- <sup>1</sup> Title: Structural diversity and tree density drives variation in the biodiversity-
- <sup>2</sup> ecosystem function relationship of woodlands and savannas

# Summary

- Positive biodiversity-ecosystem function relationships (BEFRs) have been widely documented,
- but it is unclear if BEFRs should be expected in disturbance-driven systems. Disturbance
- 6 may limit competition and niche differentiation, which are frequently posited to underlie BE-
- FRs. We provide the first exploration of the relationship between tree species diversity and
- biomass, one measure of ecosystem function, across southern African woodlands and savan-
- nas, an ecological system rife with disturbance from fire, herbivores and humans.
- We used >1000 vegetation plots distributed across 10 southern African countries, and structural equation modelling, to determine the relationship between tree species diversity and aboveground woody biomass, accounting for interacting effects of resource availability, disturbance by fire, tree stem density at all and vegetation type.
- We found positive effects of tree species diversity on aboveground biomass, operating via increased structural diversity. The observed BEFR was highly dependent on organismal density, with a minimum threshold of c. 180 mature stems ha<sup>-1</sup>. We found that water availability mainly affects biomass indirectly, via increasing species diversity.
- The study underlines the close association between tree diversity, ecosystem structure, environment and function in highly disturbed savannas and woodlands. We suggest that tree diversity is an under-appreciated determinant of wooded ecosystem structure and function.
- Keywords: biodiversity, biomass, ecosystem function, forest structure, miombo, savanna, structural equation modelling, woodland.

## 1 Introduction

- 24 Understanding the relationship between biodiversity and ecosystem function has become a cent-
- <sup>25</sup> ral endeavour in ecological science, as we seek to predict the consequences of global biodiversity
- change (Naeem, Duffy & Zavaleta, 2012). Over the past two decades, study of the Biodiversity-
- 27 Ecosystem Function Relationship (BEFR) has grown from small-scale experimental studies mostly
- in temperate grasslands (Cardinale et al., 2009; Tilman & Downing, 1994; Tilman, Isbell & Cowles,
- 29 2014), to observational studies in natural ecosystems (van der Plas, 2019). While positive BEFRs
- which align with theory have been frequently reported, a complex picture has emerged whereby
- 31 the strength and direction of the BEFR varies depending on the ecosystem studied (Liang et al.,
- <sup>32</sup> 2016), the ecosystem function(s) of interest (Hector & Bagchi, 2007), and the inclusion of envir-
- onmental covariates in statistical models (Vilà et al., 2005). The goal now should be to study
- the BEFR in different environmental and ecological contexts, in order to develop an ecosystem-
- agnostic understanding of the complex interactions between biodiversity, abiotic environment, and
- 36 ecosystem function.

Ecosystem functions are defined in broad terms as rate processes and aggregate properties of ecosystems that describe the nature of biotic activity within those ecosystems (Jax, 2005). Woody 38 productivity and biomass storage are two of the most commonly studied ecosystem functions in 39 forests and other wooded ecosystems (e.g. savannas) (Brockerhoff et al., 2017), due to their importance in the global carbon cycle (Pan et al., 2011) and their potential leverage as a tool to 41 mitigate the effects of anthropogenic climate change while maintaining biodiversity (Pichancourt, 42 Firn, Chadès & Martin, 2013). Theory predicts that biodiversity will have a positive effect on eco-43 system function via three principal mechanisms: 1) niche complementarity, whereby niche partitioning and ecosystem resource use efficiency is increased in diverse communities, minimising negative density dependent effects such as conspecific competition and abundance of species-specific 46 natural enemies (Barry et al., 2019); 2) selection effects, whereby diverse communities are more 47 likely to include a high-yield species; and 3) facilitation effects, whereby diverse communities are more likely to contain species combinations which enhance each others' functional contribution (Wright, Wardle, Callaway & Gaxiola, 2017). There has been extended debate on whether positive BEFRs should be expected in all wooded 51 ecosystems (Liang et al., 2016). In temperate and wet tropical forests, where the majority of BEFR studies in natural forest ecosystems have been conducted (van der Plas, 2019), the mechanism of 53 niche complementarity, which contributes the majority of the observed biodiversity effect (Poorter et al., 2015; van der Sande et al., 2017; Wright et al., 2017), hinges on the condition that conspecific competition between trees is the limiting factor to ecosystem functioning, but this may not hold true in all systems. 57 Disturbance-driven mesic savannas and open canopy woodlands cover >20% of the global land surface (Pennington, Lehmann & Rowland, 2018; Solbrig, Medina & Silva, 1996). They represent the dominant vegetation type in Africa, spanning >4 million km<sup>2</sup> (Hopkins & White, 1987; 60 Ratnam et al., 2011; Ryan et al., 2016) (Figure 1). Taken together, the above- and below-ground 61 carbon stored in African mesic savannas may be comparable to that found in the wet forests of 62 the Congo basin (Houghton, Hall & Goetz, 2009; Mayaux, Eva, Brink, Achard & Belward, 2008; 63 Spawn, Sullivan, Lark & Gibbs, 2020). Globally, dry woodlands and savannas represent the largest, most sensitive and fastest increasing component of the terrestrial carbon sink (Ahlstrom et al., 2015). Yet their carbon dynamics remain poorly constrained (Sitch et al., 2015). Despite their global importance, African savannas are severely under-represented in BEFR studies (Clarke, York, Rasheed & Northfield, 2017; Liang et al., 2016). In savannas disturbance by fire (Lehmann et al., 2014) and herbivory (Levick, Asner, Kennedy-Bowdoin & Knapp, 2009; Sank-69 aran, Ratnam & Hanan, 2008) reduces woody stem density and biomass, diminishing competitive interactions between individuals, allowing competitors to co-exist where they would normally 71 be excluded (Grime, 1979; Keddy, 1990). It is possible that a threshold woody stem density exists below which the lack of competition precludes the detection of a biodiversity effect on ecosystem function via niche differentiation. Instead, stress tolerance and the functional contribution of particular species (selection effects) may be the predominant biotic forces influencing ecosystem 75 function in these ecosystems (Lasky et al., 2014; Tobner et al., 2016). Additionally, stressful environments appear to lessen the role of both niche complementarity and facilitation effects in driving the BEFR, by replacing competition between individuals with stress tolerance as the limiting

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factor on functional contribution (Paquette & Messier, 2010; Ratcliffe et al., 2017). This potential
    mismatch in the contribution of different mechanisms to the BEFR among resource-, disturbance-,
    and competition-limited ecosystems requires further investigation if we are to derive a generalis-
    able BEFR.
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    A number of studies in miombo woodlands, the dominant savanna type in southern Africa (Camp-
    bell, 1996), have found that above-ground woody carbon/biomass stocks correlate positively with
    tree species richness (McNicol, Ryan, Dexter, Ball & Williams, 2018; Mutowo & Murwira, 2012;
    Shirima, Pfeifer, Platts, Totland & Moe, 2015). These studies however, lacked the spatial extent
    required to account for the interacting effects of variation in abiotic environment, disturbance re-
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    gime, and biogeography which are expected to affect the BEFR. Studies of the BEFR often find
    that within a small spatial extent (<2500 km<sup>2</sup>), biodiversity shows a strong effect on ecosystem
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    function, but at broader extents (>100,000s km<sup>2</sup>) biodiversity effects pale in significance compared
    to abiotic factors such as climate (Gonzalez et al., 2020). In West Africa, Mensah, Salako and
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    Seifert (2020) found that woodlands and forests showed a positive effect of tree species richness
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    on above-ground carbon, while sparse savannas did not, implying that tree stem density and the
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    presence of dominant large trees may affect the strength of the observed BEFR. In the Brazilian
    Cerrado savanna, Loiola, Scherer-Lorenzen and Batalha (2015) found that disturbance by fire re-
    duced tree productivity via its effect on functional trait values. In contrast however, Carvalho,
    Batalha, Silva, Cianciaruso and Petchey (2014) also working in the Brazilian Cerrado, found that
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    while disturbance by fire did reduce soil fertility, which is often closely related to productivity
    in savannas, functional trait diversity was unaffected by fire frequency. Other studies focusing
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    on the herbaceous diversity in disturbance-prone grasslands in North America and Europe have
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    shown that disturbance by fire, mowing and herbivory reduces herbaceous productivity (Grace et
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    al., 2007), allowing weak competitors to co-exist where otherwise they would be excluded (Ma-
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    son, de Bello, Doležal & Lepš, 2011), thus weakening the observable BEFR. Thus, there is a case
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    that in highly disturbed systems, a relationship between diversity and ecosystem function may
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    not exist at all. While these studies together offer some glimpse into how the BEFR may operate
    in disturbance-prone systems, none provide a full and comprehensive assessment of the interact-
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    ing effects of diversity, abiotic environment, disturbance and ecosystem function, particularly for
    wooded ecosystems. Additionally, due to differences in community assembly, evolutionary history
    and contemporary drivers between the neotropics and the African tropics, inferences from one con-
    tinent cannot necessarily be applied to the other (Dexter et al., 2015).
    In this study, we make the first known estimation of the Biodiversity-Ecosystem Function Re-
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    lationship (BEFR) in disturbance-driven wooded ecosystems (savannas and woodlands), using
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    southern Africa as our study region. We aim to understand the synergistic effects of environmental
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    and biotic drivers of variation in the BEFR, with a view to creating a general model of the BEFR
    in disturbance-prone wooded ecosystems, which is currently lacking in the BEFR literature. We
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    posit three hypotheses: (1) water availability and soil fertility will indirectly positively affect woody
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    biomass via an increase in tree species diversity, (2) the effect of tree species diversity on woody
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    biomass will increase with organismal density (number of stems ha<sup>-1</sup>), with competitive interac-
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    tions becoming more pronounced as stem proximity increases. Relatedly, we expect that an in-
    crease in disturbance by fire will decrease organismal density and therefore competition, weaken-
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ing the effect of tree species diversity on woody biomass. Finally, we expect that (3) tree species diversity will increase tree structural diversity due to inter-specific variation in functional form (i.e. physiognomic diversity), providing an indirect path by which tree diversity increases woody biomass.

## <sup>125</sup> 2 Materials and Methods

## 126 2.1 Study location

The study used 1235 woodland monitoring plots from a larger pool of 5395 plots in the larger 127 SEOSAW database (SEOSAW, 2020), located across 10 countries within southern Africa in the 128 miombo ecoregion (Figure 1, Hopkins & White, 1987). The study area spans the core climate 129 space of the region, with a precipitation gradient from c. 460 mm y<sup>-1</sup> in southern Mozambique 130 and southern Zimbabwe to c. 1700 mm y<sup>-1</sup> in northern Zambia, Malawi and northern Mozam-131 bique. A 2D convex hull of Mean Annual Precipitation (MAP) and Mean Annual Temperature 132 (MAT) of the study sites covers 96.5% of the pixel-wise climate space of the miombo woodland 133 ecoregion (Hopkins & White, 1987), using WorldClim estimates of Mean Annual Temperature 134 (MAT, BIO1) and Mean Annual Precipitation (MAP, BIO12) between 1970 and 2000 with a pixel 135 size of 30 arc seconds (926 m at equator) (Fick & Hijmans, 2017). Plots were chosen from the SEOSAW database based on the quality and completeness of data col-137 lection, and plot configuration. Plot vegetation was identified under the broad term of 'savanna', 138 which includes 'woodland', 'savanna woodland', and 'tree savanna', variously defined in other 139 areas of the scientific literature and here referred to collectively as southern African woodlands, 140 or savannas (Hill & Hanan, 2011; Ratnam et al., 2011). Plots with evidence of farming, human 141 resource extraction, experimental treatments such as prescribed burning or herbivore exclusion, 142 or containing termite mounds were excluded from the initial pool. Only plots >0.1 hectares were 143 used in analyses, as area-based biomass estimation from small plots is highly influenced by rare 144 large trees (Stegen et al., 2011), leading to inaccurate biomass estimates. Only plots with a stem 145 density >50 trees ha<sup>-1</sup> (>10 cm stem diameter) were used, to ensure all plots represented wood-146 land rather than 'grassy savanna', which is considered here a separate biome with very different 147 species composition (Parr, Lehmann, Bond, Hoffmann & Andersen, 2014). 3760 plots within the 148 SEOSAW database were arranged in clusters of four 20x50 m plots, with 20 m between plots. Plots within each spatial cluster were combined and treated as a single plot in analyses, resulting in 940 aggregate plots which were then subject to the plot filtering process described above.

#### 2.2 Data collection

We considered only trees and shrubs in our calculations of Above-Ground woody Biomass (AGB), including woody species such as palms and cycads, which are functionally tree-like. Woody lianas are scarce in our study plots and were not measured. Only living stems >10 cm DBH (Diameter at Breast Height, 1.3 m) were included in analyses. Many plots in the dataset did not include data on stems <10 cm DBH. For those plots which contained stem measurements <10 cm DBH, small stems only accounted for a median of 2.1% of the plot AGB.

All stems >10 cm DBH were measured within each plot resulting in a total of 66,758 stems with measurements. A tree may be comprised of multiple stems and so tree-level richness estimates, rather than stem-level estimates, were used to prevent bias from species which readily coppice. 161 For each tree, we recorded species, DBH and tree height to the top of the highest branch material. 162 Height was measured through a variety of means including laser rangefinders, manual clinometers 163 and measuring sticks. When DBH could not be measured at 1.3 m due to trunk abnormalities, it 164 was measured at the closest regular portion of the trunk to 1.3 m. The height of this measurement 165 was recorded and used to estimate the D<sub>e</sub> at 1.3 m using a cubic polynomial regression, with para-166 meters estimated using a test dataset from Ryan C., (unpublished), see Godlee et al. (2020). 167 AGB for each plot (t ha<sup>-1</sup>) was calculated using Equation 1, taken from Chave et al. (2014): 168

$$AGB = 0.0673 \times (\rho D_e^2 H)^{0.976} \tag{}$$

(1)

where  $\rho$  is the species mean wood density (g cm<sup>-3</sup>),  $D_e$  is the estimated DBH (cm) at 1.3 m, and 169 H is the tree height (m). Wood density estimates were taken from the global wood density data-170 base for each species where possible (Chave et al., 2009; Zanne et al., 2009). Wood density for species without species level estimates was estimated from the means of their respective genera. For stems where tree height was unknown, the plots' climatic parameters, estimated from plot location, were used to estimate tree height, according to Chave et al. (2014). Climatic data were taken from the WorldClim database, using the BioClim variables (Fick & Hij-175 mans, 2017). In addition to MAT and MAP, temperature stress was calculated as the mean di-176 urnal temperature range (BIO2) and precipitation seasonality was calculated as the mean of the 177 coefficient of variation of monthly mean precipitation (BIO15). Soil fertility data were extracted 178 from the ISRIC gridded soil information data product at 250 m resolution, taking the grid cell 179 value for each plot centre (Hengl et al., 2017). We extracted Cation Exchange Capacity (CEC) 180 (cmolc kg<sup>-1</sup>), soil organic carbon stocks (kg m<sup>-2</sup>) percentage soil sand content (0.05-2 mm) by 181 weight and soil nitrogen content (g kg<sup>-1</sup>). These data are a modelled product derived from vari-182 ous remotely sensed and directly measured data sources. The degree of fire disturbance was cal-183 culated using the MODIS monthly burned area product at 500 m resolution (MCD64A1, Giglio, Justice, Boschetti and Roy 2015), counting the total number of times the plot pixel was classified 185 as burning, between 2001 and 2018. We initially aimed to include disturbance by herbivory in our model, including total herbivore biomass from the Hempson, Archibald and Bond (2017) modelled 187 herbivory product, but this inclusion prevented models from converging due to its collinearity with other observed variables, notably MAP and disturbance by fire.

#### 190 2.3 Data analysis

#### 2.3.1 Species diversity and structural diversity metrics

Estimated tree species richness was calculated for each plot using 'ChaoRichness()' from the 'iN-EXT' package in R (Hsieh, Ma & Chao, 2016). This procedure uses Hill numbers of the order q =0 to extrapolate a species rarefaction curve to its predicted asymptote and uses this value as its

estimated species richness value (Jost, 2006). Extrapolated species richness accounts for variation 195 in plot size present in the dataset (0.1-10 ha) and therefore sampling effort among plots. Larger 196 plots will tend to encompass more individuals, and therefore more species (Dengler, 2009). To 197 measure tree species evenness, the Shannon Equitability index  $(E_{H'})$  (Smith & Wilson, 1996) was 198 calculated as the ratio of the estimated Shannon diversity index to the natural log of estimated 199 species richness. Abundance evenness allows for greater niche complementarity at small scales due 200 to potentially increased heterogeneity of functional traits. In terms of the theory of niche comple-201 mentarity, both species richness and abundance evenness contribute to the positive total diversity 202 effect on ecosystem function. Holding either species richness or abundance evenness constant while 203 increasing the other will have the similar effect of reducing the likelihood that a neighbour is a 204 conspecific, thus reducing the occurrence of negative density dependent competition effects and 205 therefore increasing ecosystem function. We quantified tree structural diversity for each plot by 206 calculating the Coefficient of Variation of DBH (DBH CoV) and tree height (Height CoV). 207

#### 208 2.3.2 Vegetation clusters

Plots were assigned to vegetation type groups based on tree species composition. Groups were 200 defined in a manner adapted from Fayolle et al. (2018) in an Africa-wide analysis of floristic units 210 using plot data in savannas and woodlands with tree species diversity and relative abundance 211 data. Group identification was conducted using unconstrained correspondence analysis, followed 212 by hierarchical clustering based on dominant ordination axes. Plot data used in this study oc-213 curred in four compositional vegetation types. See Table 1 for a description of each vegetation 214 cluster and Figure 1 for the spatial distribution of plots from each of these clusters. Cluster names 215 were assigned post-hoc based on the dominant and indicator species in each cluster. 216

#### 217 2.3.3 Structural Equation Modelling

We used Structural Equation Modelling (SEM) to investigate the determinants of AGB. All SEMs 218 were constructed and analysed in the 'lavaan' package (Rosseel, 2012) in R version 3.6.0 (R Core 219 Team, 2019). SEM was used because of its suitability for modelling complex causal interactions 220 in ecological systems (Lee, 2007). A key aspect consideration in our decision to use SEM is that 221 they can explicitly model and partition variance attributed to indirect effects, which is challenging 222 in standard multiple regressions. Using SEMs also allowed us to describe latent variables such as 223 'water availability', 'soil fertility', and 'disturbance' which have been suggested to act upon biod-224 iversity and biomass/productivity in previous studies despite these factors not having directly observable measures in our dataset. SEM is also necessary to properly account for potential feedback 226 mechanisms between aspects of environment and tree species diversity, which could otherwise increase the chances of Type I error and wrongly attribute inference due to the covariance of explan-228 atory variables when using conventional regression analyses (Nachtigall, Kroehne, Funke & Steyer, 2003). We specified a conceptual model with factors expected to affect AGB: water availability, soil fertil-231 ity, disturbance, tree species diversity, tree structural diversity and stem density (Figure 2). 232

Observed variables were transformed to achieve normality where necessary and standardised to

Z-scores prior to analysis (Figure S1, Figure S2). Standardisation allows path regression coefficients to be easily compared between paths in the same model to assess their relative effect size, 235 and eliminates confusion in model interpretation arising from the observed variables being on dif-236 ferent scales (Beaujean, 2014). Standardisation also controls for variables with variation across 237 different orders of magnitude, which could otherwise prevent adequate model estimation from the 238 covariance matrix in 'lavaan'. To ensure that observed variables within a latent variable had con-239 sistent directions of influence, some observed variables had their sign reversed. For example, over-240 all water availability is expected to decrease as soil sand content increases, therefore sand content 241 was reversed for use in the water availability latent variable. Precipitation seasonality, and tem-242 perature stress were also reversed in this way to account for the direction of their effect on water 243 availability. 244 The factor loadings of the observed variable assumed to contribute most to each latent variable 245 were set to one, as per convention, with other observed variables being allowed to vary (Beaujean, 246 2014). We tested the robustness of our assumptions with a chi-squared test of all possible com-247 binations of observed variable factor loadings set to one, while ensuring no factor loadings were in 248 excess of one. We found no significant difference between model specifications (p >0.05). Full In-249 formation Maximum Likelihood (FIML) was used in each model to estimate the values of missing 250 data in each latent variable (Cham, Reshetnyak, Rosenfeld & Breitbart, 2017). 251 We assessed the role of tree species diversity and tree structural diversity in determining AGB via 252 a simple mediation model which allowed species diversity to influence AGB both directly and in-253 directly via structural diversity. Structural diversity can also directly influence AGB in this model, 254 separate to the effect of of species diversity. To account for variation in stem density, which may 255 covary with species diversity, we included it as an observed variable in our model. To explore vari-256 ation in the model among woodland vegetation types, we fit the model both at the regional scale 257 and for each vegetation type separately. We compared unstandardised path coefficients among the 258 models for different vegetation types to understand the effect that vegetation type has on the re-259 lationship between tree species diversity, structural diversity, stem density and AGB. Path coeffi-260 cients show the effect of a given path with other paths held constant. Models were estimated using 261 the 'MLM' estimator, because it is robust to multivariate non-normality (Shapiro, 1983). Model 262 fit was evaluated using the robust Comparative Fit Index (CFI), the robust Tucker Lewis Index 263 (TLI), the Root Mean Squared Error of Approximation (RMSEA) and the R<sup>2</sup> coefficient of de-264 termination for AGB. We critically assessed model fit in each case, taking into consideration the 265 recommendations of Hu and Bentler (1999) who define threshold values of acceptability for these 266 model fit indices: CFI >0.85, TLI >0.85, RMSEA <0.15, alongside our judgement of the model 267 estimates. 268 To explore the hypothesis that biodiversity effects on ecosystem function increase in strength as 269 stem density increases, we repeatedly sub-sampled the available plot dataset to create 50 data 270 subsets with similar stem density. For each data subset we separately fitted a model including tree 271 species and structural diversity latent variables to predict AGB. As we controlled for stem density 272 via the dataset sub-sampling process, the effect of stem density on AGB was not included in the 273 model. We examined how the unstandardised path coefficients for each path in the SEM varied 274 according to the median stem density of the data subsets.

Preliminary models that included herbivore biomass (Hempson et al., 2017) did not converge. 276 This is possibly due to the spatially coarse nature of the available data, or due to collinearity with 277 other variables, notably MAP and fire frequency. We therefore did not include herbivory in our 278 final model. We incorporated environmental covariates into our model to understand the relative 279 effects of water availability, soil fertility and disturbance on AGB both directly and indirectly via 280 species diversity and stem density. We compared standardised path coefficients among paths in 281 the model to understand the relative contribution of each path to explain variance in AGB. Veget-282 ation type specific models could not be reliably fitted for this more complex model specification 283 with environmental covariates, due to sample size issues and because some vegetation types were 284 narrow in their climate space, leading to a lack of environmental variation, particularly in the wa-285 ter availability latent variable. 286

## 287 3 Results

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Pairwise correlations between all observed variables used in the Structural Equation Models (SEMs) 288 showed that all tree species diversity (extrapolated tree species richness, Shannon equitability in-289 dex) and structural diversity (coefficients of variation of DBH and height) variables had moderate 290 positive correlations with AGB (Figure 3, Figure S3). Stem density had the strongest correlation 291 with AGB of all variables considered (r = 0.59, p < 0.01). Environmental variables had weaker 292 correlations with AGB than diversity variables, with all environmental variables having signific-293 ant correlations with AGB, except fire frequency. The direction of these correlations was used as 294 a test of our assumptions for the direction of influence of latent variables later used in the SEMs. 295 MAP had positive correlations with all tree species diversity and structural diversity variables. 296 Tree species diversity variables had clear positive correlations with stem density (species richness: 297 r = 0.24, p <0.01; Shannon equitability: r = 0.58, p <0.01), but structural diversity variables 298 showed weak correlations with stem density (DBH CoV: r = 0.11, p <0.01, Height CoV: r = 0.01, 290 p = 0.86). 300

#### 3.1 Structural and species diversity models

In an SEM describing the effect of tree species diversity on AGB via the mediating effects of tree structural diversity and stem density (Figure 4), species diversity showed no direct effect on AGB ( $\beta = 0.01 \pm 0.053$ , p = 0.88), but did have an indirect positive effect via structural diversity ( $\beta = 0.18 \pm 0.039$ , p <0.01) (Figure 4). Model fit was good with high factor loadings for all observed variables. All other path coefficients were significant (p <0.01) (Table 2). The R<sup>2</sup> of AGB was 0.49. The strongest direct effect on AGB was from stem density ( $\beta = 0.5 \pm 0.033$ , p <0.01).

#### 3.2 Variation among vegetation types

When the tree species and structural diversity model (Figure 4) was refitted separately using data from each of the four vegetation types, we found that the effect sizes of each latent variable remained largely similar, though model fit varied. The direct effect of tree species diversity on AGB was positive and marginally significant in ex-Acacia ( $\beta = 0.16 \pm 0.121$ , p = 0.18) but negligible in

Mopane ( $\beta = 0.24 \pm 0.099$ , p < 0.05), sparse miombo / Baikiaea ( $\beta = 0.23 \pm 0.045$ , p < 0.01) and 313 Core miombo ( $\beta = 0.23 \pm 0.041$ , p <0.01) (Figure 5). Relationships among structural diversity and 314 AGB remained generally similar, with the same sign and overlap between the 95% confidence in-315 tervals of path coefficients. The  $R^2$  of AGB was highest in ex-Acacia shrubland ( $R^2 = 0.83$ ) and 316 lowest in sparse miombo / Baikiaea ( $R^2 = 0.46$ ). The total effect of species diversity on AGB re-317 mained strongly positive and there was a positive direct effect of species diversity on structural 318 diversity, across all vegetation types. All models had adequate goodness-of-fit (Table 2), though 319 confidence intervals around the unstandardised path coefficients were wide particularly for Mopane 320 and ex-Acacia.  $\chi^2$  statistics were high for some vegetation types, but this appears to be highly 321 correlated with sample size for each vegetation type (Hooper, Coughlan & Mullen, 2008). 322

## 323 3.3 Moderation of Diversity-AGB relationship by stem density

In the sub-sampling of the plot dataset by stem density, we found an increasing positive effect of tree species diversity on AGB as stem density increased (Figure 6e). There appears to be a minimum stem density threshold at c. 180 stems >10 cm DBH ha<sup>-1</sup> below which there appears to be
a reasonably constant baseline effect of tree diversity on biomass (Figure 6b). The effect of structural diversity on AGB appears to remain constant with increasing stem density (Figure 6d). The
indirect effect of tree species diversity on AGB via structural diversity increases as stem density
increases (Figure 6c).

## 331 3.4 Environmental covariates and tree diversity

A model incorporating the latent variables of water availability, soil fertility and disturbance by 332 fire showed that the total effect of tree species diversity on biomass was similar to that of water 333 availability, soil fertility and disturbance (Figure 7, Figure S4). The direct effects of water avail-334 ability, soil fertility and disturbance on AGB were negligible (water:  $\beta = 0.1 \pm 0.13$ , p = 0.43, soil: 335  $\beta = 0.1 \pm 0.155$ , p = 0.51, disturbance:  $\beta = -0.04 \pm 0.043$ , p = 0.32), with nearly all of their ob-336 served effects on AGB coming from the indirect paths via stem density (water:  $\beta = 0.14 \pm 0.091$ , 337 p = 0.12, soil:  $\beta$  = -0.22±0.109, p <0.05, disturbance:  $\beta$  = -0.12±0.03, p <0.01) and species 338 diversity (water:  $\beta = 0.62 \pm 0.172$ , p < 0.01, soil:  $\beta = -0.24 \pm 0.209$ , p = 0.26, disturbance:  $\beta =$ 339 0.19±0.058, p <0.01). MAP and soil sand content had the greatest contributions to the latent 340 variable of water availability. Model fit was acceptable: CFI = 0.925, TLI = 0.900, and RMSEA 341  $= 0.153, R^2 \text{ of AGB} = 0.34.$ 342 Similar to the model that only considered tree species and structural diversity (Figure 4), the dir-343 ect effect of species diversity on structural diversity was positive, while structural diversity itself 344 had a positive effect on AGB, leading to a strong positive indirect effect of species diversity on 345 AGB via structural diversity ( $\beta = 0.19 \pm 0.026$ , p < 0.01) when environmental covariates were ac-346 counted for. Again, the direct effect of species diversity on AGB was negligible ( $\beta = -0.05 \pm 0.041$ , 347 p = 0.27). The total effect of species diversity on AGB was positive ( $\beta = 0.34 \pm 0.044$ , p < 0.01). 348 Compared to the simple model with no environmental covariates, the total explanatory power of 349 tree species diversity and structural diversity in this model decreased, but the predictive power of 350 the model as a whole increased. 351

#### 352 4 Discussion

We assessed the importance of a) tree species diversity, b) tree structural diversity, c) resource 353 availability, d) disturbance by fire, e) organismal density and their interactions on above-ground 354 woody biomass (AGB) across southern African savannas and woodlands, using a network of 1235 355 woodland plots in conjunction with Structural Equation Modelling (SEM). We found support for 356 a general positive relationship between tree species diversity and AGB, operating indirectly via structural diversity (H<sub>1</sub>). Tree species diversity, structural diversity and stem density accounted for 49% of the variation in AGB across the region, while models for specific vegetation types showed even greater explanatory power in some cases (Table 2). Within the latent variable of tree 360 species diversity we found similarly strong factor loadings for both species richness and abundance evenness. This demonstrates that species richness and abundance evenness measure different and 362 largely uncorrelated axes of diversity. We found that the effect of tree species diversity on AGB 363 increased with stem density (H<sub>2</sub>), with an apparent threshold of 180 stems >10 cm DBH ha<sup>-1</sup>, be-364 low which the effect of species diversity on AGB remained at a low baseline level. The strongest 365 direct effect on AGB was that of stem density. When the effects of water availability, soil fertility 366 and disturbance by fire were controlled for, the total explanatory power of tree species diversity 367 and structural diversity decreased, but the predictive power of the model increased, suggesting 368 that it is important to control for environmental covariates to understand the true effect of tree 369 species diversity on AGB in regional scale assessments of the BEFR. 370

### 371 4.1 Inter-related effects of tree species and structural diversity on AGB

We found a consistent positive effect of tree species diversity on AGB. Within southern African 372 woodlands we therefore find support for the hypothesis that higher tree species richness and even-373 ness leads to higher above-ground woody biomass. This finding is in agreement with many other 374 studies across different ecosystems and biomes, supporting the idea that there is a generalisable 375 positive association between biodiversity and ecosystem function (Cardinale et al., 2009; Liang et 376 al., 2016). Our study provides a novel dissection of the mechanisms underlying this relationship, 377 particularly in the context of southern African woodlands, a disturbance-driven and poorly stud-378 ied ecological system. 379 Much of the total variation in AGB was driven by variation in organismal density. It is possible 380 that within southern African woodlands a higher species diversity allows for a higher stem dens-381 ity through niche separation, which reduces competition between species occupying varying niche 382 space, leading to an increase in total AGB per unit area. The opposite causation is also plausible 383 however, with increased stem density causing higher species richness through an increased prob-384 ability of encountering new species. We attempted to correct for the correlation between species 385 richness and stem density using extrapolated species richness, which extrapolates a rarefaction 386 curve to its predicted asymptote, thus estimating the total landscape-level species richness which 387 is independent of plot size and stem density. We suggest therefore that an increase in tree species 388 diversity through species richness and evenness produces an assemblage of species which can util-389 ise more available light and moisture, resulting in greater plot-level AGB. This is supported by the 390 moderately strong indirect positive effect of tree species diversity on AGB via structural diversity,

and the positive effect of water availability on AGB via stem density in the model which included environmental covariates.

We found evidence that tree species diversity led to an increase in AGB indirectly via tree structural diversity, and we therefore find support for our second hypothesis H<sub>2</sub>. A higher tree species 395 diversity allows for a greater structural diversity of trees, i.e. greater variation in DBH and height. 396 This may act as a mechanism for niche complementarity, with a canopy of diversely-sized trees 397 able to take advantage of a greater proportion of the available light. Although we did not measure 398 them here, we would also expect that tree species diversity allows for a greater range of tree func-399 tional forms (Pretzsch, 2014), i.e. wider variation in canopy shape and overall growth form; broad 400 flat crowns vs. narrow deep crowns, for example. In forests, where the tree canopy is effectively 401 closed, as the stand matures a more diverse canopy emerges via competition and tree mortality 402 events which open canopy gaps (Muscolo, Bagnato, Sidari & Mercurio, 2014). Indeed, our find-403 ing that the strength of the effect of tree diversity on AGB increases with stem density supports 404 this mechanism (Figure 6). At low stem densities, competition between mature trees may not oc-405 cur, meaning that the niche complementarity effect provided by an increase in tree species richness 406 may not be present, accounting for the small effect of tree species diversity on AGB below c. 180 407 trees ha<sup>-1</sup>. In frequently disturbed woodlands such as those studied here, a woodland canopy sim-408 ilar to that of a forest is frequently not reached. Instead, a simple open canopy is maintained that 400 can be made more complex and productive via an increase in species diversity. 410

## 4.1 4.2 Organismal density and disturbance

Disturbance by fire had a negative total effect on AGB, with most of this negative effect com-412 ing from the indirect pathway via stem density. This is expected as increased fire frequency is a 413 key mechanism by which savannas maintain an open canopy, rather than shifting to a closed canopy forest (Staver, Archibald & Levin, 2011). Previous studies have found that southern African woodlands with higher species diversity tend to experience less frequent disturbance by fire and tend to form a more closed canopy with a sparse understorey (Chidumayo, 2013; Mutowo & Murwira, 2012). In our study however, we found a positive effect of fire frequency on species diversity, perhaps suggesting that disturbance prevents domination of woodlands by a single dominant species (Chidumayo, 2013; Durigan et al., 2020; Staver, Bond, Stock, van Rensburg & Waldram, 2009). 420 It is suggested that in savannas where the tree-species pool is largely adapted to fire, increased fire 421 may actually increase tree species diversity by allowing weak competitors to co-exist. 422 As time since disturbance increases in any wooded ecosystem, it is expected that both species 423 diversity and above-ground biomass will increase until an equilibrium is reached or another dis-424 turbance event occurs (Huston, 2014). Unless this effect is accounted for, there is the potential 425 for mistaken causality as both diversity and biomass will correlate. In our model, time since dis-426 turbance is accounted for within each plot via the stem density term. Disturbance reduces stem 427 density of large stems (>10 cm DBH), which is expected to increase until the effects of competi-428 tion preclude further increase (Johnson, Beaulieu, Bever & Clay, 2012). Furthermore, our rarefied 429 measure of species diversity accounts for variation in sampling effort and is therefore independent of stem density. Tree species richness should also increase with time since disturbance as with increased stem density the likelihood of including a new species also increases. Outside of the stem
density effect, there are multiple causes for variation in tree species diversity in this study. Vegetation types and localities differ in their available species pool, for example. Variation in abiotic
environmental factors will also affect species accumulation.

### 4.3 Effects of water availability and soil fertility

Water availability had a positive total effect on AGB, comparable in size to the total effect of tree 437 species diversity on AGB, while soil fertility had a negative total effect. We expected that higher 438 water availability and soil fertility would lead to higher AGB under the assumption that higher re-439 source availability would allow for a greater stem density per unit area, greater productivity per 440 unit area and additionally greater tree species diversity due to niche partitioning (Kraaij & Ward, 441 2006; Shirima et al., 2015). Previous studies in tropical forests have shown that water availability increases AGB both directly and indirectly via increasing tree species diversity and via increasing stand structural diversity (Ali et al., 2019a, 2019b; Poorter et al., 2017). In this study, we observed indirect positive effects of water availability on AGB via species diversity and a positive but only marginally significant direct effect on AGB. Compared to moist tropical forests, water availability is more of a limiting factor to tree growth in southern African woodlands, which experience frequent drought. A negative total effect of soil fertility on AGB is in contrast to other studies in the region and 449 general ecological theory, which predicts a positive effect of soil nutrients on biomass (Scarascia-450 Mugnozza, Bauer, Persson, Matteucci & Masci, 2000). The negative total effect of soil fertility on 451 AGB was driven mostly by an indirect negative effect via stem density. The direct effect on AGB 452 however, remained positive and marginally significant, as expected. Model estimates of the effect 453 of soil on AGB were poorly constrained compared with other latent variables. This wide stand-454 ard error on the model predictions is possibly due to the coarseness and nature of the soil data we used. SoilGrids provides modelled data at 250 m resolution, while soil structure and nutrient content varies at much finer scales in southern African woodlands (Bucini & Hanan, 2007; Muledi et al., 2017). It is therefore not surprising that this model path is poorly constrained. Lehmann et al. (2014) found similarly weak and poorly constrained relationships for soil in a Structural Equation Model including precipitation, temperature, soil, and fire to predict tree basal area in 460 southern African woodlands. Plot-specific soil data are time-consuming to collect and difficult to 461 compare across studies when different protocols are used. Our study points to the need for further 462 effort in this regard, which may reveal interesting findings about the complex interactions between 463 soil, disturbance and tree diversity in southern African woodlands. 464

#### 4.4 Vegetation type responses

All four vegetation types produced similar results in the simple SEM, with a positive total effect of species diversity on AGB, the majority being indirectly via structural diversity. This demonstrates the robustness of our results, showing they are generalisable across vegetation types in southern Africa. It also demonstrates that similar ecosystem processes are occurring in these vegetation types, despite variation in species composition, overall species richness and mean biomass.

Core miombo and sparse miombo / Baikiaea woodland vegetation exhibited a small negative dir-471 ect effect of tree species diversity on AGB, while the total effect, incorporating the indirect ef-472 fect via structural diversity, remained positive in these vegetation types. Compared to ex-Acacia 473 and Mopane woodlands, miombo woodlands have higher median tree species richness. Ex-Acacia 474 and Mopane woodlands are dominated by fewer tree species, notably Senegalia spp. in ex-Acacia 475 woodlands and Colophospermum mopane in Mopane woodlands, which can produce large canopy 476 dominating trees in the so-called "Cathedral mopane". We postulate that the slight negative effect 477 of tree species richness on AGB in miombo woodlands may be due to an increase in interspecific 478 competition through canopy crowding, but that this effect is not present in ex-Acacia and Mopane 479 woodlands, where the top level of the woodland canopy is dominated often by a single species. 480 Higher functional redundancy among tree species in miombo woodlands may lead to smaller trees 481 with lower AGB in the most diverse plots, more resembling thicket vegetation and suppressing the 482 few species which tend to create high biomass, such as Julbernadia and Brachystegia spp.. In the 483 species-poor Mopane and ex-Acacia woodlands however, the addition of extra species may fill a 484 greater proportional niche space, thus increasing total AGB more. 485 Despite Mopane woodland having very low species diversity generally, with often monospecific 486 stands (Timberlake, Chidumayo & Sawadogo, 2010), a positive effect of tree species diversity on AGB was observed. In previous studies across multiple biomes it has been found that the effect 488 of adding species on ecosystem function is stronger in low diversity assemblages (Cardinale et al., 489 2006; Srivastava & Vellend, 2005). This has been attributed to an increase in functional redund-490 ancy as species diversity increases. Mopane woodlands also have a negligible effect of species di-491 versity on structural diversity. This may be due to the particular functional forms of species which 492 co-exist with C. mopane, many of which are small shrub-like trees rather than large canopy trees 493 (Timberlake et al., 2010). Larger canopy trees tend to have greater variation in physical structure 494 (Seidel et al., 2019) which would drive an effect of species diversity on structural diversity as we 495 observed in miombo woodlands. 496 Ex-Acacia woodlands showed the strongest total effect of species diversity on AGB and was the 497 only vegetation type to show a significant positive direct effect of species diversity on AGB. Ex-Acacia woodlands also had relatively low median species richness compared to miombo, but the addition of new species appears to make a larger difference to the AGB of these plots than in Mopane woodlands. We suggest that this is due mostly to the particular identity of species found in 501 ex-Acacia woodlands and their contribution to ecosystem functioning. Unlike Mopane woodlands, 502 ex-Acacia woodlands contain a wider variety of species which can grow to large canopy trees, al-503 beit at low densities, especially in transition zones with miombo woodlands. Additionally, many 504 more species species in ex-Acacia woodlands are found in the Mimosoideae and Papilionoideae 505 sub-families, of which most are nitrogen-fixing (Tedersoo et al., 2018). Nitrogen availability is of-506 ten a limiting factor in productivity, making nitrogen-fixing species strong competitors. It is pos-507 sible that in ex-Acacia dominated woodlands, the presence of a large number of nitrogen-fixing 508 tree species reduces functional redundancy, meaning that the effect of adding species on ecosystem 509 function saturates at a higher species richness.

## 511 5 Conclusions

In this study we found that even in highly disturbed southern African woodlands, there exists a 512 generalisable positive association between tree species diversity and ecosystem function, quantified 513 as above-ground woody biomass (AGB). Our findings contribute to our understanding of a universal biodiversity-ecosystem function relationship, one which is moderated in a predictable man-515 ner by environmental covariates and their interaction with biodiversity and ecosystem structure. We found that the multiple vegetation types which comprise southern African woodlands exhibit 517 similarities in the relationship between species diversity and woody biomass, suggesting that similar processes operate across the region to determine ecosystem function. We advocate for explicit 519 inclusion of environmental covariates in regional scale models of biodiversity and ecosystem function. We assert that this is necessary to develop our understanding of the biodiversity-ecosystem 521 function relationship in real-world ecosystems, to progress from experimental mesocosms. We 522 found that much of the effect of species diversity on biomass exists as an indirect effect by increas-523 ing the structural diversity of trees, exemplifying a key mechanism by which tree species diversity 524 determines ecosystem function in savannas, woodlands and forests, i.e. all wooded ecosystems. 525 The presence of a stem density threshold above which the effect of tree species diversity on AGB 526 increases clearly implies the presence of niche complementarity effects in southern African wood-527 lands, an aspect which has often been overlooked in previous studies despite its intuitive logic 528 as a determinant of niche complementarity effects in wooded ecosystems. Our study shows that 529 biodiversity change through extensive human actions in this region will have the greatest negative 530 impact on ecosystem function in areas of high stem density, and low species diversity, which are 531 those areas predominantly targeted for tree felling. This raises concerns about the robustness of 532 these ecosystems to further resource extraction and biodiversity loss. Finally, our results provide 533 further evidence of the complex interaction of factors governing biomass and therefore carbon dy-534 namics in disturbance-driven wooded ecosystems, which currently represent the greatest uncer-535 tainty in the global terrestrial carbon sink.

# 537 6 Tables

Table 1: Description of the biogeographical clusters to which each plot in the study was assigned. Indicator species were generated using Dufrene-Legendre indicator species analysis (Dufrêne & Legendre, 1997) implemented with indval() from the labdsv R package (Roberts, 2019) and represent species which define the given cluster. Dominant species were identified by choosing the species with the largest mean plot level proportional AGB within each cluster. Numeric values of species richness, stems ha<sup>-1</sup> and AGB represent medians and interquartile ranges (75th percentile - 25th percentile).

Cluster	Dominant species	Indicator species		Species Richness	Stem density (stems ha <sup>-1</sup> )	AGB (t ha <sup>-1</sup> )	
Core miombo	Brachystegia spiciformis Julbernardia paniculata Brachystegia boehmii	Parinari curatellifolia Uapaca kirkiana Brachystegia spiciformis	Vapaca kirkiana 523 20(16.9		204(142.5)	44.2(36.11)	
ex-Acacia	Spirostachys africana Senegalia burkei Senegalia nigrescens	Euclea racemosa Vachellia nilotica Spirostachys africana	Vachellia nilotica 188		181(166.5)	54.5(61.33)	
Mopane	Colophospermum mopane Androstachys johnsonii Kirkia acuminata	Colophospermum mopane Psuedolachnostylis maprouneifolia 5 Lannea discolor		10(10.2)	186(125.6)	42.7(32.83)	
Sparse miombo / Baikiaea	Baikiaea plurijuga Burkea africana Pterocarpus angolensis	Burkea africana Baikiaea plurijuga Pterocarpus angolensis	466	12(13.7)	178(129.5)	36.9(26.98)	

Table 2: Model fit statistics for SEMs investigating the effects of tree diversity and stem density on AGB (Figure 4). n = number of plots in cluster,  $\chi^2 = Chi$ -squared fit statistic, DoF = model degrees of freedom, CFI = Comparative Fit Index, TLI = Tucker-Lewis Index, RMSEA = Root Mean Square Error of Approximation,  $R^2$  AGB = R-squared of AGB (Above-Ground Biomass).

Cluster	n	$\chi^2$	DoF	CFI	TLI	RMSEA	$R^2$ AGB
Core miombo	523	78.670	6	0.904	0.759	0.140	0.490
ex-Acacia	188	9.570	6	0.952	0.879	0.130	0.830
Mopane	58	19.880	6	0.834	0.584	0.240	0.510
Sparse miombo / Baikiaea	466	43.870	6	0.914	0.784	0.130	0.580
All	1235	91.380	6	0.937	0.843	0.120	0.490

# <sup>538</sup> 7 Figures

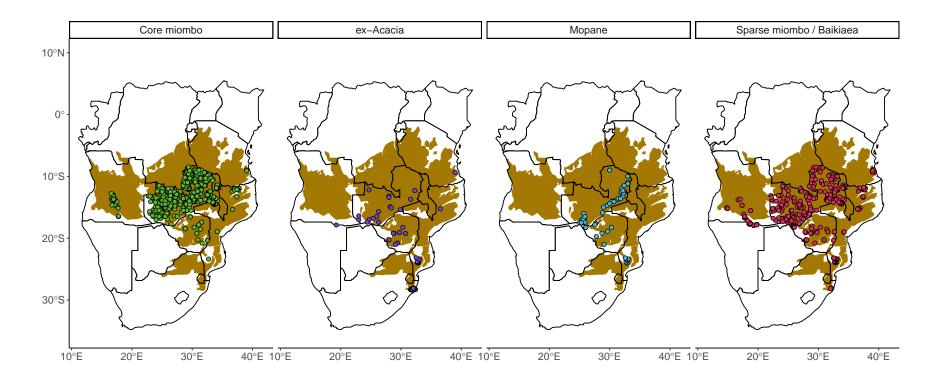


Figure 1: The locations of the 1235 plots used in this study, with respect to the distribution of mesic savanna vegetation according to Hopkins and White (1987). Each panel shows plots categorized by their vegetation type as defined by the vegetation types in Table 1.

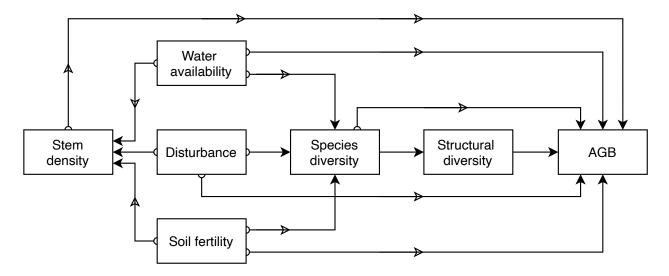


Figure 2: Conceptual Directed Acyclic Graph (DAG) showing the theoretical relationships between environmental factors, tree species diversity, tree structural diversity, stem density, and AGB. Hypothesised paths of causation are depicted as arrows from predictor to response. Open arrow heads track the direction of each arrow along its path.

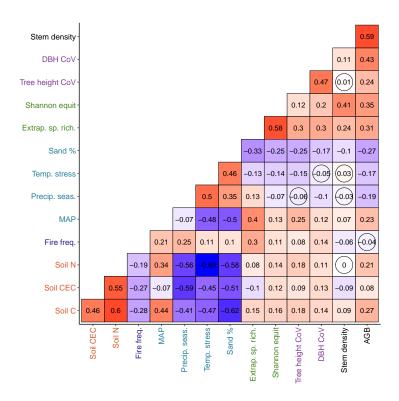


Figure 3: Correlation matrix of standardised observed variables used in the SEMs, with Pearson correlation coefficients (r) coloured according to sign (+ve red, -ve blue) and shaded by strength of correlation. Correlation coefficients marked by a circle indicate that the 95% confidence interval of r overlapped zero. Colours of variable names group them into latent variables used in the SEMs: red = soil fertility, blue = disturbance, turquoise = water availability, green = tree species diversity, purple = tree structural diversity. See Table S1 for a full assessment of correlation fit statistics.

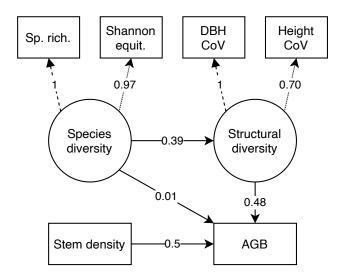


Figure 4: Path diagram with regression coefficients for the tree diversity SEM, including plots from all vegetation clusters. Latent variables are shown as circles while observed variables are shown as rectangles. Standardised path coefficients are shown as solid arrows pointing from predictor to response with the effect size of the path coefficient expressed in terms of standard deviations on the latent variable response scale. The observed variables that inform the latent variables are connected by dotted arrows, and observed variables with loadings set to one are connected by dashed arrows. Measurement errors of exogenous variables are omitted for clarity.

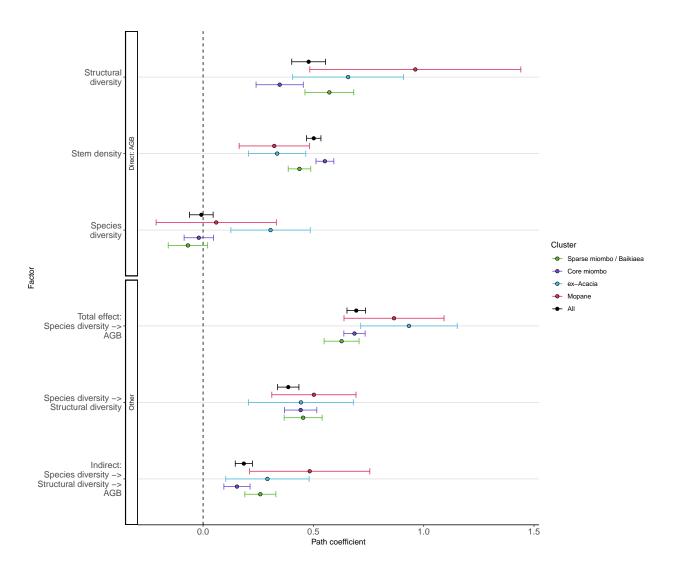


Figure 5: Unstandardised path coefficients for the effects of tree diversity on AGB, mediated by the effect of stand structural diversity. Path coefficients are  $\pm 1$  standard error. Path coefficients where the interval (standard error) does not overlap zero are considered to be significant effects.

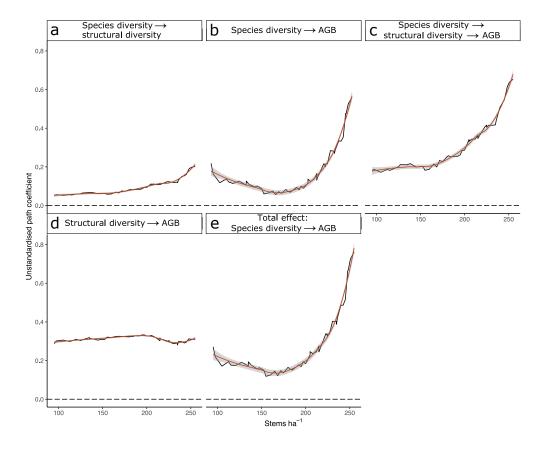


Figure 6: Line plots showing the variation in SEM path coefficients across datasets with different mean stem density. Smoothed lines are loss curves with  $\pm 1$  standard error shaded bars. AGB = Above-Ground woody Biomass, arrows in plot titles indicate causal paths in SEM models. Where multiple arrows are present, as in c), this indicates an indirect pathway via an intermediate variable.

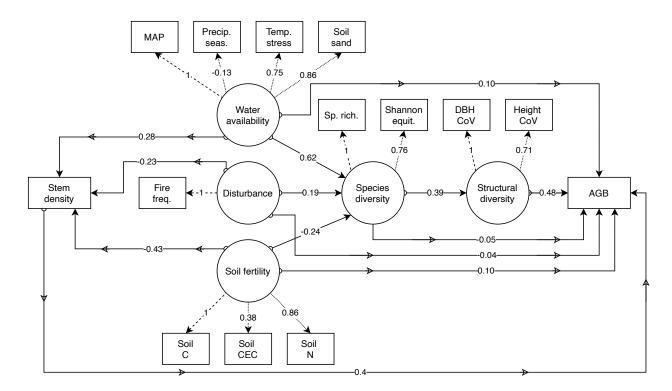


Figure 7: Path diagram with regression coefficients for the SEM incorporating environmental covariates and tree species and structural diversity across all five vegetation types. Latent variables are shown as circles while observed variables are shown as rectangles. Standardised path coefficients are shown as solid arrows pointing from predictor to response, with the effect size of the path coefficient expressed in terms of standard deviations on the latent variable response scale. Observed variables that inform the latent variables are connected by dotted arrows, observed variables with loading set to one are connected by dashed arrows. Measurement errors of exogenous variables are omitted for clarity.

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### <sup>549</sup> 9 Author contribution

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JG and KD conceived the study. JG conducted data analysis, data management for further versions of the SEOSAW dataset, and wrote the manuscript. CR conceived the SEOSAW database and conducted data management for earlier versions of the SEOSAW dataset. JG, CR, DB, JMBC, MF, RH, EM, SS, HT, HT, MB, MW, and KD contributed to manuscript revisions. JG, CR, SB, VC, JPGMC, DD, MF, FG, SM, IM, AM, RR, NR, AS, SS, JT, JW, MB, and MW contributed to experimental design, field data collection, data preparation and data management of parts of the dataset used in this study.
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# 557 10 Data Availability

The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available at the time of submission due to privacy restrictions regarding plot locations. An anonymised version will be made available in a data repository following review.

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# **Supporting Information**

Additional supporting information may be found in the online version of this article.

Figure S1 Histograms of raw untransformed observed variables used in final analyses.

Figure S2 Histograms of observed variables transformed to achieve a normal frequency distribution.

**Figure S3** Bivariate scatter plots for each observed variable used in SEMs, based on hypothesised paths of causality.

Figure S4 Unstandardised path coefficients for full SEM model.

**Table S1** Table of correlation fit statistics for each pairwise Pearson correlation test of observed variables used in Structural Equation Models.

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