



# XSLT 4.0



# Main Features

- Syntax additions to make code 'terser'
- Enhancements to the type system to allow more expressive constraints, especially for maps and atomic values.
- Type-based pattern matching
- Additional functionality for processing arrays
- Streaming split from the main specification



XSLT 4.0

# Syntax additions – increasing XSLT code density

```
<xsl:choose>
  <xsl:when test="$n lt $low">
    <xsl:sequence select=".//below"/>
  </xsl:when>
  <xsl:when test="$n gt $high">
    <xsl:sequence select=".//above"/>
  </xsl:when>
  <xsl:otherwise>
    <xsl:sequence select=".//within"/>
  </xsl:otherwise>
</xsl:choose>
```

XSLT 3.0 code

Terser XSLT 4.0 equivalent

These slide examples will be simple,  
possibly nonsensical or easier in  
direct XPath, but illustrative

```
<xsl:choose>
  <xsl:when test="$n lt $low" select=".//below"/>
  <xsl:when test="$n gt $high" select=".//above"/>
  <xsl:otherwise select=".//within"/>
</xsl:choose>
```



# XSLT 4.0

# XSLT workbench – i

# SaxonJS only compiles/runs XSLT 3 and XPath 3.1

### **Solution:**

Convert XSLT 4 to ~equivalent XSLT 3 for a number of new constructs, and run via **fn:transform()**

# Only XSLT syntax is converted

- XPath expressions are **not** converted – XPath 4.0 syntax additions and new/modified functions not supported
  - Some ~equivalent functions are provided in **f:** **ar:** and **ma:** namespaces

**QT4 XSLT Examples**

- Help
- About

Use `xsl:array` with `@for-each` to generate lists of duplicate words for each para, e.g.

```
<output>
  <duplicates para="1">and by it The to of for</duplicates>
  <duplicates para="2">are and the by transforming JSON</duplicates>
</output>
```

You may find the 'parallel' functions to new ones in F&O: `f:duplicate-values()` and `ar:members()` helpful.

**Code** Choose file No file chosen Problem: array with for-each 2 Run Just convert 4 to 3

```
<?xml version="1.0" encoding="UTF-8"?>
<xsl:stylesheet xmlns:xsl="http://www.w3.org/1999/XSL/Transform" fixed-namespaces="#default"
  xmlns:f="http://functions" xmlns:ar="http://arrays" exclude-result-prefixes="all" expand-text="true"
  version="4.0">

  <xsl:template match="/">
    <xsl:variable name="dups" test="array//array">
      <xsl:for-each select="/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/*/* XML */
```

**XSLT 4 CODE**

**INPUT**

```
<?xml version="1.0" encoding="UTF-8"?>
<oxygen RNGSchema="docbook.rng" type="xml"?>
<article xmlns:original="http://docbook.org/ns/docbook"
  xmlns:xlink="http://www.w3.org/1999/xlink" version="5.0"
  xml:lang="en">
  <info>
    <title>Transforming JSON using XSLT 3.0</title>
    <authorgroup>
      <author>
        <personname>
          <firstname>Michael</firstname>
          <surname>Kay</surname>
        </personname>
      </author>
    </authorgroup>
  </info>
</article>
```

**Result**  Indent with a single space per level  Wrap long lines

```
<output>
  <duplicates para="1">and by it The to of for</duplicates>
  <duplicates para="2">are and the by transforming JSON</duplicates>
  <duplicates para="3">the of that</duplicates>
  <duplicates para="4">JSON a to the that XML is</duplicates>
  <duplicates para="5">I the that is to be</duplicates>
  <duplicates para="6">is for</duplicates>
  <duplicates para="7">JSON languages is manipulating</duplicates>
  <duplicates para="8">for JSON is to</duplicates>
  <duplicates para="11">capabilities</duplicates>
  <duplicates para="12">and join-to-xml() XML</duplicates>
</output>
```

**RESULT**

**Stylesheet3**

```
<xsl:stylesheet xmlns:ar="http://www.w3.org/2005/xpath-functions/array"
  xmlns:err="http://www.w3.org/2005/xpath-errors"
  xmlns:f="http://functions"
  xmlns:map="http://www.w3.org/2005/xpath-functions/map"
  xmlns:math="http://www.w3.org/2005/xpath-functions/math"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
  xmlns:xsl="http://www.w3.org/2001/XMLSchema-instance"
  xmlns:xsts="http://www.w3.org/1999/XSL/Transform"
  exclude-result-prefixes="all" expand-text="true"
  version="4.0">
  <!-- DO NOT EDIT --
  generated from
  http://localhost/mine/DA2025/QT4XSLT.3.setf.json
  at 2023-10-26T11:24:18.962Z by
  2.6--><-evNT:
  --><!-- Transform:FourToThree-mode--><xsl:import
  href="..//DA2025/QT4LibF0.3.xls1"/>
<xsl:template match="/">
```

**XSLT 3 CODE**



XSLT 4.0

# XSLT workbench – ii

## Syntax limitations

map{'a':1, 'b':2}

**map** keyword required  
in 3, optional in 4

{'a':1, 'b':2}

function(\$x) {\$x}

inline function keyword  
must be **function** in 3,  
can be **fn** in 4

fn(\$x) {\$x}

if(a) then b  
else ()

**if/then/else** must be  
complete in 3,  
can use **{exp}** in 4

if(a) {b}



XSLT 4.0

# XSLT workbench – iii

## ~Equivalent functions

```
fn:for-each($input as item()*,  
            $action as fn(item(), xs:integer) as item()*)  
    ) as item()*
```

Sequence position  
not supported in  
XPath 3.1

```
<!--QT4FOLib - imported into the XSLT3 stylesheet-->  
<xsl:function name="f:for-each" as="item()*"/>  
  <xsl:param name="input" as="item()*"/>  
  <xsl:param name="fn" as="function(*)"/>  
  <xsl:sequence select="for-each-pair(  
    $input,  
    1 to count($input),  
    $fn)"/>  
</xsl:function>
```

```
<xsl:sequence select="f:for-each(  
    ('a', 'b', 'c'),  
    function($x, $pos) {$x || $pos}  
)"/>
```

→ 'a1', 'b2', 'c3'

Hints will be provided when such functions could be helpful;  
namespace prefixes are already bound in the stylesheet

You may find the  
~equivalent functions  
[f:duplicate-values\(\)](#) and  
[ar:members\(\)](#) helpful.



# Development/documentation aid

```
<xsl:stylesheet xmlns:xsl="http://www.w3.org/1999/XSL/Transform"  
    main-module="toplevel-uri.xsl" ...>  
  
    <xsl:note role="description">  
        <p>This is a note <b>purely</b> for purposes outside XSLT. <br/>  
            Think of it as a comment that can contain structured stuff.</p>  
    </xsl:note>  
    <xsl:function name="t:temp">  
        <xsl:note class="simple">It can appear anywhere as a child element  
            and can have any attributes ...  
        </xsl:note>  
        <xsl:param name="a">  
            <xsl:note continuation-of="previous::xsl:note[1]">  
                ... and is totally ignored by XSLT for any  
                syntactic or semantic purposes.</xsl:note>  
        </xsl:param>  
        ...  
    </xsl:function>  
</xsl:stylesheet>
```



XSLT 4.0

# Selection i @select vs xsl:sequence[@select]

```
<xsl:choose>
  <xsl:when test="$n lt $low">
    <xsl:sequence select=".//below"/>
  </xsl:when>
  <xsl:when test="$n gt $high">
    <xsl:sequence select=".//above"/>
  </xsl:when>
  <xsl:otherwise>
    <xsl:sequence select=".//within"/>
  </xsl:otherwise>
</xsl:choose>
```

Similarly for:  
xsl:map |  
xsl:result-document |  
xsl:matching-substring |  
xsl:non-matching-substring

```
<xsl:choose>
  <xsl:when test="$n lt $low" select=".//below"/>
  <xsl:when test="$n gt $high" select=".//above"/>
  <xsl:otherwise select=".//within"/>
</xsl:choose>
```



XSLT 4.0

# Selection ii

## preserving formatted XPath expressions

```
<xsl:function name="f:book-to-json" as="map(*)">
  <xsl:param name="book" as="element(book)">
    <xsl:sequence select="
      { 'title' : string($book/title),
        'author' : array { $book/author ! string() },
        'date' : let $d := $book/publication return
          if(current-date() lt xs:date($d))
            then $d else 'Don't release'
      }"/>
  </xsl:function>
```

Attribute value  
normalization



```
<xsl:function name="f:book-to-json" as="map(*)">
  <xsl:param name="book" as="element(book)">
    <xsl:sequence select="{ 'title' : string($book/title),
      'author' : array { $book/author ! string() }, 'date' : let
      $d := $book/publication return if(current-date() lt
      xs:date($d)) then $d else 'Don't release' }"/>
  </xsl:function>
```



# Selection iii

## preserving formatted XPath expressions

```
<xsl:function name="f:book-to-json" as="map(*)">
  <xsl:param name="book" as="element(book)">
    <xsl:select>
      { 'title' : string($book/title),
        'author' : array { $book/author ! string() },
        'date' : let $d := $book/publication return
                  if(current-date() lt xs:date($d))
                    then $d else "Don't release"
      }
    </xsl:select>
  </xsl:function>
```



XSLT 4.0

# If @then @else

```
<xsl:choose>
  <xsl:when test="$n ge 0">
    <xsl:sequence select="1"/>
  </xsl:when>
  <xsl:otherwise>
    <xsl:sequence select="-1"/>
  </xsl:otherwise>
</xsl:choose>
```

```
<xsl:choose>
  <xsl:when test="$n ge $low and $n le $high">
    <xsl:apply-templates/>
  </xsl:when>
  <xsl:otherwise>
    <xsl:sequence select="
      error(), 'Outside limits')"/>
  </xsl:otherwise>
</xsl:choose>
```

```
<xsl:if test="$n ge 0"
  then="1" else "-1"/>
```

```
<xsl:if test="$n ge $low and $n le $high"
  else="error(), 'Outside limits')">
  <xsl:apply-templates/>
</xsl:if>
```



XSLT 4.0

```
<xsl:variable name="n" select="a"/>
<xsl:choose>
  <xsl:when test="$n = (1, 2, 3, 4)">
    <xsl:sequence select="..../small"/>
  </xsl:when>
  <xsl:when test="$n = (5 to 20)">
    <xsl:sequence select="..../medium"/>
  </xsl:when>
  <xsl:otherwise>
    <xsl:sequence select="..../large"/>
  </xsl:otherwise>
</xsl:choose>
```

# Switch

```
<xsl:switch select="$n">
  <xsl:when test="1, 2, 3, 4" select="..../small"/>
  <xsl:when test="5 to 20" select="..../medium"/>
  <xsl:otherwise select="..../large"/>
</xsl:switch>
```



XSLT 4.0

# Enclosing Mode

```
<xsl:mode name="M1" on-no-match="shallow-copy"/>  
  
<xsl:template mode="M1" as="element()*" match="p"/>
```

*Lots of other stuff which makes finding all relevant matches difficult*

```
<xsl:template mode="M1" as="element()*" match="/">  
  <xsl:apply-templates mode="#current"/>  
</xsl:template>
```

**Example  
Mode**

enclosing modes

**mode=M1**  
for matching  
& *default mode*  
for application

```
<xsl:mode name="M1" as="element()*"  
          on-no-match="shallow-copy">  
  <xsl:template match="p"/>  
  ...  
  <xsl:template match="/">  
    <xsl:apply-templates/>  
  </xsl:template>  
</xsl:mode>
```

```
<xsl:template match="*" mode="M1"/>
```

templates in this mode **must not**  
appear elsewhere in the package

```
<xsl:template match="*" mode="#all"/>
```

**only** templates **inside the mode** are  
applicable – even **#all** doesn't apply



# Optional function parameters - i

```
<xsl:function name="f:inRange">
  <xsl:param name="n"/>
  <xsl:sequence select="f:inRange($n, 0)"/>
</xsl:function>
<xsl:function name="f:inRange">
  <xsl:param name="n"/>
  <xsl:param name="low"/>
  <xsl:variable name="high">
    <xsl:call-template name="findNumberLimit"/>
  </xsl:variable>
  <xsl:sequence select="f:inRange($n,$low,$high)"/>
</xsl:function>
<xsl:function name="f:inRange">
  <xsl:param name="n"/>
  <xsl:param name="low"/>
  <xsl:param name="high"/>
  <!-- Stuff -->
</xsl:function>
```



# Optional function parameters - ii

```
<xsl:function name="f:inRange">
  <xsl:param name="n" as="element()"/>
  <xsl:param name="low" required="no" select="0"/>
  <xsl:param name="high" required="no">
    <xsl:call-template name="findNumberLimit"/>
  </xsl:param>
  <!-- Stuff -->
</xsl:function>
```



# Named Item Types

```
<xsl:param name="upscale" as="map(xs:string,function(xs:double) as xs:double)" />
<xsl:param name="downscale" as="map(xs:string,function(xs:double) as xs:double)" />
<xsl:variable name="converters" as="map(xs:string,function(xs:double) as xs:double)" select="map{
  'double': function ($x) {$x + $x},
  'quadruple': function ($x) {4 * $x}
}""/>
```

```
<xsl:item-type name="f:converters"
  as="map(xs:string,function(xs:double) as xs:double)" />

<xsl:param name="upscale" as="f:converters" />
<xsl:param name="downscale" as="f:converters" />
<xsl:variable name="converters" as="f:converters" select="map {
  'double': function ($x) {$x + $x},
  'quadruple': function ($x) {4 * $x}
}"/>
```



# Record Types - i

```
<xsl:record-type name="f:complex" constructor="true">
  <xsl:field name="r" as="xs:double"/>
  <xsl:field name="i" as="xs:double" required="no" default="0"/>
</xsl:record-type>
```

Constructor function

```
<xsl:variable name="i" as="f:complex" select="f:complex(0, 1)"/>
<xsl:variable name="oneReal" as="f:complex" select="f:complex(1)"/>
```

\$oneReal, \$i → {'r': 0, 'i': 1}, {'r': 1, 'i': 0}



XSLT 4.0

# Record Types - ii

```
<xsl:record-type name="f:complex" constructor="true">
  <xsl:field name="r" as="xs:double"/>
  <xsl:field name="i" as="xs:double" required="no" default="0"/>
</xsl:record-type>
```

Constructor instruction

```
<xsl:record r="$end?x - $start?x" i="$end?y - $start?y"/>
```

xs:NCName of key



{'r': 15, 'i': 12}

Expression evaluated  
in current context

```
<xsl:record xsl:as="f:complex" r="$end?x - $start?x" i="$end?y - $start?y"
             xsl:use-when="$use-records"/>
```

This is an exception – standard attributes **must** be in XSLT namespace



# Type-based pattern matching

```
<xsl:template match=".  
  [. instance of array(xs:date)] |  
  [. instance of map(xs:string, *)]">
```

```
<xsl:template match="type(array(xs:date)) |  
  type(map(xs:string, *))">
```

```
<xsl:template match="array(xs:date) |  
  map(xs:string, *)">
```

```
record(first, last, *)[?location = 'UK']
```

matches a map with keys including **"first"** and **"last"**, and an entry **{"location": "UK"}**

```
array(record(first, last, *)[array:size(.) gt 0])
```

matches a non-empty array where every entry is a map with keys including **"first"** and **"last"**

```
jnode(books, array(record(Author, Title, *)))
```

matches any **JNode** of a map entry whose key is **"books"** and whose content is an array of records, each record having entries keyed **"Author"** and **"Title"** (with other entries also allowed)



XSLT 4.0

# Extension Instructions to call templates

```
<xsl:template name="f:report">
  <xsl:param name="code" as="xs:integer" required="yes"/>
  <xsl:param name="message" as="xs:string?"/>
  <report code="{$code}">{($message, 'Emergency')[1]}</report>
</xsl:template>
```

```
<xsl:call-template name="f:report">
  <xsl:with-param name="code" select="123"/>
  <xsl:with-param name="message" select="'All normal'"/>
</xsl:call-template>
```

```
... extension-element-prefixes="f"...
<xsl:template name="f:report"> ... </xsl:template/>
<f:report code="123" message="All normal"/>
<f:report code="999"/>
```

**Example  
Callable  
extension call**



# Fixed namespaces

```
<xsl:stylesheet
  xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
  xmlns:array="http://www.w3.org/2005/xpath-functions/array"
  xmlns:err="http://www.w3.org/2005/xqt-errors"
  xmlns:fn="http://www.w3.org/2005/xpath-functions"
  xmlns:map="http://www.w3.org/2005/xpath-functions/map"
  xmlns:math="http://www.w3.org/2005/xpath-functions/math"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  version="3.0">
  <!-- Stuff -->
</xsl:stylesheet>
```

```
<xsl:stylesheet
  xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
  fixed-namespaces="#default"
  version="4.0"
  <!-- Stuff -->
</xsl:stylesheet>
```



XSLT 4.0

```
<xsl:apply-templates select="AUTHOR">
  <xsl:sort select="LAST-NAME"/>
  <xsl:sort select="FIRST-NAME"/>
</xsl:apply-templates>

<xsl:template match="AUTHOR">
  <!-- Stuff -->
  <xsl:if test="position() lt last()">
    <xsl:text>, </xsl:text>
  </xsl:if>
</xsl:for-each>
```

```
<xsl:for-each select="AUTHOR">
  <xsl:sort select="LAST-NAME"/>
  <xsl:sort select="FIRST-NAME"/>
  <xsl:apply-templates select=". />
  <xsl:if test="position() lt last()">
    <xsl:text>, </xsl:text>
  </xsl:if>
</xsl:for-each>
```

→ Michael Kay, John Lumley

```
<xsl:apply-templates select="AUTHOR" separator="," ">
  <xsl:sort select="LAST-NAME"/>
  <xsl:sort select="FIRST-NAME"/>
</xsl:apply-templates>
```

Similarly for:  
xsl:for-each



# Grouping – split-when

Next item      Group so far

```
<xsl:for-each-group select="1 to 4, 5, 7 to 9, 10 to 12, 14, 15, 20 to 22"
    split-when="$next ne $group[last()] + 1">
    <a g="{current-group()}" />
</xsl:for-each-group>
```

→

```
<b g="1 2 3 4 5"/>
<b g="7 8 9 10 11 12"/>
<b g="14 15"/>
<b g="20 21 22"/>
```

**Example**  
**Grouping**  
split-when: line-wrap



XSLT 4.0

```
<xsl:variable name="powers" select="let $parts := (1 to 4)!  
                                map{'value':(., . * ., . * . * .)}  
return  
array:for-each(array{$parts},  
                function($a){$a?value})"/>
```

[ (1,1,1),  
(2,4,8),  
(3,9,27),  
(4,16,64) ]

```
<xsl:variable name="powers" as="array(*)">  
  <xsl:array for-each="1 to 4" select=". * ." />  
</xsl:variable>  
  
<powers>{array:for-each($powers, string-join(?, '-'))}</powers>
```

→ <powers>1-1-1 2-4-8 3-9-27 4-16-64</powers>

Caution – proposed but not yet fully discussed/agreed

Example  
Array

array/@for-each  
duplicate words