

PETER JAMES CAUFIELD

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EXPERIENCE

Nomura Securities International Inc.

New York, NY

Associate – High Yield and Distressed Credit Sales

May 2013-May 2017

- Institutional sales - covering domestic mutual funds, investment managers, and hedge funds with AUM ranging from \$200mm to \$10bn+
- Specialized in high yield debt, leveraged loans, trade claims, reorg equities, with additional experience in investment grade credit and emerging market credit
- Grew and established institutional account coverage from 20 to 50+ clients
- Partnered with multiple senior salespeople and provided account coverage and support to increase connectivity and collaboration across the desks

Nomura Securities International Inc.

New York, NY

Global Markets Summer Analyst

Summer 2012

- Selected to participate in a 10 week analyst program rotating through the credit, equity, and rates desks
- Generated and presented weekly trade ideas to investment professionals in research, sales, and trading

Harris Associates L.P.

Chicago, IL

Externship

Summer 2011

- Short term fellowship at Harris Associates, a boutique mutual fund managing over \$60bn
- Supported senior traders in trade execution and research in domestic mid and large cap firms

Blue Birds Plowing Co.

Bergen County, NJ

Founder and Manager

2008-2013

- Launched a plow route business in Bergen County using direct marketing campaign
- Within two years of operation, grew the business 300 contracts
- Managed multiple employees, coordinated schedules and routes while maintaining client relationships

EDUCATION

Johns Hopkins University

Baltimore, MD

B.A. Economics; Deans List

Class of 2013

Bergen Catholic High School

Oradell, NJ

AP & Honors Student

Class of 2009

ACTIVITIES

Johns Hopkins University Athletics

Baltimore, MD

Varsity Football – Strong Safety

Summer 2009-Winter 2012

- Participated in 20+ hours per week of intense training while developing strong leadership and teamwork skills under 27 yr. head coach Jim Margraff
- First team to win 4 consecutive Centennial Conference Championships (2009-2012)
- NCAA D-III Playoff appearances in '09, '11, and '12 and finished ranked nationally among the top 15 teams

LICENSES & INTERESTS

Licenses: Series 7 and Series 63

Interests: Photography, Traveling, Art History, Bagpipes, Surfing, and New York Giants Football