growth and therefore an increase in fire, which reduces woody cover.	27
2.4	The distribution of key savanna vegetation types within southern Africa. Left: physiognomic classification adapted from White1983 . Right: floristic classification of selected savanna-woodlands adapted from Dinerstein2017 , Terrestrial Ecoregions of the World.	33

- 2.5 Schematic diagrams illustrating various inferences made on the Biodiversity - Ecosystem Function Relationship by previous studies. a) The classic BEF relationship found by many small scale experiments (**Cardinale2009**). b) As more functions are considered simultaneously the minimum species richness needed to maintain overall ecosystem functionality increases, also showing how the proportion of functionally redundant species increases as less functions are considered (i.e. the curve reaches asymptote at a lower species richness) (**Hector2007**). c) The saturating relationship of the number of ecosystem functions considered and the number of species influencing ecosystem multifunctionality (**Hector2007**). d) As studies progress through time the strength of the BEF relationship increases, the rate of increase in ecosystem function increases as species richness (S) grows (**Cardinale2007**). e) As studies progress through time the shape of the relationship becomes more linear, saturating at progressively higher species richnesses. Studies averaged over longer periods exhibit a greater loss in ecosystem function in response to an equivalent species richness reduction (**Reich2012**). f) When functional richness is used in place of species richness, the relationship reaches asymptote at a higher richness. Additionally the relationship becomes more concave as a power coefficient representing the strength and number of species interactions increases. $FR^{>1}$ (interspecific competition > intraspecific competition (unstable)) results in a convex relationship, while $FR^{<1}$ results in a concave relationship (**Mora2014**). 35

2.6 Schematic diagrams demonstrating niche occupation and secondary controls on the mechanism of niche complementarity. Each density plot shows a number of species, each represented by the species functional contribution (productivity) under different environmental conditions (niche space) within the larger environmental niche volume (dashed line). a) shows how the degree of overlap in functional niche of two species affects the total utilisation of the environmental niche volume (area under all species curves). When species are functionally distinct (left), more of the environmental niche volume is utilised. Removal of a species in this case would result in a large reduction in ecosystem productivity, while on the right, where functional redundancy is high, removal of a single species would have negligible effects. b) shows the effect of adding a functionally distinct species to an ecosystem. c) shows the effect of niche breadth on niche volume utilisation. On the left, three generalist species overlap in their functional niche. While each species has relatively incomplete utilisation of the environmental niche volume, this is offset as each species may occupy a wide range of environmental conditions. If the red species was removed, there would be only a marginal reduction in ecosystem productivity. On the right, three specialist species have a narrower niche breadth but a more complete utilisation of the environmental niche under ideal conditions. If a species was removed from this ecosystem, there would be a much greater reduction in ecosystem productivity.	38
2.7 Location of studies of the biodiversity - ecosystem function relationship included in three meta-analyses of BEF research: blue Clarke2017 , green Liang2016 , red Duffy2017 - 67 field studies.	39
3.1 The locations of the 1235 plots used in this study, with respect to the distribution of mesic savanna vegetation according to White1987 . Each panel shows plots categorized by their vegetation type as defined by the vegetation types in Table 4.1.	70

3.2 Conceptual Directed Acyclic Graph (DAG) showing the theoretical relationships between environmental factors, tree species diversity, tree structural diversity, stem density, and AGB (Above-Ground Woody Biomass). Hypothesised paths of causation are depicted as arrows from predictor to response. Open arrow heads track the direction of each arrow along its path.	71
3.3 Correlation matrix of standardised observed variables used in the SEMs (Structural Equation Models), with Pearson correlation coefficients (r) coloured according to sign (+ve red, -ve blue) and shaded by strength of correlation. Correlation coefficients marked by a circle indicate that the 95% confidence interval of r overlapped zero. Colours of variable names group them into latent variables used in the SEMs: red = soil fertility, blue = disturbance, turquoise = water availability, green = tree species diversity, purple = tree structural diversity. See Table S1 for a full assessment of correlation fit statistics.	72
3.4 Path diagram with regression coefficients for the tree diversity SEM (Structural Equation Model), including plots from all vegetation clusters. Latent variables are shown as circles while observed variables are shown as rectangles. Standardised path coefficients are shown as solid arrows pointing from predictor to response with the effect size of the path coefficient expressed in terms of standard deviations on the latent variable response scale. The observed variables that inform the latent variables are connected by dotted arrows, and observed variables with loadings set to one are connected by dashed arrows. Measurement errors of exogenous variables are omitted for clarity.	73
3.5 Unstandardised path coefficients for the effects of tree diversity on AGB (Above-Ground Woody Biomass), mediated by the effect of stand structural diversity. Path coefficients are ± 1 standard error. Path coefficients where the interval (standard error) does not overlap zero are considered to be significant effects.	74

4.1 Distribution of study sites, within Zambia (left), and in climate space (right). Sites are shown as triangles, each consisting of four plots, coloured according to vegetation type cluster. Zambia is shaded according to growing season length, estimated by the MODIS VIPPHEN-EVI2 product, at 0.05° spatial resolution (VIPPHEN). The growing season length layer is masked by the MODIS MCD12Q1 land cover map from 2015 (MCD12Q1), using the International Geosphere-Biosphere Programme (IGBP) classification to remove all pixels occurring in wetlands, croplands, water bodies, and urban areas. Climate space is represented by Mean Annual Temperature (MAT) and Mean Annual Precipitation (MAP), extracted from the WorldClim dataset at 30 arc second resolution, between 1970 and 2000 (Fick2017). The shaded area in the right panel shows the climate space of Zambia, showing the density of pixels for given values of MAT and MAP. The ellipses in the right panel show the 95% confidence interval for the climate space of each site.	87
4.2 Schematic diagram of plot layout within a site. Each 20x50 m (0.1 ha) plot is shaded grey. Note that the plot dimensions are not to scale.	88
4.3 Example EVI time series, demonstrating the metrics derived from it. Thin black lines show the raw EVI time series, with one line for each annual growing season. The thick cyan line shows the GAM fit. The blue vertical lines show the minima which bound the growing season. The green vertical lines show the end of the green-up period and start of the senescence period, respectively. The red vertical line shows the maximum EVI value reached within the growing season. The shaded cyan area of the GAM fit shows the growing season, as defined by the first derivative of the GAM curve. The two orange dashed lines are linear regressions predicting the green-up rate and senescence rate at the start and end of the growing season, respectively. Note that while the raw EVI time series fluctuate greatly around the middle of the growing season, mostly due to cloud cover, the GAM fit effectively smooths this variation to estimate the average EVI over the 10 years of data. . .	92

4.4	GAM models of EVI over the growing season for each vegetation type cluster. Shaded ribbons are 95% confidence intervals.	95
4.5	Standardized slope coefficients for each best model of a phenological metric. Slope estimates are ± 1 standard error. Slope estimates where the interval does not overlap zero are considered to be significant effects and are marked by asterisks.	96
4.6	Marginal effects of tree species diversity on each of the phenological metrics, using the maximal mixed effects model, for each vegetation cluster. Dotted lines represent 95% confidence intervals.	97
4.7	Density distribution of the six phenological metrics used in the study, grouped by vegetation cluster.	98
6.1	Locations of plots used in this study, by (a) geographic location with respect to the distribution of miombo woodland vegetation (shaded brown according to mean annual precipitation) (White1983), and (b) showing the plot locations compared to the climate space of the miombo ecoregion estimated using the WorldClim dataset over the Miombo woodland vegetation extent with a pixel size of 30 arc seconds (0.86 km^2 at the equator) (Fick2017). Note that the density colour scale is log-transformed for visual clarity.	6
6.2	Location of plots in Bicuar National Park, southwest Angola. The Park boundary is shown as a pink outline, according to WDPA2019 . One hectare undisturbed plots are shown as red points, while disturbed 20x50 m (0.1 hectare) plots are shown as blue points. The map background is a true colour composite satellite image generated using the Google Maps Static Maps API in the ggmap R package (ggmap).	9
6.3	Variation of alpha diversity estimates and basal area among sites. Boxes bound the 1st and 3rd quartiles, with the median within the box. Whiskers represent 1.5 times the interquartile range plus or minus the 1st and 3rd quartiles, respectively. Values found beyond the whiskers are shown individually as points. Letter labels above each box refer to groupings from post-hoc Tukey's tests on the ANOVA of each diversity/structure variable. Sites sharing a letter do not differ significantly ($p < 0.05$)	12

6.4 Environmental factors fitted to axes 1 and 2 (a), 3 and 4 (b) of the NMDS ordination of species composition of one hectare plots, showing the variation in plot species composition within and among sites. Diamonds are plot scores coloured by site. The lengths of arrows indicating environmental factor fits to the first two ordination axes are scaled by R^2 . Arrows point in the direction of increasing values of that environmental factor. Note that Climatic Water Deficit (CWD) is expressed in more intuitively as the negative inverse of CWD, thus larger values indicate higher levels of CWD.	16
6.5 Ranked variation between plots in stem number within each site, with bars according to stem diameter class. Error bars are the mean ± 1 standard error. The dashed bar for the DRC 5-10 cm stem diameter class indicates that these measurements were estimated by extrapolating a linear regression of log stem abundance across the available stem diameter classes for DRC.	18
6.6 NMDS ordination of species composition of 20x50 m (0.1 ha) plots showing plot scores as coloured diamonds located in disturbed (blue) and undisturbed (red) areas of woodland in Bicuar National Park.	20
6.7 The variation in diversity and woodland structure between disturbed and undisturbed 20x50 m (0.1 ha) plots in Bicuar National Park. Boxes bound the 1st and 3rd quartiles, with the median within the box. Whiskers represent 1.5 times the interquartile range plus or minus the 1st and 3rd quartiles, respectively. Values found beyond the whiskers are shown individually as points. . . .	21
6.8 Ranked variation between disturbed and undisturbed plots in stem number, with bars according to stem diameter class. Error bars are the mean ± 1 standard error. Asterisks above pairs of bars refer to the p-values of Poisson general linear models which tested whether disturbed and undisturbed plots differ in the number of stems for different stem diameter classes (**<0.001, **<0.01, *<0.05, .<0.1). . . .	22

8.1	An example of the concrete posts installed to a depth of 1 m at the plot corners of all 1 ha plots in Bicuar National Park, to ensure that plot boundaries are not lost and do not drift over repeated censuses.	34
8.2	The spatial distribution of plots in the SEOSAW network. Blue circles are permanent plots, where individual stems are tagged and can be matched among censuses. The permanent plots in Bicuar National Park are shown as red points. The hexagon grid shows the density of one-off plots. The pink shading shows the working region of the SEOSAW network, defined primarily from woodland defined by White1983 and further adapted to bound the north-eastern and southern boundaries.	35
8.3	The distribution of SEOSAW plots in climate space, using Mean Annual Temperature (MAT) and Mean Annual Precipitation (MAP) from the WorldClim database (Fick2017). Blue circles are permanent plots, where individual stems can be matched among censuses. The permanent plots in Bicuar National Park are shown as red points. Black crosses show one-off plots. The background is shaded according to the density of pixels in the SEOSAW working region, described in Figure 8.2.	36
8.4	50 m wide flight-paths of the GEDI L2B satellite LiDAR product (red lines), with the extent of Bicuar National Park (grey polygon), and the locations of the 15 1 ha permanent plots (blue points). Note that in reality each 25 m track consists of a series of 25 m radius circles which contain LiDAR data.	38
8.5	Example ouput of the prototype stem cylinder interpolation method used to estimate stem diameter from an incomplete point cloud. Voxelised points at 2 cm^3 are shown as black points, while the interpolated stem cylinder is shown as a red circle.	41
8.6	The relationship between tree stem diameter and aboveground biomass calculated from destructive harvest from all 872 records held in the GlobAllomeTree database (Henry2013) and all 4004 records reported in Chave2014 . The heteroscedasticity of the relationship between stem diameter and aboveground biomass can be seen, with increased variance in biomass at higher stem diameters.	42

8.7	Two large old trees in Kilwa District, southeast Tanzania, with particularly idiosyncratic trunks. Using conventional stem diameter allometries will likely produce an inaccurate estimate of above-ground biomass. Photos by Ellie Wood.	44
8.8	A severely fluted <i>Brachystegia tamarindoides</i> stem found in Bicuar National Park, Angola. Due to the decomposition of wood in the stem interior, simple stem diameter based biomass allometries will over-estimate the biomass of this stem.	45
8.9	An example of a sonic tomograph image (left) and across section of the same tree (right), annotated to show internal features of the stem: (1) cavity or dead dry wood, (2) sound wood, (4) active decay with dense wood. Taken from Picus2016	46
8.10	The layout of 10 m diameter subplots within each 1 ha square plot. Distances are marked by dotted lines, in metres. Each subplot is situated inside a 15 m buffer from the plot edge, with 35 m between subplot centres. Subplots are arranged in a 3x3 grid. Disc Pasture Measurements (DPM) and biomass samples are located in cardinal directions 2 m from the centre of the subplot. All distances are in metres. Biomass harvests were conducted at one randomly selected DPM sample point per subplot, resulting in nine biomass harvests and 36 DPM samples per 1 ha plot.	49

List of Tables

3.1	Description of the biogeographical clusters to which each plot in the study was assigned. Indicator species were generated using Dufrene-Legendre indicator species analysis (Dufrene1997) implemented with <code>indval()</code> from the <code>labdsv</code> R package (labdsv) and represent species which define the given cluster. Dominant species were identified by choosing the species with the largest mean plot level proportional AGB (Above-Ground woody Biomass) within each cluster. N = number of plots in cluster. Numeric values of species richness, stems ha ⁻¹ and AGB represent medians and interquartile ranges (75th percentile - 25th percentile).	68
3.2	Model fit statistics for Structural Equation Models investigating the effects of tree diversity and stem density on AGB (Figure 3.4). N = number of plots in cluster, χ^2 = Chi-squared fit statistic, DoF = model degrees of freedom, CFI = Comparative Fit Index, TLI = Tucker-Lewis Index, RMSEA = Root Mean Square Error of Approximation, R^2 AGB = R-squared of AGB (Above-Ground Biomass).	69
4.1	Climatic information and Dufrene-Legendre indicator species analysis for the vegetation type clusters identified by the PAM algorithm, based on basal area weighted species abundances. The three species per cluster with the highest indicator values are shown along with other key statistics for each cluster. MAP (Mean Annual Precipitation) and δT (Diurnal temperature range) are reported as the mean and 1 standard deviation in parentheses. Species richness is reported as the median and the interquartile range in parentheses.	88

4.2	Model fit statistics for the best model describing each phenological metric.	96
6.1	Description of each group of plots used in the analysis. MAT = Mean Annual Temperature, MAP = Mean Annual Precipitation, CWD = Climatic Water Deficit, DD = Decimal Degrees.	7
6.2	Species found in one hectare plots in Bicuar National Park. Stem diameter and basal area are the mean of all stems with the standard error of the mean in parentheses. Number of stems per hectare is the mean of the number of stems in all one hectare plots where stems of that species are present with the standard error of the mean in parentheses. Species found only in Bicuar National Park are marked in bold text with an asterisk.	13
6.3	Results of ANOVA tests for alpha diversity metrics and plot basal area, among the four sites. Mean values for each site with standard errors in parentheses are shown. Asterisks indicate the p-value of individual sites in each ANOVA (**<0.001, **<0.01, *<0.05, .<0.1).	14
6.4	Pairwise beta diversity comparison of plot groups measured by the Sørensen coefficient (S_s) of percentage similarity of aggregated plot level data from each of the four sites. Values in parentheses are the number of species unique to each site in each comparison.	17
6.5	Results of ANOVA tests for alpha diversity metrics and plot basal area, between disturbed and undisturbed plots in Bicuar National Park. Mean values for each group of plots with standard errors in parentheses are shown. Asterisks indicate the p-value of individual sites in each ANOVA (**<0.001, **<0.01, *<0.05, .<0.1).	22

List of Abbreviations

BEFR	Biodiversity-Ecosystem Function Relationship
AGB	Above-Ground Biomass
AIC	Akaike Information Criterion
C	Carbon
DBH	Diameter at Breast Height (1.3 m)
DPM	Disc Pasture Meter
TLS	Terrestrial Laser Scanning/Scanner
SEM	Structural Equation Modelling
ISCED	Instituto de Ciências da Educação
NMDS	Non-metric Multi-Dimensional Scaling
LiDAR	Light Detection And Ranging
MAP	Mean Annual Precipitation
DSLR	Digital Single Lens Reflex
ENL	Effective Number of Layers
GPS	Global Positioning System
NDVI	Normalized Difference Vegetation Index
EVI	Enhanced Vegetation Index
PPK	Post-Processed Kinematic
SASSCAL	Southern African Science Centre for Climate Change and Adaptive Land Management
WDPA	World Database on Protected Areas

Chapter 1

Introduction

1.1 Overview

Woodland-savanna mosaics are the dominant vegetation type in southern Africa, covering ~2.275 million km² (**Arino2010**). Currently, these ecosystems represent the largest uncertainty in models of the terrestrial carbon cycle, while being simultaneously identified as the fastest increasing component of the terrestrial carbon sink (Sitch et al., 2015). In the coming century, climate and land use change are likely to cause strong directional shifts in woody carbon storage and other aspects of ecosystem function in southern African woodlands (**Midgley2011**; **Gianneccini2007**; **Scholze2006**), which in turn could feedback to further influence both global climate and local livelihoods (**Jew2016**; **Kalema2015**). While many studies conducted outside of the dry tropics have identified biodiversity of trees as both a driver and mediator of ecosystem productivity and carbon storage (Liang et al., 2016), there is no such consensus on whether this effect exists in disturbance prone woodland-savanna mosaics (Mensah et al., 2020; Shirima et al., 2015; McNicol et al., 2018; Loiola et al., 2015). Understanding the complex relationships between biodiversity, environment, disturbance and ecosystem function in this system is therefore critical to predict ecological change, and is the central focus of this thesis.

In this thesis I address three key research questions, with the aim to improve our understanding of the role of biodiversity in shaping the structure and function of southern African woodlands:

1. *Is there a detectable relationship between biodiversity and ecosystem function across southern African woodlands, and to what extent is this mediated by*

environment and vegetation composition?

While strong effects of tree species diversity on ecosystem function have been found in temperate and wet tropical ecosystems (Liang et al., 2016), empirical evidence for such effects in the dry tropics is inconclusive. In tropical savannas there may be important climatic or structural thresholds below which the importance of biotic competition is superseded by stress tolerance and the role of abiotic environment (Loiola et al., 2015; Mensah et al., 2020).

2. *What are the possible mechanisms driving observed biodiversity-ecosystem function relationships in southern African woodlands?*

A broad corpus of research has found positive relationships between biodiversity and ecosystem function, with niche complementarity emerging as an important driver of this effect (Plas, 2019). However, the underlying ecological mechanisms of biodiversity effects are less well studied (Barry et al., 2019). Understanding the causes of biodiversity effects in southern African woodlands will contribute to a more general theory of the biodiversity-ecosystem function relationship.

3. *How does the tree species diversity, composition and structure of mesic savannas vary across southern Africa?*

There is wide variability in species composition and woodland structure across southern Africa (**White1983**; Solbrig et al., 1996), but much of the work to describe woodland types has been concentrated in the central and eastern parts of the region (**Ryan2020**). Greater evaluation of the biogeographic variation in miombo woodlands is needed to improve predictions of ecological change across the region.

1.2 Thesis structure

This thesis is structured around four core research chapters (Chapters 3-6), each of which are summarised below. The core research chapters are presented in the style of stand-alone papers, as they are either published, in the process of revision, or intended for publication later. As such, there is some overlap among chapters in introductory and methodological material. In addition, I present a synthesis of the literature on southern African woodland ecology as it relates to

current biodiversity-ecosystem function theory (Chapter 2). I also summarise the results arising from this thesis (Chapter 7), and discuss their implications for our understanding of both the ecology of southern African woodlands and biodiversity-ecosystem function research. Finally, I also present a short chapter which provides further detail on the extended legacy of the data collected during this thesis (Chapter 8).

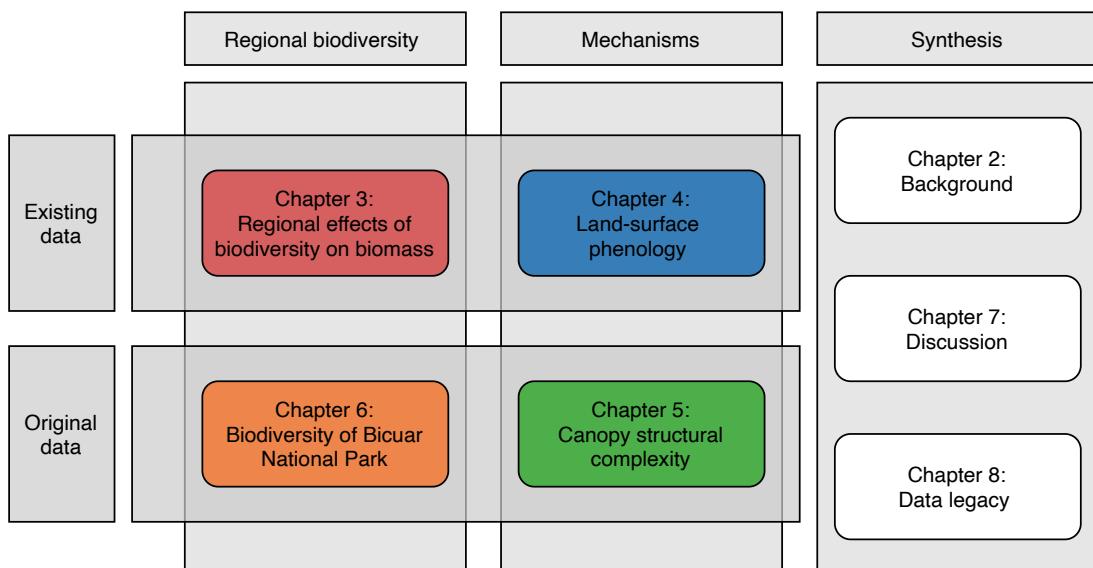


Figure 1.1: The structure of this thesis, showing the thematic focus and data usage in each chapter. Coloured boxes refer to similar colours in Figure 1.2, which shows the spatial scales of each core chapter.

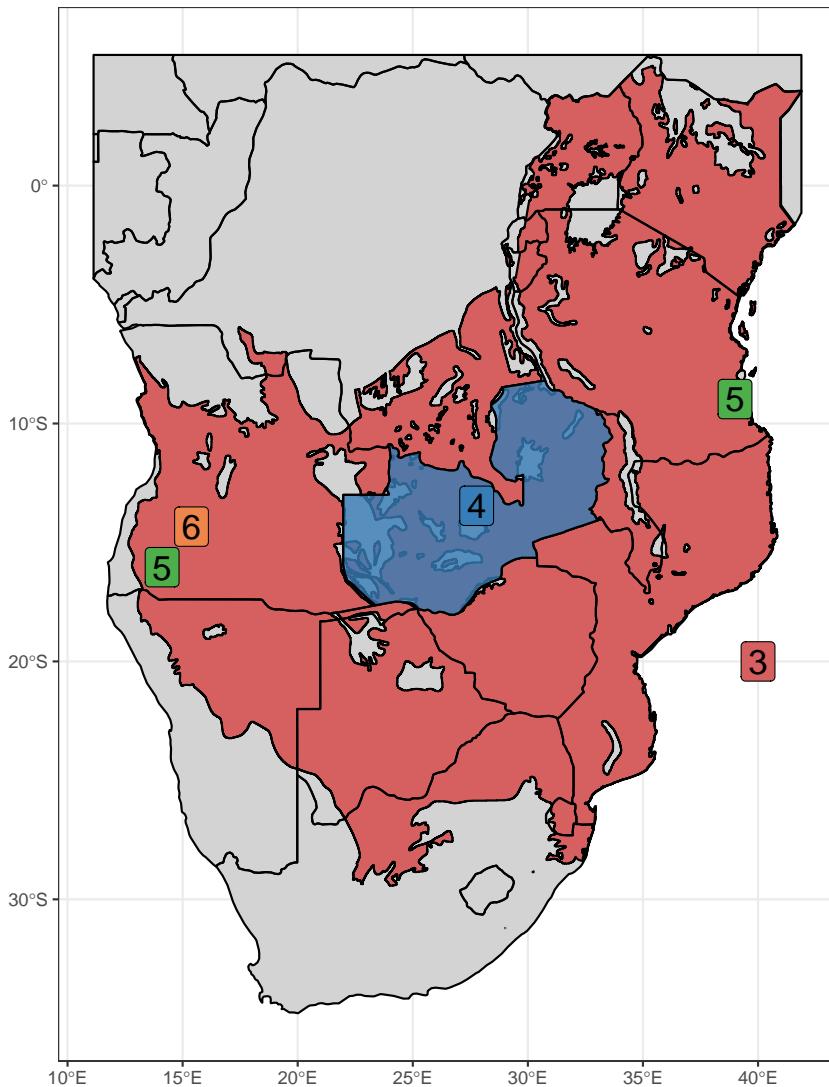


Figure 1.2: The spatial scales of the four core chapters in this thesis. Labels refer to chapter numbers: Chapter 3: The effects of biodiversity on above-ground woody biomass across southern African woodlands. The extent of southern African woodlands is defined by the SEOSAW working region, described in further detail in Figure 8.2. Chapter 4: Effects of tree diversity and composition on land-surface phenology across Zambia. Chapter 5: Biodiversity and canopy structural complexity in Bicuar National Park, Angola (west), and Mtarure Forest Reserve, Tanzania (east). Chapter 6: Woodland composition and structure in Bicuar National Park, Angola.

1.2.1 Chapter 2: Background: The ecology of structure and function in southern African woodlands

In this chapter, I summarise the literature underpinning the thesis, focussing on two key themes: 1) biodiversity-ecosystem function theory, previous studies and latest developments, and 2) the ecology of tropical savannas, their biogeography within southern Africa, and drivers of structure and function.

1.2.2 Chapter 3: A regional assessment of the biodiversity-ecosystem function relationship in southern African woodlands

Here, I explore whether the positive biodiversity effects on ecosystem function observed in wet tropical and temperate forested ecosystems extends to the mesic savannas of southern Africa. The biodiversity-ecosystem function relationship has been observed to various extents in many experimental and natural systems (Tilman et al., 2014; Plas, 2019), but low species richness, disturbance by fire and herbivory, and variation in climate might obscure or negate such a relationship in southern Africa. Using existing plot data from the SEOSAW database (??), I test the interactive effects of: climate, resource availability, disturbance by fire, tree floristic diversity, and woodland structural diversity on woody biomass as a measure of ecosystem function.

1.2.3 Chapter 4: Tree diversity and compositional effects on land-surface phenology in deciduous Zambian woodlands

In this chapter, I test whether species composition and diversity metrics can explain some of the remaining variation in patterns of land-surface phenology in deciduous tropical savannas. The seasonal patterns of foliage growth in deciduous woodlands largely define their gross primary productivity (**Penuelas2009**), a key measure of ecosystem function. The pervasive pre-rain green-up observed in deciduous tropical woodlands across southern Africa (Ryan et al., 2016) has important consequences for carbon cycling and ecosystem structure (**Xia2015**). Climate adequately explains phenological variation across continental spatial scales, but at local scales biotic effects are hypothesised to be more important. I used

plot data from the Zambian Integrated Land Use Assessment, which covers the entirety of the country, paired with remotely-sensed measures of green-ness to specifically test: 1) whether species diversity has an observable effect on pre-rain green-up of woodlands, 2) whether species diversity affects growing season length, and 3) whether models of gross primary productivity in deciduous tropical savannas would benefit from the inclusion of higher resolution species compositional data.

1.2.4 Chapter 5: Canopy structural complexity as a mechanism for biodiversity effects on productivity

Canopy packing and the spatial relations among tree canopies is a hypothesised vector of niche complementarity driving positive biodiversity effects on productivity in wooded ecosystems (**Jucker2015; Oehri2020**). **Frost1996** describes miombo woodland trees as maintaining high functional diversity, with wide variation in life-history strategies and growth forms among coexisting species. In this chapter I conduct the first assessment of tree canopy structure in southern African woodlands, at two sites in southern Africa, to investigate: 1) the effects of neighbourhood tree species diversity on observed canopy structural complexity, 2) the role of disturbance and spatial distribution of tree stems in driving canopy complexity, and 3), the consequences of variation in tree species diversity for canopy closure and woody encroachment.

1.2.5 Chapter 6: Bicuar National Park: a woodland refugia at the extreme western extent of the miombo eco-region

White1983 classified miombo woodlands simply as “dry” or “wet”, but this ignores much of the floristic diversity to be found across the miombo eco-region. Understanding the breadth of woodland formations present across southern Africa not only provides vital information for the Dynamic Global Vegetation Models which form the foundation of models of the global carbon cycle (**Conradi2020**), but also raises awareness of the conservation value of this diverse phyto-geographic region (**Jew2016**). In this chapter, I conduct the first plot-based assessment of the species composition and woodland structure of woodlands in Bicuar National

Park, Huíla Province, southwest Angola. Specifically, I investigate: 1) the floristic composition of Bicuar National Park compared to other miombo woodlands across the miombo eco-region, 2) the multiple vegetation types found within the Park, and 3) the effects of previous shifting cultivation practices on woodland structure and composition at the boundaries of the Park.

1.2.6 Chapter 7: Synthesis: Biodiversity and ecosystem function in southern African woodlands

Here I discuss the key findings of the thesis. I examine: 1) tree biodiversity effects on ecosystem function as they are mediated by environment and ecological context, 2) tree canopies and physical structure of trees in southern African woodlands as both a product and driver of biodiversity, 3) the mechanisms that may drive observed biodiversity effects in tropical savannas, and 4) the potential consequences of climate and land use change on woodland ecology as it applies to biodiversity-ecosystem function theory. I conclude by outlining future research that will extend and clarify the findings of the thesis.

1.2.7 Chapter 8: Data legacy

An important outcome of this thesis is the data collected and the research infrastructure that has been cultivated through collaboration with colleagues based in southern Africa. In this chapter I discuss the extended value of the data collected, the steps taken to ensure the data are accessible to others, and provide some ideas for future projects that could use the data to further contribute to our understanding of the carbon dynamics of southern African woodlands.

1.3 Data sources and research sites

The research presented in this thesis is drawn from three main sources:

1. Existing plot-based data
2. Publicly available geospatial data
3. Original data collected at two research sites within southern Africa

Background on the various datasets used in the thesis and how each was utilised is discussed below:

1.3.1 Existing datasets

SEOSAW

Much of the existing data analysed in this thesis, and the locations of field sites used for additional data collection, come from the SEOSAW plot network. SEOSAW - “a Socio-Ecological Observatory for Southern African Woodlands” (**Ryan2020**), consists of a network of woodland inventory plots across southern Africa (Figure 8.2) and a network of researchers who study the ecology of southern African woodlands. The SEOSAW plot network currently represents the largest plot network in the dry tropics. As of May 2021, it contains 9863 plots, of which 286 are permanent plots where measurement of tree productivity is possible. The plots are of varying size and shape but share a similar methodology in the way woody biomass is estimated, using allometric equations incorporating stem diameter and height measurements with species specific wood density estimates. The plot network spans wide environmental gradients (Figure 1.4) and floristic types, making it a valuable resource for studying regional variation in tree biodiversity and biomass stocks. During this PhD project I contributed to the development of the SEOSAW database, helping to formalise aspects of the data processing chain, and in developing field data collection methods, as part of SavannaChange, a project funded by the Global Challenges Research Fund (GCRF). Chapter 3 of this thesis uses a subset of 1235 plots from across the region to investigate drivers of aboveground biomass as they relate to species composition and other environmental variables.

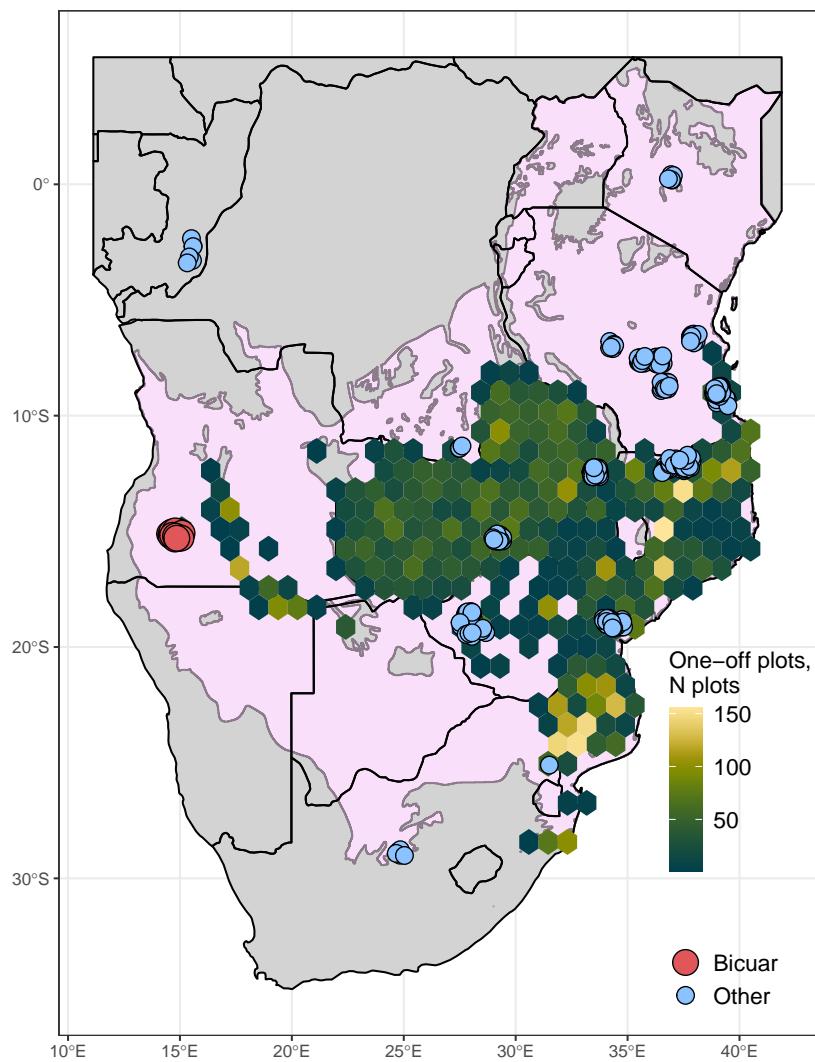


Figure 1.3: The spatial distribution of plots in the SEOSAW network. Blue circles are permanent plots, where individual stems can be matched among censuses. The new permanent plots in Bicuar National Park constructed as part of this thesis are shown as red points. The hexagonal-grid shows the density of one-off plots. The pink shading shows the working region of the SEOSAW network, defined primarily from woodland defined by **White1983** and further adapted to bound the north-eastern and southern boundaries.

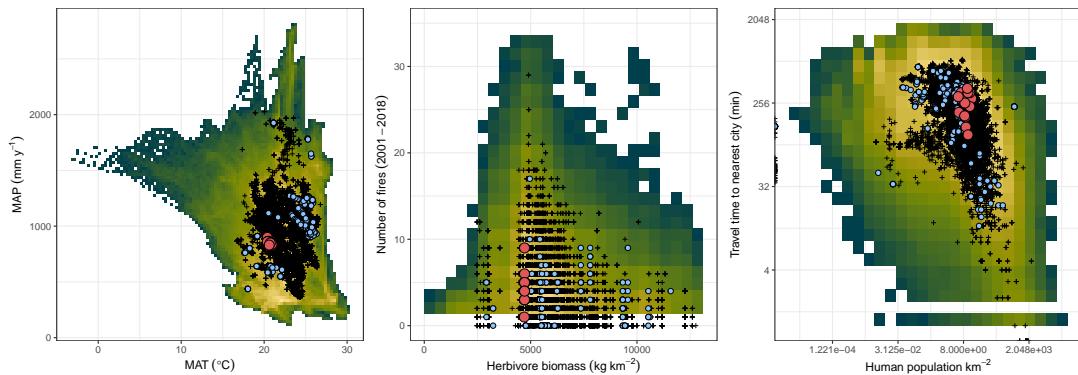


Figure 1.4: SEOSAW plots in various bivariate environmental spaces. Blue circles are permanent plots, where individual stems can be matched among censuses. The permanent plots in Bicuar National Park constructed as part of this thesis are shown as red points. Black crosses show one-off plots. The background of each plot is shaded according to the density of pixels in the SEOSAW working region, as described in Figure 8.2, from blue (low) to yellow (high). From left to right: 1) Climate space, using Mean Annual Temperature (MAT) and Mean Annual Precipitation (MAP), both extracted from the WorldClim dataset gridded at 30" (arc seconds, ~900 m at the equator) (Fick & Hijmans, 2017). 2) ‘Disturbance space’, using herbivore biomass from Hempson et al. (2017) and fire frequency between 2001 and 2018 from the MODIS burned area product (MCD64A1) (**MCD64A1**). 3) ‘Human influence space’, using human population density taken from the WorldPop dataset (**Linard2012**), and travel time to nearest city taken from the Malaria Atlas Project (**Meijer2018**). Note that both axes for the human influence plot are log transformed.

Zambian Integrated Land Use Assessment

While The Zambian Integrated Land Use Assessment (ILUAii, **Mukosha2009**) is included in part within the SEOSAW database, this dataset deserves separate explanation. The ILUAii constitutes the largest single dataset contribution to the SEOSAW database in terms of number of plots (3886/9863) and total area covered (389/1387 ha) as of May 2021 (SEOSAW v2.12). Among other goals related to quantifying the state of natural resources in Zambia, the ILUAii aims to quantify woody biomass and vegetative composition across Zambia. In 2014 a regular grid of one-off plot surveys was conducted across the country, with very few gaps, mostly related to accessibility or lack of natural vegetation. The plot survey collected data on tree species, stem diameter and metadata such as land tenure and human resource usage. Chapter 4 of this thesis uses plot data contributed to the SEOSAW database from the ILUAii to investigate the role of

tree species composition and diversity in driving land surface phenology.

Climate databases: WorldClim, IMERG

I used multiple climate databases to account for variation in climate, which affects biodiversity, ecosystem function and the interaction between biodiversity and ecosystem function. WorldClim provides up to 30" (~900 m at the equator) monthly climate averages of temperature and precipitation over the period 1970-present (Fick & Hijmans, 2017). Additionally, WorldClim provides summarised data known as BioClim, with calculated variables commonly used in ecological science at an annual time-scale such as temperature seasonality and diurnal temperature range. WorldClim provides interpolated climate data utilising weather station data to produce data with known spatial uncertainty, at a higher resolution than similar products such as the CRU TS data (**Harris2013**). I used WorldClim throughout the thesis to characterise the climatic context of study sites, but particularly within the Structural Equation Modelling framework of Chapter 3 to understand how environmental covariates mediate biodiversity effects across the southern African subcontinent. IMERG (Integrated Multi-satellitE Retrievals for GPM) (**IMERG**) provides globally available estimated precipitation daily time series. IMERG has a pixel size of 0.1° (11.1 km at the equator) (**IMERG**). I used IMERG precipitation time series in Chapter 4 to quantify the extent of the pre-rain green-up phenomenon in Zambian woodlands. IMERG can be seen as the successor to the well-known TRMM product (**Bowman2007**), which no longer provides accurate measurements due to its declining altitude.

SoilGrids

ISRIC SoilGrids provides modelled estimates of the spatial distribution soil properties, globally, at 250 m resolution (Hengl et al., 2017). SoilGrids incorporates over 230,000 soil profile observations from the WoSIS database (**Batjes2017**) along with various environmental covariates to estimate soil properties where ground measurements are sparse. I used variables related to soil texture and soil nutrient content such as cation exchange capacity, sand content, and available organic nitrogen, to understand the effect of resource availability on the strength of biodiversity effects on woody biomass across southern African woodlands, in Chapter 3 of this thesis. While the modelled nature of the SoilGrids product relies upon interpolation of spatially sporadic ground measurements with other

data such as climate and land use and carries uncertainty as a result, this trade-off also produces a consistent data product that can be used to easily compare many plots where conducting ground measurements would be prohibitively expensive.

MODIS burned area

The MODIS burned area time series product (MCD64A1) (**MCD64A1**) uses a combination of burn scars and active fire records to estimate instances of fire, overcoming limitations caused by cloud cover. The MODIS burned area product provides estimates of burned area at a resolution of 500 m, classifying pixels as burned or unburned over a monthly time period. I used the MODIS burned area product to quantify plot-level disturbance regime as mean annual fire frequency in Chapter 3 of the thesis. The majority of the plots used in analyses did not have a comprehensive fire history, thus the MODIS burned area product provided a consistent and easily interpretable alternative. To our knowledge there is no comparable remotely-sensed fire product available with the same spatial and temporal coverage as the MODIS burned area product.

MODIS EVI

The MODIS EVI (Enhanced Vegetation Index) time series product (MOD13Q1) (**MOD13Q1**) provides 16 day estimates of EVI at 250 m spatial resolution. EVI uses a simple formula using the Near-InfraRed (NIR) and Red spectral bands from MODIS to estimate “green-ness”. EVI is considered an improvement over the Normalised Differential Vegetation Index (NDVI) in certain ecological contexts as it corrects for diurnal variation in atmospheric conditions and avoids saturation at higher canopy densities (**Huete2002**). I used EVI estimates in Chapter 4 to approximate phenological activity of trees across Zambia.

1.3.2 New datasets

Permanent plots in Bicuar National Park

With colleagues from ISCED Huíla, I set up 15 permanent 1 ha woodland survey plots in Bicuar National Park, Huíla Province, Angola (S15.1°, E14.8°). The plots were situated along a gradient of stem density. These plots aim to encompass the main woodland types found in the park, which is representative of the natural vegetation found in the larger Huíla plateau region (**Huntley2019**). Chapter 6

characterises the floristic and structural diversity of the permanent plots in Bicuar National Park, with respect to other plots in the wider miombo eco-region.

Forest mosaics and savanna-woodlands are the dominant vegetation type in Angola (**White1983**), and are regarded as under threat as the human population increases, particularly surrounding urban areas (**Ritchie2018**), putting pressure on woodlands to provide charcoal and timber. Weak policies in the forestry sector and inadequate government oversight has led to deforestation, particularly in the southern and eastern parts of the country, notably inside protected area boundaries (**FAO2015**; **Mendelsohn2019**). While the annual rate of deforestation in Angola was estimated at 0.2% in 2005, this has since increased following population growth and development of rural infrastructure such as roads since the end of the civil war (**Roder2015**), resulting in an estimated 13.7% of intact forested habitat being lost between 2000 and 2013 (**Potapov2017**; **Hansen2013**). The biota of much of Angola remains understudied (**Huntley2019**). While many conservation areas and national parks were created during the Portuguese colonial era, most were abandoned during the civil war period following independence (1975-2002), with some only recently coming back under active government management (**Huntley2019**; **Ministerio2006**).

Bicuar National Park constitutes the largest intact formation of miombo woodlands in the Huíla plateau. The Park has been protected to varying extents since 1938, initially as a game reserve and as a National Park from 1964. The Park was originally 790 km², but was reduced to ~675 km² in 1972 following a governmental decree to allow for the expansion of the Capelongo colonial settlement (**Mendelsohn2019**). In 2012, the Park boundaries were re-instated with a new fence and park access gates, following multiple decades of largely absent management. Around the mid-1980s the current park main station was occupied by Cuban militia. During this time many species of large herbivore became locally extinct within the Park, including the African buffalo (*Syncerus caffer*), the plains zebra (*Eqqus quagga*), and the blue wildebeest (*Connochaetes taurinus*).

Bicuar National Park lies at ~1200 m asl, sitting on wind-blown Kalahari sand deposits which extends across much of the western portion of southern Africa as far north as the Congo basin (**Shaw2002**). The soils underlying the Park are identified as arenosols, consisting of mainly sand with some humus and clay (**Jones2013**; **Hartemink2008**). The Park is located at the transition

CHAPTER 1. INTRODUCTION

between miombo woodlands found in moister conditions to the north, and *Baikiaea plurijuga* woodlands which occupy the drier region to the south. The miombo woodlands of the Park are dominated by *Brachystegia* spp. and *Julbernardia paniculata*, while the southern drier woodlands are dominated by *Baikiaea plurijuga* and *Burkea africana* (**Teixeira 1968**). A distinctive catenal system occupies the north and central parts of the Park, with seasonally flooded grasslands and sufrutex shrublands at the base of the shallow valleys (locally known as “mulolas”), which drain into the Kunene river, and woodlands on the catenal ridges (locally known as “tundas”). The climate of the Park is highly seasonal, with a warm rainy season from October to April and cooler dry periods over June and July. SASSCAL provides ongoing meteorological monitoring from a weather station located near the Park centre from 2015 (Figure 1.5).

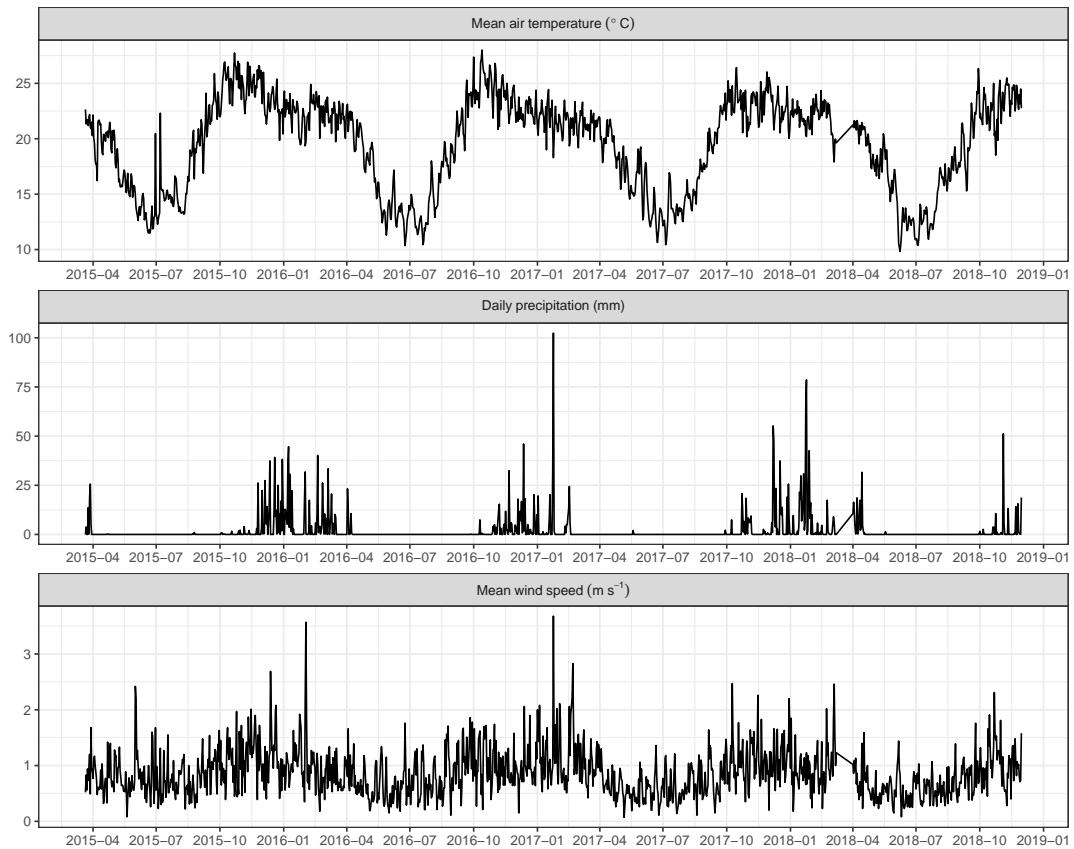


Figure 1.5: Data from the SASSCAL weather station located at the main station near the centre of Bicuar National Park. Data are daily aggregates, collected between March 2015 and June 2019.

The Park currently holds many endemic plant species not found outside the

Huila plateau (**Huntley2019**), and is home to large herbivores such as elephants (*Loxodonta africana*), giant sable antelope (*Hippotragus niger variani*), and greater kudu (*Tragelaphus strepsiceros*), which travel between Bicuar National Park and the adjacent Mupa National Park to the south. The Park has a number of excavated watering holes to attract large herbivores for observation (**Simoes1971**). Other studies indicate populations of other animal species such as the African wild dog (*Lycaon pictus*) (**Beja2019; Overton2016**) and a number of endemic herptiles (**Baptista2019**). Both **Linder2001** and **Droissart2018** identify the Huila plateau as a centre of tropical African botanical endemism, but contemporary studies characterising the exact vegetation composition of the Park are scarce. **Teixeira1968** identified six unique vegetation formations within the Park, including woodlands, shrublands, and grasslands. **Barbosa1970; Chisingui2018** both described the dominance of *Baikiaea-Baphia* woodlands particularly in the southern area of the Park. Much of the land cover around the Park has been transformed to agriculture and pasture. Of particular conservation concern is the pattern of land use change in the corridor between Bicuar National Park and Mupa National Park. Further development in this area could fragment the valuable seasonal corridor used by large mammals in the dry season to reach ephemeral water sources (**Overton2016**). Additionally, **Catarino2020** described changing patterns of fire across protected areas in Angola, showing that Bicuar National Park is experiencing a rapid increase in fire frequency. They suggest that ingress by humans may be causing the increase in burning, with potential negative consequences for biodiversity and ecosystem integrity.

CHAPTER 1. INTRODUCTION

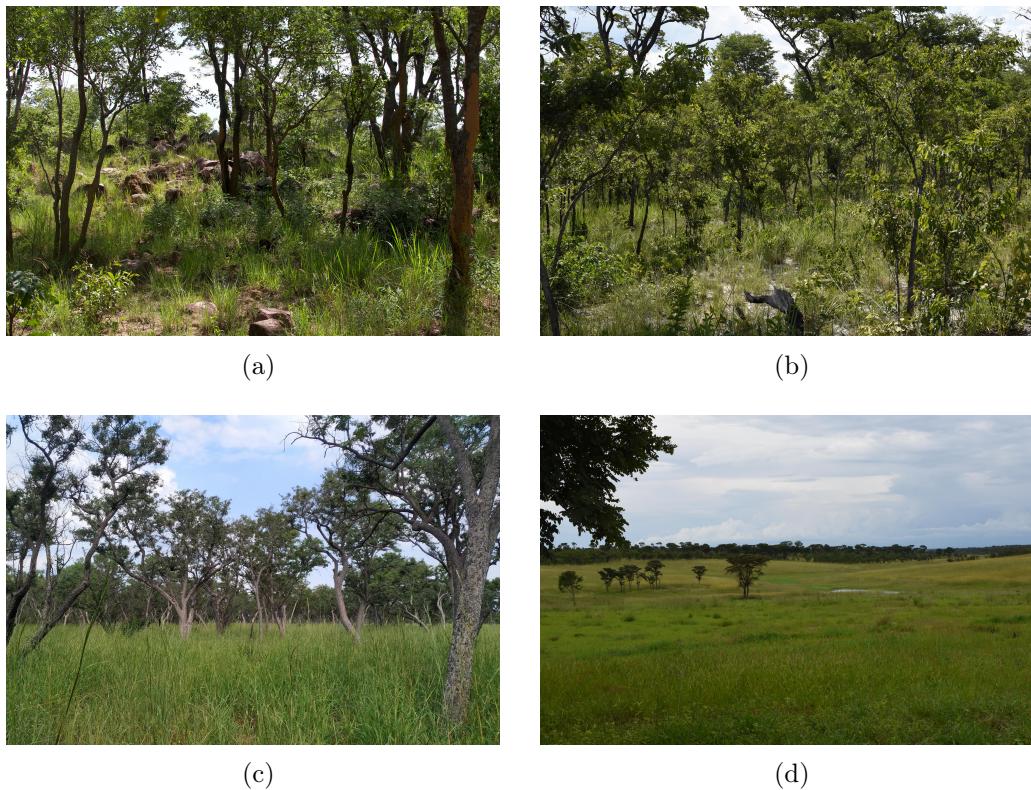


Figure 1.6: The principal vegetation formations seen in Bicuar National Park, southwest Angola. a) *Julbernardia-Brachystegia* miombo woodland, b) *Burkea-Pseudolachnostylis* miombo woodland, c) *Baikiaea-Baphia* woodland, and d) open grassy wetland (“mulola”).

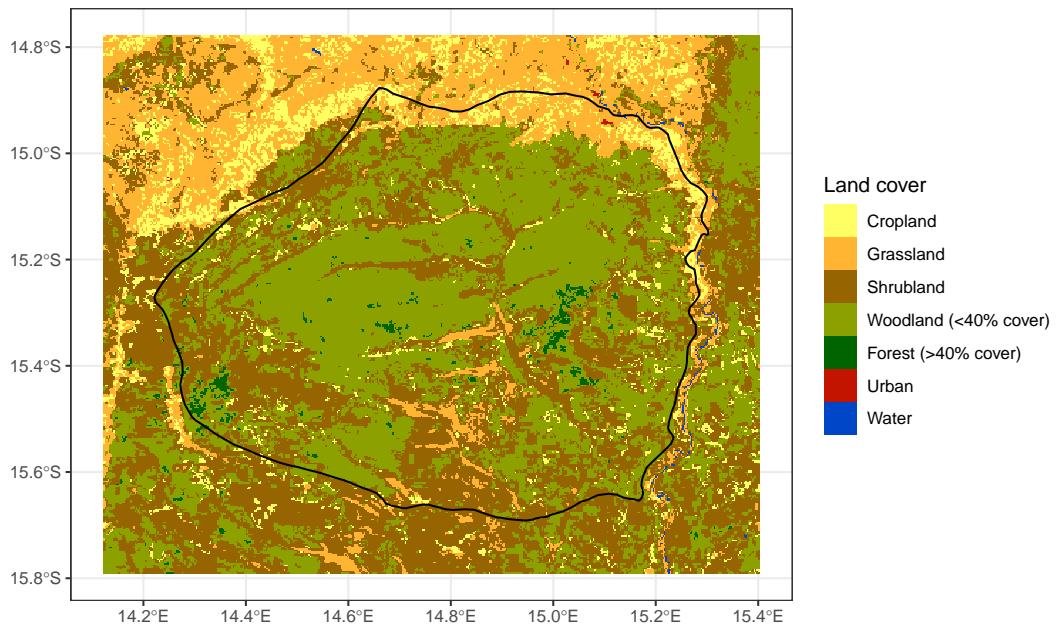


Figure 1.7: Land cover of Bicuar National Park, reclassified from the ESA CCI land cover map (v2.0.7) (**ESACCI**). This map highlights the clear deforestation north of the Park, with much land transformed to cropland and grassy pasture. The map also shows the discrepancy between the official park boundary as taken from the World Database on Protected Areas, and the park boundary fence, which is easily seen as a boundary running east-west at approximately S15.0°, E14.8°, with areas of agricultural encroachment beyond the Park boundary fence particularly to northeast of the Park, near the town of Folgares, situated along the Kunene River.

Terrestrial Laser Scanning

Terrestrial laser scanning LiDAR data was collected at two sites which span southern Africa: Bicuar National Park in southwest Angola, and Mtarure Forest Reserve in southeast Tanzania (Figure 1.2). The two sites both comprise 100x100 m (1 ha) permanent plots in tropical savanna vegetation of varying species composition and stem density. Chapter 5 uses the terrestrial laser scanning data to investigate drivers of canopy structural complexity. Chapter 8 discusses the future research potential of this data. Terrestrial Laser scanning technology provides high-resolution point cloud data that can be analysed in innumerable ways post-hoc to extend its lifespan. Traditional analogue measurements of tree canopy structure are laborious, inaccurate, imprecise and tree-centric, while LiDAR data provides

valuable information on inter-tree canopy structure at sub-centimetre precision, albeit at a higher monetary cost, and requiring greater expertise (**Xiao2019**; **Dassot2011**).

References

- Barry, K. E., L. Mommer, J. van Ruijven, C. Wirth, A. J. Wright, Y. Bai, J. Connolly, G. B. De Deyn, H. de Kroon, F. Isbell et al. (2019). ‘The future of complementarity: Disentangling causes from consequences’. In: *Trends in Ecology & Evolution* 34.2, pp. 167–180. DOI: 10.1016/j.tree.2018.10.013.
- Fick, S. E. & R. J. Hijmans (2017). ‘WorldClim 2: new 1-km spatial resolution climate surfaces for global land areas’. In: *International Journal of Climatology* 37, pp. 4302–4315. DOI: 10.1002/joc.5086.
- Hempson, G. P., S. Archibald & W. J. Bond (2017). ‘The consequences of replacing wildlife with livestock in Africa’. In: *Scientific Reports* 7. DOI: 10.1038/s41598-017-17348-4.
- Hengl, T., J. Mendes de Jesus, G. B. M. Heuvelink, M. R. Gonzalez, M. Kilibarda, A. Blagotić, W. Shangguan, M. N. Wright, X. Geng, B. Bauer-Marschallinger et al. (2017). ‘SoilGrids250m: Global gridded soil information based on machine learning’. In: *PLoS ONE* 12, pp. 1–40. DOI: 10.1371/journal.pone.0169748.
- Liang, J., T. W. Crowther, N. Picard, S. Wiser, M. Zhou, G. Alberti, E.-D. Schulze, A. D. McGuire, F. Bozzato, H. Pretzsch et al. (2016). ‘Positive biodiversity-productivity relationship predominant in global forests’. In: *Science* 354, aaf8957–aaf8957. DOI: 10.1126/science.aaf8957.
- Loiola, P. P., M. Scherer-Lorenzen & M. A. Batalha (2015). ‘The role of environmental filters and functional traits in predicting the root biomass and productivity in savannas and tropical seasonal forests’. In: *Forest Ecology and Management* 342, pp. 49–55. DOI: 10.1016/j.foreco.2015.01.014.
- McNicol, I. M., C. M. Ryan, K. G. Dexter, S. M. J. Ball & M. Williams (2018). ‘Aboveground carbon storage and its links to stand structure, tree diversity and floristic composition in south-eastern Tanzania’. In: *Ecosystems* 21, pp. 740–754. DOI: 10.1007/s10021-017-0180-6.
- Mensah, S., V. K. Salako & T. Seifert (2020). ‘Structural complexity and large-sized trees explain shifting species richness and carbon relationship across vegetation types’. In: *Functional Ecology* 34.8, pp. 1731–1745. DOI: 10.1111/1365-2435.13585.
- Plas, F. van der (2019). ‘Biodiversity and ecosystem functioning in naturally assembled communities’. In: *Biological Reviews* 94, pp. 1220–1245. DOI: 10.1111/brv.12499.
- Ryan, C. M., R. Pritchard, I. McNicol, M. Owen, J. A. Fisher & C. Lehmann (2016). ‘Ecosystem services from southern African woodlands and their future under global change’. In: *Philosophical Transactions of the Royal Society B: Biological Sciences* 371, pp. 1–16. DOI: 10.1098/rstb.2015.0312.
- Shirima, D. D., M. Pfeifer, P. J. Platts, Ø. Totland & S. R. Moe (2015). ‘Interactions between canopy structure and herbaceous biomass along environmental gradients in moist forest and dry miombo woodland of Tanzania’. In: *PLoS ONE* 10, pp. 1–15. DOI: 10.1371/journal.pone.0142784.
- Sitch, S., P. Friedlingstein, N. Gruber, S. D. Jones, G. Murray-Tortarolo, A. Ahlström, S. C. Doney, H. Graven, C. Heinze, C. Huntingford et al. (2015). ‘Recent trends and drivers of regional sources and sinks of carbon dioxide’. In: *Biogeosciences* 12.3, pp. 653–679. DOI: 10.5194/bg-12-653-2015.
- Solbrig, O. T., E. Medina & J. F. Silva (1996). *Biodiversity and Savanna Ecosystem Processes*. Berlin, Germany: Springer-Verlag.
- Tilman, D., F. Isbell & J. M. Cowles (2014). ‘Biodiversity and ecosystem functioning’. In: *Annual Review of Ecology, Evolution, and Systematics* 45, pp. 471–493. DOI: 10.1146/annurev-ecolsys-120213-091917.

Chapter 2

Background: The ecology, biodiversity, structure, and function of southern African woodlands

Tropical savannas are expected to experience significant shifts in vegetation structure and biodiversity in the coming century, due primarily to human induced climate and land use change (**Ross2021; Scheiter2009; Moncrieff2016**). Yet we lack a detailed understanding of how biodiversity and vegetation structure vary and affect ecosystem function (i.e. processes controlling fluxes of energy and matter through ecosystem across this highly heterogeneous biome, resulting in large uncertainty in earth system flux estimates across this biome (Ahlgren et al., 2015)). This thesis examines the role of tree species diversity as a driver of ecosystem function, with a focus on woody biomass and productivity as measures of ecosystem function, in southern African savannas. Biodiversity - Ecosystem Function (BEF) theory predicts positive effects of biodiversity on productivity (Tilman et al., 2014), but it is unclear whether this effect should occur in disturbance-prone and environmentally stressful ecosystems (**Steudel2012; Baert2018**). This chapter provides background on the ecology and biodiversity of tropical savannas and more specifically southern African woodlands, then summarises current literature on biodiversity-ecosystem function theory, to understand in greater depth the rationale for this thesis.

2.1 The ecology of savannas

Savannas occupy ~20% of the global land surface (**Scholes1993**). They are predominantly found in the seasonal tropics (**Scholes1997**), and to a lesser extent in temperate regions (Figure 2.1). While debate continues around use of the term ‘savanna’ (**Lehmann2011**; Ratnam et al., 2011), the generic definition used in this thesis characterises a savanna by the co-dominance of grass and trees, with a near contiguous grass-dominated understorey, and a closed or open woody overstorey (**Scholes1997**; **Bond2008**). Within this broad definition, savannas are highly heterogeneous, across local to continental scales (Bucini & Hanan, 2007), with wide variation in canopy cover (**Sankaran2005**; **Hirota2011**), the functional and floristic composition of the woody overstorey (Fayolle et al., 2018; Solbrig et al., 1996), and the composition of the herbaceous understorey (**Siebert2019**; **Coller2018**).

Historically, tropical savannas were often mis-represented as severely degraded forests (**Veldman2016**). This view has roots in the nineteenth century idea of Clementsian succession and potential vegetation (**Pulsford2014**), which focussed on hierarchical physiognomic vegetation classifications with closed canopy forest at the top, rather than floristic or functional classifications that are in greater use today (**Aleman2020**). The misinterpretation was exacerbated by the vast majority of early studies of savanna ecosystems originating from regions which lacked savanna vegetation. The hangover of this outdated paradigm is still felt today, with tropical savannas receiving less conservation funding (**Watson2016**), experiencing the greatest rates of transformation to agriculture of any major biome (**Hoekstra2004**; Parr et al., 2014), and being the focus of misplaced reforestation projects (**Silveira2020**; **Kumar2020**; **Laestadius2011**). In recent years there has been a push to acknowledge the value of tropical savannas, both intrinsically as centres of biodiversity and endemism (**Kumar2020**; Pennington et al., 2018), and as providers of ecosystem services (Ryan et al., 2016). Savannas proliferated globally during the Pliocene (~3-8 Mya), as a result of climate change, C4 grass proliferation, and an increase in the frequency and intensity of fire, with all three of these causes being inter-linked (**Cerling1997**; **Beerling2006**; **Edwards2010**). Rather than being viewed as derived landscapes that may be restored to a more forest-like environment, savannas should be considered a unique biome in their own right, with particular vegetation formations (**Torello2013**), a distinct evolutionary history (**Veldman2015**), and unique responses to global

environmental change ()�.

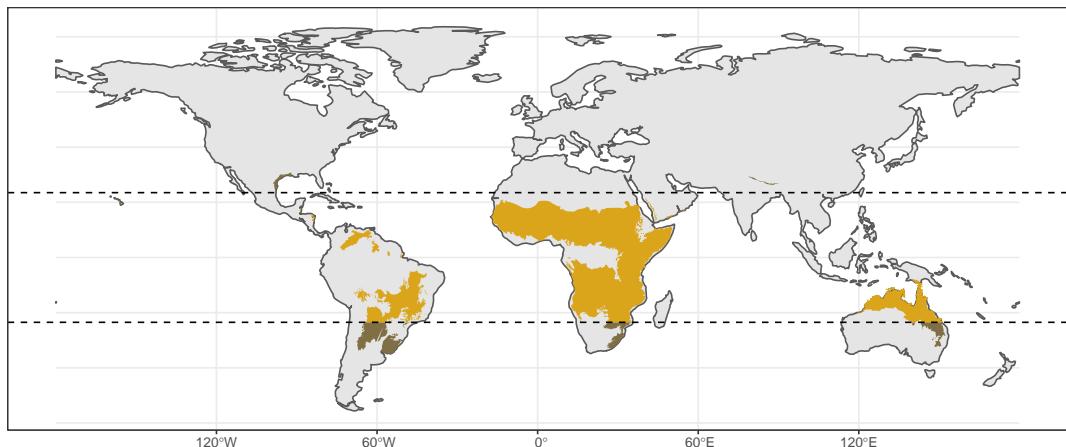


Figure 2.1: The global distribution of tropical (yellow) and extra-tropical (pale brown) savanna ecosystems, re-classified from the Terrestrial Ecoregions of the World (**Dinerstein2017**). Dashed lines mark the latitudinal extent of the tropics from N23.5° to S23.5°.

2.1.1 Determinants of savanna vegetation

Savanna vegetation may occur as a result of multiple non-exclusive and interacting factors. One of the key questions in savanna ecology concerns identifying the factors driving variation in tree cover and assessing their relative importance in different contexts, thus determining the global distribution of savannas (**Higgins2000; Archibald2019**). Controls on tree cover can be split broadly into ‘disturbance-based’ or ‘resource-based’ (**Bond2008; Staver2015**). For example, a lack of available moisture may limit tree growth to form an arid savanna (resource-based), while disturbance by fire or herbivory may cause tree mortality in a mesic savanna (disturbance-based). It is possible to classify savannas based on whether tree cover is primarily resource limited, or disturbance limited, and these two major types of savanna are expected to respond differently to future environmental change (**Torello2013**).

Tropical savannas occur in areas of high rainfall seasonality (**Lehmann2011**). At the continental scale, available moisture is the most significant determinant of savanna tree cover (**Sankaran2005**), setting the upper boundary of tree cover

by physiological limitation of tree growth. In wetter mesic savannas, competition between grasses and trees is low, but in arid savannas, grasses may ‘poach’ water from trees by intercepting it closer to the soil surface (**Scheiter2007**). While water availability may be the dominant resource-based determinant of savanna vegetation, edaphic properties also affect tree cover across savannas. Tropical savannas are often associated with nutrient-poor soils, especially in higher rainfall areas, where available nutrients are leached from the soil (**February2013**). Furthermore soil texture also interacts with rainfall to allow greater woody biomass and less grassy biomass where there is greater drainage (Staver et al., 2011).

While resource availability, particularly moisture sets the upper bounds for tree cover, many savannas exist in areas that are climatically suitable for closed canopy forest (**Sankaran2005; Lehmann2011; Murphy2012**; Staver et al., 2011). Above $\sim 650 \text{ mm yr}^{-1}$, woody cover in savannas appears to show no dependence on MAP (Figure 2.2) (**Sankaran2005; Good2011**; Sankaran et al., 2008). In mesic savannas, where climatic conditions are suitable for closed canopy forest, there exists large heterogeneity in woody canopy cover at local spatial scales (**Dantas2015**). Mesic savannas often form a complex mosaic of open grassy patches and closed canopy forest-like patches, with their distribution dependent on local edaphic conditions and historical disturbance patterns (Staver et al., 2011).

The key premise of the “Alternative Stable States” phenomenon is that contrasting ecosystem states may occur under similar environmental conditions, due to strong stabilising positive feedbacks on vegetation structure (Staver et al., 2011). Grass is the main fuel source for fires in mesic savannas. C₄ grasses, which dominate many mesic savannas, particularly in southern Africa (**Still2003**), are particularly flammable, but require more light than C₃ grasses, meaning they are highly sensitive to variation in tree canopy cover (**CharlesDominique2018**). In areas with low grassy biomass, fire frequency and intensity are expected to be lower due to a lack of fuel. Simultaneously, juvenile trees are highly sensitive to fire in the grassy understorey layer due to their low stature, meaning that fire increases tree mortality, or ‘top-kill’ of these individuals which must then resprout (**Ryan2011**), keeping individuals small and creating a demographic bottleneck where only a few individuals grow to adults. A positive feedback loop therefore occurs whereby disturbance by fire reduces canopy cover, allowing more frequent and intense fires, further reducing canopy cover as tree growth is suppressed. Al-

ternatively, under reduced fire, trees can escape the ‘fire trap’ in the understorey and grow to canopy trees (**Wakeling2011**), which rarely burn due to adaptive traits such as insulating bark and elevated crowns, increasing canopy cover, causing competitive exclusion of grasses (**Moustakas2013**), which further reduces disturbance by fire (Figure 2.3).

Hirota2011, using remotely sensed measures of tree cover across tropical Africa, South America and Australia, demonstrated a distinctly bi-modal distribution of tree cover within areas of intermediate rainfall ($\sim 650\text{-}1500 \text{ mm yr}^{-1}$). Staver et al. (2011) further showed that fire is the main source of this bi-modality. Furthermore, **Staver2017** showed that change in fire return interval, whether the result of management or environmental change, can result in changes in ecosystem structure. Specifically, that longer fire return intervals result in a shift toward a more forest-like ecosystem with greater canopy closure, fewer small trees, and a greater number of large canopy trees.

The factors described above which determine savanna vegetation structure are highly interactive. Moisture availability interacts with fire disturbance, leading, seemingly paradoxically, to a situation where increased resource availability may lead to lower woody biomass above a given threshold resource availability, due to increased grass growth and more intense and frequent fires (**Xu2015**). Soil nutrient availability also plays an interactive role with fire, increasing grass recovery rate between fires, which may lead to more frequent fires, increased tree mortality and lower woody biomass (**Kellman1984**). Interactions between environment, disturbance and tree cover, with clear thresholds of resource availability and tipping points of disturbance regime, result in a highly complex model of savanna ecosystem processes.

2.1.2 Adaptations of savanna trees

Savanna trees are subject to a multitude of environmental pressures. To overcome these, savanna trees have a number of adaptations and employ various life history strategies, leading to a high functional diversity within tropical savanna trees (Solbrig et al., 1996), despite their low species diversity compared to tropical rainforests (Solbrig et al., 1996), for example.

Seasonal fires are a key determinant of savanna structure in mesic savannas ($>650 \text{ mm MAP}$) (**Sankaran2005**). Many savanna tree species produce thick corky bark which protects the sapwood from high temperatures during fire

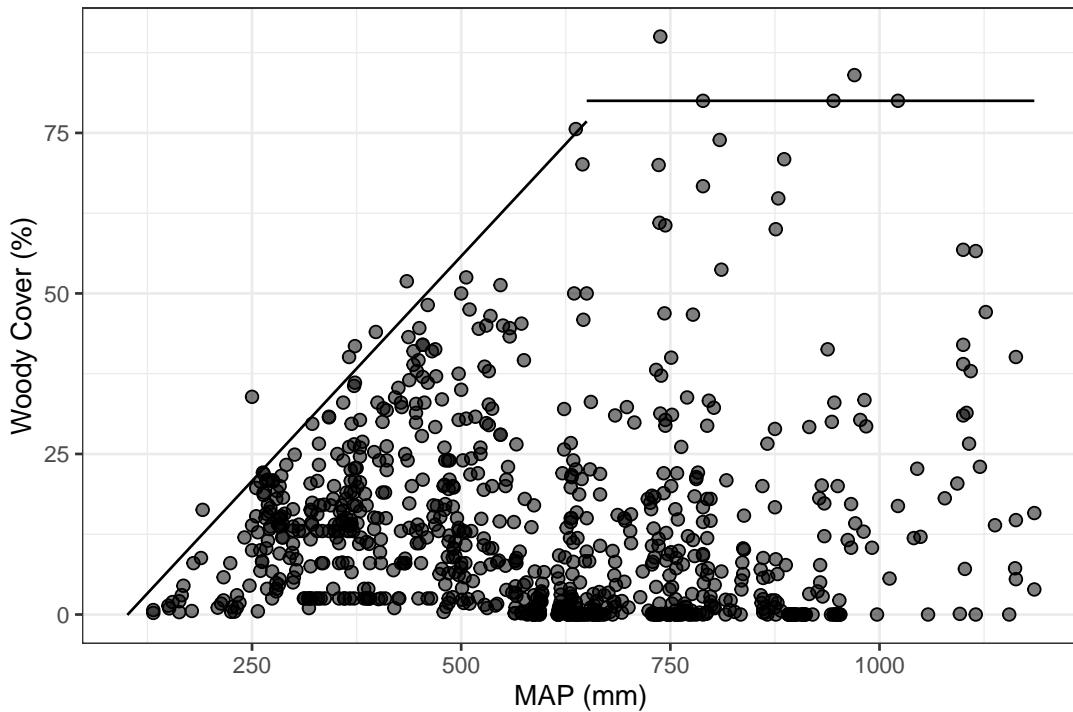


Figure 2.2: The relationship between rainfall (Mean Annual Precipitation) and proportional tree cover, across 854 savanna sites in Africa, adapted from **Sankaran2005**. The line of best fit uses a broken-stick 99th quantile piece-wise linear regression to identify the breakpoint at which rainfall no longer sets the upper limit for tree cover. Above the breakpoint (650 ± 134 mm MAP) other processes such as disturbance by fire and local edaphic limitations are thought to determine tree cover.

(**Hoffmann2012; Lawes2011; Dantas2013**). Additionally, many savanna trees produce large below-ground root structures that are able to store carbohydrate, allowing individuals to re-sprout following fire (**Wigley2019**). There is evidence of adaptation in juveniles of some species that allows them to resprout in the same year following fire, giving them a head-start over competitors which adhere to a more rigid bud production cycle (**Wiegand2006**). Natural coppicing of adult savanna trees is common. If one growing tip is damaged due to fire, other stems on the same individual can continue growing, avoiding mortality. Savanna trees also sometimes have insulated buds to prevent fire reaching the sensitive growing tip (**CharlesDominique2015**).

Previously, root niche separation between grasses and trees was thought to be the main method by which trees and grasses coexist in savannas (**Walter1971**). Trees were observed to have deep tap roots while grasses have a greater dens-

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY, STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

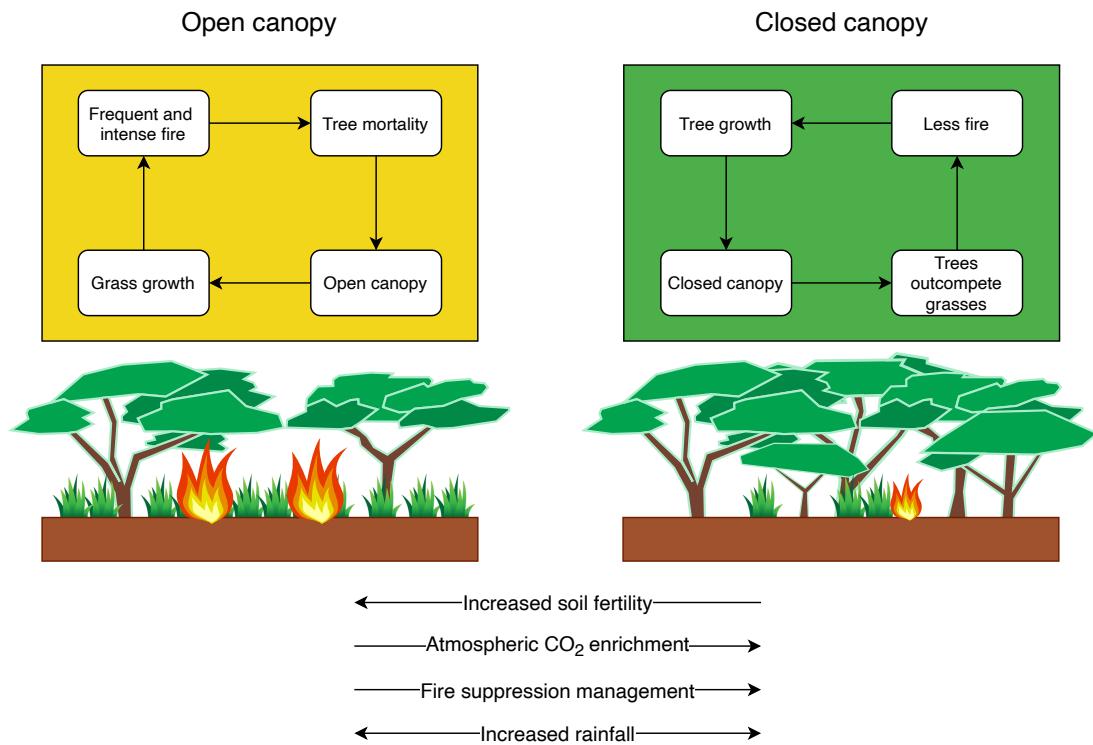


Figure 2.3: The positive feedback mechanisms which determine the alternative stable states of mesic tropical savannas. Left: increased fire increases tree mortality, which decreases canopy cover, increasing available light for grass growth, leading to more fire and a further reduction in canopy cover. Right: decreased fire decreases tree mortality, which increases canopy cover, reducing available light to the grassy understorey, causing a reduction in grass fuel load, fewer fires and a further increase in canopy cover. Bottom: stabilising feedback loops can be disrupted given a large enough perturbation, causing a switch to another stable state. Some of these perturbations have different outcomes depending on the principal limitation of woody cover. In arid savannas, increased rainfall leads to an increase in woody cover, as more water percolates to deeper tree tap roots, while in a mesic savanna where water is not limiting, increased rainfall may lead to an increase in grass growth and therefore an increase in fire, which reduces woody cover.

ity of fine near-surface roots (**Timberlake1993**). In arid savannas, root niche separation is an important mechanism allowing tree-grass coexistence, with consequences for the timing of seasonal growth in relation to seasonal rainfall intensity. In mesic savannas however, this effect is largely absent, except under specific edaphic conditions (**Case2020; Ketter2018; Sankaran2004; Higgins2000**). Recent work has shown that many savanna trees in mesic savannas produce two types of roots, the first are deep tap roots which are used primarily for water

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY, STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

uptake and for storing carbohydrates as ligno-tubers to facilitate pre-rain green-up and resprouting following fire. The second are a mesh of finer roots which occur near to the surface and compete directly with grasses. These roots are used primarily for nutrient uptake, as most savanna soils have a distinct vertical nutrient profile (**Tomlinson2012; February2013**). As an additional adaptation to overcome nutrient poor soils, many savanna trees readily produce root nodules with mutualistic *Rhizobia* bacteria capable of fixing atmospheric nitrogen. The dominant canopy forming mesic savanna trees are dominated by species from the Fabaceae family which produce root nodules (**Hogberg1986**).

Fire removes much of the grass layer in a savanna, meaning that this is an ideal time for tree seedlings to germinate, as the lack of grass fuel means another fire is unlikely for some time, and the lack of grass cover means less competition for the growing seedling. Many tree species have adapted to having fire-activated seed dispersal (**Veldman2015**), with large seeds for long seed residence times, and rapid growth of newly emerged seedlings (**Daibes2019**), so that the seedlings can grow enough to escape the “fire-trap” before the grass fuel load has increased sufficiently to allow another fire. **Wakeling2015** found that in densely grassy areas, a lack of gaps may prevent the germination of tree seeds, with long seed residence times allowing trees to take advantage of stochastic fire events that open up gaps for rooting. As an alternative to producing seed, many savanna trees reproduce predominantly via clonal growth. Clonal suckers remain connected to the natal tree, allowing rapid growth, as they benefit from the resources of the established carbohydrate-storing root structures (**Bond2003**).

Tropical savannas experience highly seasonal patterns of rainfall. Many savanna trees are deciduous, losing their leaves during the dry season to limit transpiration and conserve water (**Dahlin2016**). The phenomenon of ‘pre-rain green-up’ has been observed widely across tropical savanna trees (**Archibald2007; Borchert1994; Williams1997**), whereby trees produce foliage material in advance of the rainy season. Multiple mechanisms have been suggested to explain pre-rain green-up as an adaptive trait, such as: to avoid competition for light and water (**Ryan2017**), to avoid herbivory (**Aide1988**), and to maximise the length of the growing season (**Scholes1993**).

The many adaptations of savanna trees to disturbance and resource availability represent axes of functional variation which could lead to a greater contribution to ecosystem function under higher biodiversity. Specifically, greater resili-

ence to disturbances and higher productivity maintained under seasonal variation in climate (**Diaz2001; Mori2012**).

2.1.3 The global carbon cycle and change in savannas

Tropical savannas contribute ~30% of global terrestrial Net Primary Productivity (NPP), i.e. atmospheric carbon fixed into biomass (**Grace2006**). Due to their large spatial extent, even a small percentage change in woody cover in savannas is expected to have large effects on the global carbon sink (**Williams2005**). Globally, savanna ecosystems are being degraded and lost to agricultural expansion, tree planting, mining, and urban growth (Parr et al., 2014). **Ross2021** predict biomass loss over most tropical savannas over the coming century, mostly due to land use change. Similarly, **Aleman2016** concluded that land use change in sub-Saharan African savannas will have a greater negative effect on tree cover than rainfall. By 2100, the human population of sub-Saharan Africa is expected to double, increasing pressure on savanna ecosystems (**Pison2017**). Despite this, tropical savannas are reportedly the fastest increasing component of the terrestrial carbon sink (Sitch et al., 2015).

By 2050, it is expected that atmospheric CO₂ will have risen high enough that C₄ grasses no longer have a growth advantage over C₃ plants (**Bond2012**). An increase in atmospheric CO₂ is expected to lead to faster tree growth rates, allowing saplings to more quickly escape the “fire-trap”, resulting in lower mortality, and a shift towards a closed canopy forest-like environment. Additionally, in arid savannas, the negative effect of CO₂ enrichment on grass transpiration rates (**Murphy2012**) is expected to lead to less vigorous root growth and therefore more percolation of water to the deeper tree roots, increasing tree growth.

Various studies, across the country of South Africa (**Stevens2016b**), the neotropics (**Rosan2019**), and globally (**Stevens2016**), have reported woody encroachment of trees into previously grassland or shrubland areas. However, due to the complex interactive nature of the determinants of savanna carbon cycling, it is still unclear whether these predicted effects of atmospheric CO₂ enrichment will materialise. **Lewis2009** suggested that although existing woodlands are thickening, this does not necessarily extend to encroachment into previously unforested areas, due to the strong stabilising influence of fire. **Pelletier2018** concluded that while more arid savannas will likely experience woody encroachment due primarily to the effects of CO₂ enrichment on transpiration and tree-grass water relations,

there is no evidence that the same will happen in non-water limited savannas such as the miombo woodlands of southern Africa. Similarly, (**Reich2014**) demonstrated that earth system models may be overly sensitive to the effects of CO₂ enrichment, and that the models suffer from a lack of mechanistic understanding of the effect of resource availability on disturbance. **Korner2017** suggested that CO₂ enrichment may serve only to increase biomass turnover through increased growth offset by fire, with 44% of all carbon emissions in savanna coming from fire (**Werf2010**), and 62% of fire carbon emissions coming from savanna (**Werf2017**), offsetting the extra carbon sequestered.

Tropical savannas remain the largest source of uncertainty in models of the terrestrial carbon cycle (Ahlstrom et al., 2015). Environmental and land use change is expected to cause drastic changes to the functioning of savanna ecosystems in the coming century. Clearly, there is much work needed to better understand the interactive mechanisms which determine the role of tropical savannas in the global carbon cycle, and how these relations vary across environmental and biogeographic gradients. The wide functional diversity of savanna trees means that ecosystem level responses to global change will be complex, as adaptive traits determine varied individual responses, resulting likely in shifts in carbon storage and turnover of species in response to changes in rainfall, temperature, fire regime, and atmospheric carbon.

2.2 Southern African woodlands

This thesis focusses specifically on the mesic savannas of southern African woodlands, the savanna formations occurring in a latitudinal band south of Congo basin rainforest, and north of the more temperate savannas of the country of South Africa (Figure 2.4). These savannas cover approximately 2.7 million km² (**Arino2010**). Hereafter they are referred to as southern African woodlands.

The structure of southern African woodlands is driven primarily by disturbance from fire and herbivory, leading to a highly heterogeneous patchy woodland habitat (**Archibald2019**). Fire return interval varies locally, dependent on climate and existing vegetation which determines grass fuel load (**Archibald2010**). Large herbivores play an important role in determining the vegetation structure of southern African woodlands. Compared to climatically similar savannas in the neotropics or southeast Asia, large herbivores are common in savannas through-

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY, STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

out southern Africa (**Asner2009**). It has been suggested that large herbivores may cause disturbance in a manner similar to fires, reducing woody biomass by increasing mortality of juvenile saplings (**Bond2005**), though the effects of herbivory are often much more localised than fire, and the spatial distribution of herbivory cannot be predicted with the same detail (**Hempson2015**). While the dominant pressure determining the coexistence of grass and trees in savannas globally is moisture availability, within southern African woodlands where rainfall is rarely a limiting factor, competition for light is more important (**Vadigi2013**). Depending on the disturbance regime, southern African woodlands occasionally form closed canopies, while C₄ grasses are highly sensitive to shade. Feedbacks between tree cover and grass growth determine the fire regime and lead to highly heterogeneous woodland structure.

Southern African woodlands support a growing human population, with >150 million people benefitting from ecosystem services provided (**Wunder2014**; Ryan et al., 2016). Vast areas of woodland in southern Africa are used for grazing cattle which requires relatively open woodland (**Njana2013**), while other areas are used for charcoal production, bushmeat hunting, fruit, vegetable and mushroom foraging, and timber production (Ryan et al., 2016). Deforestation is increasing in southern Africa (**Hansen2013**), with more than 90% of harvested wood used for energy production, mostly as charcoal in a domestic setting (**May-Tobin2011**). Other important ecosystem services provided by these woodlands to the human population include regulation of water availability throughout the dry season (**Wilk2010**; **Hecky2003**) and the provision of medicinal plants (**Augustino2011**; Ryan et al., 2016). Simultaneously, southern African woodlands are inhabited by a high number of charismatic endemic species (**Burgess2004**) and are increasingly a destination for international tourists (**Vergles2015**; **Shackleton2007**). These attributes together make southern African woodlands a hugely important natural asset, both locally and globally.

Southern African mesic savannas can be divided roughly into three dominant vegetation types. Miombo woodlands dominate southern Africa, and are the largest savanna vegetation type in the world (**Ryan2011**). They are dominated by species from the Fabaceae family, subfamily Detarioideae, from the genera: *Brachystegia*, *Julbernardia*, and *Isoberlinia*, with the namesake ‘miombo’ coming from the local name for the genus *Brachystegia* in various Bantu languages. These woodlands frequently have tall tree canopies that occasionally close, and are

therefore frequently classified as forest by some forest cover maps (**Hansen2013**). Rainfall in miombo woodlands varies between 540-1700 mm yr⁻¹, with a highly seasonal pattern of precipitation. Miombo woodlands are highly diverse, with >8500 vascular plant species, of which >300 are tree species, many of which are endemic to the region (**Frost1996**).

Mopane woodlands form thin bands in the south of Zambia, Zimbabwe, central and southern Mozambique, and also across the border region of Angola and Namibia (Figure 2.4). They are characterised by the dominance of a single tree species, *Colophospermum mopane*, and generally occur in areas of lower rainfall than miombo woodlands (**Palgrave2003**). Reduced rainfall means that mopane soils are generally more fertile than the surrounding miombo woodlands (**Makhado2014**). Mopane woodlands are host to the largest diversity of large mammals in southern Africa, including populations of charismatic and highly threatened species such as the black rhinoceros (*Diceros bicornis*) and hippopotamus (*Hippopotamus amphibius*) (**Mittermeier2003**). While much of the mopane woodlands exists as short-stature shrubby vegetation, larger ‘cathedral mopane’ exists in some areas, forming a near closed canopy (**Makhado2014**).

Baikiaea woodlands occur on sandy soils, in a wide belt along the Angolan-Namibian border to Zimbabwe. They are dominated by *Baikiaea plurijuga*, which grow to large trees at low densities, with a grass and shrub understorey that burns regularly (**Werger1978**). Baikiaea woodlands are generally less suitable for agriculture than miombo woodlands, with highly sandy soil and low rainfall, though logging pressures have removed many of the largest and oldest trees in some regions (). Like mopane woodlands, Baikiaea woodlands provide habitat for many large herbivores ()�.

2.3 Biodiversity and ecosystem function theory

In 1992, the Earth Summit in Rio de Janeiro discussed the growing concern that global patterns of biodiversity loss might negatively impact the functioning of ecosystems, and importantly damage the ecosystem services provided to humans. Later, researchers gathered in Bayreuth, Germany to discuss the role of biodiversity (B) on ecosystem function (EF) (**Schulze1993**). Since then, a thriving field of research has emerged which aims to assess and explain the multiple and complex relationships between biodiversity and ecosystem function (Figure 2.5),

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY, STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

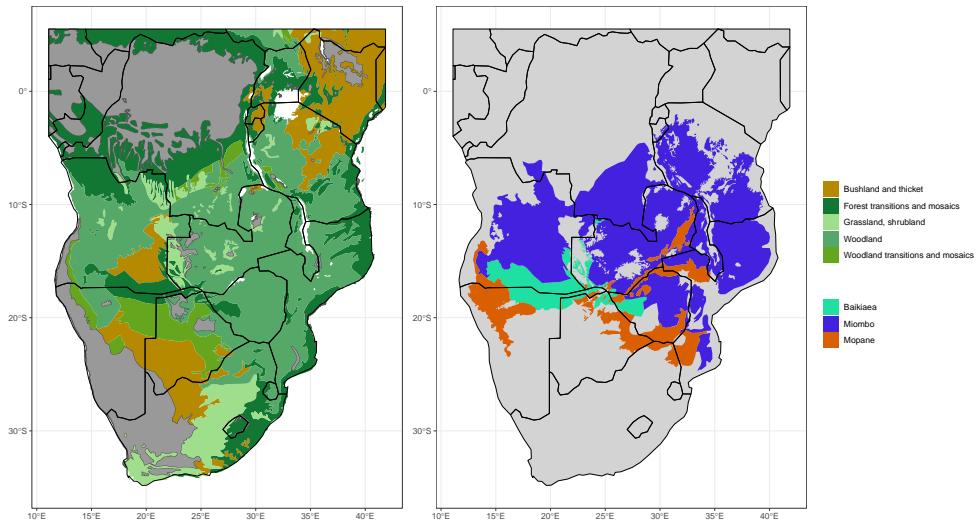


Figure 2.4: The distribution of key savanna vegetation types within southern Africa. Left: physiognomic classification adapted from **White1983**. Right: floristic classification of selected savanna-woodlands adapted from **Dinerstein2017**, Terrestrial Ecoregions of the World.

with hundreds of studies exploring biodiversity effects in both experimental and natural systems (**Newbold2016**; **Plas**, 2019; **Tilman et al.**, 2014). The 1992 Earth Summit defined a paradigm shift in ecological thinking. Previously, biodiversity had mainly been considered a passive result of environmental conditions and ecosystem function, while the research that came after redefined biodiversity as both a driver and result of ecosystem function. BEF theory provides intuitive reasoning as to why increased biodiversity should lead to increased ecosystem function.

BEF theory and supporting empirical evidence has informed global environmental policy by encouraging biodiversity conservation as a means of maintaining ecosystem functionality and its associated ecosystem services such as carbon storage, food provision, soil moisture retention etc. (**Balvanera2014**; **Naeem et al.**, 2012). Increasingly, biodiversity conservation is being encouraged as a method of maximising natural capital (perceived value of natural assets, **Kareiva2011**) indirectly by maximising ecosystem functionality (**Scherer-Lorenzen2014**; **Cardinale2012**). Many conservation policy makers are seeking win-win conservation strategies which will maximise both biodiversity and ecosystem service provision (**Howe2014**; **Adams2004**). Research into the role of biodiversity in maintaining ecosystem functionality has become more pertinent in the last 20 years in response to mount-

ing evidence of startling global biodiversity losses (**McRae2017; Butchart2010; Vitousek1997**). There is trepidation however, that as ecosystems are transformed as a result of conservation meant to maximise ecosystem function, or rather a subset of ecosystem functions that are easily measured and have been identified as valuable, such as carbon sequestration (**Duffy2017**), other ecosystem functions and services may suffer and the ecosystem may lose unique characteristics (**Srivastava2005a**; Brockhoff et al., 2017).

This thesis aims to understand variation in ecosystem function and community structure in southern African woodlands through the lens of the “Biodiversity - Ecosystem Function Relationship” (BEFR). Ecosystem functions can be defined in broad terms as the rate processes which control the fluxes of energy and matter through an ecosystem (Jax, 2005). This includes basic processes of primary production such as gross primary productivity and atmospheric nitrogen fixation, but can be extended to indirect aggregate measures of function such as resilience of productivity to disturbance. Additionally, ecosystem function can be further extended to ecosystem properties such as forest canopy complexity and trophic complexity, which in turn influence ecosystem processes. In this thesis, I focus only on biomass and productivity of trees as measures of ecosystem function, with the aim of improving our understanding of the carbon dynamics of southern African woodlands.

2.3.1 Niche complementarity, selection effects, and facilitation

There are various mechanisms underlying the observed effect of biodiversity on ecosystem function. Early experiments in artificial grasslands (Tilman & Downing, 1994) and experimental microcosms (**Naeem1994**), which involved introducing or removing species from random assemblages concluded that selection effects were the strongest drivers of the BEFR. Assuming random introduction or extinction of species, it is more likely that a diverse community will contain a species which contributes to a given ecosystem function (**Huston1997**). Of course, in natural systems, species introduction and removal is rarely random and may be confounded by a species’ contribution to ecosystem functionality (**Smith2003**). Related to selection effects, which place emphasis on the presence of species which contribute to function, **Grime1998** proposed the Mass-Ratio

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY,
STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

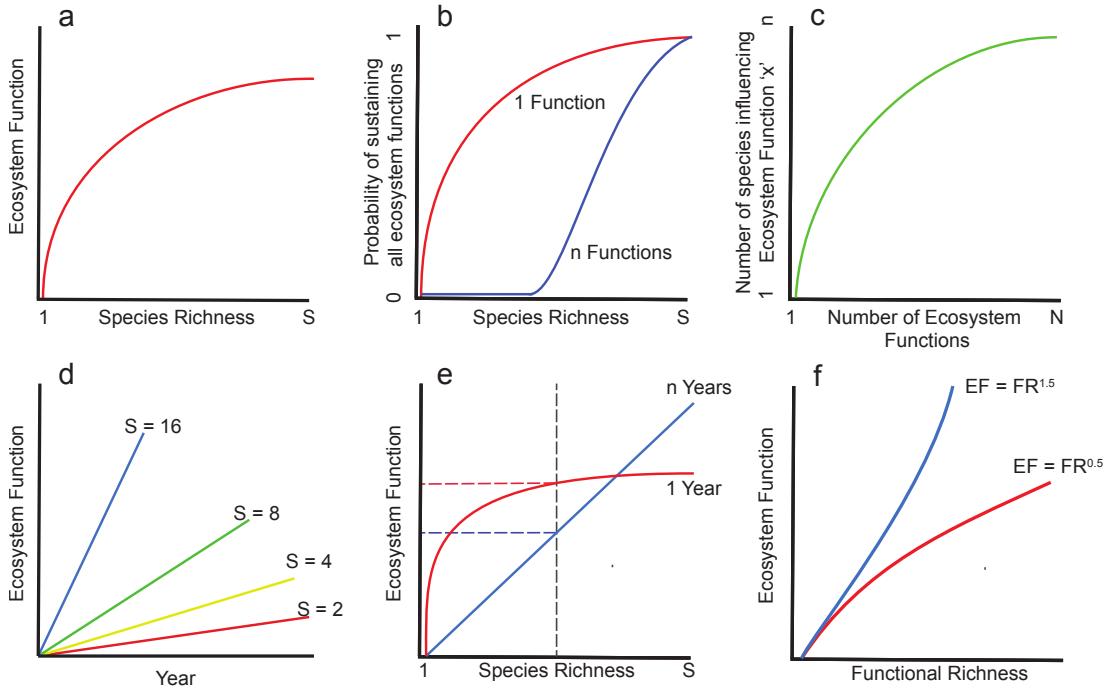


Figure 2.5: Schematic diagrams illustrating various inferences made on the Biodiversity - Ecosystem Function Relationship by previous studies. a) The classic BEF relationship found by many small scale experiments (Cardinale et al., 2009). b) As more functions are considered simultaneously the minimum species richness needed to maintain overall ecosystem functionality increases, also showing how the proportion of functionally redundant species increases as less functions are considered (i.e. the curve reaches asymptote at a lower species richness) (Hector & Bagchi, 2007). c) The saturating relationship of the number of ecosystem functions considered and the number of species influencing ecosystem multifunctionality (Hector & Bagchi, 2007). d) As studies progress through time the strength of the BEF relationship increases, the rate of increase in ecosystem function increases as species richness (S) grows (Cardinale 2007). e) As studies progress through time the shape of the relationship becomes more linear, saturating at progressively higher species richnesses. Studies averaged over longer periods exhibit a greater loss in ecosystem function in response to an equivalent species richness reduction (Reich 2012). f) When functional richness is used in place of species richness, the relationship reaches asymptote at a higher richness. Additionally the relationship becomes more concave as a power coefficient representing the strength and number of species interactions increases. $FR^{>1}$ (interspecific competition $>$ intraspecific competition (unstable)) results in a convex relationship, while $FR^{<1}$ results in a concave relationship (Mora 2014).

Hypothesis to explain biodiversity effects on ecosystem function. The Mass-Ratio Hypothesis suggests that it is not the breadth of niche space filled by a species

assemblage that determines ecosystem functionality, but the ability of the most abundant species to optimise a chosen ecosystem function. Subsequent experimental studies have attempted to partition selection effects from other effects, or to remove selection effects entirely through experimental design, in an attempt to isolate other effects (**Loreau2001a**).

The mechanism of niche complementarity has been the main focus of the majority of previous BEFR studies (Wright et al., 2017) (Figure 2.6). The theory of niche complementarity follows intuitively from early evolutionary theory, that coexisting species must occupy different environmental niches, in order to prevent competitive exclusion of the weaker competitor (**Levine2009; MacArthur1955**; Tobner et al., 2016). Thus, the more species present in a given system, the more environmental niche space is filled, leading to more efficient and complete use of resources, a reduction in density dependent intra-specific competition and ‘higher’ observed values for various ecosystem functions (**Isbell2013**). The mechanism of niche complementarity has been corroborated by many studies, but to varying extents depending on biome, whether the study was conducted in an experimental or natural system, duration of study, and what measures of biodiversity and ecosystem function are used (**Cardinale2011**; Wright et al., 2017; Cardinale et al., 2009). Niche complementarity can also mediate functionality over time, as different species are able to optimise function at different times under varying environmental conditions; this effect is known as the biodiversity insurance hypothesis (**Morin2014b; Bartomeus2013; Yachi1999a**). The insurance hypothesis also postulates that higher biodiversity at the landscape level will increase the rate at which ecosystems recover from stochastic local disturbances, by providing refugia populations in less perturbed areas (**Gonzalez2009**).

Facilitation effects increase the functional contribution of certain species in combination. For example, if grass species A is sensitive to high temperatures, tree species B may provide shade and thus reduce the temperature of the understorey, increasing the productivity of grass species A compared to if it was found in monoculture. Originally this specific example of facilitation was termed “nurse plant syndrome” (**Padilla2006**). This effect has been studied extensively in dryland ecosystems, where adult trees act as nurse plants for juveniles below, providing shade and reducing mortality. **Callaway1997; Good2014; Weltzin1999** theorised a predictable relationship between environmental stress and the nature of interactions among plants, hypothesising that facilitation ef-

fектs override competitive effects in highly stressful environments. More recently, **Lortie2021** conducted a meta-analysis of facilitation effects in arid shrublands, concluding that while shrubs do provide facilitative effects, the net effect of increasing species diversity turned weakly negative under high diversity, due to competitive effects. Facilitation effects remain understudied in BEFR literature. A history of research into partitioning niche complementarity from selection effects in biodiversity experiments has largely ignored the role of facilitation effects, presumably because they are not expected to drive large scale variation in the BEFR between systems, and because they are often context specific and difficult to test for their presence in natural systems (Wright et al., 2017). **Wright2021** discusses how facilitation effects may have been mistakenly identified as niche complementarity as a result of the simplistic partitioning method.

2.3.2 Global distribution of biodiversity-ecosystem function research

Among the hundreds of published studies of the biodiversity-ecosystem function relationship (BEFR), the majority are from experimental contexts, in small grassland patches or mesocosms. The number of studies in forested ecosystems is growing, but remains restricted predominantly to temperate forests in the global north (Clarke et al., 2017). In particular, there is a paucity of BEFR research in disturbance-prone wooded ecosystems, the mesic savannas which cover ~20% of the global land surface (**Scholes1993**). Liang et al. (2016) conducted a meta-analysis of estimates of the BEFR from 777,126 forest sample plots. They found that 99.87% of these estimates followed a monotonic, positive BEFR curve, which saturated at high species richness. However, less than 600 of these plots were located in Africa, and none further south than Tanzania.

Clarke et al. (2017) reviewed four BEFR meta-analyses (**Gamfeldt2015**; **Griffin2013a**; **Zhang2012**; Cardinale et al., 2009) and identified only two studies conducted in Africa (**Foster1999**; **Burleigh1997**), compared with 69 in Europe and 82 in North America (Figure 2.7). Both of these African studies are narrow in their scope and do not consider southern African woodland-savanna mosaics. **Foster1999** studied the effect of dietary diversity on a single marine mollusc species in an experimental context. **Burleigh1997** is an agroforestry study primarily investigating the suitability of two Fabaceae tree species as erosion mit-

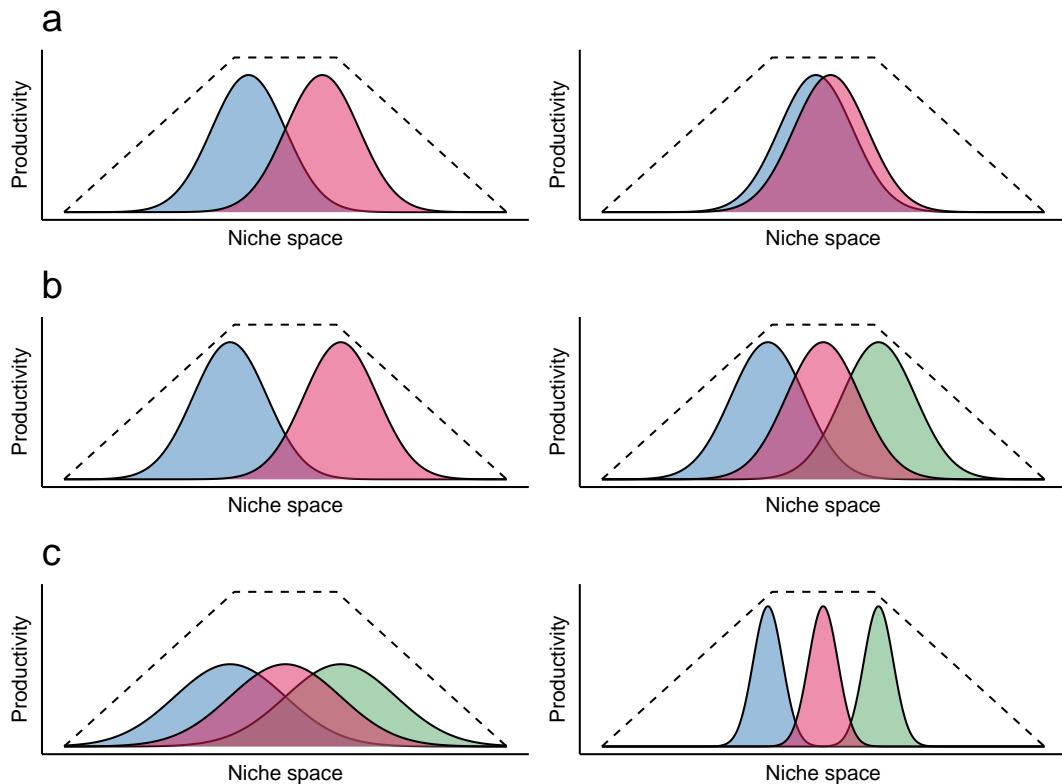


Figure 2.6: Schematic diagrams demonstrating niche occupation and secondary controls on the mechanism of niche complementarity. Each density plot shows a number of species, each represented by the species functional contribution (productivity) under different environmental conditions (niche space) within the larger environmental niche volume (dashed line). a) shows how the degree of overlap in functional niche of two species affects the total utilisation of the environmental niche volume (area under all species curves). When species are functionally distinct (left), more of the environmental niche volume is utilised. Removal of a species in this case would result in a large reduction in ecosystem productivity, while on the right, where functional redundancy is high, removal of a single species would have negligible effects. b) shows the effect of adding a functionally distinct species to an ecosystem. c) shows the effect of niche breadth on niche volume utilisation. On the left, three generalist species overlap in their functional niche. While each species has relatively incomplete utilisation of the environmental niche volume, this is offset as each species may occupy a wide range of environmental conditions. If the red species was removed, there would be only a marginal reduction in ecosystem productivity. On the right, three specialist species have a narrower niche breadth but a more complete utilisation of the environmental niche under ideal conditions. If a species was removed from this ecosystem, there would be a much greater reduction in ecosystem productivity.

igators. Neither of these studies provide an understanding of the BEFR that is relevant to understanding how entire savanna ecosystems respond to changes in biodiversity. In **Duffy2017**'s (**Duffy2017**) meta-analysis, only three terrestrial field studies from southern Africa were used to compare the effects of biodiversity to those of environmental factors from a total of 167 field estimates of the BEFR. Given the unique community composition (**Lehmann2011**), environmental conditions (**Linder2003**) and strong role of disturbance by fire and herbivory in structuring these savannas, it would be unfeasible to generalise the BEFR found in other systems to this region.

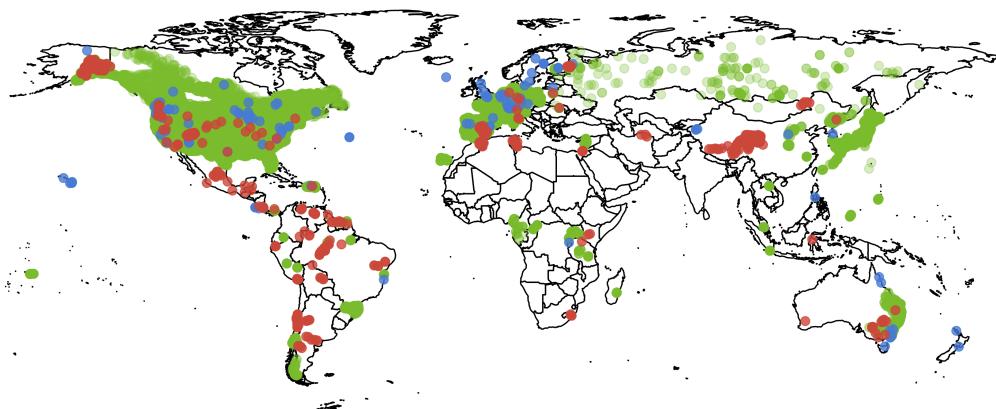


Figure 2.7: Location of studies of the biodiversity - ecosystem function relationship included in three meta-analyses of BEF research: blue Clarke et al. (2017), green Liang et al. (2016), red **Duffy2017** - 67 field studies.

2.3.3 Should we expect biodiversity effects on ecosystem function in southern African woodlands?

Extensive research has linked tree biodiversity to ecosystem function in temperate and tropical forests (Liang et al., 2016), but tropical savannas differ in the environmental pressures they experience, and in the mechanisms which determine ecosystem structure. Conclusions drawn from BEFR research conducted in forests cannot necessarily be directly applied to disturbance driven and resource limited systems.

Despite the current trend in conservation strategy to maximise biodiversity under the assumption that it will ensure ecosystem functionality, it remains unclear how important the BEFR is in determining ecosystem functionality compared to environmental factors (Tilman et al., 2014). It is also unclear to what extent the BEFR itself varies over environmental and biogeographical gradients (**Scherer-Lorenzen2014**). Many observed biodiversity effects could potentially be better explained by variation in unmeasured environmental variables, with which biodiversity merely correlates. Plant biodiversity has been shown to increase in areas with climatic conditions conducive to growth (**Fischer2014**; **Bunker2005**), and it is clear that temperature and precipitation also have a direct role in determining ecosystem functions such as net primary productivity and woody biomass (**Urban2017**; **Michaletz2014**).

A strong positive BEFR may not exist in all landscapes, with previous experimental and field studies producing many contrasting results. **Duffy2017** conducted a meta-analysis of 133 estimates of the BEFR from 67 studies, suggesting comparable effects of biodiversity and climate or nutrient availability, but this generalisation remains largely speculative, especially for regions with a paucity of data, such as southern Africa (Figure 2.7). Resource availability and disturbance frequency/intensity have been identified as two environmental factors that modulate the effect that biodiversity has on ecosystem functionality (**Tilman2012a**; **Hooper2012**; Tilman et al., 2014). In European forests, Ratcliffe et al. (2017) found that the strength of the effect of tree species richness on many ecosystem functions increased as water availability decreased. They suggested that facilitation effects between species became stronger than competitive effects when resource availability was low, with strong facilitation effects being more likely at high species richness. Furthermore, **Baert2018** reported that environmental stress shows a humped relationship with the strength of the BEFR, with the relationship being highest at intermediate levels of stress. They suggest an interplay between niche complementarity and selection effects at low environmental stress levels, which are often accompanied by greater levels of competition due to the lack of growth limitation, and higher facilitative effects at very high levels of environmental stress. These environmental factors, which remain unmeasured in many studies of the BEFR in natural systems may explain some of the variation in the observed strength of the BEFR. Conducting experiments across environmental gradients will improve understanding of how

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY, STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

biodiversity effects interact with the environment to determine ecosystem functionality (**Turnbull2016**; Tilman et al., 2014). **Cardinale2002** found that in an experimental mesocosm of caddisfly larvae, increased disturbance in the form of random individual mortality led to increased effects of species richness on productivity. They attributed this effect to a decrease in dominance of competitively superior but low productivity taxa. Fire disturbance in forests has been linked to abundance dependent mortality among smaller stems (**Roques2001**). A species with more small stems is more likely to experience mortality during a fire. There may therefore be a link between disturbance regime and the strength of the species richness - ecosystem function relationship in fire prone woodland ecosystems. Unlike the caddisfly larvae in **Cardinale2002** however, tree species differ in their resilience to fire driven mortality, owing to adaptations such as corky bark (Solbrig et al., 1996). The strength of the BEFR in a given system may therefore be a product of environmental conditions, disturbance regime, and species functional composition.

Biodiversity is often crudely measured as local species richness of a focal trophic level or functional group (e.g. trees). However, more complex measures such as functional richness provide better insight into the tangible organism level processes, which drive apparent species richness effects (**Finegan2015**; **Scherer-Lorenzen2014**; **Petchey2006**). For example, increased tree species richness appears to cause an increase in the productivity of forest stands, but is this due to there being a higher likelihood of having a productive species (selection effects), canopy packing complementarity, root depth complementarity, facilitative shading effects, or more likely a combination of all the above? It is likely that species richness is merely correlated with many other aspects of biodiversity which actually affect ecosystem function (**Mlambo2014**; **Scherer-Lorenzen2014**). Ultimately, there is no biological reason why two species should exhibit niche complementarity according to differences in species name. Of course, this is not to discount the role of phylogenetic and taxonomic separation as a proxy for degree of potential niche differentiation among species (**Flynn2011**; **Petchey2002**). Additionally, the distribution of relative contributions to a given function among species within an ecosystem is likely to affect the provision of that ecosystem function, through selection effects and the mass ratio hypothesis (**Chisholm2013b**). *i.e.* a species with a high photosynthetic capacity will contribute little to overall ecosystem level productivity if it is found at very low abundances, but see

Violle2017 and **Soliveres2016**, which suggest that rare species often exhibit the least redundant traits and are therefore as functionally important common species. Functional diversity should ideally be used to more directly quantify the functional contribution of species and individuals within an ecosystem, to understand better the mechanisms that drive biodiversity effects.

In temperate and wet tropical closed canopy forests trees interact with each other due to their close proximity (**Coomes2007a; Purves2007**). Overlapping canopies and inter-weaving root networks produce competition for light, water and nutrients between individuals. Southern African woodlands however, exist along a wide gradient of tree cover. At the extreme low end of this gradient, trees are often too far apart for canopy competition to occur, and while the root networks of savanna trees are often extensive (**Belsky1994**), root competition may also approach zero between adult trees in the most sparsely wooded ecosystems. Low tree densities may result from a combination of “resource-based” processes such as low water availability and “disturbance-based” processes of disturbance caused by fire, herbivory, or human land use practices such as selective logging or tree felling for beehive harvesting (Ryan et al., 2016). Figure 2.2 shows percentage woody cover along a precipitation gradient in Africa from **Sankaran2005**. It shows that the majority of plots have a woody cover below the physiological maximum set by precipitation, indicating that many other factors influence woody cover other than water availability. A lack of competition would certainly weaken any effect of tree species diversity on ecosystem productivity, as multiple species can often fill overlapping niches in the absence of competition. Niche differentiation however, would still serve to only allow certain species to establish and optimise productivity in certain micro-habitats, suggesting at least some effect of tree diversity on ecosystem function even in the most sparsely forested ecosystems. Though **Dohn2017** demonstrated strong competitive interactions at neighbourhood scales of up to 5 m for most trees in an East African savanna. Additionally, many savanna tree species do not grow well under shade (**Belsky1994**), unlike those in forests. Adult savanna trees will therefore compete with seedlings and saplings from their own species and from other species. Differences in competitive interactions amongst trees across tree cover gradients are likely to have an impact on the strength of the BEFR.

2.3.4 Demographic structure, structural diversity and ecosystem function

Disturbance by fire and herbivory, as well as drought and extreme temperature, create a bottleneck in the demographic structure of savannas, with high mortality of juveniles. The extent of this demographic bottleneck effect causes variation in tree canopy structure in different savannas. In the same way that co-existing tree species are expected to occupy different niche space to produce the positive niche complementarity effect on ecosystem function, it can be assumed that individuals occupying different demographic stages and with different canopy occupancy also occupy different niche space. Thus, demographic and physical structure may represent a form of structural diversity that also influences ecosystem function.

In wet tropical forests () and temperate forests (**Danescu2016**), canopy layer diversity has been shown to increase productivity. Presumably an increase in canopy layer diversity

2.4 Conclusions

Savannas are complex, and savanna vegetation arises as a result of many interacting factors. Despite their complexity tropical savannas are understudied and represent the largest uncertainty in models of the global carbon cycle. Assumptions about the behaviour of tropical savannas cannot be made based on other tropical forested ecosystems, mainly due the pervasive role of disturbance and resource scarcity as drivers of ecosystem functioning. Previous studies of tropical savannas have focussed predominantly on the role of abiotic environment and disturbance as drivers of ecosystem function, with biodiversity as a passive result of these factors. Biodiversity - Ecosystem Function research reframes the role of biodiversity as both a driver and result of ecosystem function, and provides an intuitive prediction that biodiversity increases ecosystem function. However, BEF research in natural forested ecosystems has shown that both positive and negative biodiversity effects may occur, depending on the function studied and the intensity of environmental stress. It is unclear how biodiversity of tree species in southern African woodlands may affect productivity, but there are multiple reasons why biodiversity effects might be weaker or possibly negative in this biome. This thesis aims to increase our understanding of tree biodiversity in

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY, STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

southern African woodlands, the world's largest savanna, through the lens of the Biodiversity-Ecosystem Function Relationship.

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY, STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

References

- Ahlstrom, A., M. R. Raupach, G. Schurges, B. Smith, A. Arneth, M. Jung, M. Reichstein, J. G. Canadell, P. Friedlingstein, A. K. Jain et al. (2015). ‘The dominant role of semi-arid ecosystems in the trend and variability of the land CO₂ sink’. In: *Science* 348.6237, pp. 895–899. DOI: 10.1126/science.aaa1668.
- Brockhoff, E. G., L. Barbaro, B. Castagnérol, D. I. Forrester, B. Gardiner, J. R. González-Olabarria, P. O’B. Lyver, N. Meurisse, A. Oxbrough, H. Taki et al. (2017). ‘Forest biodiversity, ecosystem functioning and the provision of ecosystem services’. In: *Biodiversity and Conservation* 26.13, pp. 3005–3035. DOI: 10.1007/s10531-017-1453-2.
- Bucini, G. & N. P. Hanan (2007). ‘A continental-scale analysis of tree cover in African savannas’. In: *Global Ecology and Biogeography* 16, pp. 593–605. DOI: 10.1111/j.1466-8238.2007.00325.x.
- Cardinale, B. J., D. S. Srivastava, J. E. Duffy, J. P. Wright, A. L. Downing, M. Sankaran, C. Jouseau, M. W. Cadotte, I. T. Carroll, J. J. Weis et al. (2009). ‘Effects of biodiversity on the functioning of ecosystems: a summary of 164 experimental manipulations of species richness’. In: *Ecology* 90, pp. 854–854. DOI: 10.1890/08-1584.1.
- Clarke, D. A., P. H. York, M. A. Rasheed & T. D. Northfield (2017). ‘Does biodiversity - ecosystem function literature neglect tropical ecosystems’. In: *Trends in Ecology & Evolution* 32, pp. 320–323. DOI: 10.1016/j.tree.2017.02.012.
- Fayolle, A., M. D. Swaine, J. Aleman, A. F. Azihou, D. Bauman, M. te Beest, E. N. Chidumayo, J. P. G. M. Cromsigt, H. Dessard, M. Finkch et al. (2018). ‘A sharp floristic discontinuity revealed by the biogeographic regionalization of African savannas’. In: *Journal of Biogeography* 46, pp. 1–12. DOI: 10.1111/jbi.13475.
- Hector, A. & R. Bagchi (2007). ‘Biodiversity and ecosystem multifunctionality’. In: *Nature* 448, pp. 188–190. DOI: 10.1038/nature05947.
- Jax, K. (2005). ‘Function and “functioning” in ecology: what does it mean?’ In: *Oikos* 111, pp. 641–648. DOI: 10.1111/j.1600-0706.2005.13851.x.
- Liang, J., T. W. Crowther, N. Picard, S. Wiser, M. Zhou, G. Alberti, E.-D. Schulze, A. D. McGuire, F. Bozzato, H. Pretzsch et al. (2016). ‘Positive biodiversity-productivity relationship predominant in global forests’. In: *Science* 354, aaf8957–aaf8957. DOI: 10.1126/science.aaf8957.
- Naeem, S., J. E. Duffy & E. Zavaleta (2012). ‘The functions of biological diversity in an age of extinction’. In: *Science* 336.6087, pp. 1401–1406. DOI: 10.1126/science.1215855.
- Parr, C. L., C. E. R. Lehmann, W. J. Bond, W. A. Hoffmann & A. N. Andersen (2014). ‘Tropical grassy biomes: Misunderstood, neglected, and under threat’. In: *Trends in Ecology and Evolution* 29, pp. 205–213. DOI: 10.1016/j.tree.2014.02.004.
- Pennington, R. T., C. E. R. Lehmann & L. M. Rowland (2018). ‘Tropical savannas and dry forests’. In: *Current Biology* 28.9, R541–R545. DOI: 10.1016/j.cub.2018.03.014.
- Plas, F. van der (2019). ‘Biodiversity and ecosystem functioning in naturally assembled communities’. In: *Biological Reviews* 94, pp. 1220–1245. DOI: 10.1111/brv.12499.
- Ratcliffe, S., C. Wirth, T. Jucker, F. van der Plas, M. Scherer-Lorenzen, K. Verheyen, E. Allan, R. Benavides, H. Bruelheide, B. Ohse et al. (2017). ‘Biodiversity and ecosystem functioning relations in European forests depend on environmental context’. In: *Ecology Letters* 20, pp. 1414–1426. DOI: 10.1111/ele.12849.
- Ratnam, J., W. J. Bond, R. J. Fensham, W. A. Hoffmann, S. Archibald, C. E. R. Lehmann, M. T. Anderson, S. I. Higgins & M. Sankaran (2011). ‘When is a ‘forest’ a savanna, and why does it matter?’ In: *Global Ecology and Biogeography* 20, pp. 653–660. DOI: 10.1111/j.1466-8238.2010.00634.x.
- Ryan, C. M., R. Pritchard, I. McNicol, M. Owen, J. A. Fisher & C. Lehmann (2016). ‘Ecosystem services from southern African woodlands and their future under global change’. In: *Philosophical Transactions of the Royal Society B: Biological Sciences* 371, pp. 1–16. DOI: 10.1098/rstb.2015.0312.

CHAPTER 2. BACKGROUND: THE ECOLOGY, BIODIVERSITY, STRUCTURE, AND FUNCTION OF SOUTHERN AFRICAN WOODLANDS

- Sankaran, M., J. Ratnam & N. P. Hanan (2008). ‘Woody cover in African savannas: The role of resources, fire and herbivory’. In: *Global Ecology and Biogeography* 17, pp. 236–245. DOI: [10.1111/j.1466-8238.2007.00360.x](https://doi.org/10.1111/j.1466-8238.2007.00360.x).
- Sitch, S., P. Friedlingstein, N. Gruber, S. D. Jones, G. Murray-Tortarolo, A. Ahlström, S. C. Doney, H. Graven, C. Heinze, C. Huntingford et al. (2015). ‘Recent trends and drivers of regional sources and sinks of carbon dioxide’. In: *Biogeosciences* 12.3, pp. 653–679. DOI: [10.5194/bg-12-653-2015](https://doi.org/10.5194/bg-12-653-2015).
- Solbrig, O. T., E. Medina & J. F. Silva (1996). *Biodiversity and Savanna Ecosystem Processes*. Berlin, Germany: Springer-Verlag.
- Staver, A. C., S. Archibald & S. A. Levin (2011). ‘The Global Extent and Determinants of Savanna and Forest as Alternative Biome States’. In: *Science* 334.6053, pp. 230–232. DOI: [10.1126/science.1210465](https://doi.org/10.1126/science.1210465).
- Tilman, D. & J. A. Downing (1994). ‘Biodiversity and stability in grasslands’. In: *Nature* 367, pp. 363–365. DOI: [10.1038/367363a0](https://doi.org/10.1038/367363a0).
- Tilman, D., F. Isbell & J. M. Cowles (2014). ‘Biodiversity and ecosystem functioning’. In: *Annual Review of Ecology, Evolution, and Systematics* 45, pp. 471–493. DOI: [10.1146/annurev-ecolsys-120213-091917](https://doi.org/10.1146/annurev-ecolsys-120213-091917).
- Tobner, C. M., A. Paquette, D. Gravel, P. B. Reich, L. J. Williams & C. Messier (2016). ‘Functional identity is the main driver of diversity effects in young tree communities’. In: *Ecology Letters* 19, pp. 638–647. DOI: [10.1111/ele.12600](https://doi.org/10.1111/ele.12600).
- Wright, A. J., W. D. A. Wardle, W. R. Callaway & A. Gaxiola (2017). ‘The overlooked role of facilitation in biodiversity experiments’. In: *Trends in Ecology and Evolution* 32, pp. 383–390. DOI: [10.1016/j.tree.2017.02.011](https://doi.org/10.1016/j.tree.2017.02.011).

Chapter 3

Structural diversity and tree density drives variation in the biodiversity-ecosystem function relationship of woodlands and savannas

Summary

- Positive biodiversity-ecosystem function relationships (BEFRs) have been widely documented, but it is unclear if BEFRs should be expected in disturbance-driven systems. Disturbance may limit competition and niche differentiation, which are frequently posited to underlie BEFRs. We provide the first exploration of the relationship between tree species diversity and biomass, one measure of ecosystem function, across southern African woodlands and savannas, an ecological system rife with disturbance from fire, herbivores and humans.
- We used >1000 vegetation plots distributed across 10 southern African countries, and structural equation modelling, to determine the relationship between tree species diversity and aboveground woody biomass, accounting for interacting effects of resource availability, disturbance by fire, tree stem density and vegetation type.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

- We found positive effects of tree species diversity on aboveground biomass, operating via increased structural diversity. The observed BEFR was highly dependent on organismal density, with a minimum threshold of c. 180 mature stems ha⁻¹. We found that water availability mainly affects biomass indirectly, via increasing species diversity.
- The study underlines the close association between tree diversity, ecosystem structure, environment and function in highly disturbed savannas and woodlands. We suggest that tree diversity is an under-appreciated determinant of wooded ecosystem structure and function.

Keywords: biodiversity, biomass, ecosystem function, forest structure, miombo, savanna, structural equation modelling, woodland.

3.1 Introduction

Understanding the relationship between biodiversity and ecosystem function has become a central endeavour in ecological science, as we seek to predict the consequences of global biodiversity change (Naeem et al., 2012). Over the past two decades, study of the Biodiversity-Ecosystem Function Relationship (BEFR) has grown from small-scale experimental studies mostly in temperate grasslands (Cardinale et al., 2009; Tilman & Downing, 1994; Tilman et al., 2014), to observational studies in natural ecosystems (Plas, 2019). While positive BEFRs which align with theory have been frequently reported, a complex picture has emerged whereby the strength and direction of the BEFR varies depending on the ecosystem studied (Liang et al., 2016), the ecosystem function(s) of interest (Hector & Bagchi, 2007), and the inclusion of environmental covariates in statistical models (Vilà et al., 2005). The goal now should be to study the BEFR in different environmental and ecological contexts, in order to develop an ecosystem-agnostic understanding of the complex interactions between biodiversity, abiotic environment, and ecosystem function.

Ecosystem functions are defined in broad terms as rate processes and aggregate properties of ecosystems that describe the nature of biotic activity within those ecosystems (Jax, 2005). Woody productivity and biomass storage are two of the most commonly studied ecosystem functions in forests and other wooded ecosystems (e.g. savannas) (Brokerhoff et al., 2017), due to their importance

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

in the global carbon cycle (Pan et al., 2011) and their potential leverage as a tool to mitigate the effects of anthropogenic climate change while maintaining biodiversity (Pichancourt et al., 2013). Theory predicts that biodiversity will have a positive effect on ecosystem function via three principal mechanisms: 1) niche complementarity, whereby niche partitioning and ecosystem resource use efficiency is increased in diverse communities, minimising negative density dependent effects such as conspecific competition and abundance of species-specific natural enemies (Barry et al., 2019); 2) selection effects, whereby diverse communities are more likely to include a high-yield species; and 3) facilitation effects, whereby diverse communities are more likely to contain species combinations which enhance each others' functional contribution (Wright et al., 2017).

There has been extended debate on whether positive BEFRs should be expected in all wooded ecosystems (Liang et al., 2016). In temperate and wet tropical forests, where the majority of BEFR studies in natural forest ecosystems have been conducted (Plas, 2019), the mechanism of niche complementarity, which contributes the majority of the observed biodiversity effect (Poorter et al., 2015; Sande et al., 2017; Wright et al., 2017), hinges on the condition that conspecific competition between trees is the limiting factor to ecosystem functioning, but this may not hold true in all systems.

Disturbance-driven mesic savannas and open canopy woodlands cover >20% of the global land surface (Pennington et al., 2018; Solbrig et al., 1996). They represent the dominant vegetation type in Africa, spanning >4 million km² (Hopkins & White, 1987; Ratnam et al., 2011; Ryan et al., 2016) (Figure 3.1). Taken together, the above- and below-ground carbon stored in African mesic savannas may be comparable to that found in the wet forests of the Congo basin (Houghton et al., 2009; Mayaux et al., 2008; Spawn et al., 2020). Globally, dry woodlands and savannas represent the largest, most sensitive and fastest increasing component of the terrestrial carbon sink (Ahlstrom et al., 2015). Yet their carbon dynamics remain poorly constrained (Sitch et al., 2015).

Despite their global importance, African savannas are severely under-represented in BEFR studies (Clarke et al., 2017; Liang et al., 2016). In savannas disturbance by fire (Lehmann et al., 2014) and herbivory (Sankaran et al., 2008; Levick et al., 2009) reduces woody stem density and biomass, diminishing competitive interactions between individuals, allowing competitors to co-exist where they would normally be excluded (Grime, 1979; Keddy, 1990). It is possible that a threshold

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

woody stem density exists below which the lack of competition precludes the detection of a biodiversity effect on ecosystem function via niche differentiation. Instead, stress tolerance and the functional contribution of particular species (selection effects) may be the predominant biotic forces influencing ecosystem function in these ecosystems (Lasky et al., 2014; Tobner et al., 2016). Additionally, stressful environments appear to lessen the role of both niche complementarity and facilitation effects in driving the BEFR, by replacing competition between individuals with stress tolerance as the limiting factor on functional contribution (Ratcliffe et al., 2017; Paquette & Messier, 2010). This potential mismatch in the contribution of different mechanisms to the BEFR among resource-, disturbance-, and competition-limited ecosystems requires further investigation if we are to derive a generalisable BEFR.

A number of studies in miombo woodlands, the dominant savanna type in southern Africa (Campbell, 1996), have found that above-ground woody carbon/biomass stocks correlate positively with tree species richness (McNicol et al., 2018; Shirima et al., 2015; Mutowo & Murwira, 2012). These studies however, lacked the spatial extent required to account for the interacting effects of variation in abiotic environment, disturbance regime, and biogeography which are expected to affect the BEFR. Studies of the BEFR often find that within a small spatial extent ($<2500 \text{ km}^2$), biodiversity shows a strong effect on ecosystem function, but at broader extents ($>100,000 \text{s km}^2$) biodiversity effects pale in significance compared to abiotic factors such as climate (Gonzalez et al., 2020). In West Africa, Mensah et al. (2020) found that woodlands and forests showed a positive effect of tree species richness on above-ground carbon, while sparse savannas did not, implying that tree stem density and the presence of dominant large trees may affect the strength of the observed BEFR. In the Brazilian Cerrado savanna, Loiola et al. (2015) found that disturbance by fire reduced tree productivity via its effect on functional trait values. Furthermore, Carvalho et al. (2014) found that disturbance by fire in the Brazilian Cerrado reduced soil fertility, causing an indirect effect of fire frequency on functional trait diversity via soil fertility. Other studies focussing on the herbaceous diversity in disturbance-prone grasslands in North America and Europe have shown that disturbance by fire, mowing and herbivory reduces herbaceous productivity (Grace et al., 2007), allowing weak competitors to co-exist where otherwise they would be excluded (Mason et al., 2011), thus weakening the observable BEFR. Thus, there is a case

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

that in highly disturbed systems, a relationship between diversity and ecosystem function may not exist at all. While these studies together offer some glimpse into how the BEFR may operate in disturbance-prone systems, none provide a full and comprehensive assessment of the interacting effects of diversity, abiotic environment, disturbance and ecosystem function, particularly for wooded ecosystems. Additionally, due to differences in community assembly, evolutionary history and contemporary drivers between the neotropics and the African tropics, inferences from one continent cannot necessarily be applied to the other (Dexter et al., 2015).

In this study, we make the first known estimation of the Biodiversity-Ecosystem Function Relationship (BEFR) in disturbance-driven wooded ecosystems (savannas and woodlands), using southern Africa as our study region. We aim to understand the synergistic effects of environmental and biotic drivers of variation in the BEFR, with a view to creating a general model of the BEFR in disturbance-prone wooded ecosystems, which is currently lacking in the BEFR literature. We posit three hypotheses: (1) water availability and soil fertility will indirectly positively affect woody biomass via an increase in tree species diversity, (2) the effect of tree species diversity on woody biomass will increase with organismal density (number of stems ha^{-1}), with competitive interactions becoming more pronounced as stem proximity increases. Relatedly, we expect that an increase in disturbance by fire will decrease organismal density and therefore competition, weakening the effect of tree species diversity on woody biomass. Finally, we expect that (3) tree species diversity will increase tree structural diversity due to inter-specific variation in size strategy (i.e. physiognomic diversity), providing an indirect path by which tree diversity increases woody biomass.

3.2 Materials and Methods

3.2.1 Study location

The study used 1235 woodland monitoring plots from a larger pool of 5395 plots in the larger SEOSAW database (SEOSAW, 2020), located across 10 countries within southern Africa in the miombo ecoregion (Figure 3.1, Hopkins & White, 1987). The study area spans the core climate space of the region, with a precipitation gradient from c. 460 mm y^{-1} in southern Mozambique and southern

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

Zimbabwe to c. 1700 mm y^{-1} in northern Zambia, Malawi and northern Mozambique. A 2D convex hull of Mean Annual Precipitation (MAP) and Mean Annual Temperature (MAT) of the study sites covers 96.5% of the pixel-wise climate space of the miombo woodland ecoregion (Hopkins & White, 1987), using WorldClim estimates of Mean Annual Temperature (MAT, BIO1) and Mean Annual Precipitation (MAP, BIO12) between 1970 and 2000 with a pixel size of 30 arc seconds (926 m at equator) (Fick & Hijmans, 2017).

Plots were chosen from the SEOSAW database based on the quality and completeness of data collection, and plot configuration. Plot vegetation was identified under the broad term of ‘savanna’, which includes ‘woodland’, ‘savanna woodland’, and ‘tree savanna’, variously defined in other areas of the scientific literature and here referred to collectively as southern African woodlands, or savannas (Ratnam et al., 2011; Hill & Hanan, 2011). Plots with evidence of farming, human resource extraction, experimental treatments such as prescribed burning or herbivore exclusion, or containing termite mounds were excluded from the initial pool. Only plots >0.1 hectares were used in analyses, as area-based biomass estimation from small plots is highly influenced by rare large trees (Stegen et al., 2011), leading to inaccurate biomass estimates. Only plots with a stem density >50 trees ha^{-1} (>10 cm stem diameter) were used, to ensure all plots represented woodland rather than ‘grassy savanna’, which is considered here a separate biome with very different species composition (Parr et al., 2014). 3760 plots within the SEOSAW database were arranged in clusters of four 20x50 m plots, with 20 m between plots. Plots within each spatial cluster were combined and treated as a single plot in analyses, resulting in 940 aggregate plots which were then subject to the plot filtering process described above.

3.2.2 Data collection

We considered only trees and shrubs in our calculations of Above-Ground woody Biomass (AGB), including woody species such as palms and cycads, which are functionally tree-like. Woody lianas are scarce in our study plots and were not measured. Only living stems >10 cm DBH (Diameter at Breast Height, 1.3 m) were included in analyses. Many plots in the dataset did not include data on stems <10 cm DBH. For those plots which contained stem measurements <10 cm DBH, small stems only accounted for a median of 2.1% of the plot AGB.

All stems >10 cm DBH were measured within each plot resulting in a total

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

of 66,758 stems with measurements. A tree may be comprised of multiple stems and so tree-level richness estimates, rather than stem-level estimates, were used to prevent bias from species which readily coppice. For each tree, we recorded species, tree height to the top of the highest branch material, and the DBH of each stem >10 cm DBH. Height was measured through a variety of means including laser rangefinders, manual clinometers and measuring sticks. When stem DBH could not be reliably measured at 1.3 m due to trunk abnormalities, it was measured at the closest regular portion of the trunk to 1.3 m. The height of this measurement was used to estimate diameter at 1.3 m using a cubic polynomial regression to account for stem taper, with parameters estimated using a test dataset from Ryan C., (unpublished), see Godlee et al. (2020).

AGB for each plot ($t \text{ ha}^{-1}$) was calculated as the sum of the AGB of each stem >5 cm DBH (AGB_i), divided by the plot area, using Equation 3.1 taken from Chave et al. (2014):

$$AGB_i = 0.0673 \times (\rho D^2 H)^{0.976} \times 1000 \quad (3.1)$$

where ρ is the species mean wood density (g cm^{-3}), D is the stem diameter (cm) measured or estimated at 1.3 m, and H is the tree height (m). Wood density estimates were taken from the global wood density database for each species where possible (Chave et al., 2009; Zanne et al., 2009). Wood density for species without species level estimates was estimated from the means of their respective genera. For stems where tree height was unknown, the plots' climatic parameters, estimated from plot location, were used to estimate tree height, according to Chave et al. (2014).

Climatic data were taken from the WorldClim database, using the BioClim variables (Fick & Hijmans, 2017). In addition to MAT and MAP, temperature stress was calculated as the mean diurnal temperature range (BIO2) and precipitation seasonality was calculated as the mean of the coefficient of variation of monthly mean precipitation (BIO15). Soil fertility data were extracted from the ISRIC gridded soil information data product at 250 m resolution, taking the grid cell value for each plot centre (Hengl et al., 2017). We extracted Cation Exchange Capacity (CEC) (cmolc kg^{-1}), soil organic carbon stocks (kg m^{-2}) percentage soil sand content (0.05-2 mm) by weight and soil nitrogen content (g kg^{-1}). These data are a modelled product derived from various remotely sensed and directly measured data sources. The degree of fire disturbance was calculated using the

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

MODIS monthly burned area product at 500 m resolution (MCD64A1, Giglio et al. 2015), counting the total number of times the plot pixel was classified as burning, between 2001 and 2018. We initially aimed to include disturbance by herbivory in our model, including total herbivore biomass from the Hempson et al. (2017) modelled herbivory product, but this inclusion prevented models from converging due to its collinearity with other observed variables, notably MAP and disturbance by fire.

3.2.3 Data analysis

Species diversity and structural diversity metrics

Estimated tree species richness was calculated for each plot using ‘ChaoRichness()’ from the ‘iNEXT’ package in R (Hsieh et al., 2016). This procedure uses Hill numbers of the order $q = 0$ to extrapolate a species rarefaction curve to its predicted asymptote and uses this value as its estimated species richness value (Jost, 2006). Extrapolated species richness accounts for variation in plot size present in the dataset (0.1-10 ha) and therefore sampling effort among plots. Larger plots will tend to encompass more individuals, and therefore more species (Dengler, 2009). To measure tree species evenness, the Shannon Equitability index ($E_{H'}$) (Smith & Wilson, 1996) was calculated as the ratio of the estimated Shannon diversity index to the natural log of estimated species richness. Abundance evenness allows for greater niche complementarity at small scales due to potentially increased heterogeneity of functional traits. In terms of the theory of niche complementarity, both species richness and abundance evenness contribute to the positive total diversity effect on ecosystem function. Holding either species richness or abundance evenness constant while increasing the other will have the similar effect of reducing the likelihood that a neighbour is a conspecific, thus reducing the occurrence of negative density dependent competition effects and therefore increasing ecosystem function. We quantified tree structural diversity for each plot by calculating the Coefficient of Variation of DBH (DBH CoV) and tree height (Height CoV).

Vegetation clusters

Plots were assigned to vegetation type groups based on tree species composition. Groups were defined in a manner adapted from Fayolle et al. (2018) in an

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

Africa-wide analysis of floristic units using plot data in savannas and woodlands with tree species diversity and relative abundance data. Group identification was conducted using unconstrained correspondence analysis, followed by hierarchical clustering based on dominant ordination axes. Plot data used in this study occurred in four compositional vegetation types. See Table 4.1 for a description of each vegetation cluster and Figure 3.1 for the spatial distribution of plots from each of these clusters. Cluster names were assigned post-hoc based on the dominant and indicator species in each cluster.

Structural Equation Modelling

We used Structural Equation Modelling (SEM) to investigate the determinants of AGB. All SEMs were constructed and analysed in the ‘lavaan’ package (Rosseel, 2012) in R version 3.6.0 (R Core Team, 2019). SEM was used because of its suitability for modelling complex causal interactions in ecological systems (Lee, 2007). A key aspect consideration in our decision to use SEM is that they can explicitly model and partition variance attributed to indirect effects, which is challenging in standard multiple regressions. Using SEMs also allowed us to describe latent variables such as ‘water availability’, ‘soil fertility’, and ‘disturbance’ which have been suggested to act upon biodiversity and biomass/productivity in previous studies despite these factors not having directly observable measures in our dataset. SEM is also necessary to properly account for potential feedback mechanisms between aspects of environment and tree species diversity, which could otherwise increase the chances of Type I error and wrongly attribute inference due to the covariance of explanatory variables when using conventional regression analyses (Nachtigall et al., 2003).

We specified a conceptual model with factors expected to affect AGB: water availability, soil fertility, disturbance, tree species diversity, tree structural diversity and stem density (Figure 3.2).

Observed variables were transformed to achieve normality where necessary and standardised to Z-scores prior to analysis (Figure S1, Figure S2). Standardisation allows path regression coefficients to be easily compared between paths in the same model to assess their relative effect size, and eliminates confusion in model interpretation arising from the observed variables being on different scales (Beaujean, 2014). Standardisation also controls for variables with variation across different orders of magnitude, which could otherwise prevent adequate model es-

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

timation from the covariance matrix in ‘lavaan’. To ensure that observed variables within a latent variable had consistent directions of influence, some observed variables had their sign reversed. For example, overall water availability is expected to decrease as soil sand content increases, therefore sand content was reversed for use in the water availability latent variable. Precipitation seasonality, and temperature stress were also reversed in this way to account for the direction of their effect on water availability.

The factor loadings of the observed variable assumed to contribute most to each latent variable were set to one, as per convention, with other observed variables being allowed to vary (Beaujean, 2014). We tested the robustness of our assumptions with a chi-squared test of all possible combinations of observed variable factor loadings set to one, while ensuring no factor loadings were in excess of one. We found no significant difference between model specifications ($p > 0.05$). Full Information Maximum Likelihood (FIML) was used in each model to estimate the values of missing data in each latent variable (Cham et al., 2017).

First, we used a simple mediation model which excluded the environmental covariates, to assess the role of tree species diversity and tree structural diversity in determining AGB. This model allowed direct effects of species diversity, structural diversity, and stem density on AGB, and also the indirect effect of species diversity on AGB via structural diversity. To explore variation in the model among woodland vegetation types, we fit the model both at the regional scale and for each vegetation type separately. We compared unstandardised path coefficients among the models for different vegetation types to understand the effect that vegetation type has on the relationship between tree species diversity, structural diversity, stem density and AGB. Path coefficients show the effect of a given path with other paths held constant. Models were estimated using the ‘MLM’ estimator, because it is robust to multivariate non-normality (Shapiro, 1983). Model fit was evaluated using the robust Comparative Fit Index (CFI), the robust Tucker Lewis Index (TLI), the Root Mean Squared Error of Approximation (RMSEA) and the R^2 coefficient of determination for AGB. We critically assessed model fit in each case, taking into consideration the recommendations of Hu & Bentler (1999) who define threshold values of acceptability for these model fit indices: CFI > 0.85 , TLI > 0.85 , RMSEA < 0.15 , alongside our judgement of the model estimates.

To explore the hypothesis that biodiversity effects on ecosystem function in-

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

crease in strength as stem density increases, we repeatedly sub-sampled the available plot dataset to create 50 data subsets with similar stem density. For each data subset we separately fitted a model including tree species and structural diversity latent variables to predict AGB. As we controlled for stem density via the dataset sub-sampling process, the effect of stem density on AGB was not included in the model. We examined how the unstandardised path coefficients for each path in the SEM varied according to the median stem density of the data subsets.

Second, we fitted the full model with environmental covariates, to understand the relative effects of water availability, soil fertility and disturbance on AGB, both directly and indirectly via species diversity and stem density. We compared standardised path coefficients among paths in the model to understand the relative contribution of each path to explain variance in AGB. Due to sample size issues, and because some vegetation types were narrow in their climate space, particularly in the water availability latent variable, we could not fit the model including environmental covariates separately for each vegetation type, as we encountered issues with model convergence. Preliminary models that included herbivore biomass (Hempson et al., 2017) did not converge. This is possibly due to the spatially coarse nature of the available data, or to collinearity with other variables, notably MAP and fire frequency. We therefore did not include herbivory in our final model.

3.3 Results

Pairwise correlations between all observed variables used in the Structural Equation Models (SEMs) showed that all tree species diversity (extrapolated tree species richness, Shannon equitability index) and structural diversity (coefficients of variation of DBH and height) variables had moderate positive correlations with AGB (Figure 3.3, Figure S3). Stem density had the strongest correlation with AGB of all variables considered ($r = 0.59$, $p < 0.01$). Environmental variables had weaker correlations with AGB than diversity variables, with all environmental variables having significant correlations with AGB, except fire frequency. The direction of these correlations was used as a test of our assumptions for the direction of influence of latent variables later used in the SEMs. MAP had positive correlations with all tree species diversity and structural diversity variables. Tree

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

species diversity variables had clear positive correlations with stem density (species richness: $r = 0.24$, $p < 0.01$; Shannon equitability: $r = 0.58$, $p < 0.01$), but structural diversity variables showed weak correlations with stem density (DBH CoV: $r = 0.11$, $p < 0.01$, Height CoV: $r = 0.01$, $p = 0.86$).

3.3.1 Structural and species diversity models

In the reduced SEM, which included stem density and the mediating effect of species diversity on AGB via structural diversity (Figure 3.4), species diversity showed no direct effect on AGB ($\beta = 0.01 \pm 0.053$, $p = 0.88$), but did have an indirect positive effect via structural diversity ($\beta = 0.18 \pm 0.039$, $p < 0.01$) (Figure 3.4). Model fit was good with high factor loadings for all observed variables. All other path coefficients were significant ($p < 0.01$) (Table 3.2). The R^2 of AGB was 0.49. The strongest direct effect on AGB was from stem density ($\beta = 0.5 \pm 0.033$, $p < 0.01$).

3.3.2 Variation among vegetation types

When the tree species and structural diversity model (Figure 3.4) was refitted separately using data from each of the four vegetation types, we found that the effect sizes of each latent variable remained largely similar, though model fit varied. The direct effect of tree species diversity on AGB was positive and marginally significant in ex-Acacia ($\beta = 0.16 \pm 0.121$, $p = 0.18$) but negligible in Mopane ($\beta = 0.24 \pm 0.099$, $p < 0.05$), sparse miombo / *Baikiaea* ($\beta = 0.23 \pm 0.045$, $p < 0.01$) and Core miombo ($\beta = 0.23 \pm 0.041$, $p < 0.01$) (Figure 3.5). Relationships among structural diversity and AGB remained generally similar, with the same sign and overlap between the 95% confidence intervals of path coefficients. The R^2 of AGB was highest in ex-Acacia shrubland ($R^2 = 0.83$) and lowest in sparse miombo / *Baikiaea* ($R^2 = 0.46$). The total effect of species diversity on AGB remained strongly positive and there was a positive direct effect of species diversity on structural diversity, across all vegetation types. All models had adequate goodness-of-fit (Table 3.2), though confidence intervals around the unstandardised path coefficients were wide particularly for Mopane and ex-Acacia. χ^2 statistics were high for some vegetation types, but this appears to be highly correlated with sample size for each vegetation type (Hooper et al., 2008).

3.3.3 Moderation of Diversity-AGB relationship by stem density

In the sub-sampling of the plot dataset by stem density, we found an increasing positive effect of tree species diversity on AGB as stem density increased (Figure 3.6e). There appears to be a minimum stem density threshold at c. 180 stems >10 cm DBH ha⁻¹ below which there appears to be a reasonably constant baseline effect of tree diversity on biomass (Figure 3.6b). The effect of structural diversity on AGB appears to remain constant with increasing stem density (Figure 3.6d). The indirect effect of tree species diversity on AGB via structural diversity increases as stem density increases (Figure 3.6c).

3.3.4 Environmental covariates and tree diversity

A model incorporating the latent variables of water availability, soil fertility and disturbance by fire showed that the total effect of tree species diversity on biomass was similar to that of water availability, soil fertility and disturbance (Figure 3.7, Figure S4). The direct effects of water availability, soil fertility and disturbance on AGB were negligible (water: $\beta = 0.1 \pm 0.13$, $p = 0.43$, soil: $\beta = 0.1 \pm 0.155$, $p = 0.51$, disturbance: $\beta = -0.04 \pm 0.043$, $p = 0.32$), with nearly all of their observed effects on AGB coming from the indirect paths via stem density (water: $\beta = 0.14 \pm 0.091$, $p = 0.12$, soil: $\beta = -0.22 \pm 0.109$, $p < 0.05$, disturbance: $\beta = -0.12 \pm 0.03$, $p < 0.01$) and species diversity (water: $\beta = 0.62 \pm 0.172$, $p < 0.01$, soil: $\beta = -0.24 \pm 0.209$, $p = 0.26$, disturbance: $\beta = 0.19 \pm 0.058$, $p < 0.01$). MAP and soil sand content had the greatest contributions to the latent variable of water availability. Model fit was acceptable: CFI = 0.925, TLI = 0.900, and RMSEA = 0.153, R² of AGB = 0.34.

Similar to the model that only considered tree species and structural diversity (Figure 3.4), the direct effect of species diversity on structural diversity was positive, while structural diversity itself had a positive effect on AGB, leading to a strong positive indirect effect of species diversity on AGB via structural diversity ($\beta = 0.19 \pm 0.026$, $p < 0.01$) when environmental covariates were accounted for. Again, the direct effect of species diversity on AGB was negligible ($\beta = -0.05 \pm 0.041$, $p = 0.27$). The total effect of species diversity on AGB was positive ($\beta = 0.34 \pm 0.044$, $p < 0.01$). Compared to the simple model with no environmental covariates, the total explanatory power of tree species diversity and structural di-

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

versity in this model decreased, but the predictive power of the model as a whole increased.

3.4 Discussion

We assessed the importance of a) tree species diversity, b) tree structural diversity, c) resource availability, d) disturbance by fire, e) organismal density and their interactions on above-ground woody biomass (AGB) across southern African savannas and woodlands, using a network of 1235 woodland plots in conjunction with Structural Equation Modelling (SEM). We found support for a general positive relationship between tree species diversity and AGB, operating indirectly via structural diversity (H_1). Tree species diversity, structural diversity and stem density accounted for 49% of the variation in AGB across the region, while models for specific vegetation types showed even greater explanatory power in some cases (Table 3.2). Within the latent variable of tree species diversity we found similarly strong factor loadings for both species richness and abundance evenness. This demonstrates that species richness and abundance evenness measure different and largely uncorrelated axes of diversity. We found that the effect of tree species diversity on AGB increased with stem density (H_2), with an apparent threshold of 180 stems >10 cm DBH ha^{-1} , below which the effect of species diversity on AGB remained at a low baseline level. The strongest direct effect on AGB was that of stem density. When the effects of water availability, soil fertility and disturbance by fire were controlled for, the total explanatory power of tree species diversity and structural diversity decreased, but the predictive power of the model increased, suggesting that it is important to control for environmental covariates to understand the true effect of tree species diversity on AGB in regional scale assessments of the BEFR.

3.4.1 Inter-related effects of tree species and structural diversity on AGB

We found a consistent positive effect of tree species diversity on AGB. Within southern African woodlands we therefore find support for the hypothesis that higher tree species richness and evenness leads to higher above-ground woody biomass. This finding is in agreement with many other studies across different

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

ecosystems and biomes, supporting the idea that there is a generalisable positive association between biodiversity and ecosystem function (Liang et al., 2016; Cardinale et al., 2009). Our study provides a novel dissection of the mechanisms underlying this relationship, particularly in the context of southern African woodlands, a disturbance-driven and poorly studied ecological system.

Much of the total variation in AGB was driven by variation in organismal density. It is possible that within southern African woodlands a higher species diversity allows for a higher stem density through niche separation, which reduces competition between species occupying varying niche space, leading to an increase in total AGB per unit area. The opposite causation is also plausible however, with increased stem density causing higher species richness through an increased probability of encountering new species. We attempted to correct for the correlation between species richness and stem density using extrapolated species richness, which extrapolates a rarefaction curve to its predicted asymptote, thus estimating the total landscape-level species richness which is independent of plot size and stem density. We suggest therefore that an increase in tree species diversity through species richness and evenness produces an assemblage of species which can utilise more available light and moisture, resulting in greater plot-level AGB. This is supported by the moderately strong indirect positive effect of tree species diversity on AGB via structural diversity, and the positive effect of water availability on AGB via stem density in the model which included environmental covariates.

We found evidence that tree species diversity led to an increase in AGB indirectly via tree structural diversity, and we therefore find support for our second hypothesis H₂. A higher tree species diversity allows for a greater structural diversity of trees, i.e. greater variation in DBH and height. This may act as a mechanism for niche complementarity, with a canopy of diversely-sized trees able to take advantage of a greater proportion of the available light. Additionally, the volume of tree above-ground structures is generally correlated with the volume of below-ground structures (Paul et al., 2019). In water and nutrient limited ecosystems especially, variation in rooting depth may constitute a second related axis of niche partitioning driving the observed positive effect of above-ground structural diversity on AGB (Kulmatiski & Beard, 2013). Although we did not measure them here, we would also expect that tree species diversity allows for a greater range of tree functional forms (Pretzsch, 2014), i.e. wider variation in canopy

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

shape and overall growth form; broad flat crowns vs. narrow deep crowns, for example. In forests, where the tree canopy is effectively closed, as the stand matures a more diverse canopy emerges via competition and tree mortality events which open canopy gaps (Muscolo et al., 2014). Indeed, our finding that the strength of the effect of tree diversity on AGB increases with stem density supports this mechanism (Figure 3.6). At low stem densities, competition between mature trees may not occur, meaning that the niche complementarity effect provided by an increase in tree species richness may not be present, accounting for the small effect of tree species diversity on AGB below c. 180 trees ha⁻¹. In frequently disturbed woodlands such as those studied here, a woodland canopy similar to that of a forest is frequently not reached. Instead, a simple open canopy is maintained that can be made more complex and productive via an increase in species diversity.

Alternatively, due to the non-linear relationship between biomass and tree size (Bastin et al., 2018), the positive relationship between structural diversity and biomass may also be partly driven by an increased number of large sized trees in plots with higher structural diversity, with large trees contributing disproportionately to biomass. The positive effect of species diversity on AGB via structural diversity may therefore be due to selection effects, with higher diversity plots supporting larger trees due to species specific variation in functional form (Díaz et al., 2015).

3.4.2 Organismal density and disturbance

Disturbance by fire had a negative total effect on AGB, with most of this negative effect coming from the indirect pathway via stem density. This is expected as increased fire frequency is a key mechanism by which savannas maintain an open canopy, rather than shifting to a closed canopy forest (Staver et al., 2011). Previous studies have found that southern African woodlands with higher species diversity tend to experience less frequent disturbance by fire and tend to form a more closed canopy with a sparse understorey (Chidumayo, 2013; Mutowo & Murwira, 2012). In our study however, we found a positive effect of fire frequency on species diversity, perhaps suggesting that disturbance prevents domination of woodlands by a single dominant species (Chidumayo, 2013; Durigan et al., 2020; Staver et al., 2009). It is suggested that in savannas where the tree-species pool is largely adapted to fire, increased fire may actually increase tree species diversity

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

by allowing weak competitors to co-exist.

Disturbances such as fire have the potential to reduce both species diversity and above-ground biomass in the short term, due to increased mortality (Huston, 2014). Unless this effect is accounted for, there is the potential for mistaken causality as both diversity and biomass may correlate. In our model, time since disturbance is accounted for within each plot via the stem density term. Disturbance reduces stem density of large stems (>10 cm DBH), which is expected to increase until the effects of competition preclude further increase (Johnson et al., 2012). Furthermore, our rarefied measure of species diversity accounts for variation in sampling effort and is therefore independent of stem density. Tree species richness should also increase with time since disturbance as with increased stem density the likelihood of including a new species also increases. Outside of the stem density effect, there are multiple causes for variation in tree species diversity in this study. Vegetation types and localities differ in their available species pool, for example. Variation in abiotic environmental factors will also affect species accumulation.

3.4.3 Effects of water availability and soil fertility

Water availability had a positive total effect on AGB, comparable in size to the total effect of tree species diversity on AGB, while soil fertility had a negative total effect. We expected that higher water availability and soil fertility would lead to higher AGB under the assumption that higher resource availability would allow for a greater stem density per unit area, greater productivity per unit area and additionally greater tree species diversity due to niche partitioning (Kraaij & Ward, 2006; Shirima et al., 2015). Previous studies in tropical forests have shown that water availability increases AGB both directly and indirectly via increasing tree species diversity and via increasing stand structural diversity (Ali et al., 2019a; Ali et al., 2019b; Poorter et al., 2017). In this study, we observed indirect positive effects of water availability on AGB via species diversity and a positive but only marginally significant direct effect on AGB. Compared to moist tropical forests, water availability is more of a limiting factor to tree growth in southern African woodlands, which experience frequent drought.

A negative total effect of soil fertility on AGB is in contrast to other studies in the region and general ecological theory, which predicts a positive effect of soil nutrients on biomass (Scarascia-Mugnozza et al., 2000). The negative total effect

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

of soil fertility on AGB was driven mostly by an indirect negative effect via stem density. The direct effect on AGB however, remained positive and marginally significant, as expected. Model estimates of the effect of soil on AGB were poorly constrained compared with other latent variables. This wide standard error on the model predictions is possibly due to the coarseness and nature of the soil data we used. SoilGrids provides modelled data at 250 m resolution, while soil structure and nutrient content varies at much finer scales in southern African woodlands (Muledi et al., 2017; Bucini & Hanan, 2007). It is therefore not surprising that this model path is poorly constrained. Lehmann et al. (2014) found similarly weak and poorly constrained relationships for soil in a Structural Equation Model including precipitation, temperature, soil, and fire to predict tree basal area in southern African woodlands. Plot-specific soil data are time-consuming to collect and difficult to compare across studies when different protocols are used. Our study points to the need for further effort in this regard, which may reveal interesting findings about the complex interactions between soil, disturbance and tree diversity in southern African woodlands. Alternatively, Gourlet-Fleury et al. (2011) found that environmental filtering of fast-growing species with low wood density on resource poor soils resulted in a decoupling of the soil fertility - AGB relationship. It is possible that at regional scales, variation in species composition could offset resource availability constraints on AGB. However, unlike Gourlet-Fleury et al. (2011) disturbance by fire in our study region may further complicate this environmental filtering effect.

3.4.4 Vegetation type responses

All four vegetation types produced similar results in the simple SEM, with a positive total effect of species diversity on AGB, the majority being indirectly via structural diversity. This demonstrates the robustness of our results, showing they are generalisable across vegetation types in southern Africa. It also demonstrates that similar ecosystem processes are occurring in these vegetation types, despite variation in species composition, overall species richness and mean biomass.

Core miombo and sparse miombo / Baikiaea woodland vegetation exhibited a small negative direct effect of tree species diversity on AGB, while the total effect, incorporating the indirect effect via structural diversity, remained positive in these vegetation types. Compared to ex-Acacia and Mopane woodlands, miombo woodlands have higher median tree species richness. Ex-Acacia and Mopane

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

woodlands are dominated by fewer tree species, notably *Senegalia* spp. in ex-Acacia woodlands and *Colophospermum mopane* in Mopane woodlands, which can produce large canopy dominating trees in the so-called “Cathedral mopane”. We postulate that the slight negative effect of tree species richness on AGB in miombo woodlands may be due to an increase in interspecific competition through canopy crowding, but that this effect is not present in ex-Acacia and Mopane woodlands, where the top level of the woodland canopy is dominated often by a single species.

Higher functional redundancy among tree species in miombo woodlands may lead to smaller trees with lower AGB in the most diverse plots, more resembling thicket vegetation and suppressing the few species which tend to create high biomass, such as *Julbernardia* and *Brachystegia* spp.. In the species-poor Mopane and ex-Acacia woodlands however, the addition of extra species may fill a greater proportional niche space, thus increasing total AGB more.

Despite Mopane woodland having very low species diversity generally, with often monospecific stands (Timberlake et al., 2010), a positive effect of tree species diversity on AGB was observed. In previous studies across multiple biomes it has been found that the effect of adding species on ecosystem function is stronger in low diversity assemblages (Cardinale et al., 2006; Srivastava & Vellend, 2005). This has been attributed to an increase in functional redundancy as species diversity increases. Mopane woodlands also have a negligible effect of species diversity on structural diversity. This may be due to the particular functional forms of species which co-exist with *C. mopane*, many of which are small shrub-like trees rather than large canopy trees (Timberlake et al., 2010). Larger canopy trees tend to have greater variation in physical structure (Seidel et al., 2019) which would drive an effect of species diversity on structural diversity as we observed in miombo woodlands.

Ex-Acacia woodlands showed the strongest total effect of species diversity on AGB and was the only vegetation type to show a significant positive direct effect of species diversity on AGB. Ex-Acacia woodlands also had relatively low median species richness compared to miombo, but the addition of new species appears to make a larger difference to the AGB of these plots than in Mopane woodlands. We suggest that this is due mostly to the particular identity of species found in ex-Acacia woodlands and their contribution to ecosystem functioning. Unlike Mopane woodlands, ex-Acacia woodlands contain a wider variety of species which

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

can grow to large canopy trees, albeit at low densities, especially in transition zones with miombo woodlands. Additionally, many more species species in ex-Acacia woodlands are found in the Mimosoideae and Papilioideae sub-families, of which most are nitrogen-fixing (Tdersoo et al., 2018). Nitrogen availability is often a limiting factor in productivity, making nitrogen-fixing species strong competitors. It is possible that in ex-Acacia dominated woodlands, the presence of a large number of nitrogen-fixing tree species reduces functional redundancy, meaning that the effect of adding species on ecosystem function saturates at a higher species richness.

3.5 Conclusions

In this study we found that even in highly disturbed southern African woodlands, there exists a generalisable positive association between tree species diversity and ecosystem function, quantified as above-ground woody biomass (AGB). Our findings contribute to our understanding of a universal biodiversity-ecosystem function relationship, one which is moderated in a predictable manner by environmental covariates and their interaction with biodiversity and ecosystem structure. We found that the multiple vegetation types which comprise southern African woodlands exhibit similarities in the relationship between species diversity and woody biomass, suggesting that similar processes operate across the region to determine ecosystem function. We advocate for explicit inclusion of environmental covariates in regional scale models of biodiversity and ecosystem function. We assert that this is necessary to develop our understanding of the biodiversity-ecosystem function relationship in real-world ecosystems, to progress from experimental mesocosms. We found that much of the effect of species diversity on biomass exists as an indirect effect by increasing the structural diversity of trees, exemplifying a key mechanism by which tree species diversity determines ecosystem function in savannas, woodlands and forests, where trees comprise a significant, canopy-forming component. The presence of a stem density threshold above which the effect of tree species diversity on AGB increases clearly implies the presence of niche complementarity effects in southern African woodlands, an aspect which has often been overlooked in previous studies despite its intuitive logic as a determinant of niche complementarity effects in wooded ecosystems. Our study shows that biodiversity change through extensive human-induced land use

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

change in this region will have the greatest negative impact on ecosystem function in areas of high stems density, and in certain vegetation types, specifically Mopane and ex-Acacia woodlands. This raises concerns about the robustness of these ecosystems to further resource extraction and biodiversity loss. Finally, our results provide further evidence of the complex interaction of factors governing biomass and therefore carbon dynamics in disturbance-driven wooded ecosystems, which currently represent the greatest uncertainty in the global terrestrial carbon sink.

3.6 Tables

Table 3.1: Description of the biogeographical clusters to which each plot in the study was assigned. Indicator species were generated using Dufrene-Legendre indicator species analysis (Dufrêne & Legendre, 1997) implemented with `indval()` from the `labdsv` R package (Roberts, 2019) and represent species which define the given cluster. Dominant species were identified by choosing the species with the largest mean plot level proportional AGB (Above-Ground woody Biomass) within each cluster. N = number of plots in cluster. Numeric values of species richness, stems ha⁻¹ and AGB represent medians and interquartile ranges (75th percentile - 25th percentile).

	Cluster	Dominant species	Indicator species	N	Species Richness	Stem density (stems ha ⁻¹)	AD-D VERSIT ECOSYSTEM SAVANNA DENSITY DRIVES
68	Core miombo	<i>Brachystegia spiciformis</i> <i>Julbernardia paniculata</i> <i>Brachystegia boehmii</i>	<i>Parinari curatellifolia</i> <i>Uapaca kirkiana</i> <i>Brachystegia spiciformis</i>	523	20(16.9)	204(142.5)	41 61 33
	ex-Acacia	<i>Spirostachys africana</i> <i>Senegalia burkei</i> <i>Senegalia nigrescens</i>	<i>Euclea racemosa</i> <i>Vachellia nilotica</i> <i>Spirostachys africana</i>	188	12(10.3)	181(166.5)	56 61 33
	Mopane	<i>Colophospermum mopane</i> <i>Androstachys johnsonii</i> <i>Kirkia acuminata</i>	<i>Colophospermum mopane</i> <i>Psuedolachnostylis maprouneifolia</i> <i>Lannea discolor</i>	58	10(10.2)	186(125.6)	42 73 33
	Sparse miombo / Baikiaeae	<i>Baikiaea plurijuga</i> <i>Burkea africana</i> <i>Pterocarpus angolensis</i>	<i>Burkea africana</i> <i>Baikiaea plurijuga</i> <i>Pterocarpus angolensis</i>	466	12(13.7)	178(129.5)	36.9 26.98 N

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

Table 3.2: Model fit statistics for Structural Equation Models investigating the effects of tree diversity and stem density on AGB (Figure 3.4). N = number of plots in cluster, χ^2 = Chi-squared fit statistic, DoF = model degrees of freedom, CFI = Comparative Fit Index, TLI = Tucker-Lewis Index, RMSEA = Root Mean Square Error of Approximation, R^2 AGB = R-squared of AGB (Above-Ground Biomass).

Cluster	N	χ^2	DoF	CFI	TLI	RMSEA	R^2 AGB
Core miombo	523	78.670	6	0.904	0.759	0.140	0.490
ex-Acacia	188	9.570	6	0.952	0.879	0.130	0.830
Mopane	58	19.880	6	0.834	0.584	0.240	0.510
Sparse miombo / Baikiaea	466	43.870	6	0.914	0.784	0.130	0.580
All	1235	91.380	6	0.937	0.843	0.120	0.490

3.7 Figures

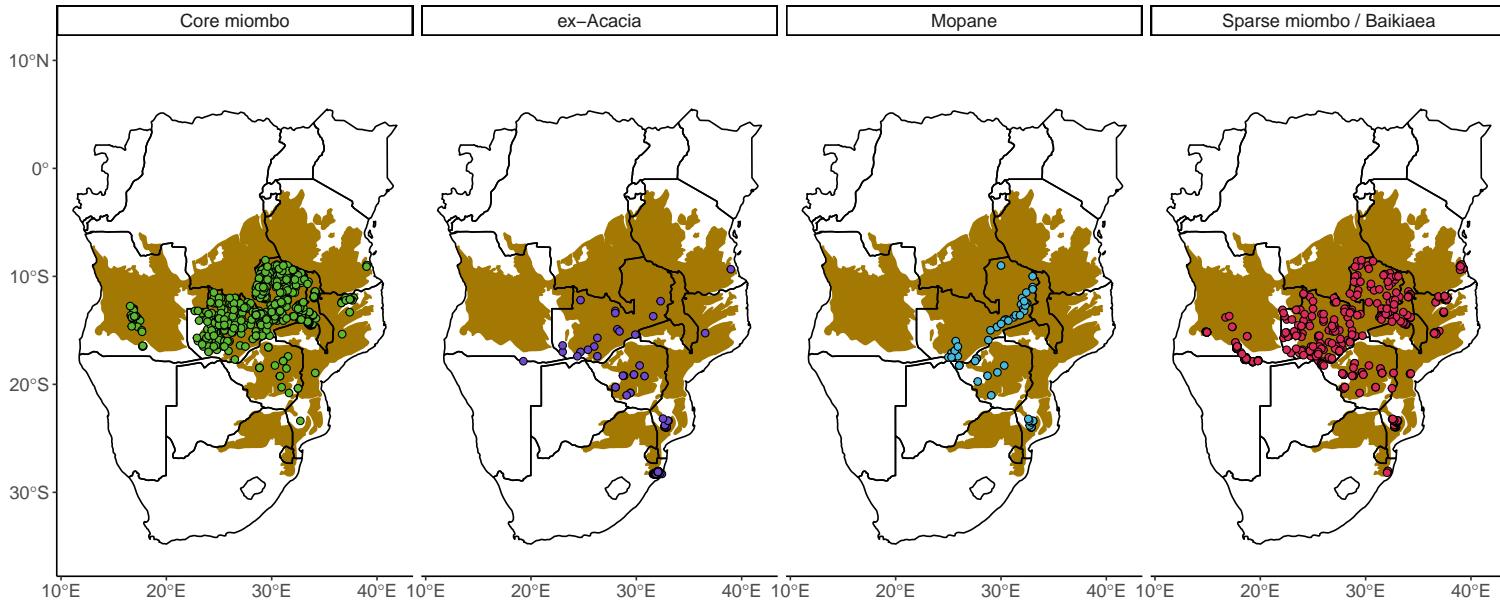


Figure 3.1: The locations of the 1235 plots used in this study, with respect to the distribution of mesic savanna vegetation according to Hopkins & White (1987). Each panel shows plots categorized by their vegetation type as defined by the vegetation types in Table 4.1.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

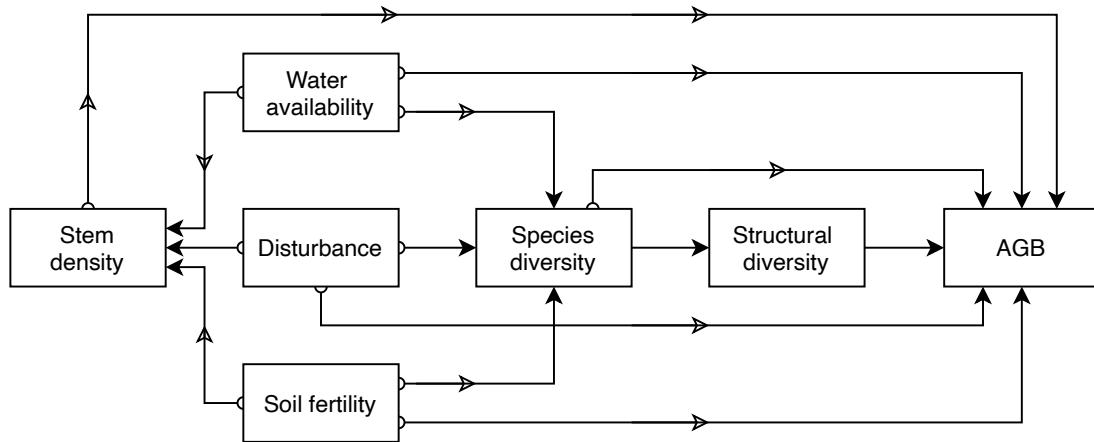


Figure 3.2: Conceptual Directed Acyclic Graph (DAG) showing the theoretical relationships between environmental factors, tree species diversity, tree structural diversity, stem density, and AGB (Above-Ground Woody Biomass). Hypothesised paths of causation are depicted as arrows from predictor to response. Open arrow heads track the direction of each arrow along its path.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

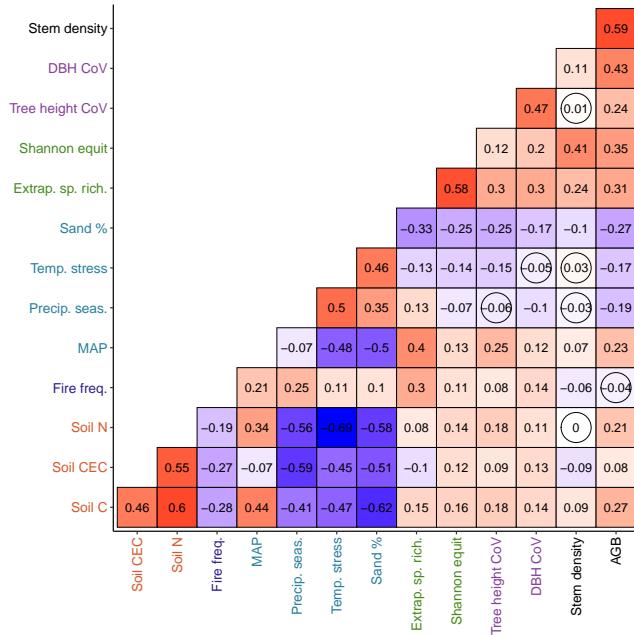


Figure 3.3: Correlation matrix of standardised observed variables used in the SEMs (Structural Equation Models), with Pearson correlation coefficients (r) coloured according to sign (+ve red, -ve blue) and shaded by strength of correlation. Correlation coefficients marked by a circle indicate that the 95% confidence interval of r overlapped zero. Colours of variable names group them into latent variables used in the SEMs: red = soil fertility, blue = disturbance, turquoise = water availability, green = tree species diversity, purple = tree structural diversity. See Table S1 for a full assessment of correlation fit statistics.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

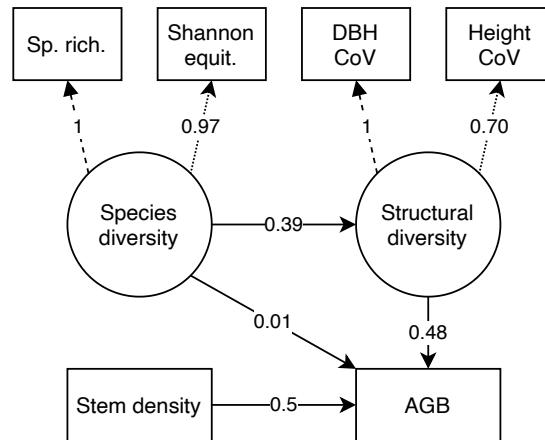


Figure 3.4: Path diagram with regression coefficients for the tree diversity SEM (Structural Equation Model), including plots from all vegetation clusters. Latent variables are shown as circles while observed variables are shown as rectangles. Standardised path coefficients are shown as solid arrows pointing from predictor to response with the effect size of the path coefficient expressed in terms of standard deviations on the latent variable response scale. The observed variables that inform the latent variables are connected by dotted arrows, and observed variables with loadings set to one are connected by dashed arrows. Measurement errors of exogenous variables are omitted for clarity.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

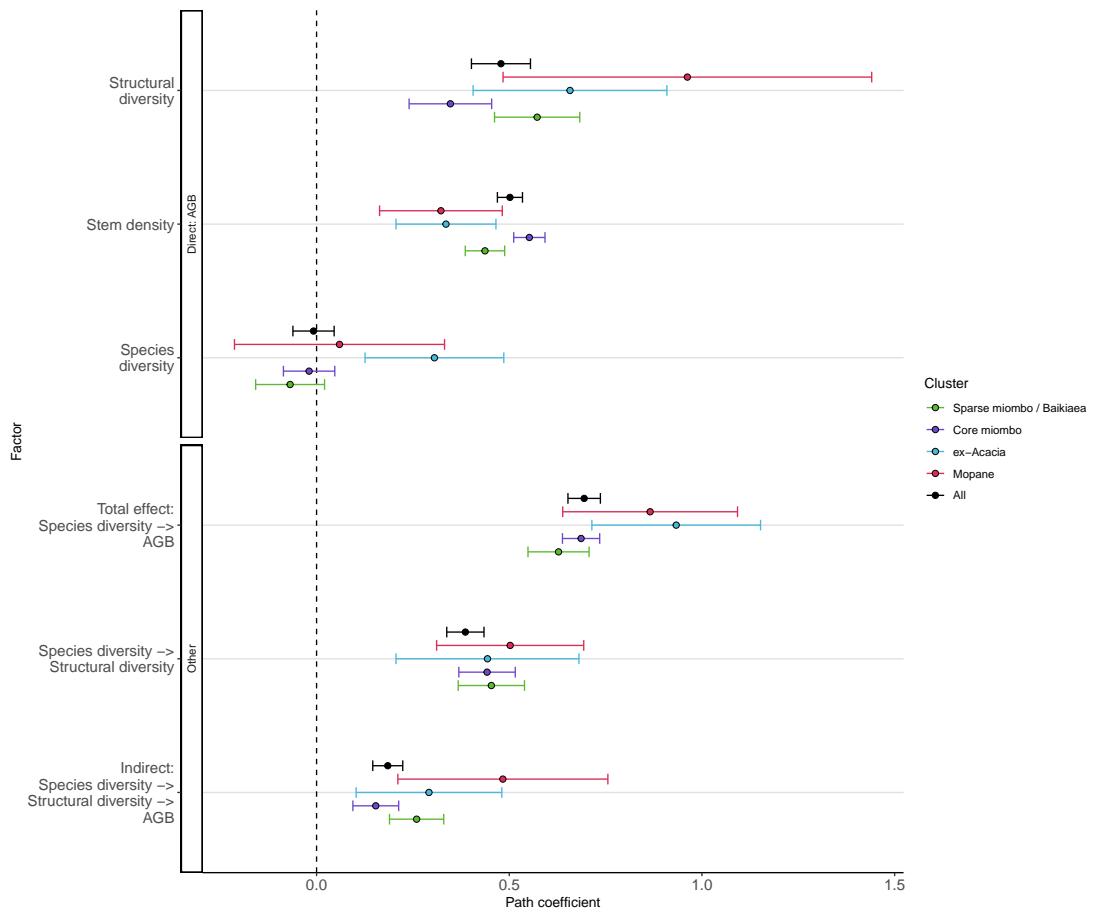


Figure 3.5: Unstandardised path coefficients for the effects of tree diversity on AGB (Above-Ground Woody Biomass), mediated by the effect of stand structural diversity. Path coefficients are ± 1 standard error. Path coefficients where the interval (standard error) does not overlap zero are considered to be significant effects.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

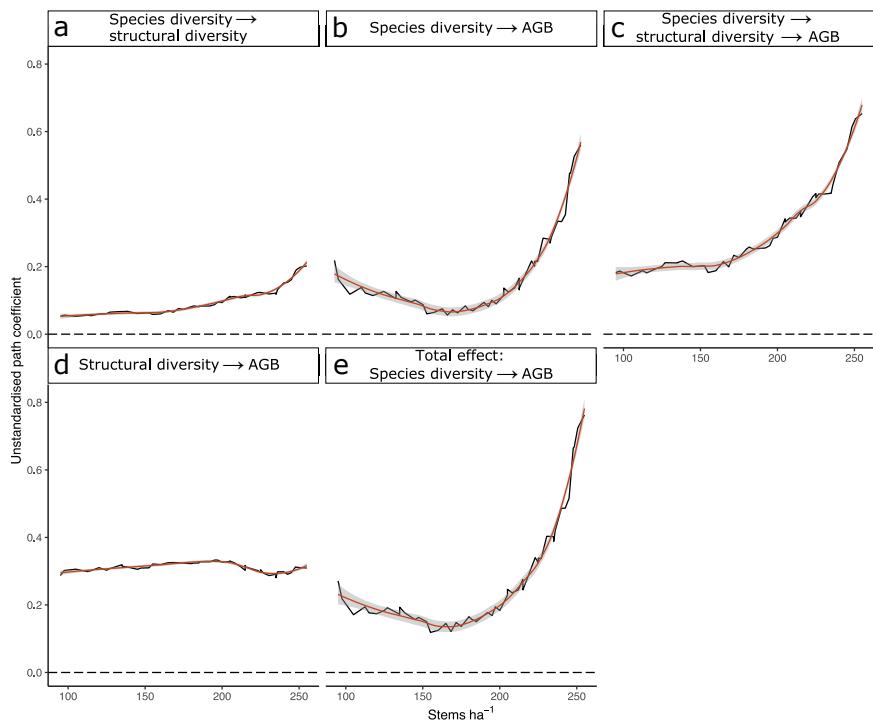


Figure 3.6: Line plots showing the variation in SEM (Structural Equation Model) path coefficients among latent variables, across datasets with different mean stem density. Smoothed lines are loess curves with ± 1 standard error shaded bars. AGB = Above-Ground woody Biomass. Arrows in plot titles indicate causal paths in SEM models. Where multiple arrows are present, as in c), this indicates an indirect pathway via an intermediate variable. a) shows the direct effect of species diversity on structural diversity. b) and d) show the direct effects of species diversity and structural diversity on AGB, respectively. c) shows the indirect effect of species diversity on AGB via structural diversity. e) shows the total effect of species diversity on AGB, incorporating both the direct effect and the indirect effect via structural diversity.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

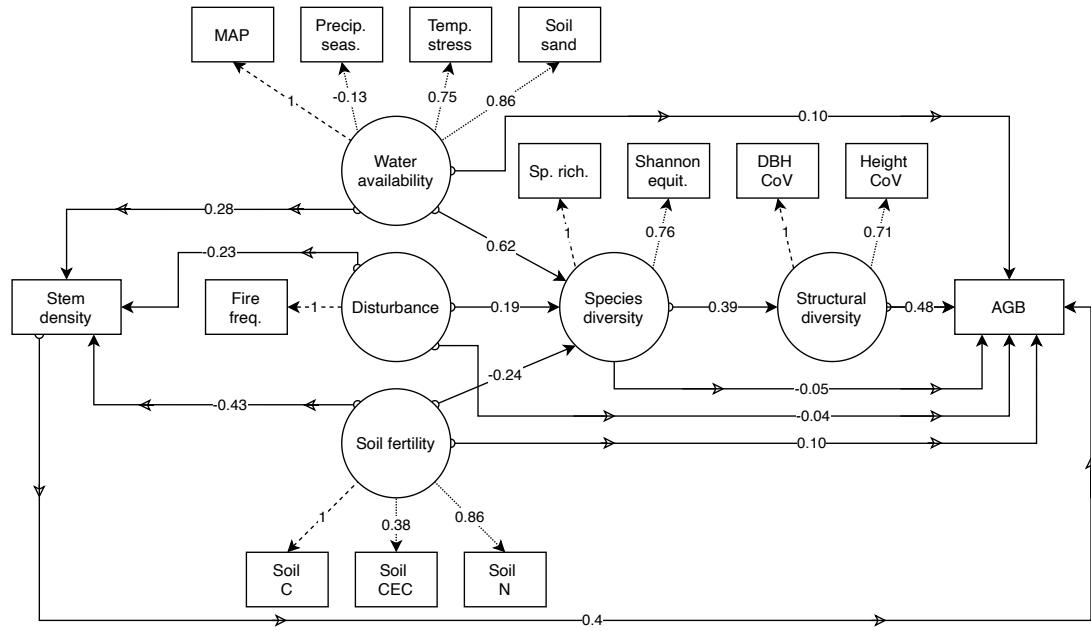


Figure 3.7: Path diagram with regression coefficients for the SEM (Structural Equation Model) incorporating environmental covariates and tree species and structural diversity across all five vegetation types. Latent variables are shown as circles while observed variables are shown as rectangles. Standardised path coefficients are shown as solid arrows pointing from predictor to response, with the effect size of the path coefficient expressed in terms of standard deviations on the latent variable response scale. Observed variables that inform the latent variables are connected by dotted arrows, observed variables with loading set to one are connected by dashed arrows. Measurement errors of exogenous variables are omitted for clarity.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES
VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION
RELATIONSHIP OF WOODLANDS AND SAVANNAS

References

- Ahlstrom, A., M. R. Raupach, G. Schurgers, B. Smith, A. Arneth, M. Jung, M. Reichstein, J. G. Canadell, P. Friedlingstein, A. K. Jain et al. (2015). ‘The dominant role of semi-arid ecosystems in the trend and variability of the land CO₂ sink’. In: *Science* 348.6237, pp. 895–899. DOI: 10.1126/science.aaa1668.
- Ali, A., S. Lin, J. He, F. Kong, J. Yu & H. Jiang (2019a). ‘Big-sized trees overrule remaining trees’ attributes and species richness as determinants of aboveground biomass in tropical forests’. In: *Global Change Biology* 25, pp. 2810–2824. DOI: 10.1111/gcb.14707.
- (2019b). ‘Climate and soils determine aboveground biomass indirectly via species diversity and stand structural complexity in tropical forests’. In: *Forest Ecology and Management* 432, pp. 823–831. DOI: 10.1016/j.foreco.2018.10.024.
- Barry, K. E., L. Mommer, J. van Ruijven, C. Wirth, A. J. Wright, Y. Bai, J. Connolly, G. B. De Deyn, H. de Kroon, F. Isbell et al. (2019). ‘The future of complementarity: Disentangling causes from consequences’. In: *Trends in Ecology & Evolution* 34.2, pp. 167–180. DOI: 10.1016/j.tree.2018.10.013.
- Bastin, J., E. Rutishauser, J. R. Kellner, S. Saatchi, R. Pélissier, B. Héault, F. Slik, J. Bogaert, C. De Cannière, A. R. Marshall et al. (2018). ‘Pan-tropical prediction of forest structure from the largest trees’. In: *Global Ecology and Biogeography* 27.11, pp. 1366–1383. DOI: <https://doi.org/10.1111/geb.12803>.
- Beaujean, A. A. (2014). *Latent variable modeling using R*. New York NY, USA: Routledge.
- Brokerhoff, E. G., L. Barbaro, B. Castagneyrol, D. I. Forrester, B. Gardiner, J. R. González-Olabarria, P. O’B. Lyver, N. Meurisse, A. Oxbrough, H. Taki et al. (2017). ‘Forest biodiversity, ecosystem functioning and the provision of ecosystem services’. In: *Biodiversity and Conservation* 26.13, pp. 3005–3035. DOI: 10.1007/s10531-017-1453-2.
- Bucini, G. & N. P. Hanan (2007). ‘A continental-scale analysis of tree cover in African savannas’. In: *Global Ecology and Biogeography* 16, pp. 593–605. DOI: 10.1111/j.1466-8238.2007.00325.x.
- Campbell, B. M., ed. (1996). *The miombo in transition: Woodlands and welfare in Africa*. Bogor, Indonesia: Centre for International Forestry Research.
- Cardinale, B. J., D. S. Srivastava, J. E. Duffy, J. P. Wright, A. L. Downing, M. Sankaran & C. Jouseau (2006). ‘Effects of biodiversity on the functioning of trophic groups and ecosystems’. In: *Nature* 443.7114, pp. 989–992. DOI: 10.1038/nature05202.
- Cardinale, B. J., D. S. Srivastava, J. E. Duffy, J. P. Wright, A. L. Downing, M. Sankaran, C. Jouseau, M. W. Cadotte, I. T. Carroll, J. J. Weis et al. (2009). ‘Effects of biodiversity on the functioning of ecosystems: a summary of 164 experimental manipulations of species richness’. In: *Ecology* 90, pp. 854–854. DOI: 10.1890/08-1584.1.
- Carvalho, G. H., M. A. Batalha, I. A. Silva, M. V. Cianciaruso & O. L. Petchey (2014). ‘Are fire, soil fertility and toxicity, water availability, plant functional diversity, and litter decomposition related in a Neotropical savanna?’ In: *Oecologia* 175.3, pp. 923–935. DOI: 10.1007/s00442-014-2937-3.
- Cham, H., E. Reshetnyak, B. Rosenfeld & W. Breitbart (2017). ‘Full information maximum likelihood estimation for latent variable interactions with incomplete indicators’. In: *Multivariate Behavioural Research* 52, pp. 12–30. DOI: 10.1080/00273171.2016.1245600.
- Chave, J., D. Coomes, S. Jansen, S. L. Lewis, N. G. Swenson & A. E. Zanne (2009). ‘Towards a worldwide wood economics spectrum’. In: *Ecology Letters* 12, pp. 351–366. DOI: 10.1111/j.1461-0248.2009.01285.x.
- Chave, J., M. Réjou-Méchain, A. Búrquez, E. Chidumayo, M. S. Colgan, W. B. C. Delitti, A. Duque, T. Eid, P. M. Fearnside, R. C. Goodman et al. (2014). ‘Improved allometric models to estimate the aboveground biomass of tropical trees’. In: *Global Change Biology* 20, pp. 3177–3190. DOI: 10.1111/gcb.12629.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

- Chidumayo, E. N. (2013). ‘Forest degradation and recovery in a miombo woodland landscape in Zambia: 22 years of observations on permanent sample plots’. In: *Forest Ecology and Management* 291, pp. 154–161. DOI: 10.1016/j.foreco.2012.11.031.
- Clarke, D. A., P. H. York, M. A. Rasheed & T. D. Northfield (2017). ‘Does biodiversity - ecosystem function literature neglect tropical ecosystems’. In: *Trends in Ecology & Evolution* 32, pp. 320–323. DOI: 10.1016/j.tree.2017.02.012.
- Dengler, J. (2009). ‘Which function describes the species-area relationship best? A review and empirical evaluation’. In: *Journal of Biogeography* 36, pp. 728–744. DOI: 10.1111/j.1365-2699.2008.02038.x.
- Dexter, K. G., B. Smart, C. Baldauf, T. R. Baker, M. P. B. Bessike Balinga, R. J. W. Brienen, S. Fauset, T. R. Feldpausch, L. Ferreira-da Silva, J. I. Muledi et al. (2015). ‘Floristics and biogeography of vegetation in seasonally dry tropical regions’. In: *International Forestry Review* 17.S2, pp. 10–32. DOI: <http://dx.doi.org/10.1505/146554815815834859>.
- Díaz, S., J. Kattge, J. H. C. Cornelissen, I. J. Wright, S. Lavorel, S. Dray, B. Reu, M. Kleyer, C. Wirth, I. C. Prentice et al. (2015). ‘The global spectrum of plant form and function’. In: *Nature* 529.7585, pp. 167–171. DOI: 10.1038/nature16489.
- Dufrêne, M. & P. Legendre (1997). ‘Species assemblages and indicator species: The need for a flexible asymmetrical approach’. In: *Ecological Monographs* 67.3, pp. 345–366. DOI: 10.1890/0012-9615(1997)067[0345:saaist]2.0.co;2.
- Durigan, G., N. A. L. Pilon, R. C. R. Abreu, W. A. Hoffmann, M. Martins, B. F. Fiorillo, A. Z. Antunes, A. P. Carmignotto, J. B. Maravalhas, J. Vieira et al. (2020). ‘No net loss of species diversity after prescribed fires in the Brazilian savanna’. In: *Frontiers in Forests and Global Change* 3. DOI: 10.3389/ffgc.2020.00013.
- Fayolle, A., M. D. Swaine, J. Aleman, A. F. Azihou, D. Bauman, M. te Beest, E. N. Chidumayo, J. P. G. M. Cromsigt, H. Dessard, M. Finkch et al. (2018). ‘A sharp floristic discontinuity revealed by the biogeographic regionalization of African savannas’. In: *Journal of Biogeography* 46, pp. 1–12. DOI: 10.1111/jbi.13475.
- Fick, S. E. & R. J. Hijmans (2017). ‘WorldClim 2: new 1-km spatial resolution climate surfaces for global land areas’. In: *International Journal of Climatology* 37, pp. 4302–4315. DOI: 10.1002/joc.5086.
- Giglio, L., C. Justice, L. Boschetti & D. Roy (2015). *MCD64A1 MODIS/Terra+Aqua Burned Area Monthly L3 Global 500m SIN Grid V006* [Data set]. NASA EOSDIS Land Processes DAAC. [Accessed 2020-04-20].
- Godlee, J. L., F. M. Gonçalves, J. J. Tchamba, A. V. Chisingui, J. I. Muledi, M. N. Shutcha, C. M. Ryan, T. K. Brade & K. G. Dexter (2020). ‘Diversity and structure of an arid woodland in southwest Angola, with comparison to the wider miombo ecoregion’. In: *Diversity* 12, pp. 1–20. DOI: 10.3390/d12040140.
- Gonzalez, A., R. M. Germain, D. S. Srivastava, E. Filotas, L. D. Dee, D. Gravel, D. L. Thompson, F. Isbell, S. Wang, S. Kéfi et al. (2020). ‘Scaling-up biodiversity-ecosystem functioning research’. In: *Ecology Letters* 23.4, pp. 757–776. DOI: 10.1111/ele.13456.
- Gourlet-Fleury, S., V. Rossi, M. Réjou-Méchain, V. Freycon, A. Fayolle, L. Saint-André, G. Cornu, J. Gérard, J. Sarraih, J. Flores et al. (2011). ‘Environmental filtering of dense-wooded species controls above-ground biomass stored in African moist forests’. In: *Journal of Ecology* 99.4, pp. 981–990. DOI: 10.1111/j.1365-2745.2011.01829.x.
- Grace, J. B., T. M. Anderson, M. D. Smith, E. Seabloom, S. J. Andelman, G. Meche, E. Weiher, L. K. Allain, H. Jutila, M. Sankaran et al. (2007). ‘Does species diversity limit productivity in natural grassland communities?’ In: *Ecology Letters* 10.8, pp. 680–689. DOI: 10.1111/j.1461-0248.2007.01058.x.
- Grime, J. P. (1979). *Plant strategies and vegetation processes*. Chichester, UK: John Wiley and Sons. ISBN: 0471496014.
- Hector, A. & R. Bagchi (2007). ‘Biodiversity and ecosystem multifunctionality’. In: *Nature* 448, pp. 188–190. DOI: 10.1038/nature05947.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

- Hempson, G. P., S. Archibald & W. J. Bond (2017). ‘The consequences of replacing wildlife with livestock in Africa’. In: *Scientific Reports* 7. DOI: 10.1038/s41598-017-17348-4.
- Hengl, T., J. Mendes de Jesus, G. B. M. Heuvelink, M. R. Gonzalez, M. Kilibarda, A. Blagotić, W. Shangguan, M. N. Wright, X. Geng, B. Bauer-Marschallinger et al. (2017). ‘SoilGrids250m: Global gridded soil information based on machine learning’. In: *PLoS ONE* 12, pp. 1–40. DOI: 10.1371/journal.pone.0169748.
- Hill, M. J. & N. P. Hanan, eds. (2011). *Ecosystem function in savannas*. Boca Raton FL, USA: CRC Press. DOI: 10.1201/b10275.
- Hooper, D., J. Coughlan & M. R. Mullen (2008). ‘Structural equation modelling: Guidelines for determining model fit’. In: *Electronic Journal of Business Research Methods* 6, pp. 53–60.
- Hopkins, B. & F. White (1987). ‘Vegetation Map of Africa. The vegetation of Africa: A descriptive memoir to accompany the UNESCO/AETFAT/UNSO vegetation map of Africa’. In: *The Journal of Ecology* 75. DOI: 10.2307/2260340.
- Houghton, R. A., F. Hall & S. J. Goetz (2009). ‘Importance of biomass in the global carbon cycle’. In: *Journal of Geophysical Research* 114, pp. 1–13. DOI: 10.1029/2009JG000935.
- Hsieh, T. C., K. H. Ma & A. Chao (2016). ‘iNEXT: an R package for rarefaction and extrapolation of species diversity (Hill numbers)’. In: *Methods in Ecology and Evolution* 7, pp. 1451–1456. DOI: 10.1111/2041-210X.12613.
- Hu, L. & P. M. Bentler (1999). ‘Cutoff criteria for fit indexes in covariance structure analysis: Conventional criteria versus new alternatives’. In: *Structural Equation Modeling* 6, pp. 1–55. DOI: 10.1080/10705519909540118.
- Huston, M. A. (2014). ‘Disturbance, productivity, and species diversity: Empiricism vs. logic in ecological theory’. In: *Ecology* 95.9, pp. 2382–2396. DOI: 10.1890/13-1397.1.
- Jax, K. (2005). ‘Function and “functioning” in ecology: what does it mean?’ In: *Oikos* 111, pp. 641–648. DOI: 10.1111/j.1600-0706.2005.13851.x.
- Johnson, D. J., W. T. Beaulieu, J. D. Bever & K. Clay (2012). ‘Conspecific Negative Density Dependence and Forest Diversity’. In: *Science* 336.6083, pp. 904–907. DOI: 10.1126/science.1220269.
- Jost, L. (2006). ‘Entropy and diversity’. In: *Oikos* 113.2, pp. 363–375. DOI: 10.1111/j.2006.0030-1299.14714.x.
- Keddy, P. A. (1990). ‘Competitive hierarchies and centrifugal organization in plant communities’. In: *Perspectives on plant competition*. Ed. by J. B. Grace & D. Tilman. San Diego CA, USA: Academic Press, pp. 266–287. ISBN: 0122944526.
- Kraaij, T. & D. Ward (2006). ‘Effects of rain, nitrogen, fire and grazing on tree recruitment and early survival in bush-encroached savanna, South Africa’. In: *Plant Ecology* 186, pp. 235–246. DOI: 10.1007/s11258-006-9125-4.
- Kulmatiski, A. & K. H. Beard (2013). ‘Root niche partitioning among grasses, saplings, and trees measured using a tracer technique’. In: *Oecologia* 171.1, pp. 25–37. DOI: 10.1007/s00442-012-2390-0.
- Lasky, J. R., M. Uriarte, V. K. Boukili, D. L. Erickson, W. J. Kress & R. L. Chazdon (2014). ‘The relationship between tree biodiversity and biomass dynamic changes with tropical forest succession’. In: *Ecology Letters* 17, pp. 1158–1167. DOI: 10.1111/ele.12322.
- Lee, S. Y. (2007). *Structural Equation Modeling: A Bayesian Approach*. New York, USA: Wiley.
- Lehmann, C. E. R., T. M. Anderson, M. Sankaran, S. I. Higgins, S. Archibald, W. A. Hoffmann, N. P. Hanan, R. J. Williams, Fensham R. J., J. Felfili et al. (2014). ‘Savanna vegetation-fire-climate relationships differ among continents’. In: *Science* 343, pp. 548–552. DOI: 10.1126/science.1247355.
- Levick, S. R., G. P. Asner, T. Kennedy-Bowdoin & D. E. Knapp (2009). ‘The relative influence of fire and herbivory on savanna three-dimensional vegetation structure’. In: *Biological Conservation* 142, pp. 1693–1700. DOI: 10.1016/j.biocon.2009.03.004.
- Liang, J., T. W. Crowther, N. Picard, S. Wiser, M. Zhou, G. Alberti, E.-D. Schulze, A. D. McGuire, F. Bozzato, H. Pretzsch et al. (2016). ‘Positive biodiversity-productivity rela-

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

- tionship predominant in global forests'. In: *Science* 354, aaf8957–aaf8957. DOI: 10.1126/science.aaf8957.
- Loiola, P. P., M. Scherer-Lorenzen & M. A. Batalha (2015). 'The role of environmental filters and functional traits in predicting the root biomass and productivity in savannas and tropical seasonal forests'. In: *Forest Ecology and Management* 342, pp. 49–55. DOI: 10.1016/j.foreco.2015.01.014.
- Mason, N. W. H., F. de Bello, J. Doležal & J. Lepš (2011). 'Niche overlap reveals the effects of competition, disturbance and contrasting assembly processes in experimental grassland communities'. In: *Journal of Ecology* 99.3, pp. 788–796. DOI: 10.1111/j.1365-2745.2011.01801.x.
- Mayaux, P., H. Eva, A. Brink, F. Achard & A. Belward (2008). 'Remote sensing of land-cover and land-use dynamics'. In: *Earth Observation of Global Change: The Role of Satellite Remote Sensing in Monitoring the Global Environment*. Berlin, Germany: Springer-Verlag, pp. 85–108. DOI: 10.1007/978-1-4020-6358-9_5.
- McNicol, I. M., C. M. Ryan, K. G. Dexter, S. M. J. Ball & M. Williams (2018). 'Aboveground carbon storage and its links to stand structure, tree diversity and floristic composition in south-eastern Tanzania'. In: *Ecosystems* 21, pp. 740–754. DOI: 10.1007/s10021-017-0180-6.
- Mensah, S., V. K. Salako & T. Seifert (2020). 'Structural complexity and large-sized trees explain shifting species richness and carbon relationship across vegetation types'. In: *Functional Ecology* 34.8, pp. 1731–1745. DOI: 10.1111/1365-2435.13585.
- Muledi, J. I., D. Bauman, T. Drouet, J. Vleminckx, A. Jacobs, J. Lejoly, P. Meerts & M. N. Shutcha (2017). 'Fine-scale habitats influence tree species assemblage in a miombo forest'. In: *Journal of Plant Ecology* 10, pp. 958–969. DOI: 10.1093/jpe/rtw104.
- Muscolo, A., S. Bagnato, M. Sidari & R. Mercurio (2014). 'A review of the roles of forest canopy gaps'. In: *Journal of Forestry Research* 25, pp. 725–736. DOI: 10.1007/s11676-014-0521-7.
- Mutowo, G. & A. Murwira (2012). 'Relationship between remotely sensed variables and tree species diversity in savanna woodlands of Southern Africa'. In: *International Journal of Remote Sensing* 33, pp. 6378–6402. DOI: 10.1080/01431161.2012.687472.
- Nachtigall, C., U. Kroehne, F. Funke & R. Steyer (2003). '(Why) should we use SEM? Pros and cons of Structural Equation Modeling'. In: *Methods of Psychological Research* 8, pp. 1–22.
- Naeem, S., J. E. Duffy & E. Zavaleta (2012). 'The functions of biological diversity in an age of extinction'. In: *Science* 336.6087, pp. 1401–1406. DOI: 10.1126/science.1215855.
- Pan, Y., R. A. Birdsey, J. Fang, R. Houghton, P. E. Kauppi, W. A. Kurz, O. L. Phillips, A. Shvidenko, S. L. Lewis, J. G. Canadell et al. (2011). 'A large and persistent carbon sink in the world's forests'. In: *Science* 333.6045, pp. 988–993. DOI: 10.1126/science.1201609.
- Paquette, A. & C. Messier (2010). 'The effect of biodiversity on tree productivity: from temperate to boreal forests'. In: *Global Ecology and Biogeography* 20.1, pp. 170–180. DOI: 10.1111/j.1466-8238.2010.00592.x.
- Parr, C. L., C. E. R. Lehmann, W. J. Bond, W. A. Hoffmann & A. N. Andersen (2014). 'Tropical grassy biomes: Misunderstood, neglected, and under threat'. In: *Trends in Ecology and Evolution* 29, pp. 205–213. DOI: 10.1016/j.tree.2014.02.004.
- Paul, K. I., J. Larmour, Specht M. A., A. Zerihun, P. Ritson, S. H. Roxburgh, S. Sochacki, T. Lewis, C. V. M. Barton, J. R. England et al. (2019). 'Testing the generality of below-ground biomass allometry across plant functional types'. In: *Forest Ecology and Management* 432, pp. 102–114. DOI: 10.1016/j.foreco.2018.08.043.
- Pennington, R. T., C. E. R. Lehmann & L. M. Rowland (2018). 'Tropical savannas and dry forests'. In: *Current Biology* 28.9, R541–R545. DOI: 10.1016/j.cub.2018.03.014.
- Pichancourt, J., J. Firn, I. Chadès & T. G. Martin (2013). 'Growing biodiverse carbon-rich forests'. In: *Global Change Biology* 20.2, pp. 382–393. DOI: 10.1111/gcb.12345.
- Plas, F. van der (2019). 'Biodiversity and ecosystem functioning in naturally assembled communities'. In: *Biological Reviews* 94, pp. 1220–1245. DOI: 10.1111/brv.12499.

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

- Poorter, L., M. T. van der Sande, E. J. M. M. Arets, N. Ascarrunz, B. J. Enquist, B. Finegan, J. C. Licona, M. Martínez-Ramos, L. Mazzei, J. A. Meave et al. (2017). ‘Biodiversity and climate determine the functioning of neotropical forests’. In: *Global Ecology and Biogeography* 26, pp. 1423–1434. DOI: 10.1111/geb.12668.
- Poorter, L., M. T. van der Sande, J. Thompson, E. J. M. M. Arets, A. Alarcón, J. Àlvarez-Sàncchez, N. Ascarrunz, P. Balvanera, G. Barajas-Guzmàn, A. Boit et al. (2015). ‘Diversity enhances carbon storage in tropical forests’. In: *Global Ecology and Biogeography* 24, pp. 1314–1328. DOI: 10.1111/geb.12364.
- Pretzsch, H. (2014). ‘Canopy space filling and tree crown morphology in mixed-species stands compared with monocultures’. In: *Forest Ecology and Management* 327, pp. 251–264. DOI: 10.1016/j.foreco.2014.04.027.
- R Core Team (2019). *R: A Language and Environment for Statistical Computing*. R Foundation for Statistical Computing. Vienna, Austria. URL: <https://www.R-project.org/>.
- Ratcliffe, S., C. Wirth, T. Jucker, F. van der Plas, M. Scherer-Lorenzen, K. Verheyen, E. Allan, R. Benavides, H. Bruelheide, B. Ohse et al. (2017). ‘Biodiversity and ecosystem functioning relations in European forests depend on environmental context’. In: *Ecology Letters* 20, pp. 1414–1426. DOI: 10.1111/ele.12849.
- Ratnam, J., W. J. Bond, R. J. Fensham, W. A. Hoffmann, S. Archibald, C. E. R. Lehmann, M. T. Anderson, S. I. Higgins & M. Sankaran (2011). ‘When is a ‘forest’ a savanna, and why does it matter?’ In: *Global Ecology and Biogeography* 20, pp. 653–660. DOI: 10.1111/j.1466-8238.2010.00634.x.
- Roberts, D. W. (2019). *labdsv: Ordination and Multivariate Analysis for Ecology*. R package version 2.0-1. URL: <https://CRAN.R-project.org/package=labdsv>.
- Rosseel, Y. (2012). ‘lavaan: An R Package for Structural Equation Modeling’. In: *Journal of Statistical Software* 48, pp. 1–36. URL: <http://www.jstatsoft.org/v48/i02/>.
- Ryan, C. M., R. Pritchard, I. McNicol, M. Owen, J. A. Fisher & C. Lehmann (2016). ‘Ecosystem services from southern African woodlands and their future under global change’. In: *Philosophical Transactions of the Royal Society B: Biological Sciences* 371, pp. 1–16. DOI: 10.1098/rstb.2015.0312.
- Sande, M. T. van der, L. Poorter, L. Kooistra, P. Balvanera, K. Thonicke, J. Thompson, E. J. M. M. Arets, N. G. Alaniz, L. Jones, F. Mora et al. (2017). ‘Biodiversity in species, traits, and structure determines carbon stocks and uptake in tropical forests’. In: *Biotropica* 49, pp. 593–603. DOI: 10.1111/btp.12453.
- Sankaran, M., J. Ratnam & N. P. Hanan (2008). ‘Woody cover in African savannas: The role of resources, fire and herbivory’. In: *Global Ecology and Biogeography* 17, pp. 236–245. DOI: 10.1111/j.1466-8238.2007.00360.x.
- Scarascia-Mugnozza, G., G. A. Bauer, H. Persson, G. Matteucci & A. Masci (2000). ‘Tree biomass, growth and nutrient pools’. In: *Ecological Studies*. Springer Berlin Heidelberg, pp. 49–62. DOI: 10.1007/978-3-642-57219-7_3.
- Seidel, D., M. Ehbrecht, Y. Dorji, J. Jambay, C. Ammer & P. Annighöfer (2019). ‘Identifying architectural characteristics that determine tree structural complexity’. In: *Trees* 33, pp. 911–919. DOI: 10.1007/s00468-019-01827-4.
- SEOSAW (2020). ‘A network to understand the changing socio-ecology of the southern African woodlands (SEOSAW): Challenges, benefits, and methods’. In: *Plants, People, Planet*. DOI: 10.1002/ppp3.10168.
- Shapiro, A. (1983). ‘Asymptotic distribution theory in the analysis of covariance structures (A unified approach)’. In: *South African Statistical Journal* 17, pp. 33–81.
- Shirima, D. D., M. Pfeifer, P. J. Platts, Ø. Totland & S. R. Moe (2015). ‘Interactions between canopy structure and herbaceous biomass along environmental gradients in moist forest and dry miombo woodland of Tanzania’. In: *PLoS ONE* 10, pp. 1–15. DOI: 10.1371/journal.pone.0142784.
- Sitch, S., P. Friedlingstein, N. Gruber, S. D. Jones, G. Murray-Tortarolo, A. Ahlström, S. C. Doney, H. Graven, C. Heinze, C. Huntingford et al. (2015). ‘Recent trends and drivers of

CHAPTER 3. STRUCTURAL DIVERSITY AND TREE DENSITY DRIVES VARIATION IN THE BIODIVERSITY-ECOSYSTEM FUNCTION RELATIONSHIP OF WOODLANDS AND SAVANNAS

- regional sources and sinks of carbon dioxide'. In: *Biogeosciences* 12.3, pp. 653–679. DOI: 10.5194/bg-12-653-2015.
- Smith, B. & J. B. Wilson (1996). 'A consumer's guide to evenness indices'. In: *Oikos* 76, pp. 70–82. DOI: 10.2307/3545749.
- Solbrig, O. T., E. Medina & J. F. Silva (1996). *Biodiversity and Savanna Ecosystem Processes*. Berlin, Germany: Springer-Verlag.
- Spawn, S. A., C. C. Sullivan, T. J. Lark & H. K. Gibbs (2020). 'Harmonized global maps of above and belowground biomass carbon density in the year 2010'. In: *Scientific Data* 7.1. DOI: 10.1038/s41597-020-0444-4.
- Srivastava, D. S. & D. S. Vellend (2005). 'Biodiversity-ecosystem function research: Is it relevant to conservation?' In: *Annual Review of Ecology, Evolution, and Systematics* 36.1, pp. 267–294. DOI: 10.1146/annurev.ecolsys.36.102003.152636.
- Staver, A. C., S. Archibald & S. A. Levin (2011). 'The Global Extent and Determinants of Savanna and Forest as Alternative Biome States'. In: *Science* 334.6053, pp. 230–232. DOI: 10.1126/science.1210465.
- Staver, A. C., W. J. Bond, W. D. Stock, S. J. van Rensburg & M. S. Waldram (2009). 'Browsing and fire interact to suppress tree density in an African savanna'. In: *Ecological Applications* 19, pp. 1909–1919. DOI: 10.1890/08-1907.1.
- Stegen, J. C., N. G. Swenson, B. J. Enquist, E. P. White, O. L. Phillips, P. M. Jørgensen, M. D. Weiser, A. M. Mendoza & P. N. Vargas (2011). 'Variation in above-ground forest biomass across broad climatic gradients'. In: *Global Ecology and Biogeography* 20, pp. 744–754. DOI: 10.1111/j.1466-8238.2010.00645.x.
- Tedersoo, L., L. Laanisto, S. Rahimlou, A. Toussaint, T. Hallikma & M. Pärtel (2018). 'Global database of plants with root-symbiotic nitrogen fixation: NodDB'. In: *Journal of Vegetation Science* 29.3, pp. 560–568. DOI: 10.1111/jvs.12627.
- Tilman, D. & J. A. Downing (1994). 'Biodiversity and stability in grasslands'. In: *Nature* 367, pp. 363–365. DOI: 10.1038/367363a0.
- Tilman, D., F. Isbell & J. M. Cowles (2014). 'Biodiversity and ecosystem functioning'. In: *Annual Review of Ecology, Evolution, and Systematics* 45, pp. 471–493. DOI: 10.1146/annurev-ecolsys-120213-091917.
- Timberlake, J., E. Chidumayo & L. Sawadogo (2010). 'Distribution and characteristics of African dry forests and woodlands'. In: *The Dry Forests and Woodlands of Africa: Managing for Products and Services*. London, United Kingdom: EarthScan, pp. 11–42.
- Tobner, C. M., A. Paquette, D. Gravel, P. B. Reich, L. J. Williams & C. Messier (2016). 'Functional identity is the main driver of diversity effects in young tree communities'. In: *Ecology Letters* 19, pp. 638–647. DOI: 10.1111/ele.12600.
- Vilà, M., P. Inchausti, J. Vayreda, O. Barrantes, C. Gracia, J. J. Ibáñez & T. Mata (2005). 'Confounding factors in the observational productivity-diversity relationship in forests'. In: *Ecological Studies* 176, pp. 65–86.
- Wright, A. J., W. D. A. Wardle, W. R. Callaway & A. Gaxiola (2017). 'The overlooked role of facilitation in biodiversity experiments'. In: *Trends in Ecology and Evolution* 32, pp. 383–390. DOI: 10.1016/j.tree.2017.02.011.
- Zanne, A. E., G. Lopez-Gonzalez, D. A. Coomes, J. Ilic, S. Jansen, S. L. Lewis, R. B. Miller, N. G. Swenson, M. C. Wiemann & J. Chave (2009). *Global wood density database*. <http://hdl.handle.net/10255/dryad.2> Dryad.

Chapter 4

Diversity mediates land-surface phenology in Zambian deciduous woodlands

Abstract

Land-surface phenology is a key determinant of ecosystem function across the dry tropics, and measures of land-surface phenology are routinely included in earth system models to constrain estimates of productivity. Future variation in phenology can be predicted to some extent from climatic variables, but our understanding of how ecosystem structure and composition mediates variation in phenology is lacking, commonly limited to coarse plant functional types. We combined a dense plot network of 617 sites across deciduous Zambian woodlands with remotely sensed land-surface phenology metrics to investigate the role of tree species diversity, composition, and tree size on phenological patterns, including the phenomenon of pre-rain green-up. We found that tree species diversity caused earlier pre-rain green-up across all studied vegetation types, and caused longer season length in drier woodlands. We found variation among miombo and non-miombo vegetation types in their phenological patterns and biotic drivers of phenology. Finally, we found that species evenness had contrasting effects on phenology to species diversity, suggesting that land-surface phenology is driven by a few dominant canopy-forming tree species in dry tropical woodlands. The study clarifies the role of biotic diversity as a determinant of ecosystem function, and offers new insights into the factors which determine land-surface phenology

across the dry tropics, which are essential for earth system modelling approaches.

4.1 Introduction

The seasonal timing and duration of foliage production (land-surface phenology) is a key mediator of land-atmosphere exchanges. Foliage forms the primary interface between plants, the atmosphere and sunlight (**Gu2003; Penuelas2009**), and land-surface phenology plays an important role in regulating global carbon, water and nitrogen cycles (**Richardson2013**). Carbon-cycling models routinely incorporate land-surface phenological processes, most commonly through remotely-sensed data products (e.g. **Bloom2016**), but our understanding of the ecological mechanisms which determine these phenological processes remains under-developed (**Whitley2017**). This limits our ability to predict how land-surface phenology will respond to climate and biodiversity change, and how these responses will vary among species and vegetation types (**Xia2015**).

At regional scales, land-surface phenology can be predicted using only climatic factors, namely precipitation, diurnal temperature, and light environment (**Adole2018a**), but significant local variation exists within biomes in the timing of leaf production which cannot be attributed solely to abiotic environment (**Stockli2011**). It has been repeatedly suggested that the diversity, composition, and demographic structure of plant species plays a role in determining how ecosystems respond to abiotic cues that may drive phenology (**Adole2018b; Jeganathan2014; Fuller1999**), owing to differences in life history strategy among species and demographic groups, but current implementation of biotic variation in earth system models is often limited to coarse plant functional types, which are unable to represent the wide variation in phenological patterns observed at local scales (**Scheiter2013; Pavlick2013**).

Across the dry tropics, seasonal oscillations in water availability produce strong cycles of foliage production (**Chidumayo2001; Dahlin2016**), with knock-on effects for ecosystem function. The phenomenon of pre-rain green-up seen in some tree species within the dry tropics serves as a striking example of adaptation to seasonal variation in water availability (**Ryan2017**). Conservative species, i.e. slower growing, with robust leaves and denser wood, may initiate leaf production (green-up) before the wet season has commenced. More acquisitive species and juveniles however, tend to green-up during the wet season creating a dense leaf-

flush during the mid-season peak of growth and dropping their leaves earlier as the wet season ends (**Lasky2016**). Both strategies have associated costs and benefits which allow coexistence of species exhibiting a range of phenological syndromes along this spectrum. While conservative species gain a competitive advantage from having fully emerged leaves when the wet season starts, they must also invest heavily in deep root architecture to access dry season groundwater reserves in order to produce foliage during the dry season. Similarly, while acquisitive species minimise the risk of hydraulic failure and mortality by only producing leaves when conditions are amenable, they forfeit growing season length. It has been suggested that variation in phenological strategy among tree species is one mechanism by which increased species diversity increases resilience to drought and maximises productivity in water-limited woodland ecosystems (**Stan2019; Morellato2016**). By providing functional redundancy within the ecosystem, leaf production can be maintained under a wider range of conditions, therefore maximising long-term productivity.

In addition to determining productivity, variation in leaf phenology also affects broader ecosystem function. Woodlands with a longer tree growth period support a greater diversity and abundance of wildlife, particularly birds, but also browsing mammals and invertebrates (**Cole2015; Araujo2017; Morellato2016; Ogutu2013**). As climate change increases the frequency and severity of drought in water-limited woodlands, it is feared that this will result in severe negative consequences for biodiversity (**Bale2002**). The periods of green-up and senescence which bookend the growing season are key times for invertebrate reproduction (**Prather2012**) and herbivore browsing activity (**Velasque2016; Morellato2016**). Pre-rain green-up provides a valuable source of moisture and nutrients before the wet season, and can moderate the understorey microclimate, increasing humidity, reducing UV exposure, moderating diurnal oscillations in temperature, and reducing ecophysiological stress which otherwise can lead to mortality during the dry season. Additionally, a slower rate of green-up caused by tree species greening at different times, i.e. reduced synchronicity, provides an extended period of bud-burst, maintaining the important food source of nutrient rich young leaves for longer. Thus, understanding the determinants of seasonal patterns of tree leaf production in dry deciduous woodlands can provide valuable information on spatial variation in their vulnerability to climate change, and help to model the contribution of these woodlands to earth system fluxes under climate change.

In this study we investigated how tree species diversity, composition, and demographic structure influence three key measurable aspects of the tree phenological cycle of dry tropical woodlands: (1) the lag time between green-up/senescence and the start/end of the wet season, (2) the rates of greening and senescence at the start and end of the seasonal growth phase, and (3) the overall length of the growing period. We hypothesise that: (H_1) sites with greater species diversity will exhibit a longer growing season and greater cumulative green-ness over the course of the growing season, due to a higher diversity of phenological strategies. Additionally, we hypothesise that: (H_2) in sites with greater species diversity the start of the growing season will occur earlier with respect to the onset of rain due to an increased likelihood of containing a species which can green-up early, and that (H_3) due to variation among species in phenological strategy and minimum water requirement, sites with greater tree species diversity will exhibit slower rates of greening and senescence as different species green-up and senesce at different times. We further hypothesise that: (H_4) irrespective of species diversity, variation in tree species composition and vegetation type will cause variation in the phenological metrics outlined above. Finally, we hypothesise that: (H_5) sites with larger trees will exhibit earlier pre-rain green-up and later senescence, under the assumption that large trees can better access resilient deep groundwater reserves outside of the wet season.

4.2 Materials and methods

4.2.1 Plot data

We used data on tree species diversity and composition across 617 sites from the Zambian Integrated Land Use Assessment Phase II (ILUA-II), conducted in 2014 (**Mukosha2009; Pelletier2018**). Each site consisted of four 20x50 m (0.1 ha) plots positioned in a square around a central point, with a distance of 500 m between each plot (Figure 4.2). The original census contained 993 sites, which was filtered in order to define study bounds and to ensure data quality. Only sites with ≥ 50 stems ha^{-1} ≥ 10 cm DBH (Diameter at Breast Height) were included in the analysis, to ensure all sites represented woodlands rather than ‘grassy savanna’, which is considered a separate biome with different species composition and ecosystem processes governing phenology (Parr et al., 2014).

Sites dominated by non-native tree species ($\geq 50\%$ of individuals), e.g. *Pinus* spp. and *Eucalyptus* spp. were excluded, as these species may exhibit atypical patterns of foliage production (**Broadhead2003**). Of the 56634 trees recorded, 90.3% were identified to species, 1.9% were identified to genus only, 0.1% were identified to family only, and 7.7% could not be identified at all. There were no significant correlations between the number of trees identified per site and any of the phenological metrics, diversity or structural variables used in analyses.

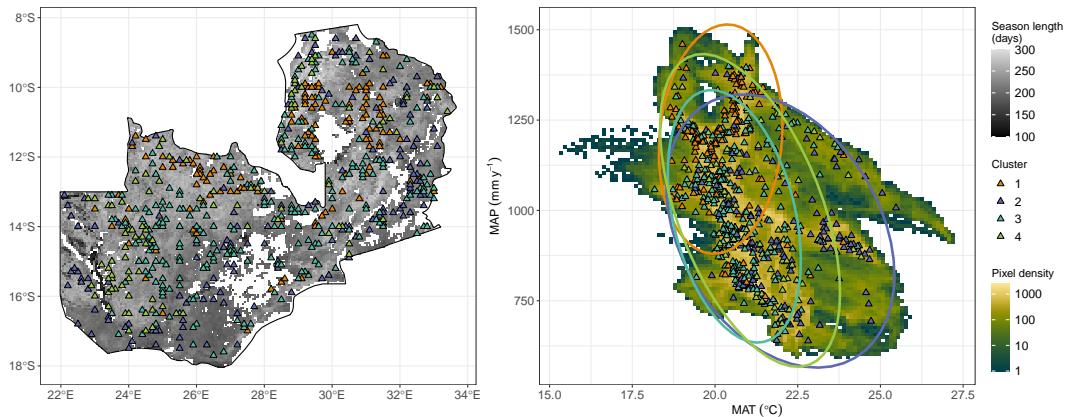


Figure 4.1: Distribution of study sites, within Zambia (left), and in climate space (right). Sites are shown as triangles, each consisting of four plots, coloured according to vegetation type cluster. Zambia is shaded according to growing season length, estimated by the MODIS VIPPHEN-EVI2 product, at 0.05° spatial resolution (**VIPPHEN**). The growing season length layer is masked by the MODIS MCD12Q1 land cover map from 2015 (**MCD12Q1**), using the International Geosphere-Biosphere Programme (IGBP) classification to remove all pixels occurring in wetlands, croplands, water bodies, and urban areas. Climate space is represented by Mean Annual Temperature (MAT) and Mean Annual Precipitation (MAP), extracted from the WorldClim dataset at 30 arc second resolution, between 1970 and 2000 (Fick & Hijmans, 2017). The shaded area in the right panel shows the climate space of Zambia, showing the density of pixels for given values of MAT and MAP. The ellipses in the right panel show the 95% confidence interval for the climate space of each site.

CHAPTER 4. DIVERSITY MEDIATES LAND-SURFACE PHENOLOGY IN
ZAMBIAN DECIDUOUS WOODLANDS

Cluster	N sites	Richness	MAP	δT	Species	Indicator va
1	134	17(7)	1176(156.1)	13(1.5)	<i>Brachystegia longifolia</i>	0.397
					<i>Uapaca kirkiana</i>	0.390
					<i>Marquesia macroura</i>	0.285
2	144	14(5)	955(172.8)	14(1.6)	<i>Combretum molle</i>	0.258
					<i>Lannea discolor</i>	0.228
					<i>Combretum zeyheri</i>	0.214
3	243	17(6)	997(157.8)	14(1.5)	<i>Julbernardia paniculata</i>	0.559
					<i>Brachystegia boehmii</i>	0.540
					<i>Pseudolachnostylis maprouneifolia</i>	0.226
4	96	14(6)	1012(185.5)	14(1.7)	<i>Brachystegia spiciformis</i>	0.582
					<i>Cryptosepalum exfoliatum</i>	0.285
					<i>Guibourtia coleosperma</i>	0.281

Table 4.1: Climatic information and Dufrene-Legendre indicator species analysis for the vegetation type clusters identified by the PAM algorithm, based on basal area weighted species abundances. The three species per cluster with the highest indicator values are shown along with other key statistics for each cluster. MAP (Mean Annual Precipitation) and δT (Diurnal temperature range) are reported as the mean and 1 standard deviation in parentheses. Species richness is reported as the median and the interquartile range in parentheses.

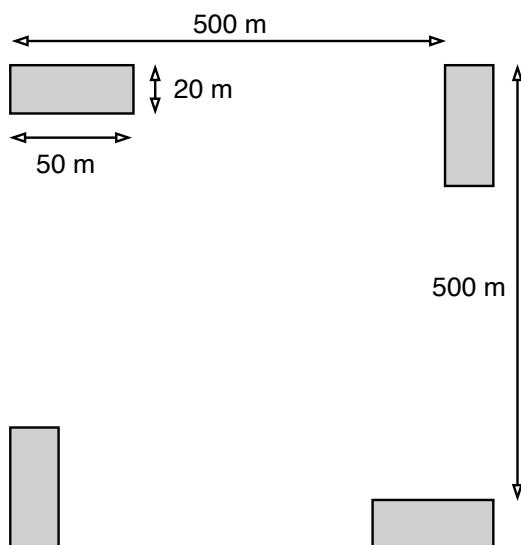


Figure 4.2: Schematic diagram of plot layout within a site. Each 20x50 m (0.1 ha) plot is shaded grey. Note that the plot dimensions are not to scale.

Within each plot, the species of all trees with at least one stem ≥ 10 cm DBH were recorded. Plot data were aggregated to the site level for analyses to avoid pseudo-replication, and to link with the more spatially coarse phenology data. Tree species composition varied little among the four plots within a site, and were treated as representative of the woodland in the local area. Using the Bray-Curtis dissimilarity index on species basal area data (**Faith1987**), we calculated that the mean pairwise compositional distance between plots within a site was lower than the mean compositional distance across all pairs of plots in 92% of cases.

4.2.2 Plot data analysis

To classify variation in tree species composition we used agglomerative hierarchical clustering on species basal area data (**Kreft2010; Fayolle2014**). To guard against sensitivity to rare individuals, which can preclude meaningful cluster delineation across such a large species compositional range, we excluded species with less than five records. We used Ward's algorithm to define clusters (**Murtagh2014**), based on the Bray-Curtis distance between pairs of sites. We determined the optimal number of clusters by maximising the mean silhouette width among clusters (**Rousseeuw1987**). Vegetation type clusters were used later as interaction terms in linear models. We described the vegetation types represented by each of the clusters using a Dufrêne-Legendre indicator species analysis (Dufrêne & Legendre, 1997). Four vegetation type clusters were identified during hierarchical clustering. The silhouette value of the clustering algorithm reached 0.59.

To describe the species diversity of each site, we calculated the Shannon-Wiener index (H') from species basal area rather than individual abundance, as a measure of species diversity effectively weighted by a species' contribution to canopy occupancy and thus by contribution to the phenological signal. H' was transformed to the first order numbers-equivalent (1D) of H' , calculated as $e^{H'}$ (**Jost2007**). We use 1D as the primary measure of species diversity in our statistical models, and is subsequently referred to as species diversity. Additionally, we calculated a separate measure of abundance evenness, using the Shannon Equitability index ($E_{H'}$) (Smith & Wilson, 1996). $E_{H'}$ was calculated as the ratio of basal area Shannon-Wiener diversity index to the natural log of total basal area per site. To describe average tree size, we calculated the quadratic mean of

stem diameters per site (**Curtis2000**). The quadratic mean gives more weight to large trees and is thus more appropriate for our use, where we are interested in the contribution of large trees to land-surface phenology.

4.2.3 Land-surface phenology data

To quantify phenology at each site, we used the MODIS MOD13Q1 satellite data product at 250 m resolution (**MOD13Q1**). The MOD13Q1 product provides an Enhanced Vegetation Index (EVI) time series at 16 day intervals. EVI is widely used as a measure of vegetation growth and the cumulative sum of EVI is well-correlated with Gross Primary Productivity (GPP), thus providing a measure of land-surface phenology that is relevant to carbon cycling (**Sjostrom2011**). We used all scenes from January 2010 to December 2020 with less than 20% cloud cover covering the study area. All sites were determined to have a single annual growing season according to the MODIS VIPPHEN product (**VIPPHEN**), which assigns pixels (0.05°, 5.55 km at equator) up to three growing seasons per year. We stacked yearly data between 2010 and 2020 and fit a General Additive Model (GAM) to produce an average EVI curve (Figure 4.3). We estimated the start and end of the growing season using first derivatives of the GAM. The start of the growing season was identified as the first day where the model slope exceeds half of the maximum positive model slope for a continuous period of 20 or more days, using only backwards looking data, following **White2009**. Similarly, we defined the end of the growing season as the final day of the latest 20 day period where the GAM slope meets or exceeds half of the maximum negative slope. We estimated the length of the growing season as the number of days between the start and end of the growing season. We calculated cumulative EVI as the area under the EVI curve during the growing season, and is reported in the results divided by 1e+05, to put it on a similar scale to other variables. We estimated the green-up rate as the slope of a linear model across EVI values between the start of the growing season and the point at which the slope reduces below half of the maximum positive slope. Similarly the senescence rate was estimated as the slope of a linear model between the latest point where the slope of decrease fell below half of the maximum negative slope and the end of the growing season. We validated our calculations of cumulative EVI, mean annual EVI, growing season length, season start date, season end date, green-up rate and senescence rate with calculations made by the MODIS VIPPHEN product with linear models

comparing the two datasets across our study sites (??, ??). We chose not to use the MODIS VIPPHEN product directly due to its more coarse spatial resolution (0.05° , 5.55 km at equator).

Precipitation data were gathered using the “GPM IMERG Final Precipitation L3 1 day V06” dataset, which has a pixel size of 0.1° (11.1 km at the equator) (**IMERG**), between 2010 and 2020. Daily total precipitation was separated into three periods: precipitation during the growing season (wet season precipitation), precipitation in the 90 day period before the onset of the growing season (pre-green-up precipitation), and precipitation in 90 day period before the onset of senescence at the end of the growing season (pre-senescence precipitation). Wet season limits were defined as for the EVI data, using the first derivative of a GAM to create a curve for each site using stacked yearly precipitation data, from which we estimated the half-maximum positive and negative slope to identify where the GAM model exceeded these slope thresholds for a consistent period of 20 days or more. Mean diurnal temperature range (Diurnal δT) was calculated as the mean of monthly temperature range from the WorldClim database, using the BioClim variables, with a pixel size of 30 arc seconds (926 m at the equator) (Fick & Hijmans, 2017), averaged across all years of available data (1970-2000).

We calculated the lag between the onset of the growing season and the onset of the wet season as the difference between these two dates. We performed a similar calculation to estimate the lag between the end of the growing season and the end of the wet season. These two metrics are referred to as “green-up lag” and “senescence lag” hereafter. To aid interpretation, we reversed the sign of the green-up lag measurements, so that larger values indicate earlier pre-rain green-up.

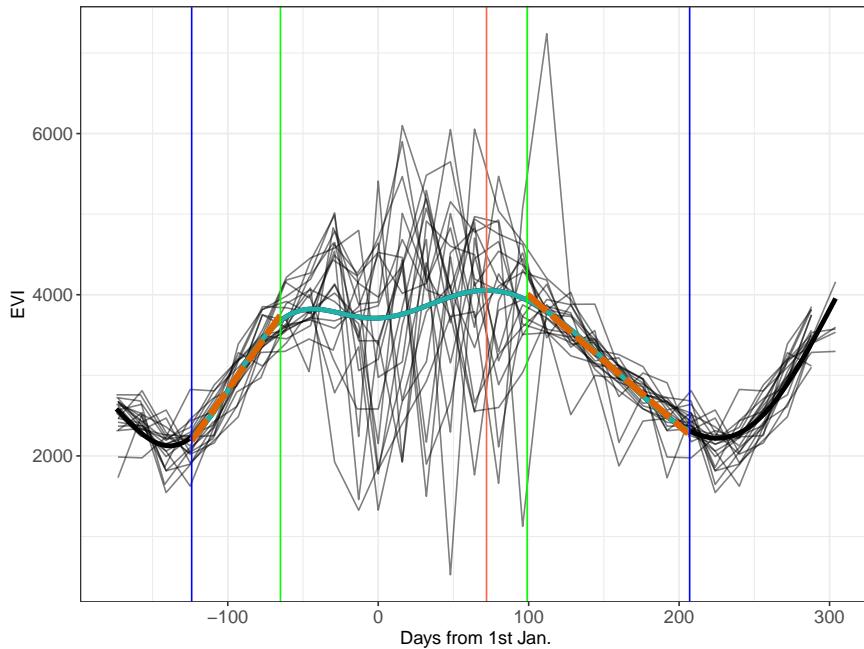


Figure 4.3: Example EVI time series, demonstrating the metrics derived from it. Thin black lines show the raw EVI time series, with one line for each annual growing season. The thick cyan line shows the GAM fit. The blue vertical lines show the minima which bound the growing season. The green vertical lines show the end of the green-up period and start of the senescence period, respectively. The red vertical line shows the maximum EVI value reached within the growing season. The shaded cyan area of the GAM fit shows the growing season, as defined by the first derivative of the GAM curve. The two orange dashed lines are linear regressions predicting the green-up rate and senescence rate at the start and end of the growing season, respectively. Note that while the raw EVI time series fluctuate greatly around the middle of the growing season, mostly due to cloud cover, the GAM fit effectively smooths this variation to estimate the average EVI over the 10 years of data.

Statistical modelling

We used multivariate linear models to assess the role of tree species diversity and woodland structure on each phenological metric. We defined a maximal model structure including the explanatory variables of species diversity, evenness, and tree size alongside climatic variables shown by previous studies to strongly influence land-surface phenology. We included interaction terms of species diversity, species evenness, and tree size with vegetation type. The maximal model was compared to models with different subsets of explanatory variables, using the model log likelihood, AIC (Akaike Information Criteria), and adjusted R^2 values

for each model, to determine which combination of explanatory variables and their interactions with vegetation type best explained each phenological metric. Where two similar models were within 2 AIC points of each other, the model with fewer terms was chosen as the best model, to maximise model parsimony. Explanatory variables in each model were transformed to achieve normality where necessary and standardised to Z-scores prior to modelling to allow comparison of slope coefficients within a given model.

We used the **ggeffects** package to estimate the marginal means of the effects of species diversity, species evenness, and tree size on each phenological metric among vegetation types, where those terms appeared in the best model identified during model selection (**ggeffects**). Estimating marginal means entails generating model predictions across values of a focal variable, while holding non-focal variables constant at their reference value.

To describe variation in land-surface phenology within and among vegetation clusters we conducted a simple MANOVA using the phenological metrics as response variables, followed by post-hoc Tukey's tests between each pairwise combination of vegetation clusters per phenological metric, to test whether vegetation clusters differed significantly in their land-surface phenology. We also visually compared the mean EVI GAM fits for each cluster within the growing season. All statistical analyses were conducted in R version 4.0.2 (**R2020**).

4.3 Results

Our models effectively predicted cumulative EVI, season length, green-up lag, and green-up rate, while senescence lag and senescence rate were poorly constrained even in the best fitting models. Nevertheless, all models were of better quality than a naive model including only mean annual precipitation and mean annual diurnal temperature range (Table 4.2). Model selection showed that both tree species diversity and evenness were significant predictors of cumulative EVI, growing season length, and green-up lag, while senescence lag, senescence rate, and green-up rate were better explained by climate only (Figure 4.5). Despite the 'best' model for senescence lag including diversity as an explanatory variable, the slope of this effect was negligible, with a wide standard error, and the model itself only explained 11%.

As expected (H_1), species diversity and wet season precipitation both had

CHAPTER 4. DIVERSITY MEDIATES LAND-SURFACE PHENOLOGY IN ZAMBIAN DECIDUOUS WOODLANDS

positive significant effects on cumulative EVI and growing season length. In contrast, abundance evenness, the other aspect of tree species diversity in our models, had a significant negative effect on these three phenological metrics (Figure 4.5). Similarly, species diversity increased green-up lag, i.e. the length of the period between green-up and wet season onset, while evenness caused a decrease in green-up lag (H_2). The positive effect of diversity was comparable to the effects of pre-green-up precipitation and diurnal temperature range, which also increased green-up lag. The best model predicting green-up lag explained 32% of the variance in this phenological metric.

Larger average tree size, measured by the quadratic mean of stem DBH per site, was only included and significant in the best model for senescence lag, where it caused earlier senescence with respect to the end of the wet season (H_5). None of the other phenological metrics were significantly affected by average tree size. As mentioned previously however, senescence lag was poorly constrained in our models, with the best model explaining only 11% of the variance in senescence lag.

Vegetation type clusters exhibited some spatial and climatic stratification (Figure 4.1). The key emergent trends were that Cluster 1 was largely absent from the southwest of the country, occurring predominantly in higher rainfall regions. Cluster 4 dominated the southwest of the country, possibly representing drier Angolan miombo woodland (Table 4.1). Of the four vegetation clusters identified, Cluster 2, consists of small stature Zambesian woodlands, as described by **Dinerstein2017** and **Chidumayo2001**, and is not dominated by the same archetypal Detarioideae canopy tree species as miombo woodland. It is possible that these woodlands represent highly disturbed miombo woodlands where large trees may have been removed by humans or by fire induced mortality. Cluster 2 occurs over a wide climatic range, and contains some of the warmest sites in the dataset. Clusters 1, 3 and 4 represent varieties of miombo woodland, dominated by *Brachystegia* spp. and *Jubbernardia* spp., with different secondary species. Median species richness and the range of species richness values per site is similar across vegetation clusters (Table 4.1).

The slope of the relationship between species diversity and phenological metrics varied among vegetation types (H_4) (Figure 4.6). According to post-hoc Tukey's tests on marginal effects (??), Cluster 2 differed from all other clusters in the effect of species diversity on cumulative EVI, growing season length, and pre-

rain green-up lag. Clusters 1 and 4 appear to show no positive effect of diversity on cumulative EVI and Cluster 4 also showed a negative effect of diversity on season length. The effect of diversity and evenness on green-up lag were consistent among all vegetation types. Cluster 2 appears to show a divergent positive effect of evenness on cumulative EVI compared to the other clusters.

Clusters, 1, 3 and 4 were largely similar in their density distribution of the six phenological metrics, while Cluster 2 had more plots with lower cumulative EVI and a shorter growing season (Figure 4.7). A MANOVA including all phenological metrics showed a significant difference among vegetation clusters ($F(3,613)=14.06$, $p<0.01$). Post-hoc Tukey's tests showed significant differences between Cluster 2 and the other three clusters for all phenological metrics (??). Cluster 2 had a significantly shorter growing season than the other clusters, caused by both later green-up and earlier senescence. Cluster 2 also had a higher maximum EVI than the other clusters (Figure 4.4). The vast majority of plots, regardless of vegetation type, exhibited some degree of pre-rain green-up, and all plots exhibited some degree of senescence lag (Figure 4.7).

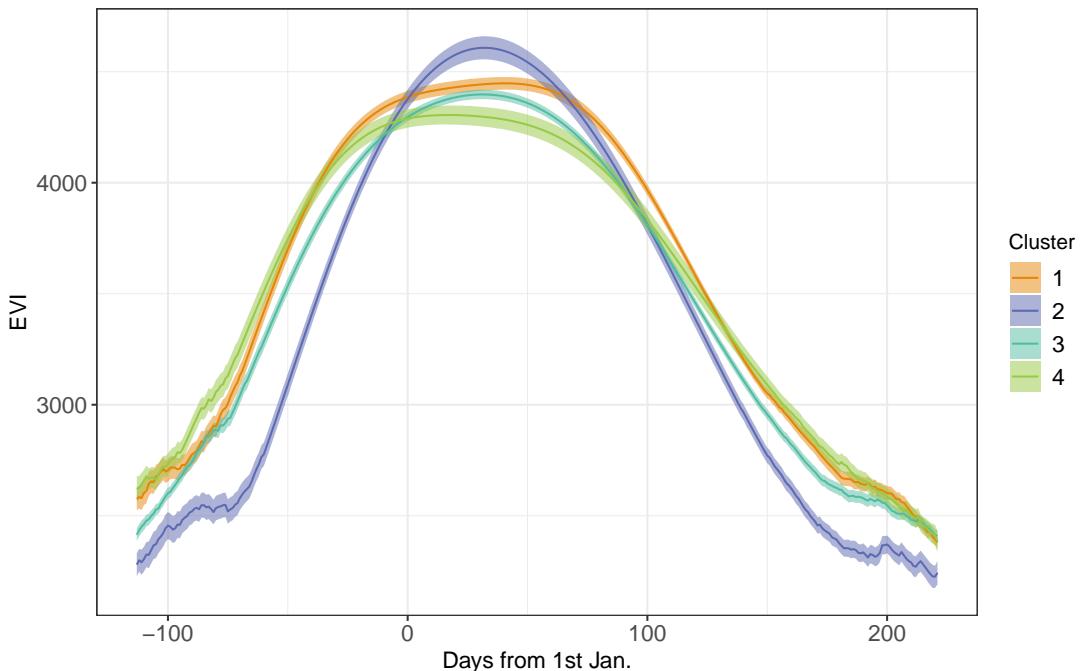


Figure 4.4: GAM models of EVI over the growing season for each vegetation type cluster. Shaded ribbons are 95% confidence intervals.

CHAPTER 4. DIVERSITY MEDIATES LAND-SURFACE PHENOLOGY IN ZAMBIAN DECIDUOUS WOODLANDS

Response	δAIC	R^2_{adj}	$\delta\log\text{Lik}$
Cumulative EVI	74.8	0.34	-52.38
Season length	75.3	0.20	-52.63
Green-up rate	48.2	0.21	-39.09
Senescence rate	83.2	0.15	-56.59
Green-up lag	100.3	0.33	-65.15
Senescence lag	21.3	0.11	-25.64

Table 4.2: Model fit statistics for the best model describing each phenological metric.

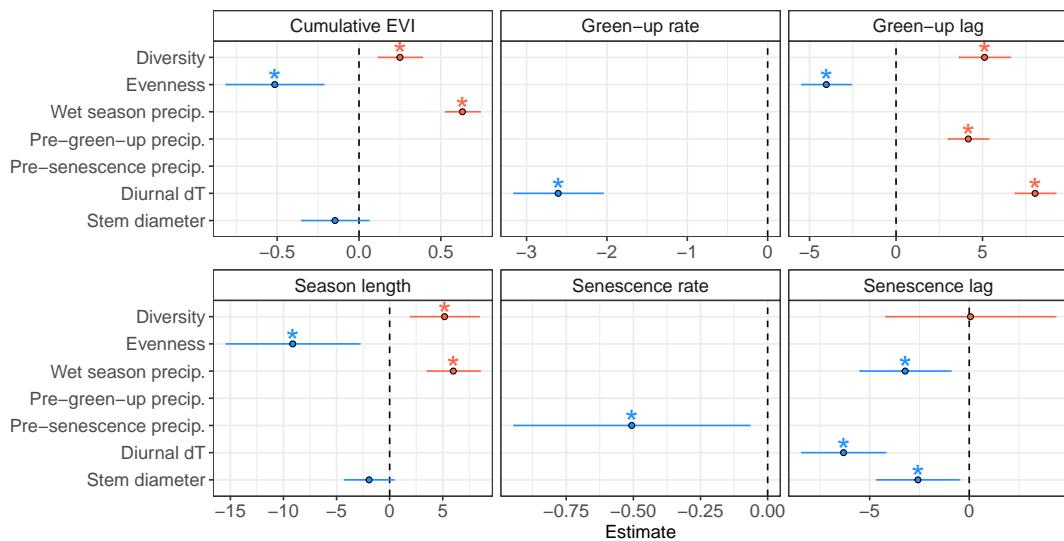


Figure 4.5: Standardized slope coefficients for each best model of a phenological metric. Slope estimates are ± 1 standard error. Slope estimates where the interval does not overlap zero are considered to be significant effects and are marked by asterisks.

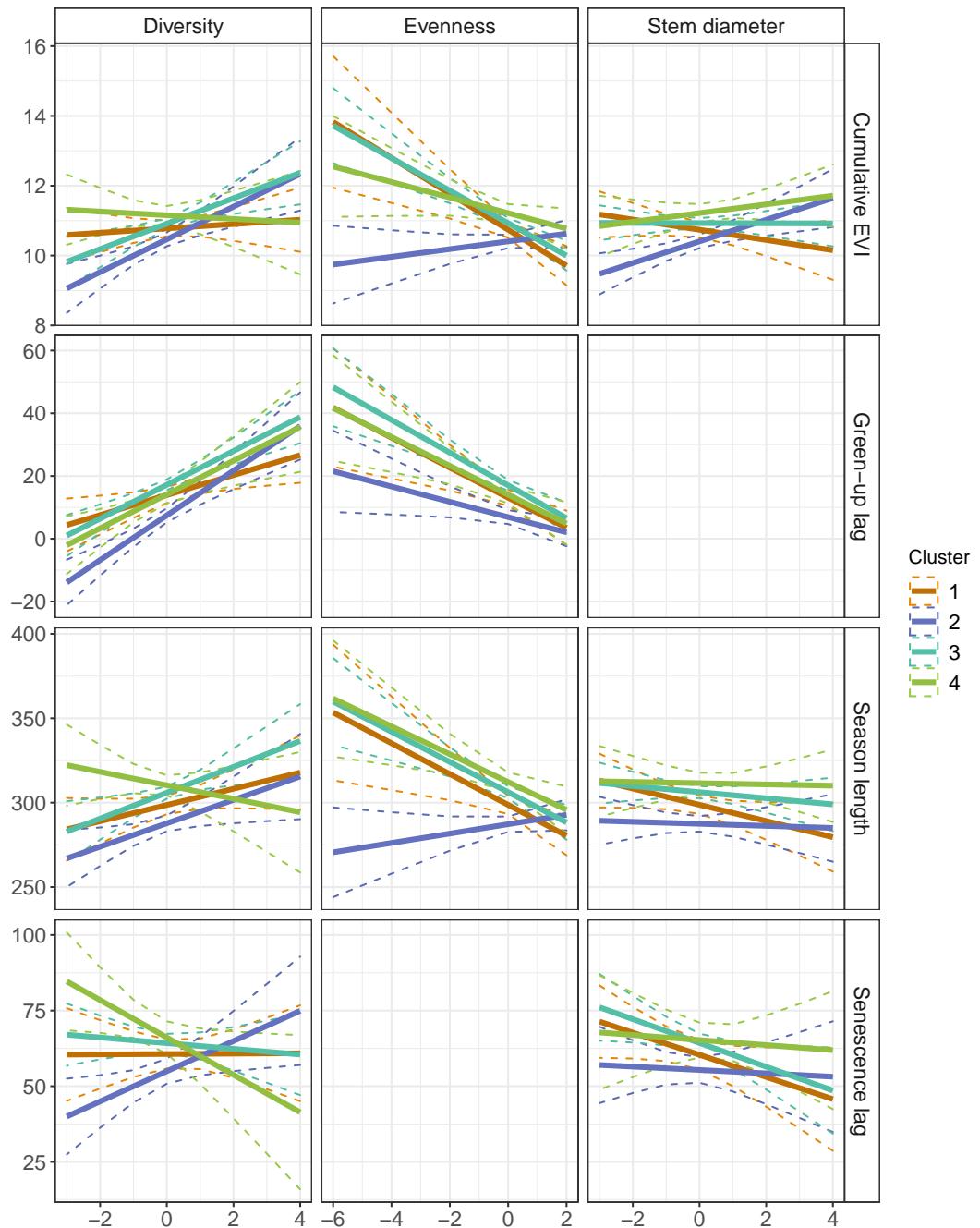


Figure 4.6: Marginal effects of tree species diversity on each of the phenological metrics, using the maximal mixed effects model, for each vegetation cluster. Dotted lines represent 95% confidence intervals.

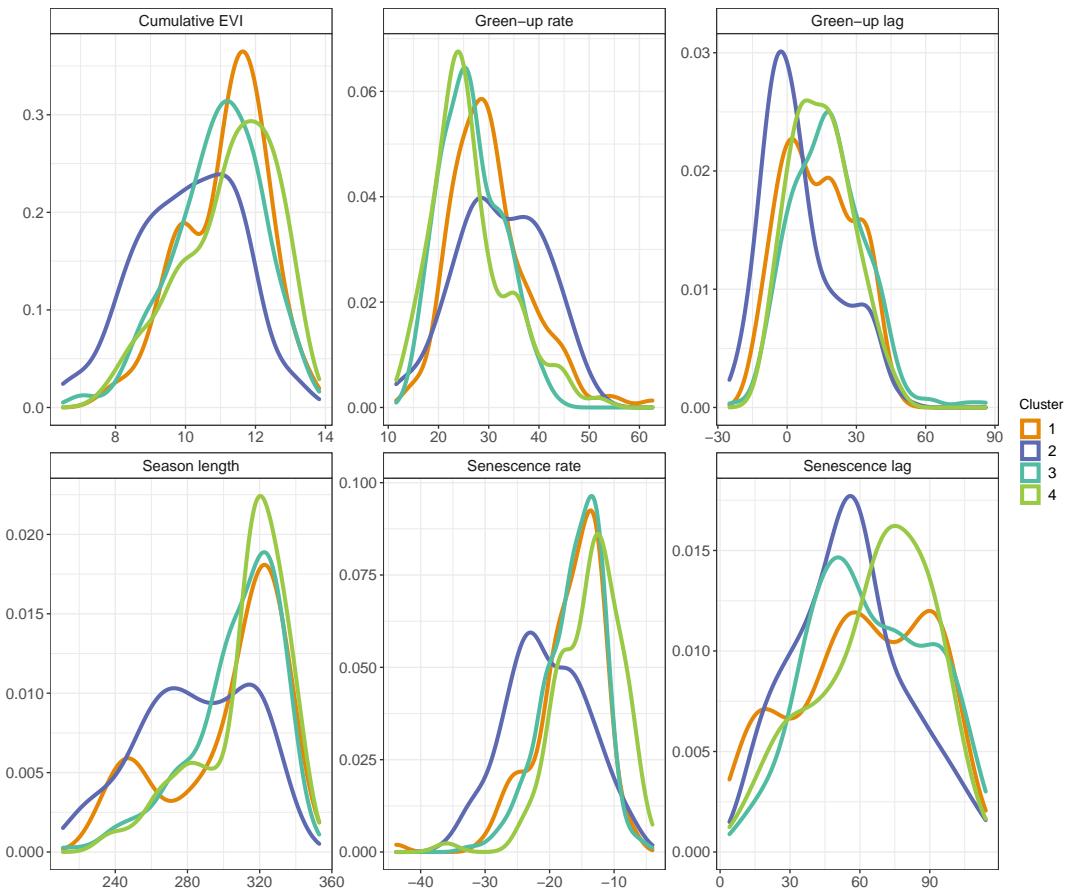


Figure 4.7: Density distribution of the six phenological metrics used in the study, grouped by vegetation cluster.

4.4 Discussion

In this study we have demonstrated clear and measurable effects of tree species diversity, evenness, and composition on various aspects of land-surface phenology in Zambian deciduous savannas, independent of the effects of climatic variation. We showed that tree species diversity led to an increase in cumulative EVI and growing season length in certain vegetation types. Additionally, species diversity caused the onset of greening to occur earlier with respect to the start of the wet season, in all vegetation types. Our study lends support for a positive biodiversity-ecosystem function relationship in deciduous savannas, operating through its influence on land-surface phenology, with a longer growing season and greater cumulative EVI suggesting greater primary productivity in species rich woodlands. Additionally, our finding that species diversity causes earlier pre-rain

green-up suggests that diverse woodlands are more resilient to varying precipitation patterns, by producing foliage in advance of seasonal rainfall. This provides early forage for herbivores (**Morellato2016**), and provides facilitative effects such as cover and hydraulic lift which benefit understorey plants (**Domec2010**; **Yu2015**). Our results highlight the role of tree species diversity as a driver of key ecosystem processes, which affect ecosystem structure, the wildlife provisioning role, and gross primary productivity.

Our finding that species diversity strongly affects patterns of land-surface phenology in deciduous Zambian woodlands provides earth surface system modellers with a means to better understand how future changes in species diversity and composition will affect land-surface phenology and therefore the carbon cycle. Incorporating predictions of biotic change into carbon cycling models has been limited (**Bodegom2011**; Ahlstrom et al., 2015), owing to large uncertainties in the effects of diversity on Gross Primary Productivity (GPP). Our study provides a link by demonstrating a strong positive relationship between species diversity and cumulative EVI, which itself correlates with GPP (**Sjostrom2011**).

While species diversity is a common measure of biodiversity, abundance evenness constitutes a second key related axis (**Wilsey2005**; **Hillebrand2008**; **Jost2010**). In this study, we found contrasting effects of diversity and evenness on cumulative EVI, growing season length and green-up lag. Evenness caused a decrease in these phenological metrics, contrary to our hypothesis. It is possible that the negative effect of abundance evenness occurred because an increase in evenness is associated with a reduction in the dominance of a few large canopy-forming tree species (e.g. *Brachystegia* spp. and *Julbernardia paniculata*). Large canopy tree species have access to groundwater for a longer part of the year, due to their deep root systems and conservative growth patterns, allowing them to green-up in advance of seasonal rains and remain resilient to mid-season fluctuations in water availability (**Zhou2020**). Indeed, our study found that plots with larger trees tend to senesce later with respect to the end of the wet season. We also found that while the three miombo vegetation types showed a negative effect of evenness on cumulative EVI and season length, Cluster 2, which was not dominated by miombo canopy tree species, showed a positive effect of evenness on these phenological metrics.

The effect of species diversity on cumulative EVI and growing season length was driven largely by the response of vegetation Cluster 2, which consisted of

CHAPTER 4. DIVERSITY MEDIATES LAND-SURFACE PHENOLOGY IN ZAMBIAN DECIDUOUS WOODLANDS

shorter stature non-miombo vegetation. Clusters 1, and 4, which consisted of miombo vegetation, exhibited negligible species diversity effects on these two phenological metrics. However, Cluster 3, the remaining miombo vegetation type also showed a positive effect of evenness on cumulative EVI. Cluster 3 had lower annual precipitation than the other two miombo clusters, with a precipitation regime closer to that of Cluster 2. In high precipitation miombo vegetation, it appears that the dominant archetypal miombo tree species can grow to large canopy forming trees, and that these individuals determine cumulative EVI as a result. Meanwhile, in the drier woodlands represented by Clusters 2 and 3, a genuine species diversity effect driven by niche complementarity exists. We suggest that in these drier woodlands, higher species diversity provides ecosystem-level resilience to drought by increasing the breadth of water use strategies.

Patterns of senescence were poorly predicted by species diversity and evenness in our models. **Cho2017** found that tree cover, measured by MODIS LAI data, had a significant negative effect on senescence rates in savannas in South Africa, which have similar climatic conditions to the sites in our study. In most savannas, including sparse savannas, while the onset of the growing season is often driven by tree photosynthetic activity, which may precede the onset of precipitation, the end of the growing season is conversely driven by the understorey grass layer, which itself can be dependent on tree cover (**Cho2017; Guan2014**). Grass activity is more reactive to short-term changes in soil moisture than tree activity, and may oscillate within the senescence period (**Archibald2007**). This may explain the lack of a strong precipitation signal for senescence lag and senescence rate in our models. Our finding that sites with larger trees may prolong the growing season beyond the end of the rainy season corroborates these earlier studies. As average tree stem size increases, tree cover is also likely to increase (**Panzou2020**), reducing the relative contribution of the understorey grass layer to land-surface phenology and producing a more consistent decline in EVI during the senescence period.

Other studies both globally and within southern African savannas have largely ignored patterns of senescence, instead focussing patterns of green-up (**Gallinat2015**). Most commonly, these studies simply correlate the decline of rainfall with senescence (**Stevens2016; Guan2014**), but our best model suggests that diurnal temperature range is a stronger determinant of the end of the growing season than precipitation. Diurnal temperature range effectively measures mean daily

temperature variability. We suggest that diurnal temperature fluctuations, particularly minimum night time temperatures, may provide cues for senescence toward the end of the wet season. In temperate ecosystems which experience autumn senescence, lower night time temperatures have been shown to increase the rate of senescence (**Michelson2017; Escamilla2020**), thus leaves remain green for longer when the diurnal temperature range is smaller. Similarly, our models showed that larger diurnal temperature range caused earlier pre-rain green-up, and possibly acts as a cue to initiate the growing season as well.

Alternatively, **Zani2020** suggests that in resource limited environments, senescence times may largely be set by the preceding photosynthetic activity and sink-limitations on growth. For example, limited nutrient supply may prohibit photosynthesis late in the season if the preceding photosynthetic activity has depleted that supply. **Reich1992** suggested that there are many direct constraints on leaf life-span such as drought and herbivory, especially in the dry tropics, which would lead to timing of senescence being set largely by the time of bud-burst. Our study corroborates this theory, showing that precipitation across the entire wet season was a better predictor of senescence lag than pre-senescence precipitation, while pre-senescence precipitation does cause variation in the rate of senescence. However, we did not find a strong correlation between green-up lag and senescence lag (??).

While leaf senescence may not be as important for the survival of browsing herbivores as the green-up period, the timing of senescence with respect to temperature and precipitation has important consequences for the savanna understorey microclimate. The longer leaf material remains in the canopy after the end of the wet season, the greater the microclimatic buffer for herbaceous understorey plants and animals, which require water and protection from high levels of insolation and dry air which can prevail rapidly after the end of the wet season (**Guan2014**). Our study merely demonstrates that more work needs to be done to properly characterise the drivers of senescence in this biome, which were poorly constrained in our models.

Our coverage of very short growing season lengths in Zambia was restricted, with a notable absence of available plot data in the northeast of the country around 30.5°E, 11.5°S, and 23.0°E, 15.0°S. These regions are largely seasonally water-logged floodplain and swampland, and were likely excluded by the ILUA-II assessment for this reason. This also explains their divergent phenological

patterns as observed in the MODIS EVI data (Figure 4.1). The plot data does however, provide representative coverage of growing season lengths in wooded ecosystems. While our study focusses on woodlands, the phenological behaviour of these other vegetation types should also be considered in future studies, as these may be even more sensitive to changes in climate (**Dean2018**) and under greater land-use change pressures (**Langan2018**).

It is important to note that the remotely sensed EVI measurements used here aren't specific only to trees, they represent the landscape as a single unit. Nevertheless, seasonal patterns of tree leaf phenology in southern African deciduous woodlands, particularly the pre-rain green-up phenomenon, is driven almost exclusively by trees, while grass phenology tends to follow patterns of precipitation more closely (**Whitecross2017; Archibald2007; Higgins2011**). Grasses contribute to gross primary productivity, and it was therefore in our interests to include their response in our analysis as we seek to demonstrate how tree species diversity can affect cycles of carbon exchange. Additionally, the micro-climatic effects of tree leaf canopy coverage and hydraulic lift through tree deep root systems will benefit the productivity of grasses as well as understorey tree individuals.

4.5 Conclusion

Here we explored the role of tree species diversity, composition and woodland structure on land surface phenology across Zambia. We showed that species diversity clearly causes earlier pre-rain green-up, across all vegetation types studied here. The length of the growing season, and ultimately woodland productivity as measured by cumulative EVI, appeared to increase with species diversity in drier woodlands only, while wetter miombo woodlands were dominated by a few canopy-forming archetypal miombo Detarioideae species regardless of species diversity. Interestingly, species evenness had a consistently negative effect on green-up lag, suggesting that pre-rain green-up is driven by dominant species. Finally, we have demonstrated variation in phenological patterns among vegetation types within Zambia that are commonly not distinguished in earth system models. Our results have a range of consequences for earth system modellers as well as conservation managers working in Zambia and across the dry tropics, and lend further support to an already well established corpus of the positive effect of species diversity on ecosystem function.

References

- Ahlstrom, A., M. R. Raupach, G. Schurgers, B. Smith, A. Arneth, M. Jung, M. Reichstein, J. G. Canadell, P. Friedlingstein, A. K. Jain et al. (2015). ‘The dominant role of semi-arid ecosystems in the trend and variability of the land CO₂ sink’. In: *Science* 348.6237, pp. 895–899. DOI: 10.1126/science.aaa1668.
- Dufrêne, M. & P. Legendre (1997). ‘Species assemblages and indicator species: The need for a flexible asymmetrical approach’. In: *Ecological Monographs* 67.3, pp. 345–366. DOI: 10.1890/0012-9615(1997)067[0345:saaist]2.0.co;2.
- Fick, S. E. & R. J. Hijmans (2017). ‘WorldClim 2: new 1-km spatial resolution climate surfaces for global land areas’. In: *International Journal of Climatology* 37, pp. 4302–4315. DOI: 10.1002/joc.5086.
- Parr, C. L., C. E. R. Lehmann, W. J. Bond, W. A. Hoffmann & A. N. Andersen (2014). ‘Tropical grassy biomes: Misunderstood, neglected, and under threat’. In: *Trends in Ecology and Evolution* 29, pp. 205–213. DOI: 10.1016/j.tree.2014.02.004.
- Smith, B. & J. B. Wilson (1996). ‘A consumer’s guide to evenness indices’. In: *Oikos* 76, pp. 70–82. DOI: 10.2307/3545749.

CHAPTER 4. DIVERSITY MEDIATES LAND-SURFACE PHENOLOGY IN
ZAMBIAN DECIDUOUS WOODLANDS

Chapter 5

TLS chapter

TLS chapter

Chapter 6

Diversity and Structure of an Arid Woodland in Southwest Angola, with Comparison to the Wider Miombo Ecoregion

Abstract

Seasonally dry woodlands are the dominant land cover across southern Africa. They are biodiverse, structurally complex, and important for ecosystem service provision. Species composition and structure vary across the region producing a diverse array of woodland types. The woodlands of the Huíla plateau in southwest Angola represent the extreme southwestern extent of the miombo ecoregion and are markedly drier than other woodlands within this ecoregion. They remain understudied however, compared to woodlands further east in the miombo ecoregion. We aimed to elucidate further the tree diversity found within southwestern Angolan woodlands by conducting a plot-based study in Bicuar National Park, comparing tree species composition and woodland structure with similar plots in Tanzania, Mozambique, and the Democratic Republic of Congo. We found Bicuar National Park had comparatively low tree species diversity, but contained 27 tree species not found in other plots. Plots in Bicuar had low basal area, excepting plots dominated by *Baikiaea plurijuga*. In a comparison of plots in intact vegetation with areas previously disturbed by shifting-cultivation agriculture, we found species diversity was marginally higher in disturbed plots. Bicuar National Park remains an important woodland refuge in Angola, with an uncommon mosaic of woodland types within a small area. While we highlight wide variation in species composition and woodland structure across the miombo ecoregion, plot-based studies with more dense sampling across the ecoregion are clearly needed to more broadly understand regional variation in vegetation diversity, composition and structure.

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

6.1 Introduction

Tropical woodlands extend over 12 countries in central and southern Africa, with an estimated area of ~ 3.7 million km² (**White1983; Mayaux2004; Arino2010**). Within this, miombo woodlands are the dominant vegetation type, characterised by trees of the *Brachystegia*, *Julbernardia* and *Isoberlinia* genera, all within the Fabaceae family, subfamily Detarioideae (**Chidumayo1997; Campbell2002; Azani2017**). These genera are seldom found as dominant species outside miombo woodlands, and while their contribution to the biomass of miombo woodlands is substantial, it varies throughout the region (**Campbell2002**). Across the range of southern African woodlands, variation in climate, edaphic factors, disturbance regimes and biogeography maintain a diverse array of woodland types in terms of both species composition and physiognomy (**Privette2004; Caylor2004; Chidumayo2002**). Many of these woodlands have a flammable grassy understory and thus are also considered as a form of savanna (Ratnam et al., 2011).

The miombo ecoregion extends across the continent in a wide band that reaches north into Kenya and the Democratic Republic of Congo (DRC) and south into the northeast of South Africa (Figure 1a). Miombo woodlands are defined both by their tree diversity and by their structure of a grassy herbaceous understory with an often sparse tree canopy. In archetypical miombo woodlands, species of the genera *Brachystegia*, *Julbernardia* and *Isoberlinia* generally hold the most biomass, forming a mostly open woodland canopy. Distinct from dry tropical forests, miombo woodlands generally maintain a grassy understory dominated by grass species utilizing the C₄ carbon fixation pathway (Dexter et al., 2015). Miombo woodlands are heavily structured by seasonal fire and herbivory, with fire particularly often preventing the creation of a closed tree canopy which would naturally occur in the absence of these disturbances (**Oliveras2016; Dantas2016**). Within the miombo ecoregion, other woodland types exist, notably, woodlands dominated by *Baikiaea plurijuga* or *Colophospermum mopane* (**Campbell2002**).

Southern African woodlands are structurally complex but species poor in the tree layer compared to dry tropical forests which exist at similar latitudes (**DRYFLOR2016; Torello-raventos2013**). These woodlands contain many endemic tree species however, and support a highly diverse woodland understory, with an estimated 8500 species of vascular plants (**Frost1996**). Miombo wood-

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

lands provide ecosystem service provision for an estimated 150 million people (Ryan et al., 2016). Additionally miombo woodlands hold ~18-24 Pg C in woody biomass and soil organic carbon, which is comparable to that held in the rainforests of the Congo basin (~30 Pg C) (Mayaux et al., 2008). As woodland resource extraction and conversion to agricultural land accelerates due to growing human populations, the conservation of miombo woodlands as a biodiverse and unique ecosystem has become a growing concern. Despite their importance however, dry tropical woodlands remain understudied compared to wet forests across the globe (Clarke et al., 2017).

Over the previous two decades, the limited ecological research in southern African woodlands has been concentrated in the central and eastern parts of the miombo region, notably in southern Tanzania, Mozambique, Malawi, Zimbabwe and Zambia. The southwestern extent of miombo woodlands, which is found entirely within Angola has received considerably less attention (**Huntley2019**). Partly this is due to diminished research capacity during the Angolan civil war following the country's independence, which took place officially between 1975 and 2002, but with sporadic localised periods of civil unrest until around 2012 (**Oliveira2015**). While botanical surveys of woodlands in this region are more plentiful (**Huntley2019; Figueiredo2009**), joint studies of woodland species composition and physical structure remain scarce. This is despite the value of these studies in helping to estimate woodland net primary productivity, carbon sequestration potential, and studies of community assembly. To properly understand spatial variation in woodland species composition and physical structure across the miombo ecoregion, it is necessary to fill understudied gaps. In this study we aim to address one such gap in southwest Angola, and place it in context with other woodlands across the miombo ecoregion.

The miombo woodlands of southwest Angola are found in their most intact form in Bicuar National Park and to a lesser extent in the adjacent Mupa National Park, on the Huíla plateau (**Chisingui2018**). Both of these national parks have been protected to varying extents since 1938 **Huntley2019**. These woodlands exist in much drier conditions than other miombo woodlands, precipitation diminishes rapidly within the Huíla plateau towards the Angolan coast and the Namib desert (Figure 1a). The vegetation of the Huíla plateau holds many endemic species, around 83 endemic Fabaceae species (**Soares2007**) and the most endemic plant species of any part of Angola (**Figueiredo2008**). **Linder2001**

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

and **Droissart2018** both identify the western portion of the Huíla plateau as a centre of tropical African endemism.

Much of the historic miombo woodland area in southwest Angola surrounding the Bicuar and Mupa National Parks has been deforested in recent years, with a clear increase in deforestation activity since the end of the civil war owing to an increase in rural population and agricultural activity (**Schneibel2013; Huntley2019**). The western extent of miombo woodlands found within Bicuar National Park plateau are therefore of great importance for conservation as a refuge for wildlife and endemic plant species (**Huntley2019**).

It is important to focus not only on the biodiversity of undisturbed woodland areas but also previously disturbed land in order to properly assess the biodiversity and woodland structure of the Park. Woodland disturbance through shifting cultivation practices produces novel habitats which are not necessarily of lower conservation value (**McNicol2015; Goncalves2017**). Since Bicuar National Park's rejuvenation following the reinforcement of park boundaries after the civil war, many areas of woodland that were previously heavily grazed, farmed via shifting cultivation techniques, and used for timber extraction have been allowed to re-establish and are now protected from further human resource extraction. This presents a unique opportunity to compare the species composition of these disturbed areas with areas of nearby woodland that have not been farmed in living memory.

In this study we present results of the tree diversity and woodland structure of miombo woodlands found at the far western extent of miombo woodlands in Bicuar National Park, Huíla province, Angola. Our study utilised recently installed biodiversity monitoring plots set up within the Park in 2018 and 2019. We compare the tree diversity and woodland structure of Bicuar National Park with biodiversity monitoring plots previously established in other areas of miombo woodland across the miombo ecoregion which use a common plot biodiversity census methodology. In addition, we take advantage of a unique opportunity to compare the tree species composition of areas of abandoned and now protected farmland that have begun to re-establish as woodland. Specifically, this study aims to:

1. Describe the tree species diversity and structure of woodlands in Bicuar National Park, and compare this composition with other woodlands across the miombo eco-region

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

2. Explore the role of environmental factors in driving changes in tree species composition across the miombo ecoregion

3. Describe variation in tree species composition and woodland structure between disturbed and undisturbed woodland patches within Bicuar National Park

6.2 Materials and Methods

6.2.1 Study area

We chose three areas of miombo woodland across the miombo ecoregion to compare with those in Bicuar National Park, Angola ($S15.1^{\circ}$, $E14.8^{\circ}$). The three sites were Gorongosa District in central Mozambique ($S19.0^{\circ}$, $E34.2^{\circ}$) (**Ryan2011**), Kilwa District in southern Tanzania ($S9.0^{\circ}$, $E39.0^{\circ}$) (McNicol et al., 2018a), and the Mikembo Natural Reserve in Katanga, southern Democratic Republic of Congo (DRC) ($S11.5^{\circ}$, $E27.7^{\circ}$) (Muledi et al., 2017). Within each of these woodland sites, multiple one hectare square plots had been installed previously to monitor biodiversity and biomass dynamics. In Katanga, a larger 10 ha plot was subdivided into ten 1 ha plots for this study. We used these previous censuses, collected between 2010 and 2019, to estimate tree biodiversity and woodland structure. Sites range in Mean Annual Precipitation (MAP) from 864 mm y^{-1} in Bicuar to 1115 mm y^{-1} in Katanga. Mean Annual Temperature ranges from $\sim 20.5^{\circ}\text{C}$ in Bicuar and Katanga to $\sim 25.8^{\circ}\text{C}$ in Kilwa (Figure 1b, Table 6.1).

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

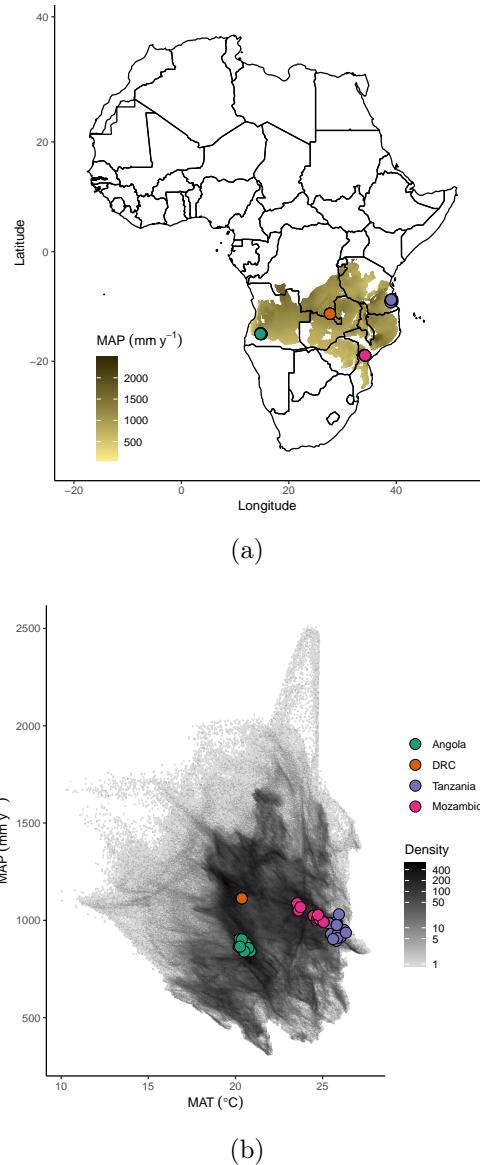


Figure 6.1: Locations of plots used in this study, by (a) geographic location with respect to the distribution of miombo woodland vegetation (shaded brown according to mean annual precipitation) (**White1983**), and (b) showing the plot locations compared to the climate space of the miombo ecoregion estimated using the WorldClim dataset over the Miombo woodland vegetation extent with a pixel size of 30 arc seconds (0.86 km^2 at the equator) (Fick & Hijmans, 2017). Note that the density colour scale is log-transformed for visual clarity.

Bicuar National Park covers an area of $\sim 7900 \text{ km}^2$, established as a hunting reserve in 1938, and later as a national park in 1964 (Figure 6.2). While fauna populations in the Park were severely damaged by the Angolan civil war, the interior of the Park remains as a largely intact mosaic of miombo wood-

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

Table 6.1: Description of each group of plots used in the analysis. MAT = Mean Annual Temperature, MAP = Mean Annual Precipitation, CWD = Climatic Water Deficit, DD = Decimal Degrees.

Plot group	MAT (°C)	MAP (mm y ⁻¹)	CWD (mm y ⁻¹)	Latitude (DD)	Longitude (DD)	N plots	N species
Bicuar NP	20.5	864	-815	-15.12	14.81	15	49
DRC	20.4	1115	-762	-11.49	27.67	12	89
Mozambique	24.4	1029	-662	-18.95	34.16	15	162
Tanzania	25.8	956	-754	-9.05	39.05	22	248

land, Baikiaea-Burkea woodland, shrub/thicket vegetation and seasonally flooded grassland. Encroachment of agriculture and grazing, particularly along the northwest and western boundaries of the Park, has led to a fragmented park boundary with patches of diminished thicket and woodland in areas of previously farmed land that have been protected since park boundaries were re-established following the end of the civil war.

Plots in Tanzania were located predominantly within or near the Mtarure Forest Reserve, administrated by the Tanzania Forest Service and protected from human incursion since their installation. Plots were established between 2010 and 2011 in grassy savanna/woodland areas, with plots located along the road network with a 1 km buffer from the road. Plots in Mozambique were established in 2004, in areas of miombo woodland that had been previously used for agriculture but since left fallow, and areas of undisturbed miombo woodland, located along the road network, with all plots >250 m from the road. Plots in DRC were established in 2009 and located within a larger 800 ha miombo woodland reserve, which consists of undisturbed miombo woodlands. All plots were located quasi-randomly, with consideration to accessibility for future woodland censuses.

6.2.2 Plot data collection

We sampled 15 one hectare plots in Bicuar National Park and collated data from a total of 64 one hectare plots across the miombo ecoregion within four sites. Figure 1a and Table 6.1 show the locations and general description of each site, respectively. Plots in Bicuar were situated at least 500 m from the edge of a woodland patch to prevent edge effects which may have altered tree species

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

composition.

Within each plot, every tree stem ≥ 5 cm stem diameter was recorded, except in the DRC plots, where only stems ≥ 10 cm stem diameter were recorded. For each tree stem the species and stem diameter were recorded. Tree species were identified using local botanists at each site and taxonomy was later checked against the African Plant Database (**APD2020**). At all sites, we used **Palgrave2003**, along with other texts, to identify tree species. Specimens that could not be identified in the field, or subsequently at herbaria, were described as morphospecies. All tree species within the Bicuar National Park plots were identified. Tree coppicing due to fire, herbivory, and human actions is common in miombo woodlands, therefore, for trees with multiple stems, each stem ≥ 5 cm stem diameter was recorded, while the parent tree was also recorded for diversity analyses described below.

Stem diameter was recorded at 1.3 m from the ground along the stem (diameter at breast height, DBH) as per convention using a diameter tape measure (**Kershaw2017**). Where stem abnormalities were present at 1.3 m from the ground, which precluded the accurate estimation of stem diameter at 1.3 m, the stem diameter was recorded at the nearest 10 cm increment above 1.3 m without significant stem abnormalities (**Kershaw2017**). To ensure consistency among stem diameter values recorded at different heights, when the stem diameter was recorded at a height other than 1.3 m the stem diameter at 1.3 m was estimated from the recorded stem diameter using a cubic polynomial equation which adjusts for tree stem taper. This equation was calibrated on 100 stems measured at multiple heights in Niassa Province, Mozambique (Appendix A). Stems below 10 cm stem diameter were not measured in the DRC plots. We therefore estimated the number of 5-10 cm stems in each these plots by extrapolating a linear regression of log stem abundance across the available stem diameter classes.

In addition to the one hectare plots across the miombo ecoregion, we compared the tree biodiversity of undisturbed areas of miombo woodland in Bicuar National Park with areas of disturbed woodland around the edge of the Park that had been previously farmed via shifting cultivation methods, and had since been abandoned and reclaimed within the Park boundaries Figure 6.2. We identified areas previously farmed with the help of park rangers and local residents who identified these areas from memory. We conducted 20 plot surveys of woodland diversity and structure in these areas with 20x50 m (0.1 ha) plots, and compared

their diversity and structure with 20x50 m subsamples of the 15 one hectare plots within the Park interior. Like the one hectare plots, within these smaller 20x50 m plots we recorded the species and stem diameter of every tree stem ≥ 5 cm stem diameter.

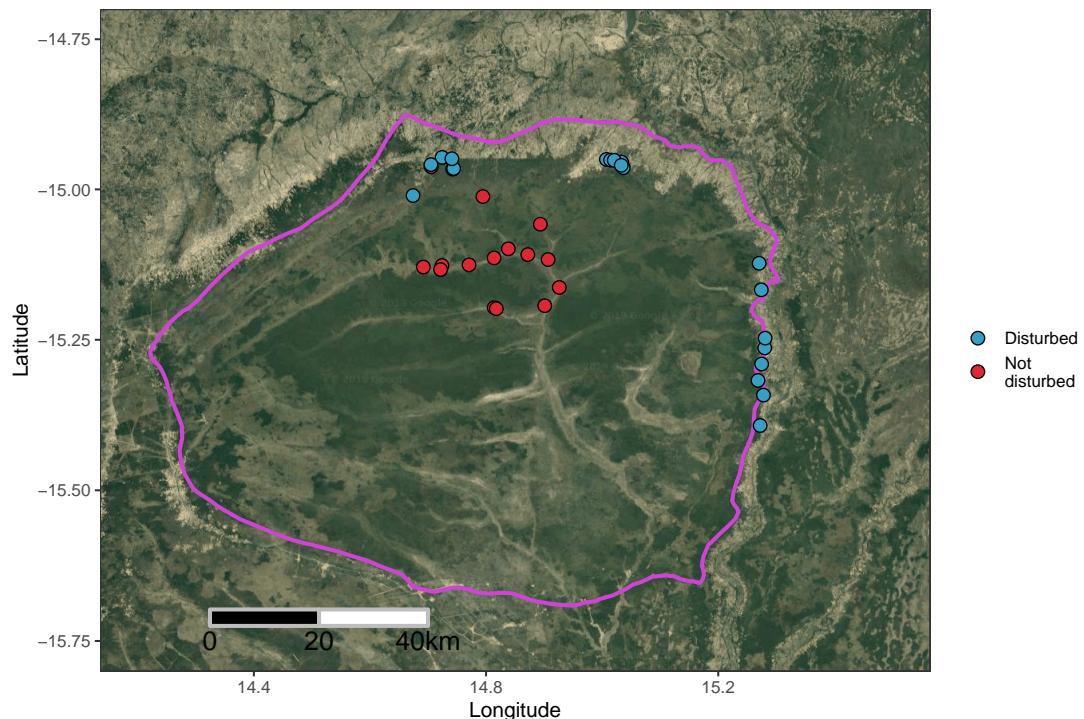


Figure 6.2: Location of plots in Bicuar National Park, southwest Angola. The Park boundary is shown as a pink outline, according to **WDPA2019**. One hectare undisturbed plots are shown as red points, while disturbed 20x50 m (0.1 hectare) plots are shown as blue points. The map background is a true colour composite satellite image generated using the Google Maps Static Maps API in the **ggmap** R package (**ggmap**).

6.2.3 Climatic data

The WorldClim dataset (Fick & Hijmans, 2017) was used to gather data on plot-level climatic conditions. We estimated Mean Annual Precipitation (MAP) as the mean of total annual precipitation values between 1970 and 2000, and Mean Annual Temperature (MAT) as the mean of mean annual temperatures between 1970 and 2000. The seasonality of temperature (MAT SD) was calculated as the standard deviation of monthly temperature per year, respectively. We

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

estimated Climatic Water Deficit (CWD) for each plot according to (Chave et al., 2014), as the sum of the difference between monthly rainfall and monthly evapotranspiration when the difference is negative, using the dataset available at http://ups-tlse.fr/pantropical_allometry.htm, which uses data from the WorldClim dataset 1970-2000.

6.2.4 Data analysis

We calculated the basal area of each stem (g_i) using:

$$g_i = \pi \times (d_i/2)^2 \quad (6.1)$$

Where d_i is the estimated stem diameter of stem i at 1.3 m having accounted for tree taper. We then calculated the total basal area of each plot as the sum of each stem's basal area. For the DRC plots which lacked 5-10 cm stems, we estimated basal area in this stem diameter class from our extrapolation of stem abundance in the 5-10 cm diameter class, assuming a mean stem diameter of 7.5 cm.

All diversity measures were calculated on individual tree-level data, rather than stem-level data, to avoid artificial inflation of abundance for those species which readily coppice. We calculated the alpha diversity of each plot using both the tree species richness of trees with stems ≥ 5 cm diameter, and the Shannon-Wiener index (H') (Equation 6.2), using the `vegan` package in R (**vegan**):

$$H' = - \sum_{i=1}^S p_i \ln p_i \quad (6.2)$$

Where S is the total number of species in the plot, p_i is the proportional abundance of the i th species and \ln is the natural logarithm.

We calculated the pairwise beta diversity among sites using the Sørensen coefficient (S_S) (Equation 6.3) (**Koleff2003**):

$$S_S = \frac{2a}{2a + b + c} \quad (6.3)$$

Where a is the number of species shared between two sites, b is the number of species unique to site 1 and c is the number of species unique to site 2. We calculated S_S for each pairwise combination of sites using aggregated species composition data from all plots in each site. The value of S_S , which ranges

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

between zero and one, was multiplied by 100 to give a “percentage similarity” between communities in species composition.

We estimated abundance evenness for each plot using the Shannon equitability index ($E_{H'}$) (Smith & Wilson, 1996) which is the ratio of H' to the log transformed species richness.

We analysed the difference in alpha diversity measures and woodland structural variables among groups of plots using Analysis of Variance (ANOVA) statistical models, with a null hypothesis that there was no difference among the mean values of groups of plots. Post-hoc Tukey’s HSD tests were used to investigate the degree to which pairwise combinations of plot groups differed in each case.

We used Non-metric Multidimensional Scaling (NMDS) to assess the variation in species composition among one hectare plots, and also between disturbed and undisturbed 20x50 m plots within Bicuar National Park, using the `vegan` R package. The number of dimensions for NMDS was minimised while ensuring the stress value of the NMDS fit was ≤ 0.1 . NMDS analyses were run with 500 random restarts to ensure a global solution was reached. We used Bray-Curtis dissimilarity as the optimal measure of ecological distance (**Legendre2013**). We fit plot-level estimates of MAP, MAT, the seasonality of MAT and CWD to the first two axes of the resulting ordination using the `envfit` function in the `vegan` R package to investigate how these environmental factors influenced the grouping of species composition among plots. All analyses were conducted in R version 3.6.1 (**RCoreTeam2019**).

6.3 Results

6.3.1 Alpha diversity

In Bicuar National Park we measured a total of 6565 trees within the one hectare plots, and across the four sites, a total of 25525 trees were sampled. Trees in Bicuar National Park belonged to 48 species within 18 families. Across all four sites we recorded 468 species from 43 families. The most diverse family within each site and among all plots was Fabaceae with 61 species. We encountered 27 tree species in Bicuar National Park which were not found in the other miombo woodland plots (Table 6.2). The most common of these unique species were

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

Brachystegia tamarindoides ($n = 576$), *Baikiaea plurijuga* ($n = 331$) and *Baphia massaiensis* ($n = 303$). Four species unique to Bicuar National Park within this dataset only had one individual recorded: *Elachyptera parvifolia*, *Entandrophragma spicatum*, *Oldfieldia dactylophylla*, *Peltophorum africanum*.

Alpha diversity in Bicuar National Park was low compared to other sites (Figure 6.3). Mean H' across plots in Bicuar National Park was 1.6 ± 0.13 . An ANOVA showed a significant difference in H' among sites ($F(3,60) = 7.54$, $p < 0.01$, Table 6.3), and a post-hoc Tukey's test showed that H' in plots in Bicuar National Park was significantly different from those in DRC ($H' = 2.7 \pm 0.19$, $p < 0.01$), Mozambique ($H' = 2.4 \pm 0.2$, $p < 0.01$) and Tanzania ($H' = 2.2 \pm 0.11$, $p < 0.05$). Variation in H' is large within Bicuar National Park, with H' ranging from 0.85 to 2.56, but this was a similar range to other sites. In contrast, the range of species richness within Bicuar National Park was much lower than other sites, suggesting that the wide range in H' was caused by variation in abundance evenness.

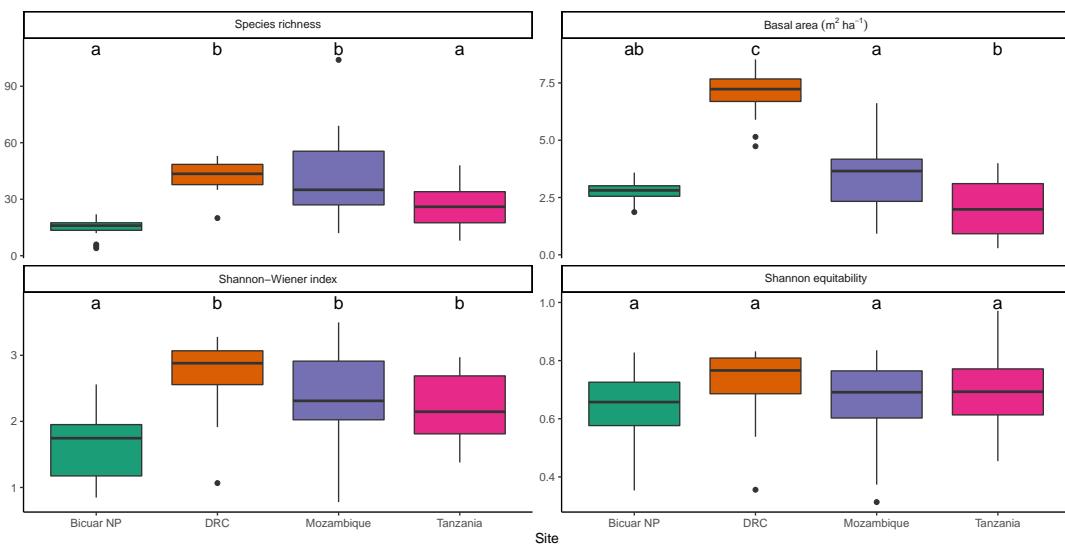


Figure 6.3: Variation of alpha diversity estimates and basal area among sites. Boxes bound the 1st and 3rd quartiles, with the median within the box. Whiskers represent 1.5 times the interquartile range plus or minus the 1st and 3rd quartiles, respectively. Values found beyond the whiskers are shown individually as points. Letter labels above each box refer to groupings from post-hoc Tukey's tests on the ANOVA of each diversity/structure variable. Sites sharing a letter do not differ significantly ($p < 0.05$)

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

Table 6.2: Species found in one hectare plots in Bicuar National Park. Stem diameter and basal area are the mean of all stems with the standard error of the mean in parentheses. Number of stems per hectare is the mean of the number of stems in all one hectare plots where stems of that species are present with the standard error of the mean in parentheses. Species found only in Bicuar National Park are marked in bold text with an asterisk.

Family	Species	Stem diam. (cm)	Basal area (m ² ha ⁻¹)	N stems	N st
Fabaceae	<i>Albizia antunesiana</i>	9.1(2.03)	0.07(0.040)	40	8
Fabaceae	*Baikiaea plurijuga	28.9(0.75)	1.72(0.570)	331	55.
Fabaceae	*Baphia bequaertii	7.4(0.36)	0.08(0.050)	127	31.
Fabaceae	*Baphia massaiensis	6.6(0.17)	0.05(0.020)	303	30.
Fabaceae	<i>Bobgunnia madagascariensis</i>	7.8(0.91)	0.04(0.020)	32	10
Fabaceae	*Brachystegia longifolia	12.9(0.48)	1.14(0.430)	576	115
Fabaceae	<i>Brachystegia spiciformis</i>	11.4(0.52)	0.74(0.430)	326	81.
Phyllanthaceae	*Bridelia mollis	5.7(0.31)	0.02(NA)	23	2
Fabaceae	<i>Burkea africana</i>	8.5(0.33)	0.39(0.120)	863	71.
Combretaceae	<i>Combretum apiculatum</i>	7.6(0.45)	0.06(0.040)	60	30
Combretaceae	<i>Combretum celastroides</i>	5.6(0.34)	<0.01(0.000)	7	3.
Combretaceae	<i>Combretum collinum</i>	6.3(0.09)	0.07(0.020)	609	50.
Combretaceae	*Combretum hereroense	6.7(0.26)	0.02(0.010)	73	12
Combretaceae	*Combretum psidoides	7.4(0.43)	0.01(0.010)	33	6.
Combretaceae	<i>Combretum zeyheri</i>	6.3(0.35)	0.01(0.000)	61	10
Euphorbiaceae	*Croton gratissimus	6.1(1.55)	<0.01(NA)	4	4
Ebenaceae	*Diospyros batocana	8.4(2.14)	<0.01(0.000)	2	1
Ebenaceae	*Diospyros kirkii	9.3(1.64)	0.03(NA)	11	1
Apocynaceae	<i>Diplorhynchus condylocarpon</i>	8.2(0.52)	0.08(0.060)	174	19
Malvaceae	*Dombeya rotundifolia	5.5(0.19)	<0.01(NA)	2	2
Celastraceae	*Elachyptera parvifolia	7.3(NA)	<0.01(NA)	1	1
Meliaceae	*Entandrophragma spicatum	14.6(NA)	<0.01(NA)	1	1
Fabaceae	<i>Erythrophleum africanum</i>	9.0(0.84)	0.10(0.040)	128	18
Rubiaceae	*Gardenia volkensii	5.6(1.15)	<0.01(0.000)	5	2.
Fabaceae	*Guibourtia coleosperma	7.2(1.00)	0.02(0.010)	31	6.
Phyllanthaceae	<i>Hymenocardia acida</i>	5.9(1.25)	<0.01(NA)	6	6
Fabaceae	<i>Julbernardia paniculata</i>	10.1(0.21)	0.92(0.200)	1624	162
Fabaceae	*Lonchocarpus nelsii	13.4(0.88)	0.15(0.030)	165	15
Dipterocarpaceae	*Monotes angolensis	7.4(0.83)	<0.01(0.000)	2	1
Ochnaceae	*Ochna pulchra	6.5(0.80)	0.01(0.000)	26	8.
Picrodendraceae	*Oldfieldia dactylophylla	8.5(NA)	<0.01(NA)	1	1
Fabaceae	*Peltophorum africanum	11.5(NA)	<0.01(NA)	1	1
Fabaceae	<i>Pericopsis angolensis</i>	8.4(0.61)	0.06(0.020)	97	12
Phyllanthaceae	<i>Pseudolachnostylis maprouneifolia</i>	6.7(0.45)	0.03(0.010)	84	9.
Combretaceae	*Pteleopsis anisoptera	6.8(0.46)	0.07(0.020)	81	20.
Fabaceae	<i>Pterocarpus angolensis</i>	13.0(0.61)	0.15(0.100)	102	17
Fabaceae	*Pterocarpus lucens	6.9(0.94)	<0.01(NA)	4	4
Rubiaceae	*Rothmannia engleriana	6.8(0.66)	<0.01(0.000)	5	1.
Euphorbiaceae	*Schinziophyton rautanenii	8.0(2.82)	<0.01(NA)	3	3

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

Table 6.3: Results of ANOVA tests for alpha diversity metrics and plot basal area, among the four sites. Mean values for each site with standard errors in parentheses are shown. Asterisks indicate the p-value of individual sites in each ANOVA (***<0.001, **<0.01, *<0.05, .<0.1).

	Dependent variable:			
	Species richness	Basal area	Shannon (H')	Shannon equit. (E_H)
	(1)	(2)	(3)	(4)
DRC	27.920*** (5.538)	4.175*** (0.452)	1.055*** (0.236)	0.080 (0.053)
Tanzania	12.440** (4.788)	-0.721* (0.391)	0.605*** (0.204)	0.064 (0.046)
Mozambique	27.930*** (5.221)	0.653 (0.427)	0.792*** (0.223)	0.028 (0.050)
Constant	14.330*** (3.692)	2.778*** (0.302)	1.617*** (0.158)	0.631*** (0.035)
Observations	64	64	64	64
Adjusted R ²	0.363	0.691	0.237	0.003
Residual Std. Error (df = 60)	14.300	1.168	0.611	0.137
F Statistic (df = 3; 60)	12.980***	48.040***	7.537***	1.000

6.3.2 Beta diversity

The NMDS of plot species composition among one hectare plots was run with four dimensions. The stress value was 0.10. Plot diversity in Bicuar National Park formed three distinct groups within axes 1 and 2 of the NMDS ordination. Bicuar plots 9, 13, and 15 were characterised by high abundances of *Baikiaea plurijuga*, *Baphia massaiensis* and *Croton gratissimus*, according to species scores from the NMDS. Bicuar plots 4, 11, and 12 were characterised by *Brachystegia tamarindoides*, and *Ochna pulchra*. The third group consisting of the remaining seven plots surprisingly had a species composition most similar to that of plots in the DRC group according to the NMDS, sharing the core miombo species of *Jubbernardia paniculata* and *Pterocarpus angolensis*. This group of plots in Bicuar National Park was further characterised by the abundance of *Pterocarpus lucens*, *Strychnos pungens* and *Bridelia mollis* however, which were not present in the DRC plots. All environmental factors fitted to the NMDS ordination correlated significantly with the grouping of plots (Figure 4a). MAT explained the most variation in plot position on the first two NMDS axes ($R^2 = 0.75$, $p < 0.01$), followed by CWD ($R^2 = 0.54$, $p < 0.01$), the seasonality of MAT ($R^2 = 0.46$, $p < 0.01$) and MAP ($R^2 = 0.4$, $p < 0.01$). Variation in MAP explained much of the difference among plots in Bicuar National Park versus those in Tanzania and Mozambique. Axes 3 and 4 showed a greater degree of overlap in species composition among plot groups, with plots from Bicuar National Park similar to a select few plots in both Tanzania and Mozambique (Figure 4b). Axis 3 distinguished plots in Bicuar NP from those in DRC, while plots from all geographic area overlapped in their distribution across Axis 4. Axes 3 and 4 largely reflected distribution patterns of less abundant species and not the dominant species in the vegetation.

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

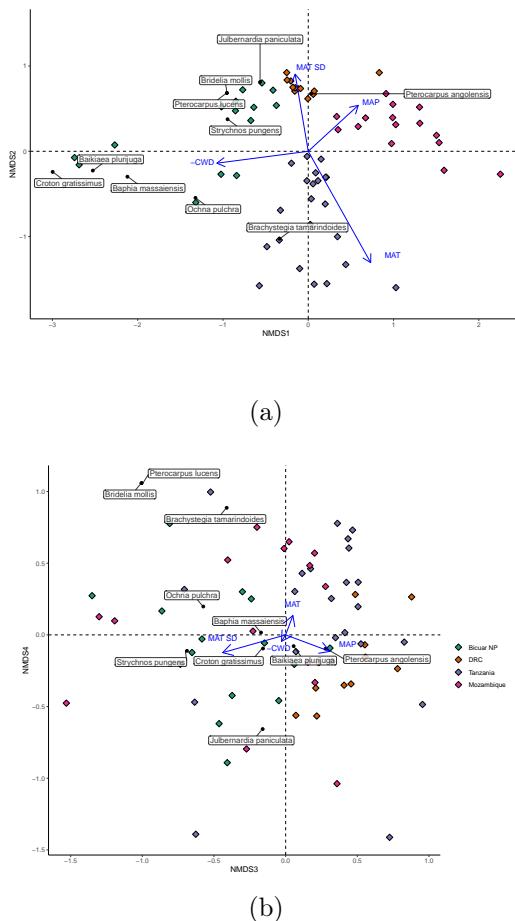


Figure 6.4: Environmental factors fitted to axes 1 and 2 (a), 3 and 4 (b) of the NMDS ordination of species composition of one hectare plots, showing the variation in plot species composition within and among sites. Diamonds are plot scores coloured by site. The lengths of arrows indicating environmental factor fits to the first two ordination axes are scaled by R^2 . Arrows point in the direction of increasing values of that environmental factor. Note that Climatic Water Deficit (CWD) is expressed in more intuitively as the negative inverse of CWD, thus larger values indicate higher levels of CWD.

The pairwise Sørensen coefficient of percentage similarity (S_S) showed that the species composition of plots in Bicuar National Park had low similarity with other sites in the study, sharing few species with other sites (Table 6.4). Similar to the NMDS, these results show that plots in Bicuar National Park are most similar to those found in DRC.

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

Table 6.4: Pairwise beta diversity comparison of plot groups measured by the Sørensen coefficient (S_s) of percentage similarity of aggregated plot level data from each of the four sites. Values in parentheses are the number of species unique to each site in each comparison.

Site 1	Site 2	S_s	Shared species
Bicuar NP(34)	DRC(74)	20.6	14
Bicuar NP(34)	Tanzania(147)	13.4	14
Bicuar NP(37)	Mozambique(236)	7.5	11
DRC(64)	Tanzania(137)	19.3	24
DRC(69)	Mozambique(228)	11.3	19
Tanzania(139)	Mozambique(225)	10.8	22

6.3.3 Woodland structure

Mean basal area of plots in Bicuar National Park was $2.78 \pm 0.122 \text{ m}^2 \text{ ha}^{-1}$, ranging from 1.86 to $8.53 \text{ m}^2 \text{ ha}^{-1}$ (Figure 6.3). An ANOVA showed a significant difference in basal area among sites ($F(3,60) = 48.04$, $p < 0.01$), and a post-hoc Tukey's test showed that basal area in Bicuar National Park was significantly lower than plots in DRC ($\text{BA} = 6.95 \pm 0.327 \text{ m}^2 \text{ ha}^{-1}$, $p < 0.01$), but there were no significant differences between Bicuar and Mozambique ($\text{BA} = 3.43 \pm 0.409 \text{ m}^2 \text{ ha}^{-1}$, $p = 0.43$) or Tanzania ($\text{BA} = 2.06 \pm 0.253 \text{ m}^2 \text{ ha}^{-1}$, $p = 0.26$) (Figure 6.3). Additionally, Bicuar plots had less variation in basal area among plots than other sites. Plots in Bicuar with the highest basal area were dominated by *Baikiaea plurijuga* and *Baphia massaiensis* (Plots 9, 13, and 15).

The stem diameter abundance distribution in Bicuar National Park was comparable with other sites (Figure 6.5), albeit with fewer stems in each class. The slope of log mean stem size distribution among diameter bins was -0.92 ± 0.067 in Bicuar National Park, -0.99 ± 0.067 in DRC, -0.89 ± 0.065 in Tanzania, and -0.87 ± 0.075 in Mozambique.

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

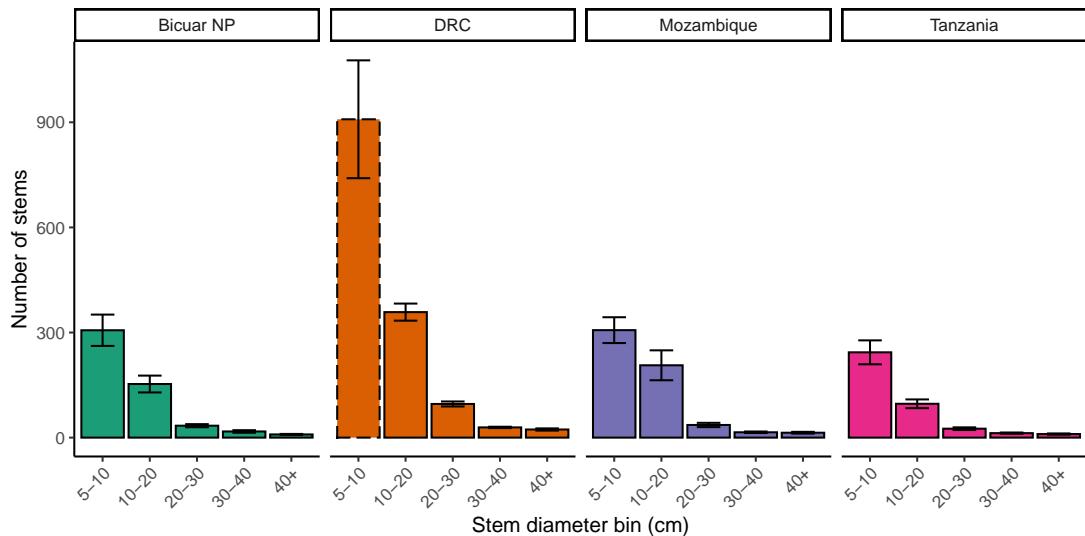


Figure 6.5: Ranked variation between plots in stem number within each site, with bars according to stem diameter class. Error bars are the mean \pm 1 standard error. The dashed bar for the DRC 5-10 cm stem diameter class indicates that these measurements were estimated by extrapolating a linear regression of log stem abundance across the available stem diameter classes for DRC.

6.3.4 Effect of disturbance via shifting cultivation on diversity within Bicuar National Park

There was a clear difference in the species composition of previously farmed disturbed woodland plots and undisturbed woodland plots, but with some overlap (Figure 6.6). Notably, Plots 4 and 7 in putatively undisturbed woodland have a species composition more resembling the disturbed plots. These two plots were dominated by *Brachystegia tamarindoides* and *Burkea africana*, with *B. africana* being a species which occurred frequently as a pioneer in the disturbed plots. The undisturbed plots 15, 13, and 9 represent distinct outliers in the NMDS. These three plots were dominated by *Baikiaea plurijuga* which was not encountered in the disturbed plots. The most common species in the disturbed plots was *Baphia massaiensis* ($n = 158$), with a mean stem diameter of 6.1 ± 1.87 cm, while in the undisturbed plots the most common species was *Jubbernardia paniculata* ($n = 125$), with a mean stem diameter of 11.8 ± 7.24 cm. Mean alpha diversity was marginally higher in disturbed plots ($H' = 1.7 \pm 0.08$) than in undisturbed plots ($H' = 1.3 \pm 0.14$) and an ANOVA showed that there was a significant difference in H' between the two plot types ($F(1,33) = 5.91$, $p < 0.05$) (Figure 6.7, Table 6.5).

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

Mean plot species richness was also lower in undisturbed plots (6.4 ± 0.86) than disturbed plots (8.7 ± 0.53). Mean $E_{H'}$ was 0.8 ± 0 in disturbed plots and 0.7 ± 0.04 in undisturbed plots but there was no significant difference between disturbed and undisturbed plots according to an ANOVA ($F(1,33) = 1.54$, $p = 0.22$). 11 species were found only in the disturbed plots and not in the undisturbed plots. The most common of these were *Combretum celastroides* ($n = 30$), *Acacia reficiens* ($n = 14$), and *Gardenia ternifolia* ($n = 11$). 7 were found only in undisturbed plots, the most common being *Brachystegia spiciformis* ($n = 61$), *Baikiaea plurijuga* ($n = 43$) and *Combretum apiculatum* ($n = 9$). Mean basal area was higher in undisturbed plots ($0.5 \pm 0.07 \text{ m}^2 \text{ ha}^{-1}$) than disturbed plots ($0.5 \pm 0.1 \text{ m}^2 \text{ ha}^{-1}$).

Mean stem density was higher in disturbed plots ($900 \pm 338.36 \text{ stems ha}^{-1}$) than undisturbed plots ($520.3 \pm 220.22 \text{ stems ha}^{-1}$). The stem diameter abundance distribution in disturbed plots showed that many more stems were from the 5-10 cm diameter class in disturbed plots, while the disturbed plots had fewer stems in the 10-20 cm size class. Both disturbed and undisturbed plots had a similar abundance of stems in larger stem diameter classes (Figure 6.8). Multi-stemmed trees in disturbed plots tended to have a greater number of stems per tree (3.4 ± 2.35) than multi-stemmed trees in undisturbed plots (2.4 ± 0.8).

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

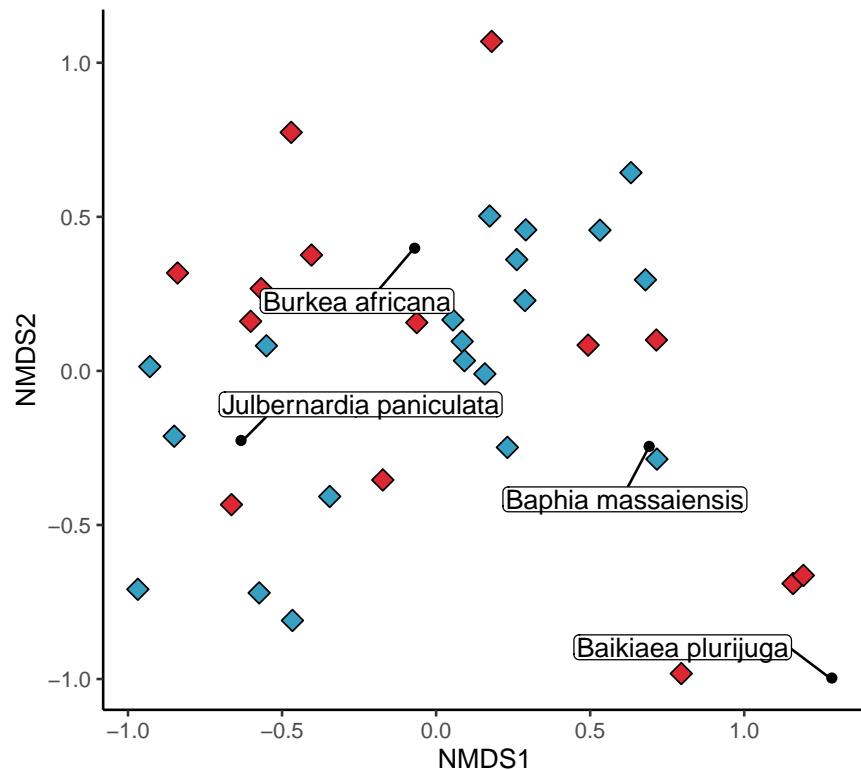


Figure 6.6: NMDS ordination of species composition of 20x50 m (0.1 ha) plots showing plot scores as coloured diamonds located in disturbed (blue) and undisturbed (red) areas of woodland in Bicuar National Park.

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

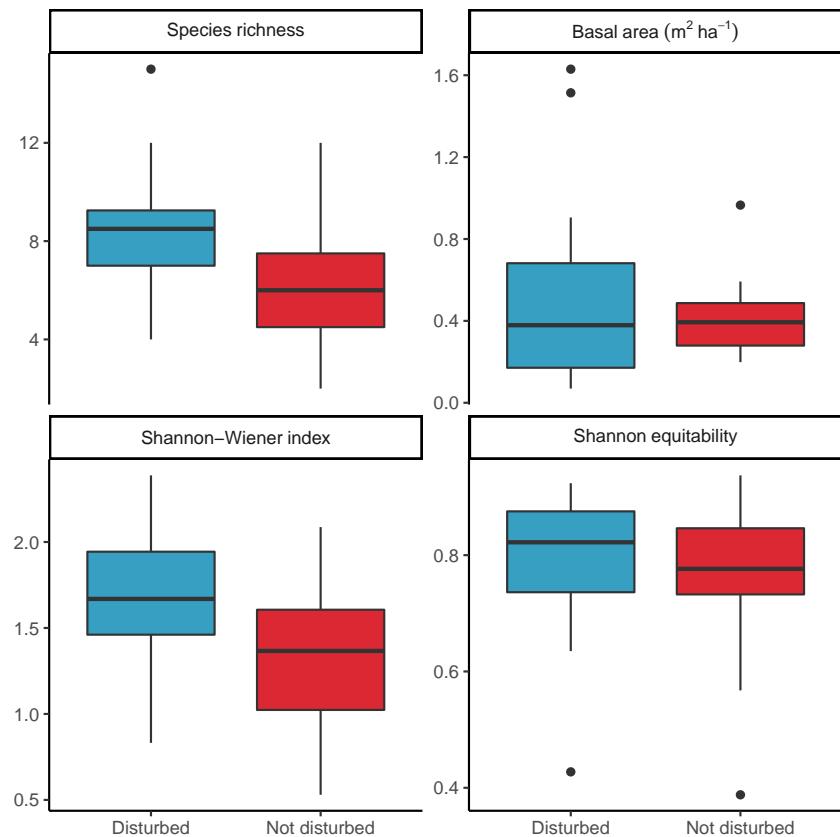


Figure 6.7: The variation in diversity and woodland structure between disturbed and undisturbed 20x50 m (0.1 ha) plots in Bicuar National Park. Boxes bound the 1st and 3rd quartiles, with the median within the box. Whiskers represent 1.5 times the interquartile range plus or minus the 1st and 3rd quartiles, respectively. Values found beyond the whiskers are shown individually as points.

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

Table 6.5: Results of ANOVA tests for alpha diversity metrics and plot basal area, between disturbed and undisturbed plots in Bicuar National Park. Mean values for each group of plots with standard errors in parentheses are shown. Asterisks indicate the p-value of individual sites in each ANOVA ($***<0.001$, $**<0.01$, $*<0.05$, $.<0.1$).

	<i>Dependent variable:</i>			
	Species richness	Basal area	Shannon (H')	Shannon equit. (E_H)
Disturbed	2.450*** (0.859)	0.098 (0.122)	0.372** (0.140)	0.035 (0.045)
Constant	6.200*** (0.650)	0.416*** (0.092)	1.311*** (0.106)	0.756*** (0.034)
Observations	35	35	35	35
R ²	0.198	0.019	0.176	0.018
Residual Std. Error (df = 33)	2.516	0.357	0.410	0.131
F Statistic (df = 1; 33)	8.126***	0.639	7.040**	0.617

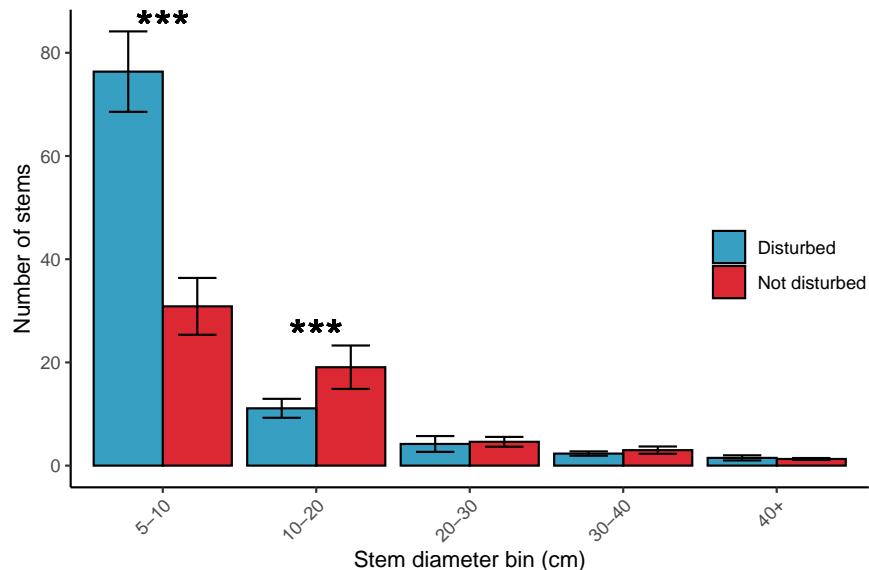


Figure 6.8: Ranked variation between disturbed and undisturbed plots in stem number, with bars according to stem diameter class. Error bars are the mean \pm 1 standard error. Asterisks above pairs of bars refer to the p-values of Poisson general linear models which tested whether disturbed and undisturbed plots differ in the number of stems for different stem diameter classes ($***<0.001$, $**<0.01$, $*<0.05$, $.<0.1$).

6.4 Discussion

6.4.1 Comparison of Bicuar National Park with other woodlands across the miombo ecoregion

We compared the tree species diversity and woodland structure of arid woodlands in Bicuar National Park in southwest Angola with three other woodland sites across the miombo ecoregion. Our results show that Bicuar National Park is distinct in both woodland structure and species composition from these other woodlands. Notably, plots in Bicuar National Park contained 27 tree species which did not occur at other sites. This lends support for the Huíla Plateau as an important area for conservation of southern African woodland landscapes. The woodlands in Bicuar National Park were of low tree basal area, with few large trees except in plots dominated by *Baikiaea plurijuga*. Many other studies have drawn a relationship between water availability and basal area (**Terra2018; Strickland2016**), and our study supports this, with Bicuar National Park being the most arid of the four sites considered in our study. The NMDS of species composition also suggests that plots in Bicuar National Park are influenced by aridity. While there are more arid woodlands within southern Africa, with Mopane woodlands for example often being particularly dry, these plots in Bicuar National park represent particularly dry miombo woodlands.

6.4.2 Delineation of woodland types within Bicuar National Park

Within Bicuar National Park, three distinct woodland types were identified. The first, dominated by *Baikiaea plurijuga* and *Baphia massaiensis* represents the Baikiaea woodland type commonly found to the south of the miombo ecoregion (Timberlake et al., 2010). This is supported by **Chisingui2018** who also found Baikiaea woodlands as a distinct woodland type in the Park. *B. plurijuga* has been identified as an important species for conservation, being attractive for selective logging due to its large stature (**Ngandwe2017; Wallenfang2015**). The woodlands created by *B. plurijuga* are also an important habitat for elephants (*Loxodonta africana*) (**Sianga2017; Mukwashi2012**), with Bicuar National Park and Mupa National Park being key refugia for this animal in the Huíla plateau region. The second woodland type, dominated by *Brachystegia tamari-*

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

indoides and *Ochna pulchra* represents a form of small stature woodland with a shrubby understorey and sparse canopy trees, which commonly occurs as a result of repeated disturbance by fire, or poor soil structure (**Smith2004**). The remaining plots resemble the more archetypical miombo woodland with *Julbernardia paniculata*, though with a number of species not seen in plots further to the east in the miombo ecoregion such as *Strychnos pungens*. This mosaic of woodland types makes Bicuar National Park a valuable reservoir of diversity and strengthens the case for the Park being a key conservation asset within the Huíla plateau and the larger southern African region. While there are regional boundaries between Baikiaeae and miombo woodlands (**White1983**), within Bicuar National Park it is likely that the mosaic of woodland types has been created by a combination of soil water capacity and disturbance history. Bicuar has a distinct landscape of wide shallow grassy valleys surrounded by woodland on higher ground (Figure 6.2). On some of these high points the soil is particularly sandy, resembling the Kalahari sand soils found further east and south (**Huntley2019**), and these areas coincide with the presence of Baikiaeae woodlands (**Campbell2002**). High levels of disturbance by fire in these Baikiaeae patches may additionally prevent a transition to an alternative woodland type via the control of sapling growth.

6.4.3 Comparison of disturbed and undisturbed woodland plots

Previously disturbed woodlands around the edge of Bicuar National Park were found to share many species with undisturbed plots in the Park, but with some additional species which did not occur in the undisturbed plots. They also lacked notable archetypical miombo species which tend to form larger canopy trees such as *Brachystegia spiciformis* and contained very few *Julbernardia paniculata*, leading to a distinct woodland composition. The species diversity of these disturbed patches was higher on average than was found in the undisturbed plots, a result which has been corroborated by other studies in miombo woodlands (**Caro2001; Shackleton2000**; McNicol et al., 2018b). Other studies have shown a peak in species richness during woodland regrowth as pioneer species take advantage of a low competition environment, while some later stage woodland species remain as residuals that survived the original disturbance (**Goncalves2017; Kalaba2013**). **Goncalves2017** particularly, notes the dominance of *Pericopsis angolensis* and

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

Combretum spp. as light-demanding pioneer species, which were found to be abundant in the disturbed plots here. This suggests that reclamation of previously farmed and abandoned land for landscape conservation in this ecological context is a valuable management strategy.

In disturbed plots near the edge of the Park, there was a lack of species which tend to grow to large canopy trees, possibly due to them being repeatedly felled for timber prior to reclamation by the Park, or due to them being unable to recruit into a more open, shrubby woodland. Despite this lack of canopy forming tree species, some disturbed plots had a greater basal area than undisturbed plots, possibly due to high levels of coppicing in these plots or a divergent fire history. Indeed, mean stem density was higher in undisturbed plots. This can lead to species that would otherwise remain small producing a much larger basal area as they grow multiple stems under high disturbance conditions (**Luoga2004**). The most common species in the disturbed plots were *Combretum psidioides*, *Combretum collinum* and *Terminalia sericea*, members of the Combretaceae family, all of which more commonly remain as smaller multi-stemmed trees in disturbed woodlands, rather than growing to larger canopy trees (**Wyk2014**). This result could be considered at odds with other studies which report lower woody biomass in plots that have experienced harvesting (e.g. **Muvengwi2020**). It is important to consider however that our study took place in plots that were measured after farming had been abandoned for at least 7 years, with time for regeneration to occur. It is possible that over time tree basal area will decrease as coppiced shrubby trees are replaced by core miombo species in the transition back to miombo woodland (**Goncalves2017**). Indeed, other studies in miombo woodlands across the ecoregion have reported substantial recovery within seven years, with high levels of biomass accumulation in previously disturbed plots (**Goncalves2017**; Chidumayo, 2013). Bicuar National Park offers a valuable case study to track woodland regeneration in real-time over the next decade in these previously farmed and now protected woodland plots, which could improve our understanding of this potential post-disturbance peak in basal area.

In conclusion, the woodlands of Bicuar National Park represent an important woodland refuge at the far western extent of the miombo ecoregion. These woodlands, both those disturbed by previous farming activity and those which remain undisturbed, possess a number of species not found commonly in other miombo woodland plots around the region. They may also house important ge-

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER MIOMBO ECOREGION

netic variation for widespread species, representing populations adapted to more arid conditions. Our study highlights the variation in species composition across the miombo ecoregion and underlines the need for studies which incorporate plot data from multiple locations to reach generalisable conclusions about the region as a whole. Additionally, the installation of 15 one hectare woodland monitoring plots and a further twenty 20x50 m plots in previously farmed and now protected land offer a valuable natural laboratory to further explore the dynamics of dry miombo woodlands of the Huíla plateau. Bicuar National Park should be considered a key conservation asset within the Huíla plateau and within the miombo ecoregion as a whole, as a successfully protected example of an arid woodland mosaic.

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

References

- Chave, J., M. Réjou-Méchain, A. Búrquez, E. Chidumayo, M. S. Colgan, W. B. C. Delitti, A. Duque, T. Eid, P. M. Fearnside, R. C. Goodman et al. (2014). ‘Improved allometric models to estimate the aboveground biomass of tropical trees’. In: *Global Change Biology* 20, pp. 3177–3190. DOI: 10.1111/gcb.12629.
- Chidumayo, E. N. (2013). ‘Forest degradation and recovery in a miombo woodland landscape in Zambia: 22 years of observations on permanent sample plots’. In: *Forest Ecology and Management* 291, pp. 154–161. DOI: 10.1016/j.foreco.2012.11.031.
- Clarke, D. A., P. H. York, M. A. Rasheed & T. D. Northfield (2017). ‘Does biodiversity - ecosystem function literature neglect tropical ecosystems?’. In: *Trends in Ecology & Evolution* 32, pp. 320–323. DOI: 10.1016/j.tree.2017.02.012.
- Dexter, K. G., B. Smart, C. Baldauf, T. R. Baker, M. P. B. Bessike Balinga, R. J. W. Brienen, S. Fauset, T. R. Feldpausch, L. Ferreira-da Silva, J. I. Muledi et al. (2015). ‘Floristics and biogeography of vegetation in seasonally dry tropical regions’. In: *International Forestry Review* 17.S2, pp. 10–32. DOI: <http://dx.doi.org/10.1505/146554815815834859>.
- Fick, S. E. & R. J. Hijmans (2017). ‘WorldClim 2: new 1-km spatial resolution climate surfaces for global land areas’. In: *International Journal of Climatology* 37, pp. 4302–4315. DOI: 10.1002/joc.5086.
- Mayaux, P., H. Eva, A. Brink, F. Achard & A. Belward (2008). ‘Remote sensing of land-cover and land-use dynamics’. In: *Earth Observation of Global Change: The Role of Satellite Remote Sensing in Monitoring the Global Environment*. Berlin, Germany: Springer-Verlag, pp. 85–108. DOI: 10.1007/978-1-4020-6358-9_5.
- McNicol, I. M., C. M. Ryan, K. G. Dexter, S. M. J. Ball & M. Williams (2018a). ‘Aboveground carbon storage and its links to stand structure, tree diversity and floristic composition in south-eastern Tanzania’. In: *Ecosystems* 21, pp. 740–754. DOI: 10.1007/s10021-017-0180-6.
- McNicol, I. M., C. M. Ryan & E. T. A. Mitchard (2018b). ‘Carbon losses from deforestation and widespread degradation offset by extensive growth in African woodlands’. In: *Nature Communications* 9. DOI: 10.1038/s41467-018-05386-z.
- Muledi, J. I., D. Bauman, T. Drouet, J. Vleminckx, A. Jacobs, J. Lejoly, P. Meerts & M. N. Shutcha (2017). ‘Fine-scale habitats influence tree species assemblage in a miombo forest’. In: *Journal of Plant Ecology* 10, pp. 958–969. DOI: 10.1093/jpe/rtw104.
- Ratnam, J., W. J. Bond, R. J. Fensham, W. A. Hoffmann, S. Archibald, C. E. R. Lehmann, M. T. Anderson, S. I. Higgins & M. Sankaran (2011). ‘When is a ‘forest’ a savanna, and why does it matter?’ In: *Global Ecology and Biogeography* 20, pp. 653–660. DOI: 10.1111/j.1466-8238.2010.00634.x.
- Ryan, C. M., R. Pritchard, I. McNicol, M. Owen, J. A. Fisher & C. Lehmann (2016). ‘Ecosystem services from southern African woodlands and their future under global change’. In: *Philosophical Transactions of the Royal Society B: Biological Sciences* 371, pp. 1–16. DOI: 10.1098/rstb.2015.0312.
- Smith, B. & J. B. Wilson (1996). ‘A consumer’s guide to evenness indices’. In: *Oikos* 76, pp. 70–82. DOI: 10.2307/3545749.
- Timberlake, J., E. Chidumayo & L. Sawadogo (2010). ‘Distribution and characteristics of African dry forests and woodlands’. In: *The Dry Forests and Woodlands of Africa: Managing for Products and Services*. London, United Kingdom: EarthScan, pp. 11–42.

CHAPTER 6. DIVERSITY AND STRUCTURE OF AN ARID WOODLAND
IN SOUTHWEST ANGOLA, WITH COMPARISON TO THE WIDER
MIOMBO ECOREGION

Chapter 7

Discussion

The discussion

CHAPTER 7. DISCUSSION

Chapter 8

Data legacy

8.1 Introduction

In the spirit of expanding the definition of academic success (**Goring2014**) and fostering open collegiate science (**Hampton2015**), this chapter discusses the products of this PhD project outwith the immediate results-based thesis chapters. It is my hope that the data and research infrastructure generated during this PhD will have a legacy beyond the uses I have found for it thus far, providing value to myself as I progress through my academic career, and to other researchers. Specifically, this chapter discusses the extended value of the data collected during the PhD, the steps taken to ensure that data are visible to and usable by other researchers, existing research outputs by colleagues utilising the data, and a non-exhaustive list of future projects which could use the data in novel and impactful ways.

There are two principal non-chapter outputs of this PhD project. Firstly the network of permanent plots and accompanying census data in Bicuar National Park, southwest Angola, and secondly the terrestrial LiDAR data collected in 22 1 ha plots, including all 15 in Bicuar National Park and a further seven in Kilwa District, southern Tanzania.

8.2 Permanent plots in Bicuar National Park

The 15 1 ha permanent plots in Bicuar National Park were set up in collaboration with Dr. Francisco Maiato Gonçalves from the Herbarium of Lubango, Huíla province, Angola, with the help of a National Geographic Society grant (Grant

CHAPTER 8. DATA LEGACY

No. EC-51464R-18). These plots provide not only a valuable dataset of woody stem measurements but also an infrastructure for further ecological research and an opportunity for continued scientific collaboration between the University of Edinburgh and the Herbarium of Lubango.

The Herbarium of Lubango is located ~300 km from Bicuar National Park. During their installation and in the year after, the plots were used by the Instituto de Ciências da Educação Huila (ISCED), which is partnered with the Herbarium of Lubango, to teach Ecology Masters students about woody biomass estimation techniques and plant taxonomy. As teaching resources at public institutions in Angola can be scarce, our partnership with ISCED provides a clear capacity building benefit that will hopefully strengthen academic interest in woodland monitoring programmes at the institution.

A number of voucher specimens from tagged trees in the plots are held in the Herbarium of Lubango, with duplicates of most currently held in the Royal Botanic Gardens at Kew, London, UK. We hope to conduct more botanical collections during future censuses to update the checklist of plant species found in Bicuar National Park, with the hope of raising the profile of this valuable woodland refuge at the far western extent of the miombo ecoregion, and improving its protection and development as a natural resource by the Angolan government. To this end, in 2019, in addition to setting up the 1 ha permanent plots, we conducted 20 one-off 20x50 m samples of previously abandoned agricultural land at the edge of the park boundaries, to improve our coverage of land-use types in the Park and to better understand the biodiversity recovery of regenerating agricultural landscapes.

Steps have been taken to ensure the longevity of the permanent plots. The value of a plot focussed monitoring programme generally increases over time as more data is accrued and temporal trends can be identified, so efforts must be made to ensure that regular censuses and maintenance are conducted. In 2020 all plot corners were marked permanently with concrete posts (Figure 8.1), and have been located with highly accurate differential-GPS, to an accuracy of ≤ 3 cm. Knowing the plot boundaries to a high degree of accuracy will increase their value as plots to be matched with highly precise satellite data products that are currently emerging (**Exbrayat2019; GeorgeChacon2019; Wagner2018**).

Data from the first census of the plots are held in the SEOSAW database (SEOSAW, 2020). The SEOSAW database holds standardised woody stem meas-

urements from plots spanning southern Africa. The data in SEOSAW are available to all researchers following agreement by the data holder and all other SEO-SAW members. The permanent plots in Bicuar are the most westerly permanent plots in the SEOSAW plot network (Figure 8.2), occupying a climate space within the region not currently served by other permanent plots (Figure 8.3). Holding the plot data from Bicuar in SEOSAW also acts as a permanent data backup repository, extending the lifespan of the data.

A second woody stem biomass survey is planned for all plots in 2022/2023, with the aim of improving the quality of data collected and assessing mortality and productivity over time. This re-census will likely happen as part of either the SEOSAW or SECO projects, both operating out of the University of Edinburgh. Data from the second census will be provided to SEOSAW and eventually uploaded along with the first census to ForestPlots.net (**LopezGonzalez2011**), increasing visibility of the data further.



Figure 8.1: An example of the concrete posts installed to a depth of 1 m at the plot corners of all 1 ha plots in Bicuar National Park, to ensure that plot boundaries are not lost and do not drift over repeated censuses.

Data from these plots have already been utilised in three peer-reviewed articles led by colleagues:

- **Panzou2020**
- SEOSAW (2020). ‘A network to understand the changing socio-ecology of the southern African woodlands (SEOSAW): Challenges, benefits, and methods’. In: *Plants, People, Planet.* DOI: 10.1002/ppp3.10168
- Esquivel-Muelbert, A. ... (in prep. Nature Communications 2021). Bridging scales in monitoring tree mortality globally.

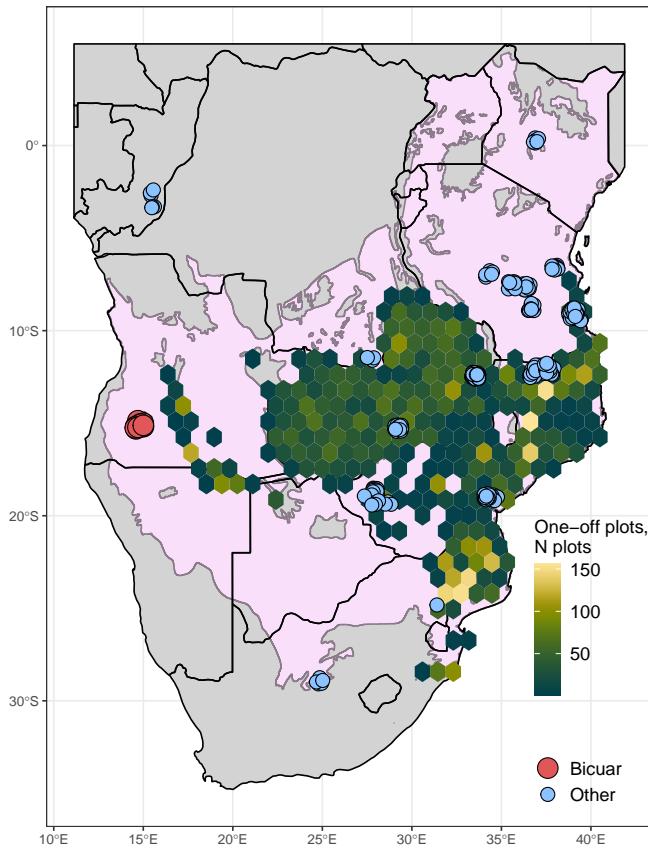


Figure 8.2: The spatial distribution of plots in the SEOSAW network. Blue circles are permanent plots, where individual stems are tagged and can be matched among censuses. The permanent plots in Bicuar National Park are shown as red points. The hexagon grid shows the density of one-off plots. The pink shading shows the working region of the SEOSAW network, defined primarily from woodland defined by **White1983** and further adapted to bound the north-eastern and southern boundaries.

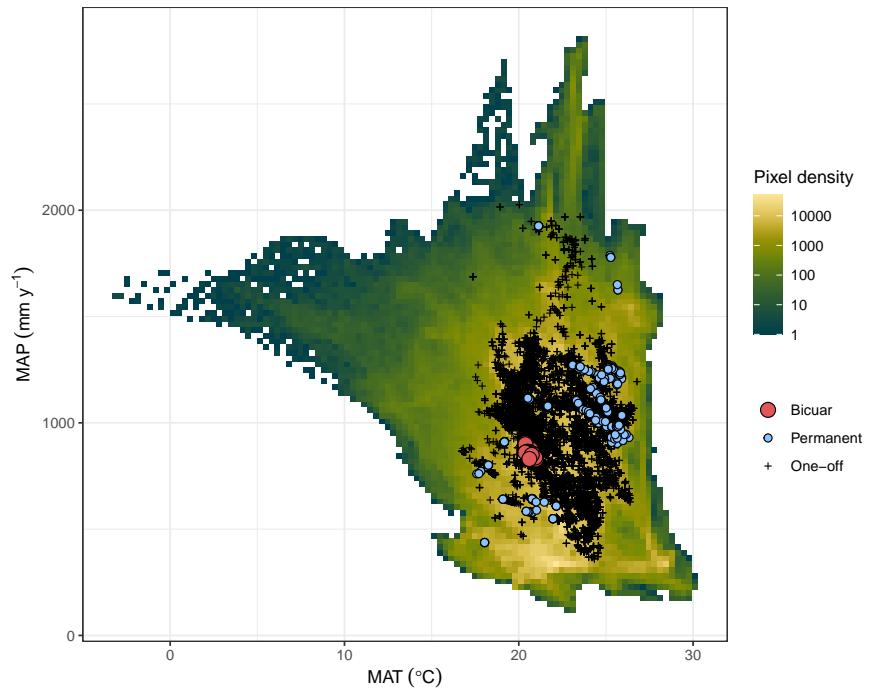


Figure 8.3: The distribution of SEOSAW plots in climate space, using Mean Annual Temperature (MAT) and Mean Annual Precipitation (MAP) from the WorldClim database (Fick & Hijmans, 2017). Blue circles are permanent plots, where individual stems can be matched among censuses. The permanent plots in Bicuar National Park are shown as red points. Black crosses show one-off plots. The background is shaded according to the density of pixels in the SEOSAW working region, described in Figure 8.2.

8.2.1 Bicuar National Park as a ‘Supersite’

There has been a recent push to establish certain long-term ecological monitoring sites as ‘supersites’. A supersite is defined here as a “highly-instrumented research site where all ecosystem compartments and fluxes are covered” (Mikkelsen2013). Establishment of supersites has been identified as a goal by a number of international projects which seek to improve global land-atmosphere modelling and monitoring of the effects of climate change on ecosystem structure and function. Notable organisations include the Forest Observation System (FOS, Chave2019), and the upcoming SECO project at the University of Edinburgh (NERC Large Grant NE/T01279X/1). The impetus behind the supersite model is that it is more cost-effective to prioritise a small number of sites that are representative of a given landscape’s ecology as locations for concentrating multiple expensive measurements, such as LiDAR and atmospheric flux towers. These highly-

instrumented sites then form the apex of a hierarchy of sites within the landscape most of which only have limited monitoring measurements. Measurements from the supersites can then be used to ‘scale up’ to the landscape scale with the help of the less-provisioned sites and remotely-sensed data (**Anderson2018**). Concentrating multiple measurements within a few sites allows more ready comparison of these measurements, which when scattered among nearby sites can severely decrease their predictive power due to individual site conditions (**Mikkelsen2013**).

Some of the common requirements for supersites outlined by various projects have already been fulfilled for the plots in Bicuar National Park. Since 2015, SASSCAL (Southern African Science Service Centre for Climate Change and Adaptive Land Management) has maintained a weather station at Bicuar National Park, providing freely available hourly data on air temperature, solar irradiance, wind speed and direction, soil moisture, precipitation and air pressure (**SASSCAL_weather**). The weather station is located within 20 km of all the permanent plots. A critical requirement for a supersite in many projects is that it has a combination of either terrestrial and/or airborne LiDAR measurements. LiDAR provides invaluable information on forest structure that is precisely geo-referenced, helping to bridge the scale gap between ground measurements and satellite measurements. The 15 plots in Bicuar National Park already have a comprehensive terrestrial LiDAR dataset, with precise geo-referencing, collected in 2019. The possibility of repeat LiDAR measurements in combination with an airborne LiDAR campaign would make Bicuar National Park an extremely valuable resource. Additionally, the GEDI L2B satellite LiDAR product has good coverage over Bicuar National Park, with the potential to compare these measurements with the terrestrial LiDAR in the plots (Figure 8.4). To our knowledge there are no other plot-based terrestrial LiDAR datasets of dry tropical woodlands in southern Africa. Our hope is that Bicuar National Park can be registered as a supersite with one or more research organisation and become a destination for researchers, strengthening the representation of Angolan science, and advertising Bicuar National Park and the Herbarium of Lubango as a destination for researchers, building capacity, research potential and conservation awareness in the region.

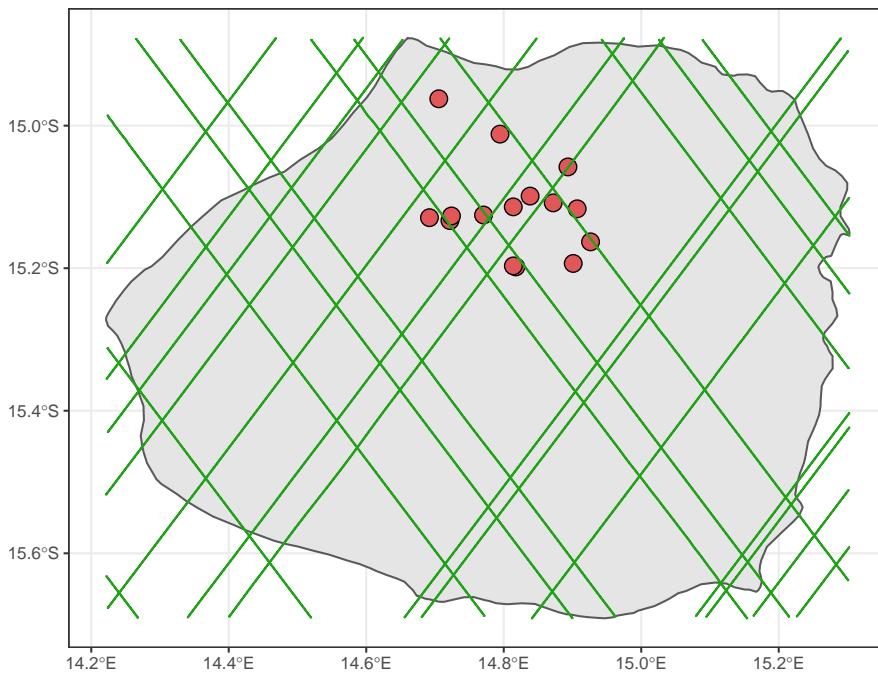


Figure 8.4: 50 m wide flight-paths of the GEDI L2B satellite LiDAR product (red lines), with the extent of Bicuar National Park (grey polygon), and the locations of the 15 1 ha permanent plots (blue points). Note that in reality each 25 m track consists of a series of 25 m radius circles which contain LiDAR data.

8.3 Terrestrial LiDAR

In addition to the value of terrestrial LiDAR data to qualify as a supersite, as described above, the terrestrial LiDAR data collected as part of this thesis has other uses and values. The LiDAR data has been archived on the University of Edinburgh DataShare, with a permanent DOI ([DOI ID](#)), along with details of the largely free and open source reproducible workflow for processing the data, which is also seen in Chapter 5 of this thesis. When Chapter 5 is eventually published as a peer-reviewed article, the data repository will be advertised in the article. It is my hope that other researchers will use the data for their own research, extending its lifespan to that which befits the effort expended to collect it. An alternative method to increase visibility of the dataset and encourage its use by others could be to publish an article in a journal like “*Data in Brief*”, which specialises in short reports on open-access datasets.

The LiDAR data was collected with multiple uses in mind. The sampling density is sufficient to reliably estimate the canopy height profile of an entire

plot, to segment individual trees from the point cloud and to distinguish internal tree canopy architecture. A key application of terrestrial LiDAR is in linking to air- and space-borne LiDAR, and other remotely sensed data products to bridge the scale gap between plot and landscape studies (**Xiao2019**). Recent studies have used a combination of terrestrial LiDAR and other remotely-sensed data products to scale up models of functional traits from individual leaves to landscapes (**AbelleiraMartinez2016**), calibrate landscape models of biomass distribution (**RejouMechain2019**), and infer 3D forest structure with satellite data products (**Fischer2020**). Our hope is that the LiDAR data may be used by other researchers in a similar manner to answer questions pertinent to southern African woodlands. The following sections contain justifications for future studies I wish to undertake personally using the data collected during this project.

8.3.1 Tree taper modelling from Terrestrial LiDAR

The most common way of assessing aboveground woody biomass of trees is through allometric models which estimate biomass from a combination of stem diameter, tree height and wood density (Chave et al., 2014). These allometric models often assume that stem diameter is measured at a height of 1.3 m, a convention that is widely followed across forestry and ecology (**Brokaw2000**). Peculiarities in stem shape occasionally require the measurement of stem diameter at a different height however, to avoid abnormalities such as burls, fluting, or branch nodes that would otherwise provide an unrepresentative estimate of stem diameter if measured at the conventional height (**Kershaw2017**). On average, stem diameter tapers towards the top of a tree (**Kozak1969**), meaning that without proper correction, biomass estimates generated from these diameter measurements at unconventional heights will over- or under-estimate biomass.

Many models of stem taper have been developed, being used by foresters for over a century to estimate harvestable timber volumes, and are still the subject of active development (**MacFarlane2016; Luoma2019**). As well as estimating harvestable volume, stem taper models can be used to correct for variation in stem diameter measurement height, to ensure consistent estimates of woody biomass or harvestable volume. Previously these models have been parameterised through multiple measures of stem diameter at different heights with a tape measure, but this is time-consuming and suffers from the same imprecision and human error as any other diameter tape measurement (**Saarinen2019**).

CHAPTER 8. DATA LEGACY

Plot-based estimation of woody biomass across the globe currently relies on measuring stem diameter (**Chave2005; Schepaschenko2019; SEOSAW, 2020**). Being able to accurately reconcile stem diameters measured at different heights is therefore paramount to improving our models of above-ground woody biomass.

Rapid progress has been made in methods to model woody stem diameter from terrestrial LiDAR point clouds (**Bogdanovich2021; Hopkinson2004; Srinivasan2015; Ravaglia2019**). These methods estimate a cylinder of the stem from a slice of points, with recent advancements allowing interpolation of the cylinder even when the coverage of laser returns on the stem surface is incomplete. Others have extended the method to generate estimates of stem taper (**Henning2006; Thies2004**), but to our knowledge this has not been done for any tree species growing the dry tropics. The terrestrial LiDAR data collected in Angola and Tanzania provide the opportunity to conduct this first study of stem taper from LiDAR measurements in the dry tropics. The species, locations, and stem diameters of all stems >5 cm diameter is known within these plots, meaning that these data can be matched with stems observable in the LiDAR point cloud. As a proof of concept, I have developed a prototype stem segmentation using `treeseg` (**Burt2018**), and a cylinder interpolation method based on **Umbach2003**, which estimates stem diameter at multiple heights along the tree stem (Figure 8.5).

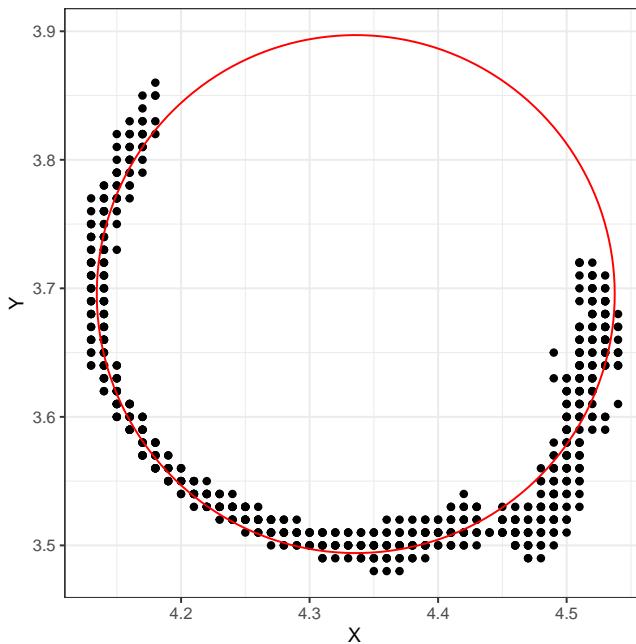


Figure 8.5: Example output of the prototype stem cylinder interpolation method used to estimate stem diameter from an incomplete point cloud. Voxelised points at 2 cm^3 are shown as black points, while the interpolated stem cylinder is shown as a red circle.

8.3.2 Biomass of large trees: testing scaling theory for estimating biomass

Large diameter trees hold a disproportionately large amount of woody biomass in most wooded ecosystems (Bastin2015; Lutz2018). To accurately describe the woody biomass stocks of a particular system, more effort should therefore be allocated to accurately estimating the biomass of the largest trees. Woody biomass is most commonly estimated through allometric equations which rely on measures of stem diameter, tree height and woody density. At the root of these allometric equations are a limited number of destructive biomass harvests, which define the relationship between tree physiognomic measurements and the biomass of the harvested tree (Chave2005). Biomass harvesting is time consuming, expensive, and understandably not a popular activity among ecologists due to its destructive nature (Roxburgh2015). Furthermore, allometric equations are often least well defined for the largest trees, as large trees are scarcer than small trees (Lutz2018; Lindenmayer2012), and even less likely to be cut down during destructive harvests due to the cultural and aesthetic value they hold as living

organisms (**Blicharska2014**). Specifically the parameterisation of the exponent term of traditional diameter-biomass allometry power-models is often poor for large trees, and there is a heteroscedastic relationship between diameter and biomass at higher stem diameters (Figure 8.6) (**Chave2004**; Chave et al., 2014). Recently, the suitability of power-models for diameter-biomass allometries has even been drawn into question, though an alternative that works globally has not yet been found (**Picard2015**). Weighting procedures so that large rare trees with higher intrinsic variability in biomass do not overly influence model coefficients have been used successfully previously (**McNicol2015**; Chave et al., 2014), but this does not solve the root problem that the biomass of newly encountered large trees will likely be poorly estimated.

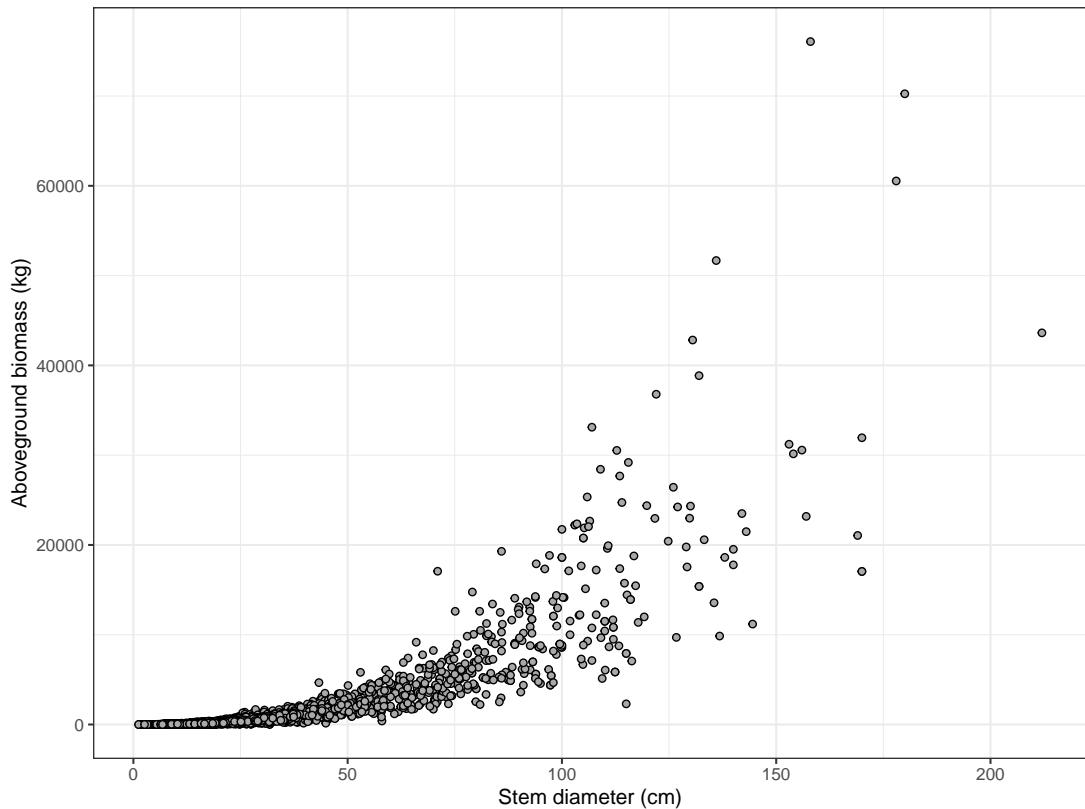


Figure 8.6: The relationship between tree stem diameter and aboveground biomass calculated from destructive harvest from all 872 records held in the GlobAllomeTree database (**Henry2013**) and all 4004 records reported in Chave et al. (2014). The heteroscedasticity of the relationship between stem diameter and aboveground biomass can be seen, with increased variance in biomass at higher stem diameters.

As the age of a tree increases, it generates an idiosyncratic physiognomy due to the amassed disturbances and variations in environment it has encountered (**Lindenmayer2016**). Large trees often have hollow trunks (fluting) or areas of punky wood (**Chambers2001; Ruxton2014**), which may lead to a large overestimation of biomass if not accounted for (Figure 8.8). The correlation between main stem diameter and the woody biomass held in other tree components such upper canopy branches tends to become weaker in larger trees, meaning that simple stem diameter measurements cannot accurately represent whole tree biomass (**Goodman2014; Saglam2020**). In disturbance-prone tropical savannas, it may be expected that the issue of idiosyncratic physiognomy is even greater, as trees repeatedly re-grow following seasonal fire and damage by large herbivores, further reducing the accuracy of biomass estimations (Figure 8.7). For the reasons outlined above, it is therefore of great importance to develop an optimal method for estimating biomass of the largest trees. But how do we reconcile the disproportionate contribution of large trees to plot-based estimates of biomass with the scarcity of destructive large tree measurements?

CHAPTER 8. DATA LEGACY

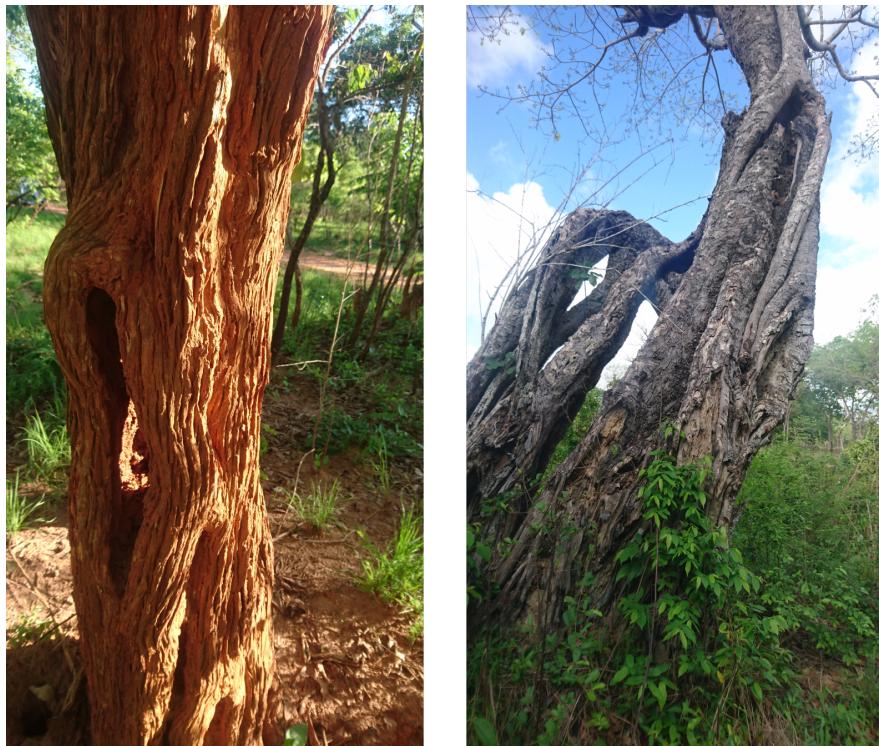


Figure 8.7: Two large old trees in Kilwa District, southeast Tanzania, with particularly idiosyncratic trunks. Using conventional stem diameter allometries will likely produce an inaccurate estimate of above-ground biomass. Photos by Ellie Wood.



Figure 8.8: A severely fluted *Brachystegia tamarindoides* stem found in Bicuar National Park, Angola. Due to the decomposition of wood in the stem interior, simple stem diameter based biomass allometries will over-estimate the biomass of this stem.

Terrestrial LiDAR provides an opportunity to accurately estimate the woody volume of very large trees. Recent advances have provided a number of methods for segmenting individual trees from a point cloud (**Burt2018; Koma2018**), and for generating 3D surface models from point clouds (**Calders2014; Malhi2018**) to build voluminous models of individual trees. The plots covered by the terrestrial LiDAR dataset collected during this thesis contain 86 trees >50 cm stem diameter with 360° point cloud coverage, providing decent replication if each tree is successfully segmented.

While terrestrial LiDAR may address inaccurate biomass estimates caused by idiosyncratic physiognomy present on the exterior surface of large trees, and

has been used successfully for this purpose in other studies (**Takoudjou2017**), it cannot address the internal variation caused by fluting and hollowing that is pervasive in large trees. It is also affected by the thick bark present in many fire-adapted savanna trees, which can lead to an over-estimation of woody biomass (**Kozak1993**; **Hoffmann2012**; Solbrig et al., 1996). Sonic tomography has been developed as a method to measure the decay of wood within tree trunks (**Gilbert2016**), and is currently used routinely to detect structural weaknesses in large trees in urban and parkland areas (**Karlinasari2018**). The PiCUS sonic tomograph (Argus Electronics GmbH, Rostock, Germany) is suitable for detecting internal abnormalities in large woody stems, and has been used previously to estimate biomass in forest trees (**Marra2018**).

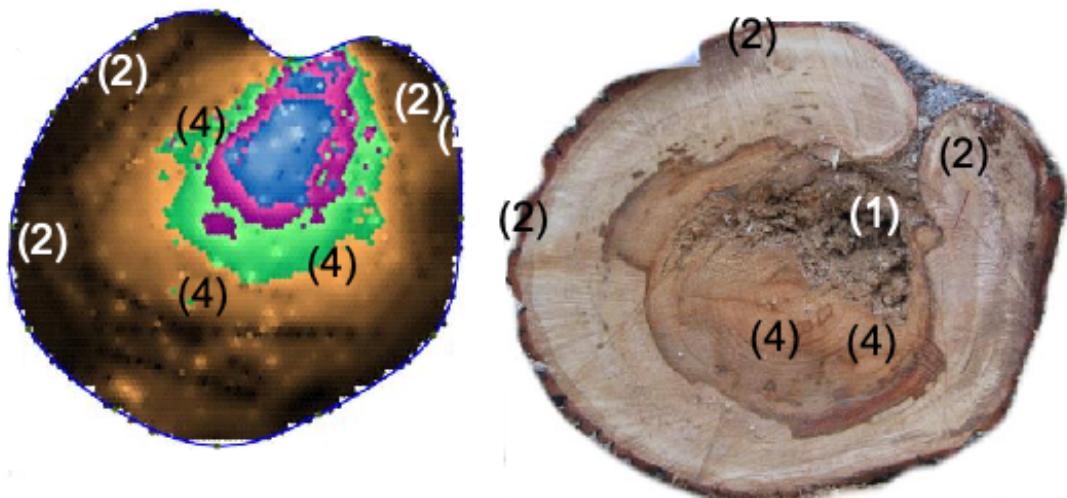


Figure 8.9: An example of a sonic tomograph image (left) and across section of the same tree (right), annotated to show internal features of the stem: (1) cavity or dead dry wood, (2) sound wood, (4) active decay with dense wood. Taken from **Picus2016**.

I aim to test a novel method for estimating the biomass of the largest trees in the 22 plots already covered by the terrestrial LiDAR dataset, using a combination of terrestrial LiDAR and sonic tomography, to map the exterior and interior of the tree, respectively. LiDAR and tomography measurements will be combined with conventional measurements of tree stem diameter, tree height, and wood density, to build a multi-input allometric model of large trees with the hope of further reducing the large uncertainty in the woody biomass stocks of southern African woodlands. Additionally, the new biomass estimations will be compared

to biomass estimates generated from existing allometric equations for dry tropical trees, e.g. Chave et al. (2014) and **Ryan2011**, to assess whether these allometries produce an over- or under-estimation of biomass. Specifically, I propose to use the PiCUS 3 sonic tomograph with the protocol outlined in **Gilbert2016** to measure internal structure, and the pipe-fitting algorithm from **Calders2014** to create 3D branch models of exterior structure. Ideally the fieldwork for this project could be carried out alongside a repeat census of the permanent plots, which in Bicuar National Park will happen in either 2022 or 2023, taking advantage of the existing plot monitoring infrastructure and existing LiDAR data.

8.3.3 Effects of canopy structure on understorey light environment and grass biomass

The competitive balance between grass and trees defines mesic savanna ecosystems (**Frost1996**). Where rainfall does not preclude a closed tree canopy (**Sankaran2005**), disturbance by fire can prevent canopy closure via a positive feedback loop whereby an open canopy allows grass growth, providing fuel for more frequent and intense fires, causing tree mortality, particularly among juveniles, preventing canopy closure, and so on (Staver et al., 2011). This positive feedback drives the phenomenon of ‘alternative stable states’, where nearby and environmentally similar patches can maintain different vegetation based on previous disturbance history. This commonly produces a mosaic of open savanna patches and closed canopy forest-like patches.

Much previous research has tried to identify the factors which determine the spatial patterning of closed and open canopy patches in mesic savannas, and particularly the factors determining the resilience of patches to state transitions (**Devine2017; Case2016; Hirota2011**). Of particular interest has been the transition from open savanna to a closed canopy forest-like state, as evidence suggests that atmospheric carbon fertilisation may be driving woody encroachment and woody thickening across mesic savannas (**Stevens2017**). Most previous work has focussed on climatic (**Case2020**), edaphic (**Colgan2012**) and disturbance factors (**Case2016**), and how these interact with tree and grass growth to determine their competitive balance, but few have considered biotic factors such as tree demographic structure, canopy architecture and tree species composition (but see **Pilon2020**), which may also influence grass growth (**Jennings1999**).

CHAPTER 8. DATA LEGACY

The LiDAR data collected during this PhD provides a unique opportunity to investigate the relationship between canopy tree attributes and grass biomass, to draw conclusions about the tree canopy conditions which provide resilience in open and closed canopy states.

Although the data was not included in the thesis due to lack of time for analysis, the terrestrial LiDAR dataset collected in Bicuar National Park was paired with systematic grass biomass harvest samples and grass sward height measurements using a Disc Pasture Meter (DPM), across all 15 plots (Figure 8.10). **Cooper2017** provides a method for estimating grass volume from terrestrial LiDAR, which could be extended in this study to estimate grass biomass through an allometric equation linking grass volume to sward height and biomass harvests. The sample locations of the grass measurements are precisely known from differential-GPS and can therefore be matched precisely with the LiDAR measurements, providing data on canopy structure at the fine spatial scale relevant to grass growth.

Using simple linear mixed effects models to explore the relative importance of different tree canopy architectural and structural properties, these data could be used to improve our understanding the drivers of woody encroachment and alternative stable states, which could be applied to earth system models at regional spatial scales to predict how resilient different vegetation types are to environmental change, with consequences for biomass and carbon cycling predictions.

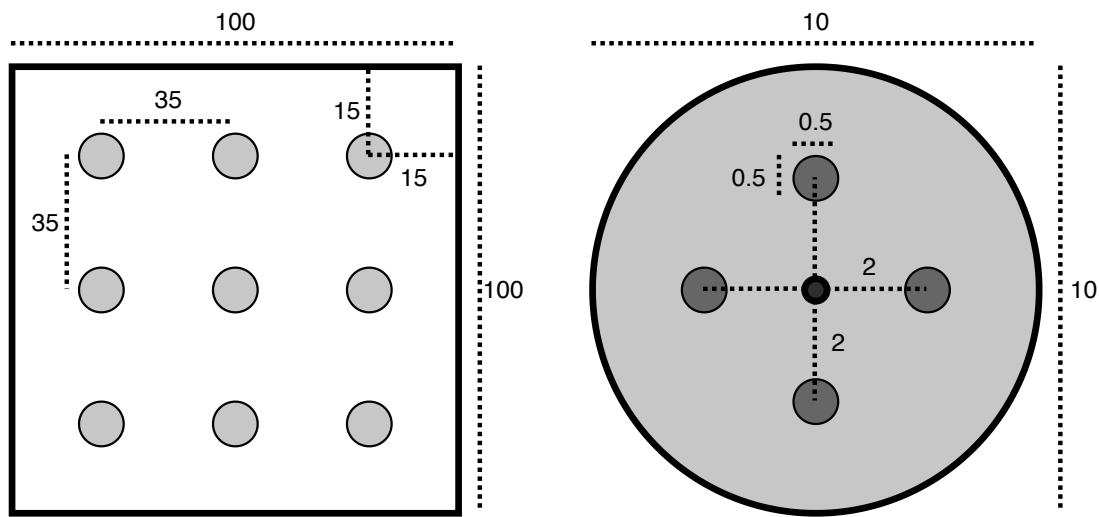


Figure 8.10: The layout of 10 m diameter subplots within each 1 ha square plot. Distances are marked by dotted lines, in metres. Each subplot is situated inside a 15 m buffer from the plot edge, with 35 m between subplot centres. Subplots are arranged in a 3x3 grid. Disc Pasture Measurements (DPM) and biomass samples are located in cardinal directions 2 m from the centre of the subplot. All distances are in metres. Biomass harvests were conducted at one randomly selected DPM sample point per subplot, resulting in nine biomass harvests and 36 DPM samples per 1 ha plot.

References

- Chave, J., M. Réjou-Méchain, A. Búrquez, E. Chidumayo, M. S. Colgan, W. B. C. Delitti, A. Duque, T. Eid, P. M. Fearnside, R. C. Goodman et al. (2014). ‘Improved allometric models to estimate the aboveground biomass of tropical trees’. In: *Global Change Biology* 20, pp. 3177–3190. DOI: [10.1111/gcb.12629](https://doi.org/10.1111/gcb.12629).
- Fick, S. E. & R. J. Hijmans (2017). ‘WorldClim 2: new 1-km spatial resolution climate surfaces for global land areas’. In: *International Journal of Climatology* 37, pp. 4302–4315. DOI: [10.1002/joc.5086](https://doi.org/10.1002/joc.5086).
- SEOSAW (2020). ‘A network to understand the changing socio-ecology of the southern African woodlands (SEOSAW): Challenges, benefits, and methods’. In: *Plants, People, Planet*. DOI: [10.1002/ppp3.10168](https://doi.org/10.1002/ppp3.10168).
- Solbrig, O. T., E. Medina & J. F. Silva (1996). *Biodiversity and Savanna Ecosystem Processes*. Berlin, Germany: Springer-Verlag.
- Staver, A. C., S. Archibald & S. A. Levin (2011). ‘The Global Extent and Determinants of Savanna and Forest as Alternative Biome States’. In: *Science* 334.6053, pp. 230–232. DOI: [10.1126/science.1210465](https://doi.org/10.1126/science.1210465).