

# HYPERGRAPHS WITH HIGH PROJECTIVE DIMENSION AND 1-DIMENSIONAL HYPERGRAPHS

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ABSTRACT. (Dual) hypergraphs have been used by Kimura, Rinaldo and Terai to characterize squarefree monomial ideals  $J$  with  $\text{pd}(R/J) \leq \mu(J) - 1$ , i.e. whose projective dimension equals the minimal number of generators of  $J$  minus 1. In this paper we prove sufficient and necessary combinatorial conditions for  $\text{pd}(R/J) \leq \mu(J) - 2$ . The second main result is an effective explicit procedure to compute the projective dimension of a large class of 1-dimensional hypergraphs  $\mathcal{H}$  (the ones in which every connected component contains at most one cycle). An algorithm to compute the projective dimension is also provided. Applications of these results are given; they include, for instance, computing the projective dimension of monomial ideals whose associated hypergraph has a spanning Ferrers graph.

## 1. INTRODUCTION

Let  $k$  be a field,  $R = k[x_1, \dots, x_n]$  a polynomial ring with indeterminates  $x_1, \dots, x_n$  and let  $I$  be a homogeneous ideal of  $R$ . Over the last decades there has been great interest in determining (or bounding) two fundamental invariants of  $I$ , the projective dimension  $\text{pd}(R/I)$  and the Castelnuovo-Mumford regularity  $\text{reg}(I)$ . These two invariants play an important role in algebraic geometry, commutative algebra and combinatorial algebra. To compute these two invariants, it is natural to determine the minimal graded free resolution of  $I$  which, however, is often difficult and computationally expensive to find. A slightly different approach consists in finding upper bounds for these two invariants for  $I$ , by computing the projective dimension and the regularity of one of its initial ideals  $I' = \text{in}_\tau(I)$ . The projective dimension and the regularity of a monomial ideal are preserved by polarization, thus it is sufficient to consider square-free monomial ideals  $J$ . In general, computing the regularity  $\text{reg}(J)$  can be hard and computationally very expensive; for square-free monomial ideals, however, one can take advantage of the equality  $\text{reg}(J) = \text{pd}(R/J^\vee)$ , where  $J^\vee$  is the Alexander dual of  $J$ , and reduce the problem to computing the projective dimension of a square-free monomial ideal, which is then an active area of research.

In general, given a square-free monomial ideal  $J$ , several combinatorial structures can be associated to it (e.g. simplicial complexes, graphs, hypergraphs or dual hypergraphs). They have been consistently used to establish combinatorial characterizations for the projective dimension or regularity of  $J$  under additional assumptions, see – among the many papers on the subject – [2],[3],[4],[5],[6],[9],[11],[16],[19],[20]. Our present paper fits in this general theme. We employ the combinatorial structures of “dual hypergraphs” in the sense of [1] (which we call for simplicity hypergraphs) to determine  $\text{pd}(R/J)$  for classes of monomial ideals  $J$  whose associated (dual) hypergraphs satisfy certain combinatorial assumptions. In fact, the projective dimension is a combinatorial invariant of a (dual) hypergraph, in the sense that if  $I$  and  $J$  are two squarefree monomial ideals associated to the same hypergraph  $\mathcal{H}$ , then  $\text{pd}(R/I) = \text{pd}(R/J)$  (e.g. by [17,

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Corollary 2.4]). Furthermore, the LCM-lattice of a monomial ideal can be constructed via the complement of the (dual) hypergraph of the ideal [7],[18]. Another advantage of this structure is the ability of studying classes of ideals whose generators potentially have any degrees. For instance, the ideal associated to the hypergraph in Figure 11 has minimal generators of degrees 2,3,4,6 and 7. In fact, monomial ideals associated to the 1-dimensional hypergraphs studied in this paper may have generators in arbitrarily high degrees, and the generators may be distributed on arbitrarily many degrees; this is in stark contrast with the edge ideals of graphs, all whose generators have degree at most 2. We recall that the association of a (dual) hypergraph to a monomial ideal  $J$  was first introduced by Kimura, Terai and Yoshida, who employed it to compute the arithmetical rank of certain square-free monomial ideals [13]. In the last few years, various work has been done to determine invariants or detect properties of  $J$  using this combinatorial association, see for example [10],[15],[12], [14],[17],[19].

Our paper is motivated by (and can be seen as the continuation of) work of Kimura, Rinaldo and Terai, who found necessary and sufficient combinatorial conditions to have  $\text{pd}(R/J) = \mu(J) - 1$ , i.e. projective dimension equal to its minimal number of generators of  $J$  minus 1. In fact, it is well-known that  $\text{pd}(R/J) \leq \mu(J)$  and equality holds if and only if all vertices of an associated hypergraph are closed (e.g. [19, Proposition 4.1]) – see 2.1 for the definition of closed vertex. So Kimura, Rinaldo and Terai addressed the case of sub-maximal projective dimension, and the characterization involves heavily the 1-dimensional sub-hypergraph structure (see Theorem 3.2), suggesting that the lower dimensional structure of the hypergraph plays an important role to compute or bound the projective dimension. Our first main result strengthens this intuition: it provides a sufficient condition for  $\text{pd}(R/J) = \mu(J) - 2$ , and a necessary condition under the assumption that the 1-dimensional sub-hypergraph associated to  $J$  is a bipartite graph (Theorem 3.4). The conditions depend on the 1-dimensional sub-hypergraph structure and are similar to the ones in Theorem 3.2. As an application, we compute the projective dimension of any  $J$  whose 1-dimensional sub-hypergraph has a spanning Ferrers graph (Corollary 3.10).

Since the lower dimensional sub-hypergraph impacts heavily the size of the projective dimension, we then shift our interest towards computing the precise projective dimension of 1-dimensional hypergraphs, and devote the second part of the paper to providing tools in this direction. In one of our main result, Theorem 4.10, we determine the precise projective dimension of  $\text{pd}(R/J)$  for a fairly large class of 1-dimensional hypergraphs  $\mathcal{H}(J)$ , i.e. disjoint union of trees or graphs containing at most one cycle. In the special case of a disjoint union of trees, also results of Morey and Villarreal, and Faridi apply, see [20] and [6] (because our tree hypergraphs are simplicial trees and then  $J$  is, in these cases, sequentially Cohen-Macaulay); their results state that  $\text{pd}(R/J)$  equals the *big height* of  $J$ , i.e. the largest height of an associated prime of  $J$ . In this scenario our combinatorial result provides an alternative way to the above-mentioned algebraic formula for  $\text{pd}(R/J)$ . When an explicit irredundant primary decomposition of  $J$  is given, the big height of  $J$  is easily computed; on the other hand, when the combinatorial structure is given, our formula usually provides a faster way to compute  $\text{pd}(R/J)$ , especially useful when  $J$  involves a large number of variables and the degree of the monomial generators are mixed (because the big height is computed as the maximum of all possible vertex covers of the corresponding simplicial structure).

The key idea for this result is to develop a process for breaking a “large” ideal into “smaller” ideals having disjoint combinatorial structures, thus reducing the computational cost of finding  $\text{pd}(R/J)$  (Propositions 4.7 and 4.9). Using Theorem 4.10 one can compute the projective dimension of fairly complicated monomial ideals, (see, for instance, Example 4.12). Another application of Theorem 4.10 is a combinatorial formula for  $\text{pd}(R/J)$  when the associated combinatorial structure can be described in terms of “small” stars; in these cases the formula has a flavor similar to the main result of [17] (Propositions 4.18 and 4.20).

The paper is organized as follows: in Section 2 we set the ground work for the paper, establish notations, review properties and prove a few additional tools employed in the later sections. Section 3 is developed around the first main theorem, featuring the necessary and the sufficient conditions to have  $\text{pd}(R/J) = \mu(J) - 2$ ; it also contains an application to hypergraphs with a spanning Ferrers graph. In Section 4 we introduce an argument which essentially allows us to replace a large 1-dimensional hypergraph with the disjoint union of smaller hypergraphs; we employ it to prove Theorem 4.10 and provide a few applications. In Section 5 we have included an algorithm to compute the projective dimension of the connected hypergraphs to which one can apply Theorem 4.10.

## 2. BACKGROUND AND A FEW LEMMAS

We recall that the definition of hypergraph on the vertex set  $V = [\mu] = \{1, 2, \dots, \mu\}$  as it appears in [1].

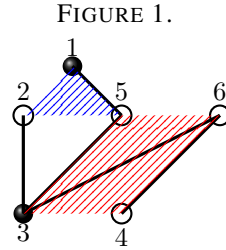
**Definition 2.1.** A hypergraph on  $V = [\mu]$  is a subset  $\mathcal{H}$  of the power set  $\mathcal{P}(V)$  such that  $\bigcup_{F \in \mathcal{H}} F = V$ . We say  $\mathcal{H}$  is separated if, moreover, for every  $1 \leq j_1 < j_2 \leq \mu$ , there exist faces  $F_1, F_2 \in \mathcal{H}$  with  $j_1 \in F_1 \setminus F_2$  and  $j_2 \in F_2 \setminus F_1$ .

A vertex  $i \in V$  is called a closed vertex in  $\mathcal{H}$  if  $\{i\} \in \mathcal{H}$ , otherwise  $i$  is an open vertex.

**Example 2.2.** Let  $V = [9]$ , let  $\mathcal{H}$  be the separated hypergraph

$$\mathcal{H} = \{\{1\}, \{1, 5\}, \{1, 2, 5\}, \{2, 3\}, \{3\}, \{3, 5\}, \{3, 6\}, \{3, 4, 5, 6\}, \{4, 6\}\}$$

represented in Figure 1. The vertices 1 and 3 are closed, while all other vertices are open.



Let  $R = k[x_1, \dots, x_n]$  be a polynomial ring over a field  $k$ . If  $I$  is a square-free monomial ideal in  $R$ , then one can associate to it a separated hypergraph  $\mathcal{H}(I)$  as follows. Following a standard notation in the literature, we let  $G(I) = \{m_1, \dots, m_\mu\}$  denote the minimal monomial generating set for  $I$ . A separated

hypergraph  $\mathcal{H}(I)$  associated to  $I$  is defined as

$$\mathcal{H}(I) := \{\{j \in V : x_i \mid m_j\} : i = 1, 2, \dots, n\},$$

and it is unique up to reordering of the monomial generators and relabelling of the variables, thus we call it *the hypergraph associated to  $I$* . The hypergraph  $\mathcal{H}(I)$  should not be confused with the hypergraph constructed from  $I$  by setting as vertices the variables of the polynomial ring, and having the faces correspond to the generators of the ideal.

Conversely, given a separated hypergraph  $\mathcal{H}$  with vertex set  $V = [\mu]$ , one can associate to it multiple monomial ideals, see for instance, [12] or [19]. In our proofs we will always associate to  $\mathcal{H}$  a (standard) square-free monomial  $I(\mathcal{H})$  minimally generated by monomials  $m_1, \dots, m_\mu$  with the additional property that for every face  $F$  in  $\mathcal{H}$ , there is a unique variable  $x_F$  such that  $x_F \mid m_l$  if and only if  $l$  is in  $F$ .

The following proposition proves that this can be done without loss of generality since any two square-free monomial ideals associated to the same separated hypergraph  $\mathcal{H}$  have the same Betti numbers and projective dimension:

**Proposition 2.3.** [cf. [17, Proposition 2.2 and Corollary 2.4]] *If  $I_1$  and  $I_2$  are square-free monomial ideals associated to the same separated hypergraph  $\mathcal{H}$ , then  $\beta_l(I_1) = \beta_l(I_2)$  for every  $l$ , in particular  $\text{pd}(I_1) = \text{pd}(I_2)$ .*

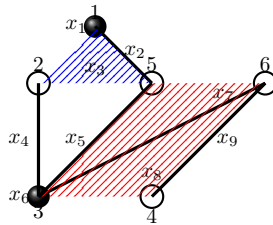
Thus, the following notion is well-defined.

**Definition 2.4.** *Let  $I$  be a square-free monomial ideal with minimal monomial generating set  $G(I) = \{m_1, \dots, m_\mu\}$ . We set  $\text{pd}(\mathcal{H})$  for  $\text{pd}(R/I)$ , where  $\mathcal{H} = \mathcal{H}(I)$  is the hypergraph associated to the square-free monomial ideal  $I$ , and call it the projective dimension of  $\mathcal{H}$ .*

**Example 2.5.** *Let  $\mathcal{H}$  be the hypergraph of Example 2.2. By associating a variable to each of the 9 faces of  $\mathcal{H}$  ( $x_1$  for  $\{1\}$ ,  $x_2$  for  $\{1, 5\}$ ,  $x_3$  for  $\{1, 2, 5\}$ , etc. as in Figure 2), and a monomial generator to each vertex we obtain a (standard) monomial ideal associated to  $\mathcal{H}$  (with 6 generators and 9 variables):*

$$(x_1x_2x_3, x_3x_4, x_4x_5x_6x_8, x_8x_9, x_2x_3x_5x_8, x_7x_8x_9)$$

FIGURE 2.



Following [10],[12],[13],[17],[19], in the rest of the paper we assume all hypergraphs are separated, unless otherwise stated. We now summarize a few combinatorial operations and their algebraic counterparts.

**Definition 2.6.** Let  $\mathcal{H}$  be a hypergraph,  $I = I(\mathcal{H}) \subseteq R$  be the (standard) square-free monomial ideal associated to it, write  $G(I) = \{m_1, \dots, m_\mu\}$ . Let  $F$  be a face in  $\mathcal{H}$  and  $x_F \in R$  be the variable associated to  $F$ ; also, let  $v$  be a vertex in  $\mathcal{H}$  and  $m_v \in I$  be the monomial generator associated to it. We define the following operations on  $\mathcal{H}$ .

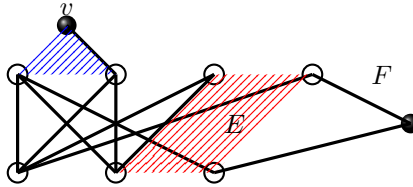
- (i) The hypergraph  $\mathcal{H}_v$  obtained by remotion of  $v$  from  $\mathcal{H}$  is defined as the hypergraph  $\mathcal{H}(I_v)$  associated to the monomial ideal  $I_v$  with  $G(I_v) = G(I) \setminus \{m_v\}$ . Iterating this operation, one writes  $\mathcal{H}_{v_1, \dots, v_r}$  for the hypergraph obtained by removing multiple vertices  $v_1, \dots, v_r$ ;
- (ii) the hypergraph  $\mathcal{H}_v : v = \mathcal{Q}_v$  is the hypergraph  $\mathcal{H}(I_v : m_v)$  where  $I_v$  and  $\mathcal{H}_v$  are as in (i);
- (iii) the hypergraph  $\mathcal{H} : F$  obtained by cancellation of  $F$  in  $\mathcal{H}$  is the hypergraph associated to  $I : x_F$ ;
- (iv) the hypergraph  $\mathcal{H}^F$  obtained from  $\mathcal{H}$  by cutting  $F = \{v_{i_1}, \dots, v_{i_r}\}$  is defined as follows: let  $m_{i_1}, \dots, m_{i_r} \in I(\mathcal{H}) \subseteq R = k[y, x_F]$  be the monomials corresponding to the vertices  $v_{i_1}, \dots, v_{i_r}$ ; now let  $x_{F_1}, \dots, x_{F_r}$  be new variables, and consider the monomial ideal  $I^F \subseteq R' = k[y, x_{F_1}, \dots, x_{F_r}]$  defined by

$$G(I^F) = G(I) \setminus \{m_{i_j} : j = 1, \dots, r\} \cup \left\{ \frac{x_{F_j}}{x_F} m_{i_j} : j = 1, \dots, r \right\}.$$

We define the hypergraph  $\mathcal{H}^F = \mathcal{H}(I^F)$ .

**Example 2.7.** In Figure 3 we fix the hypergraph  $\mathcal{H}$ , a vertex  $v$ , and faces  $F$  and  $E$ . The hypergraphs  $\mathcal{H}_v$ ,  $\mathcal{H}_v : v = \mathcal{Q}_v$ ,  $\mathcal{H} : F$ , and  $\mathcal{H}^E$  are represented in Figure 4.

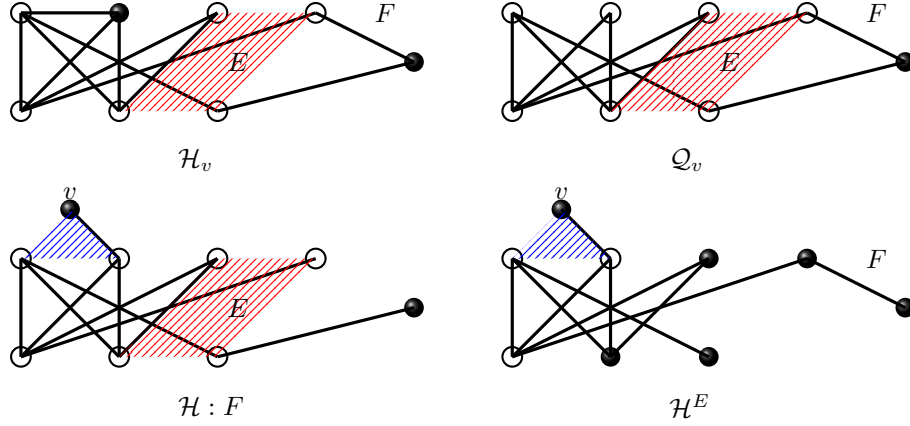
FIGURE 3.



**Discussion 2.8.** We now discuss and explain briefly the operations defined in Definition 2.6.

- (i) the “remotion” of  $v$  corresponds, in the realm of simplicial complexes, to taking the sub-simplicial complex obtained by removing the face associated to  $v$ . Here we call it remotion because from the point of view of (dual) hypergraphs it corresponds to removing  $v$  from  $\mathcal{H}$  and contracting the faces containing  $v$ .
- (ii) the operation of “cancelling”  $F$  corresponds, in the realm of simplicial complexes, to the operation of contraction of the vertex associated to  $x_F$ . Here we call it cancelling because from the hypergraph point of view it corresponds to cancelling  $F$  from  $\mathcal{H}$ .
- (iii)  $\mathcal{H}^F$  can be interpreted as the hypergraph obtained by cutting the face  $F$  into  $r$  parts (one for each vertex of  $F$ ) and retracting each of them back to the corresponding vertex  $v_{i_j}$ . This is different from the cancellation of  $F$  as long as one the vertices  $v_{i_j}$  is open in  $\mathcal{H}$ , because after the cutting  $v_{i_j}$  will become closed.

FIGURE 4.



In the following theorem we recall and collect a few results describing the behavior of the projective dimension under the operations defined in Definition 2.6. Part (e) is a well-known folklore fact that can be proved, for instance, by means of Taylor's resolution [21]. We recall that two vertices  $v \neq w$  in  $\mathcal{H}$  are *neighbors* if there is a face of  $\mathcal{H}$  containing both of them.

**Theorem 2.9.** *Let  $\mathcal{H}$  be a hypergraph.*

- (a) (cf. [17, Lemma 2.6]) *If  $\{v\} \in \mathcal{H}$ , then  $\text{pd}(\mathcal{H}) = \max\{\text{pd}(\mathcal{H}_v), \text{pd}(\mathcal{Q}_v) + 1\}$ .*
- (b) (cf. [17, Lemma 2.11]) *If  $\{v\} \in \mathcal{H}$  and all its neighbors are closed vertices, then  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}_v) + 1$ .*
- (c) (cf. [17, Lemma 2.8]) *If  $\mathcal{H}' \subseteq \mathcal{H}$  are hypergraphs with  $\mu(\mathcal{H}') = \mu(\mathcal{H})$ , then  $\text{pd}(\mathcal{H}') \leq \text{pd}(\mathcal{H})$ .*
- (d) (cf. [17, Proposition 2.10]) *Let  $\mathcal{H}', \mathcal{H}$  be hypergraphs with  $\mathcal{H} = \mathcal{H}' \cup F$  where  $F = \{i_1, \dots, i_r\}$ . If  $\{i_j\} \in \mathcal{H}'$  for all  $j$ , then  $\text{pd}(\mathcal{H}') = \text{pd}(\mathcal{H} : F) = \text{pd}(\mathcal{H})$ .*
- (e) (cf. [17, Lemma 2.14]) *If  $\{v\} \in \mathcal{H}$ , then  $\text{pd}(\mathcal{H}_v) \leq \text{pd}(\mathcal{H}) \leq \text{pd}(\mathcal{H}_v) + 1$ .*

Sometimes we will need to make an open vertex become closed, or *vice versa*, so we need to investigate how these operations affect the projective dimension.

**Lemma 2.10.** *Let  $\mathcal{H}$  be a hypergraph and let  $\mathcal{H}_{v^\circ}$  be the hypergraph obtained by making a closed vertex  $v$  in  $\mathcal{H}$  become open. Then  $\text{pd}(\mathcal{H}_{v^\circ}) \leq \text{pd}(\mathcal{H}) \leq \text{pd}(\mathcal{H}_{v^\circ}) + 1$ .*

**Proof.** The inequality on the left follows by Theorem 2.9.(c). Notice that  $\mathcal{H} = \mathcal{H}_{v^\circ} \cup \{v\}$  and  $\mathcal{H}_v = (\mathcal{H}_{v^\circ})_v$ . Then, by Theorem 2.9.(e), we have  $\text{pd}(\mathcal{H}) \leq \text{pd}(\mathcal{H}_v) + 1 = \text{pd}((\mathcal{H}_{v^\circ})_v) + 1$ . The desired inequality now follows because  $\text{pd}((\mathcal{H}_{v^\circ})_v) \leq \text{pd}(\mathcal{H}_{v^\circ})$ , by Theorem 2.9.(e).  $\square$

We note that the hypergraph  $\mathcal{H} : F$  obtained by cancelling  $F$  can also be obtained by localization.

**Lemma 2.11.** *Let  $F$  be a face of a hypergraph  $\mathcal{H}$ , let  $I = I(\mathcal{H}) \subseteq R$ , let  $\mathfrak{p}$  be the ideal of all variables in  $R$  except  $x_F$ , let  $\tilde{R} = R_{\mathfrak{p}}$ ,  $\tilde{I} = I\tilde{R}$ ,  $S = \text{gr}_{\tilde{R}}(\tilde{I})$ , and let  $I_1$  be the ideal of initial forms of  $\tilde{I}$  in  $S$ .*

*If  $\mathcal{H}_1 = \mathcal{H}(I_1)$ , then  $\mathcal{H} : F = \mathcal{H}_1$ . In particular  $\text{pd}(\mathcal{H} : F) \leq \text{pd}(\mathcal{H})$ .*

**Proof.** Let  $R = k[y_1, \dots, y_n, x_F]$ . Since  $I$  is square-free, we can write  $I = x_F J + K$  for some square-free monomial ideals  $J, K$  of the form  $J = J' T$  and  $K = K' T$  with  $T = k[y_1, \dots, y_n]$ . In particular,  $x_F$  is regular on  $R/K$ . Then, it is easily checked that the ideal associated to  $\mathcal{H} : F$  is

$$I : x_F = (x_F J + K) : x_F = J + K.$$

With the same notation as above, we have  $\tilde{I} = (J + K)\tilde{R}$ , therefore  $I_1 = (J + K)S$ , hence the hypergraph associated to  $I_1$  is the same as the hypergraph associated to  $J + K$ .

In particular, we obtain  $\text{pd}(R/I) \geq \text{pd}(R_{\mathfrak{p}}/I_{\mathfrak{p}}) = \text{pd}(S/I_1) = \text{pd}(R/I : x_F)$ .  $\square$

**Lemma 2.12.** *Let  $\mathcal{H}$  be a hypergraph and  $F$  be a face of  $\mathcal{H}$  then  $\text{pd}(\mathcal{H}) \leq \text{pd}(\mathcal{H}^F)$ .*

**Proof.** Let  $\mathcal{H}' = \mathcal{H}^F \cup F$  then  $\text{pd}(\mathcal{H}') = \text{pd}(\mathcal{H}^F)$  by the definition of  $\mathcal{H}^F$  and Theorem 2.9.(d). The conclusion now follows by Theorem 2.9.(c) since  $\mu(\mathcal{H}) = \mu(\mathcal{H}')$ , and  $\mathcal{H} \subseteq \mathcal{H}'$ .  $\square$

Also, we obtain a possibly useful criterion to compute  $\text{pd}(\mathcal{H})$  when one more piece of information is known.

**Corollary 2.13.** *Let  $\mathcal{H}$  be a hypergraph and let  $\mathcal{H}_{v^\circ}$  be the hypergraph obtained by making one closed vertex  $v$  become open. If  $\text{pd}(\mathcal{H}_{v^\circ}) \neq \text{pd}(\mathcal{H}_v)$ , then*

$$\text{pd}(\mathcal{H}) = \max\{\text{pd}(\mathcal{H}_{v^\circ}), \text{pd}(\mathcal{H}_v)\} = \min\{\text{pd}(\mathcal{H}_{v^\circ}), \text{pd}(\mathcal{H}_v)\} + 1.$$

**Proof.** If  $\text{pd}(\mathcal{H}_{v^\circ}) < \text{pd}(\mathcal{H}_v)$ , then by Theorem 2.9.(e) and Lemma 2.10 we have

$$\text{pd}(\mathcal{H}_{v^\circ}) + 1 \leq \text{pd}(\mathcal{H}_v) \leq \text{pd}(\mathcal{H}) \leq \text{pd}(\mathcal{H}_{v^\circ}) + 1$$

which yields  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}_v) = \text{pd}(\mathcal{H}_{v^\circ}) + 1$ . The case where  $\text{pd}(\mathcal{H}_0) > \text{pd}(\mathcal{H}_v)$  is proved symmetrically.  $\square$

### 3. LARGE PROJECTIVE DIMENSION AND GENERALIZED FERRER GRAPHS

Let us recall that two vertices  $v \neq w$  in  $\mathcal{H}$  are *neighbors* if there is a face of  $\mathcal{H}$  containing both of them. Let  $\text{nb}(v)$  denote the set of all neighbors of the vertex  $v$ , its cardinality  $\deg(v)$  is called the *degree* of  $v$ . If  $\deg(v) = 0$ , i.e.  $v$  has no neighbors, then  $v$  is called *isolated*; in this case one has  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}') + 1$ , where  $\mathcal{H}' = \mathcal{H} \setminus \{v\}$ . Thus, each isolated vertex contributes to the projective dimension with one unit. Since our focus is on the projective dimension of the hypergraphs, and the projective dimension of a hypergraph with two disconnected sub-hypergraphs is the sum of the projective dimensions of the sub-hypergraphs, we may assume all hypergraphs have no isolated vertices.

**Notation 3.1.** *Let  $\mathcal{H}$  be a hypergraph with vertex set  $V(\mathcal{H})$ , following [12] we write*

- $W(\mathcal{H}) = \{i \in V \mid \{i\} \notin \mathcal{H}\}$  for the open vertex set of  $\mathcal{H}$ ,
- $\mathcal{H}_U = \{F \in \mathcal{H} : F \subseteq U\}$  for the restriction to a subset  $U \subseteq V(\mathcal{H})$  of the vertex set;
- $\mathcal{H}^i = \{F \in \mathcal{H} : \dim F \leq i\}$  for the  $i$ -th dimensional sub-hypergraph of  $\mathcal{H}$ .

$\mathcal{H}$  is called 1-dimensional if  $\mathcal{H} = \mathcal{H}^1$ . For a subset  $U \subseteq V(\mathcal{H})$  we define  $\mathcal{H}_{\overline{U}} = \mathcal{H} \cup \{\{i\} : i \notin U\}$  for the hypergraph obtained by making all vertices of  $\mathcal{H}$  not in  $U$  become closed.

Finally,  $\mathcal{H}' \subseteq \mathcal{H}$  is called a spanning sub-hypergraph of  $\mathcal{H}$  if  $V(\mathcal{H}) = V(\mathcal{H}')$ .

It is well-known that if  $W(\mathcal{H}) = \emptyset$ , i.e. if all vertices of  $\mathcal{H}$  are closed, then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})|$ . The following theorem by Kimura, Rinaldo and Terai characterizes when  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 1$ .

**Theorem 3.2.** [12, Theorem 4.3] *Let  $\mathcal{H}$  be a hypergraph, then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 1$  if and only if*

- ( $\star$ )  $W(\mathcal{H}) \neq \emptyset$  and either the 1-dimensional part  $\mathcal{H}_{W(\mathcal{H})}^1$  of  $\mathcal{H}_{W(\mathcal{H})}$  contains a spanning complete bipartite graph, or there is a vertex  $v$  such that  $\{\{v, w\} \in \mathcal{H} \mid \text{for every } w \in W(\mathcal{H})\}$ .

Therefore one has the following:

**Corollary 3.3.** *Let  $\mathcal{H}$  be a hypergraph. Then  $\text{pd}(\mathcal{H}) \leq |V(\mathcal{H})| - 2$  if and only if*

- ( $\star\star$ )  $W(\mathcal{H}) \neq \emptyset$ ,  $\mathcal{H}_{W(\mathcal{H})}^1$  does not contain a spanning complete bipartite graph, and there is no vertex  $v$  such that  $\{\{v, w\} \in \mathcal{H} \mid \text{for every } w \in W(\mathcal{H})\}$ .

Then, the next step is trying to determine the hypergraphs with  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$ , although a much more complicated answer is expected. We define the following assumption:

- ( $\sharp$ )  $\mathcal{H}$  satisfies ( $\star\star$ ) and there is a partition  $\{V_1, V_2\}$  of the vertex set  $V(\mathcal{H})$  such that both  $\mathcal{H}_{\overline{V_1}}$  and  $\mathcal{H}_{\overline{V_2}}$  satisfy ( $\star$ ).

We now prove that ( $\sharp$ ) gives, in general, a sufficient condition for  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$ . For 1-dimensional bipartite hypergraphs  $\mathcal{H}$ , we prove a necessary condition for  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$ , which is very similar to ( $\sharp$ ).

**Theorem 3.4.** *Let  $\mathcal{H}$  be a hypergraph.*

- (i) *If  $\mathcal{H}$  satisfies ( $\sharp$ ), then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$ .*  
(ii) *If, furthermore,  $\mathcal{H}$  is a 1-dimensional bipartite graph. Then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$  implies there is a partition  $\{V_1, V_2\}$  of the vertex set  $V(\mathcal{H})$  such that if  $\mathcal{G}_1$  and  $\mathcal{G}_2$  are obtained by cutting all edges of  $\mathcal{H}$  between  $V_1$  and  $V_2$ , then both  $\mathcal{G}_1$  and  $\mathcal{G}_2$  satisfy ( $\star$ ).*

**Proof.** (i) The inequality  $\text{pd}(\mathcal{H}) \leq |V(\mathcal{H})| - 2$  follows by assumption ( $\sharp$ ). To prove the other inequality, we observe that, after cancelling all the faces containing vertices both from  $V_1$  and  $V_2$ , we are left with two disconnected sub-hypergraphs  $\mathcal{G}_1 = \mathcal{H}_{V_1}$  and  $\mathcal{G}_2 = \mathcal{H}_{V_2}$ . Then, by Lemma 2.11 we have

$$\text{pd}(\mathcal{H}) \geq \text{pd}(\mathcal{G}_1) + \text{pd}(\mathcal{G}_2)$$

We now show that, regardless of whether  $\mathcal{G}_1$  and  $\mathcal{G}_2$  are separated, one has  $\text{pd}(\mathcal{G}_i) = |V_i| - 1$  for  $i = 1, 2$ . By symmetry, we only prove that  $\text{pd}(\mathcal{G}_1) = |V_1| - 1$ . Since  $\mathcal{H}_{\overline{V_1}}$  satisfies property ( $\star$ ) and all open vertices of  $\mathcal{H}_{\overline{V_1}}$  are in  $V_1$ , if  $\mathcal{G}_1 \subseteq \mathcal{H}_{\overline{V_1}}$  is separated, then also  $\mathcal{G}_1$  satisfies ( $\star$ ) and by Theorem 3.2 one has  $\text{pd}(\mathcal{G}_1) = |V_1| - 1$ .

We may then assume that  $\mathcal{G}_1 = \mathcal{H}_{V_1}$  is not separated. If  $\mathcal{H}_{W(\mathcal{H}_{V_1})}^1$  contains a spanning complete bipartite graph where each bipartite set has more than one vertex, then  $\mathcal{G}_1 = \mathcal{H}_{V_1}$  is separated, which contradicts our assumption. We may then assume  $\mathcal{H}_{\overline{V_1}}$  has one vertex  $w$  connected to all of its open vertices. Also, since



$\mathcal{G}_1 = \mathcal{H}_{V_1}$  is not separated, there are open vertices  $v_1, \dots, v_t$  in  $V_1$  which are vertices of faces containing vertices of both  $\mathcal{G}_1$  and  $\mathcal{G}_2$ , and have the property that in  $\mathcal{H}$  each  $v_i$  has only one neighbor in the vertex set  $V_1$ . By the above, this neighbor is  $w$  for every  $i = 1, \dots, t$ , i.e. they all have  $w$  as a common neighbor.

Then, when we cancel the faces connecting vertices of  $V_1$  with vertices of  $V_2$ , the separated hypergraph  $\mathcal{G}'_1$  associated to  $\mathcal{G}_1$  just consists of  $|V_1| - 1$  closed vertices, because  $w$  degenerates after the cancellation and all its neighbors, which include all open vertices of  $\mathcal{H}_{V_1}$ , become closed. Then  $\mathcal{G}'_1$  is saturated and thus  $\text{pd}(\mathcal{G}_1) = \text{pd}(\mathcal{G}'_1) = |V_1| - 1$ , whence the conclusion follows.

(ii) Let  $W_1$  and  $W_2$  be the two vertex sets of the bipartite graph  $\mathcal{H}$ . Since  $\mathcal{H}$  satisfies  $(\star\star)$ , then by Corollary 3.3, there are two open vertices,  $v \in W_1$  and  $w \in W_2$ , which are not neighbors. Let  $V_1 = \{v, W_2 \setminus w\}$  and  $V_2 = \{w, W_1 \setminus v\}$ , then we have  $V_1 \cup V_2 = V(\mathcal{H})$ , and  $V_1 \cap V_2 = \emptyset$ . Since  $\text{nb}(w) \cap V_1 = \emptyset$  and  $\text{nb}(v) \cap V_2 = \emptyset$ , then  $v$  and  $w$  are open after cutting all edges of  $\mathcal{H}$  between  $V_1$  and  $V_2$ . Therefore  $\text{pd}(\mathcal{G}_1) \leq |V_1| - 1$  and  $\text{pd}(\mathcal{G}_2) \leq |V_2| - 1$ . By Lemma 2.12,  $\text{pd}(\mathcal{G}_1) + \text{pd}(\mathcal{G}_2) \geq \text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2 = |V_1| + |V_2| - 2$ . We conclude that  $\text{pd}(\mathcal{G}_1) = |V_1| - 1$  and  $\text{pd}(\mathcal{G}_2) = |V_2| - 1$ , and both  $\mathcal{G}_1$  and  $\mathcal{G}_2$  satisfies  $(\star)$  by Theorem 3.2.  $\square$

**Remark 3.5.** It follows by Theorem 3.4.(i) that if  $\mathcal{H}$  satisfies  $(\star\star)$  and there is a partition  $V_1, V_2$  of  $V(\mathcal{H})$  such that  $\mathcal{H}_{V_1}$  and  $\mathcal{H}_{V_2}$  are separated and satisfy  $(\star)$ , then  $\text{pd}(\mathcal{H}) = \mu(\mathcal{H}) - 2$ . However, assumption  $(\#)$  is much weaker than requiring that  $\mathcal{H}_{V_1}$  and  $\mathcal{H}_{V_2}$  are separated sub-hypergraphs of  $\mathcal{H}$  satisfying  $(\star)$ , as can be seen in a number of (even simple) examples. Consider, for instance, the 6-cycle graph

$$\mathcal{H} = \{\{1\}, \{1, 2\}, \{2, 3\}, \{3, 4\}, \{4\}, \{4, 5\}, \{5, 6\}, \{6, 1\}\}.$$

Then the vertex subsets  $V_1 = \{1, 2, 3\}$  and  $V_2 = \{4, 5, 6\}$  satisfy the assumptions of  $(\#)$ , thus  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2 = 4$  by Theorem 3.4.(i); however, for every partition  $\{U_1, U_2\}$  of  $V(\mathcal{H})$  neither  $\mathcal{H}|_{U_1}$  nor  $\mathcal{H}|_{U_2}$  is separated.

We suspect that the converse of Theorem 3.4 (i) holds true provided  $\mathcal{H}$  is 1-dimensional bipartite, although it does not follow by part (ii), because it is relatively easy to construct 1-dimensional hypergraphs  $\mathcal{H}$  where a partition of  $V(\mathcal{H})$  constructed as in (ii) does not satisfy the assumption  $(\#)$ . However, in all the examples considered by the authors, we could always find another partition of  $V(\mathcal{H})$  satisfying  $(\#)$ . We then ask whether the following potential combinatorial characterization of 1-dimensional bipartite hypergraphs of projective dimension  $|V(\mathcal{H})| - 2$  actually holds true:

**Conjecture 3.6.** Let  $\mathcal{H}$  be a 1-dimensional bipartite hypergraph with  $\text{pd}(\mathcal{H}) \leq |V(\mathcal{H})| - 2$ ; then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$  if and only if  $\mathcal{H}$  satisfies  $(\#)$ .

We have posed Conjecture 3.6 under the additional assumption that  $\mathcal{H}$  is a bipartite graph for two reasons: first, for the converse of Theorem 3.4.(i) one needs additional assumptions, as we show in Example 3.7; and, secondly, because Theorem 3.4.(ii) shows that for bipartite graphs a condition very similar to  $(\#)$  is indeed necessary.

**Example 3.7.** *The converse of Theorem 3.4.(i) does not hold in general, not even for graphs (i.e. 1-dimensional hypergraphs). For instance, let*

$$\mathcal{H} = \{\{1, 2\}, \{2, 3\}, \{3, 4\}, \{4, 5\}, \{5, 6\}, \{6, 7\}, \{7, 1\}\}$$

*be a 7-cycle graph whose vertices are all open. Then by the main result of [17], the projective dimension of  $\mathcal{H}$  is  $5 = |V(\mathcal{H})| - 2$ . However, there is no partition  $\{V_1, V_2\}$  of  $V(\mathcal{H})$  with both  $\mathcal{H}_{\overline{V_1}}$  and  $\mathcal{H}_{\overline{V_2}}$  satisfying  $(\star)$ .*

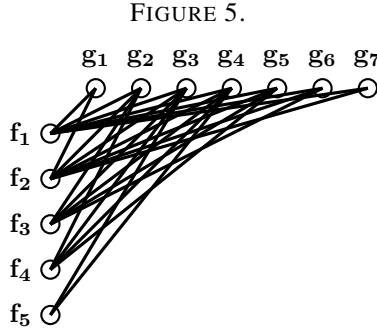
As an application of Theorem 3.4, we show that  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$  for a hypergraph  $\mathcal{H}$  (not necessarily 1-dimensional) provided  $\mathcal{H}_{W(\mathcal{H})}^1$  has a spanning generalized Ferrers graph. First, however, we recall the definition of generalized Ferrers graph.

**Definition 3.8.** *A 1-dimensional bipartite graph  $\{v_1, \dots, v_s, w_1, \dots, w_{\lambda_1}\}$ , is a generalized Ferrers graph if, after a permutation of vertices, there are two sequences of integers  $\lambda = (\lambda_1, \dots, \lambda_s)$  and  $\tau = (\tau_1, \dots, \tau_t)$  such that*

- $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_s > 0$ ,
- $0 = \tau_1 \leq \tau_2 \leq \dots \leq \tau_s < \lambda_s$ ,
- $\lambda_i \leq \tau_i$  for every  $i$ ,
- and for every  $i$ , the vertex  $v_i$  is connected to  $w_{\tau_i+1}, w_{\tau_i+2}, \dots, w_{\lambda_i}$  (in particular,  $\lambda_i - \tau_i$  is the degree of  $v_i$ ).

We give an example illustrating this definition.

**Example 3.9.** *Let  $\mathcal{H}$  be a hypergraph with all open vertices whose 1-skeleton is described in Figure 5. Then,  $\lambda = (7, 7, 6, 5, 4)$ ,  $\tau = (0, 0, 1, 1, 2)$ .*



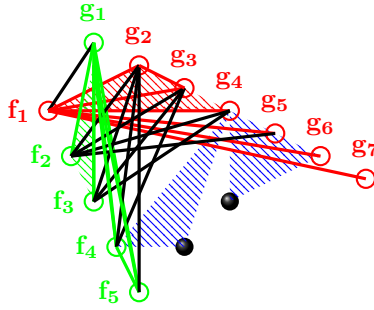
**Corollary 3.10.** *Let  $\mathcal{H}$  be a hypergraph satisfying  $(\star\star)$ . If  $\mathcal{H}_{W(\mathcal{H})}^1$  has a spanning generalized Ferrers subgraph then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$ .*

**Proof.** After possibly a vertex permutation we may assume  $\tau_1 = \tau_2 = \dots = \tau_s = 0$ . Write  $V(\mathcal{H}) = \{f_1, \dots, f_s, g_1, \dots, g_{\lambda_1}, z_1, \dots, z_t\}$  where  $\{f_1, \dots, f_s\}$  and  $\{g_1, \dots, g_{\lambda_1}\}$  are the vertices corresponding to the two sets of generators of the bipartite graph spanning  $\mathcal{H}_{W(\mathcal{H})}^1$ , and let  $\{z_1, \dots, z_t\}$  are all the closed vertices

of  $\mathcal{H}$ . Take  $V_1 = \{\{g_1\} \cup \{f_i, | 2 \leq i \leq s\}\} \cup \{z_k | 1 \leq k \leq t\}$ , and  $V_2 = \{\{f_1\} \cup \{g_j | 2 \leq j \leq \lambda_1\}\}$ . Then  $\mathcal{H}_{\overline{V_1}}$  and  $\mathcal{H}_{\overline{V_2}}$  satisfy  $(\star)$ , thus the conclusion follows by Theorem 3.4.(i).  $\square$

**Example 3.11.**  $\mathcal{G}_1$  is the green subgraph and  $\mathcal{G}_2$  is the red subgraph in Figures 6. Notice that  $\mathcal{H}_{W(\mathcal{H})}^1$  only needs to have a spanning generalized Ferrers subgraph, so  $\mathcal{H}$  could also contain higher dimensional faces and closed vertices; however, they do not impact the difference  $|V(\mathcal{H})| - \text{pd}(\mathcal{H})$ . In fact, by Corollary 3.10,  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 2$ .

FIGURE 6.

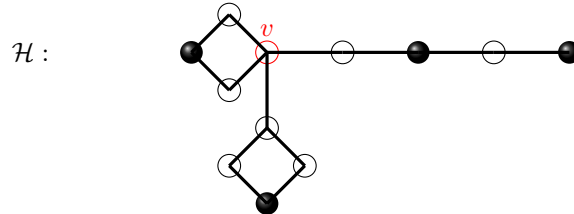


#### 4. PROJECTIVE DIMENSION OF 1-DIMENSIONAL HYPERGRAPHS

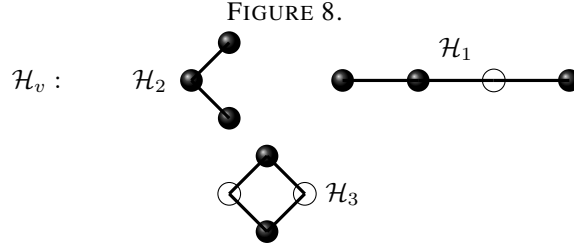
A vertex  $v$  in a hypergraph  $\mathcal{H}$  is called a *joint* if  $\deg(v) \geq 3$ . For instance, if  $\mathcal{H}$  is the hypergraph of Example 2.2, then every vertex of  $\mathcal{H}$  except 2 and 4 is a joint. Recall that a connected separated 1-dimensional hypergraph  $\mathcal{H}$  is a *string* if (i) all vertices of  $\mathcal{H}$  have degree at most 2, and (ii) it is not a cycle. Let  $v$  be a vertex in a hypergraph  $\mathcal{H}$ , and let  $\mathcal{H}_1, \dots, \mathcal{H}_r$  be the connected components of  $\mathcal{H}_v$ ; if one of them, say  $\mathcal{H}_1$ , is a string hypergraph, we call  $\mathcal{H}_1$  a *branch* of  $\mathcal{H}$  (from  $v$ ).

**Example 4.1.** The vertex  $v$  in the hypergraph  $\mathcal{H}$  in Figure 7 is a joint. The hypergraph  $\mathcal{H}_v$  obtained by removing  $v$  is depicted in Figure 8 and has three connected components. Since  $\mathcal{H}_1$  and  $\mathcal{H}_2$  are strings then they are branches of  $\mathcal{H}$  from  $v$ . On the other hand,  $\mathcal{H}_3$  is a 4-cycle thus it is not a branch from  $v$ .

FIGURE 7.



This suggests the setting for the next result, where we prove that if a hypergraph has a branch, then we can remove a few extremal vertices from the branch and keep track of the projective dimension.



**Lemma 4.2.** *Let  $\mathcal{H}$  be a hypergraph and  $\mathcal{B}$  be a branch of  $\mathcal{H}$  with at least 2 vertices. Let  $v_1$  be the endpoint of  $\mathcal{B}$ ,  $v_2$  its neighbor,  $v_3$  the neighbor of  $v_2$  (if there is one). Then*

- (a)  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}_{v_1}) + 1$  if  $v_2$  is closed;
- (b)  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}_{v_1, v_2, v_3}) + 2$  if  $v_2$  is open.

Assertion (b) generalizes [17, Proposition 2.15] to arbitrary hypergraphs.

**Proof.** Part (a) follows by Theorem 2.9.(b). To prove (b) we apply Theorem 2.9.(a) to  $v_1$ . Since  $v_2$  is open, we have  $\mathcal{Q}_{v_1} = \mathcal{H}_{v_1, v_2, v_3} \cup \{w\}$  where  $w$  is an isolated closed vertex (corresponding to the edge connecting  $v_2$  and  $v_3$ ), hence  $\text{pd}(\mathcal{Q}_{v_1}) + 1 = \text{pd}(\mathcal{H}_{v_1, v_2, v_3}) + 2$ . Now, Theorem 2.9.(e) yields  $\text{pd}(\mathcal{H}_{v_1}) \leq \text{pd}(\mathcal{H}_{v_1, v_2, v_3}) + 2$ , therefore, by Theorem 2.9.(a), we have  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{Q}_{v_1}) + 1 = \text{pd}(\mathcal{H}_{v_1, v_2, v_3}) + 2$ .  $\square$

To study branches of hypergraphs, we need to recall that an *open string* is a string hypergraph  $\mathcal{H}$  where every vertex is open except the two endpoints of  $\mathcal{H}$  (which must be closed by the separatedness assumption). Every string consists of open strings which are (possibly) separated by closed vertices; more details on string hypergraphs and open strings can be found in [17].

Next, we define more refined invariants of a string, which also keep track of the orientation. In fact, orientation appears to be crucial for branches inside general hypergraphs.

**Definition 4.3.** *Let  $\mathcal{S}$  be a string and let  $w = v_1$  and  $v = v_n$  be its endpoints. Let  $n_1, \dots, n_s$  be the number of opens in each open string in  $\mathcal{S}$  starting from  $w$  (thus,  $n_s$  is the number of opens in the open string in  $\mathcal{S}$  attached to  $v = v_n$ ).*

(a) *We say  $\mathcal{S}$  is a 1-1 special configuration if  $\mathcal{S}$  does not contain two adjacent closed vertices and  $n_1 \equiv n_s \equiv 1 \pmod{3}$  and  $n_i \equiv 2 \pmod{3}$  for  $1 < i < s$ . The modularity from  $v$  of a string  $\mathcal{S}$  is the number  $M(\mathcal{S}; v)$  of pairwise disjoint 1-1 special configurations, counted starting from  $v$ .*

(b) *We say  $\mathcal{S}$  is a 1-0 special configuration from  $v$  if  $\mathcal{S}$  does not contain two adjacent closed vertices and  $n_s \equiv 1 \pmod{3}$ ,  $n_1 \equiv 0 \pmod{3}$  and  $n_i \equiv 2 \pmod{3}$  for  $1 < i < s$ . With  $O(\mathcal{S}; v)$ , we denote the number of 1-0 special configurations from  $v$  which are disjoint from any 1-1 special configuration and any other 1-0 special configuration from  $v$ .*

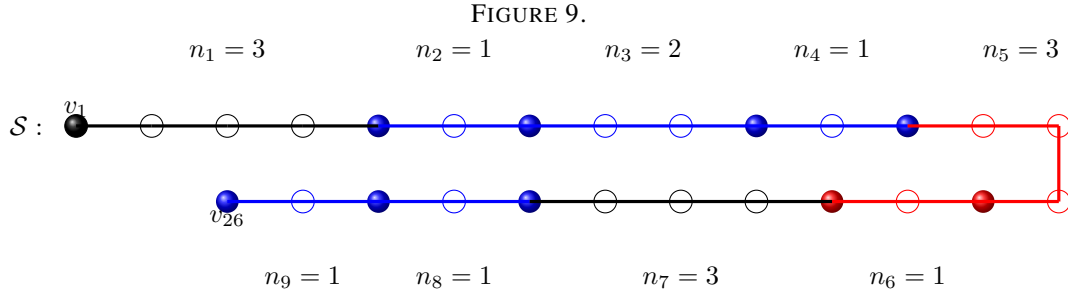
(c) *We let  $W(\mathcal{S}; v) = |\{i | n_i \equiv 0 \pmod{3}\}| - O(\mathcal{S}; v)$  be the number of open strings which have  $3t$  open vertices for some  $t \in \mathbb{Z}_+$  and are not part of a 1-0 special configuration with respect to  $v$ .*

(d) *We define*

$$q(\mathcal{S}; v) = \left\lfloor \frac{n - M(\mathcal{S}; v) - W(\mathcal{S}; v)}{3} \right\rfloor$$

Equivalently,  $q(\mathcal{S}; v)$  is the quotient of the division of  $n - M(\mathcal{S}; v) - W(\mathcal{S}; v)$  by 3; the remainder of this division is denoted  $\text{nr}(\mathcal{S}; v)$ .

**Example 4.4.** The string  $\mathcal{S}$  in the Figure 9 has  $n = 26$  and  $M(\mathcal{S}; v) = 2$  – the two 1-1 special configurations appear in blue. It has  $O(\mathcal{S}; v) = 1$  for the red part is the only 1-0 special configuration. Therefore  $W(\mathcal{S}; v) = |\{i | n_i \equiv 0 \pmod{3}\}| - O(\mathcal{S}; v) = 2$  as  $n_1 = n_5 = n_7 \equiv 0 \pmod{3}$ . Moreover  $q(\mathcal{S}; v) = \lfloor \frac{26-2-2}{3} \rfloor = 7$  and  $\text{nr}(\mathcal{S}; v) = 1$ .



In the next results we show that the number  $\text{nr}(\mathcal{S}; v)$  essentially detects the point on a string (or branch) where we can cut the hypergraph without changing the projective dimension. In turn, this is the key point to find a simple way to compute the projective dimension of a number of 1-dimensional hypergraphs (see Theorem 4.10).

**Remark 4.5.** The notions defined in Definition 4.3.(b)-(d) are clearly sensitive to the choice of the orientation. For instance, if  $v$  and  $w$  are the two endpoints of  $\mathcal{S}$ , one may have  $W(\mathcal{S}; v) \neq W(\mathcal{S}; w)$ . For example, if  $\mathcal{S}$  is a string of length 7 with vertices  $v_1, \dots, v_7$  and  $v_1, v_5, v_7$  are the only closed vertices of  $\mathcal{S}$ , then  $W(\mathcal{S}; v_1) = 1$  whereas  $W(\mathcal{S}; v_7) = 0$ .

**Remark 4.6.** If a 1-dimensional hypergraph  $\mathcal{H}$  contains two adjacent closed vertices and  $\mathcal{H}'$  is obtained by cancelling the edge connecting the two vertices, then  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}')$  (by Theorem 2.9.(d)). Thus, after replacing  $\mathcal{H}$  by  $\mathcal{H}'$ , without loss of generality, we may assume in all the following statements that the 1-dimensional hypergraphs do not contain adjacent closed vertices.

We can now prove the main technical result of this section. It gives a precise formula allowing us to detach all the branches from an arbitrary hypergraph.

**Proposition 4.7.** Let  $\mathcal{H}$  be a hypergraph, let  $\mathcal{S}$  be a branch of  $\mathcal{H}$  from a joint  $w = v_0$ , let the vertices of  $\mathcal{S}$  be  $v_1, \dots, v_n$  and assume (see Remark 4.6)  $\mathcal{S}$  contains no adjacent closed vertices. Let  $\tilde{n} = \text{nr}(\mathcal{S}; v_n)$  be the number defined in Definition 4.3.(d). Let  $E$  be the edge of  $\mathcal{S}$

- (i) between the vertices  $v_{\tilde{n}+1}$  and  $v_{\tilde{n}+2}$ , if  $v_1$  is open, the string of opens in  $\mathcal{S}$  ending in  $w$  has  $m \equiv 0 \pmod{3}$  open vertices, and  $v_1$  is not part of 1-0 special configuration with respect to  $v_n$ ;
- (ii) between the vertices  $v_{\tilde{n}}$  and  $v_{\tilde{n}+1}$ , in all other cases.

Then

$$\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}^E) = \text{pd}(\tilde{\mathcal{H}}) + \text{pd}(\mathcal{S}')$$

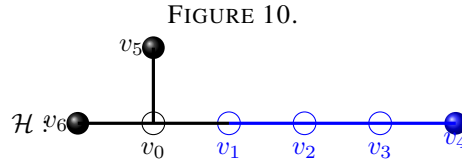
where  $\mathcal{H}^E = \tilde{\mathcal{H}} \cup \mathcal{S}'$  is the disjoint union obtained by cutting the edge  $E$  of  $\mathcal{H}$ .

**Remark 4.8.** The proof of Proposition 4.7 has a subtle point, highlighted by the need to distinguish case (i) from all other cases. The following example shown in Figure 10 illustrates it. Set

$$\mathcal{H} = \{\{6\}, \{6, 0\}, \{0, 5\}, \{5\}, \{0, 1\}, \{1, 2\}, \{2, 3\}, \{3, 4\}, \{4\}\}$$

The hypergraph  $\mathcal{H}$  contains the blue branch  $\mathcal{S} = \{\{1, 2\}, \{2, 3\}, \{3, 4\}, \{4\}\}$ . One may use [8] to verify that  $\text{pd}(\mathcal{H}) = 5$ .

We have  $W(\mathcal{S}; v_4) = 1$  and  $\tilde{n} = 4 - 1 - 3 = 0$ . If we cut the edge between the vertices  $v_0$  and  $v_1$ , we obtain two disjoint hypergraphs: a string with three closed vertices and an open string with four vertices. Then  $\text{pd}(\mathcal{H}^E) = 3 + 3 = 6$ . On the other hand, we have  $\text{pd}(\mathcal{H}) = 5 = \text{pd}(\tilde{\mathcal{H}}) + \text{pd}(\mathcal{S}') = 3 + 2$  where  $\tilde{\mathcal{H}}$  and  $\mathcal{S}'$  are obtained by cutting the edge between vertices  $v_1$  and  $v_2$  as in assumption (i) of Proposition 4.7.



**Proof of Proposition 4.7.** Let  $\tilde{n} = \text{nr}(\mathcal{S}; v_n)$  and  $\tilde{q} = q(\mathcal{S}; v_n)$ . We prove the statement by induction on the number of open strings of  $\mathcal{S}$ . We may assume  $n > 2$ .

For the base case,  $\mathcal{S}$  is an open string, thus  $M(\mathcal{S}; v_n) = 0$ . There are three cases to consider:  $n = 3q$ ,  $n = 3q + 1$ , and  $n = 3q + 2$  for some integer  $q \geq 1$ . When  $n = 3q$ , we are in case (ii); also, we have  $W(\mathcal{S}; v_n) = 0$ , and we need to prove  $\text{pd}(\mathcal{H}) = \text{pd}(\tilde{\mathcal{H}}) + \text{pd}(\mathcal{S}')$ . Since

$$\tilde{q} = q(\mathcal{S}; v_n) = \left\lfloor \frac{n - 0 - 0}{3} \right\rfloor = q, \text{ and } \tilde{n} = 0,$$

then  $E$  is the edge between  $v_0$  and  $v_1$ , so  $\tilde{\mathcal{H}}$  is the hypergraph obtained by removing the vertices  $v_1, \dots, v_n$  of  $\mathcal{H}$ . Now,  $q$  iterations of Lemma 4.2.(b) yield  $\text{pd}(\mathcal{H}) = 2q + \text{pd}(\tilde{\mathcal{H}})$ , which equals  $\text{pd}(\mathcal{S}') + \text{pd}(\tilde{\mathcal{H}})$ , since  $\text{pd}(\mathcal{S}') = 2q$  (e.g. by [17, Corollary 3.8]).

Next, assume  $n = 3q + 1$ . If  $v_1$  is open then we are in case (i), and  $W(\mathcal{S}; v_n) = 1$  and  $\tilde{n} = 0$ , so  $E$  is the edge between  $v_{\tilde{n}+1} = v_1$  and  $v_{\tilde{n}+2} = v_2$ ; if  $v_1$  is closed, then we are under (ii),  $W(\mathcal{S}; v_n) = 0$  and  $\tilde{n} = 1$ , and then  $E$  is the edge between  $v_{\tilde{n}} = v_1$  and  $v_{\tilde{n}+1} = v_2$ . Thus, in either case  $E$  is the edge between  $v_1$  and  $v_2$  and then  $\tilde{\mathcal{H}}$  is obtained by removing the vertices  $v_2, \dots, v_n$  from  $\mathcal{H}$ , and  $\mathcal{S}'$  is a string of opens with  $n - 1 = 3q$  vertices. In either case, as above,  $q$  iterations of Lemma 4.2.(b) and [17, Corollary 3.8] yield

$$\text{pd}(\mathcal{H}) = \text{pd}(\tilde{\mathcal{H}}) + 2q = \text{pd}(\tilde{\mathcal{H}}) + \text{pd}(\mathcal{S}').$$

We may then assume  $n = 3q + 2$ , so we are in case (ii). If  $v_1$  is open, then  $W(\mathcal{S}; v_n) = 0$  and  $\tilde{n} = 2$ , thus  $E$  is the edge between  $v_2$  and  $v_3$ . As above, applying Lemma 4.2.(b)  $q$  times and [17, Corollary 3.8], we

obtain

$$\text{pd}(\mathcal{H}) = \text{pd}(\tilde{\mathcal{H}}) + 2q = \text{pd}(\tilde{H}) + \text{pd}(\mathcal{S}').$$

If, instead,  $v_1$  is closed then the branch  $\mathcal{S}$  has  $3q$  open vertices, so  $W(\mathcal{S}; v_n) = 1$  and  $\tilde{n} = 1$ . After applying Lemma 4.2.(b)  $q$  times, we apply Lemma 4.2.(a) once. We obtain

$$\text{pd}(\mathcal{H}) = \text{pd}\tilde{\mathcal{H}} + 2q + 1.$$

Since  $E$  is the edge between  $v_1$  and  $v_2$ , then  $\mathcal{S}'$  is a string of opens with  $n - 1 = 3q + 1$  vertices, thus  $\text{pd}(\mathcal{S}') = 2q + 1$ , by [17, Corollary 3.8]. This finishes the base case of the proof.

Now, assume  $\mathcal{S}$  has at least two open strings. Let  $\mathcal{S}_s$  be the last open string in  $\mathcal{S}$ , i.e. the open string in  $\mathcal{S}$  having  $v_n$  as an endpoint; let us denote by  $v_{s1}$  the other endpoint of  $\mathcal{S}_s$  and by  $q_s$  the quotient obtained when we divide the number  $n_s$  of opens in  $\mathcal{S}_s$  by 3. If  $n_s = 3q_s$  then  $q_s$  iterations of Lemma 4.2.(b) and one application of Lemma 4.2.(a) yield

$$\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}') + 1 + 2q_s,$$

where  $\mathcal{H}'$  is the hypergraph obtained by removing from  $\mathcal{H}$  the last  $3q_s + 1$  vertices of  $\mathcal{S}$ . We denote by  $\mathcal{S}''$  the string in  $\mathcal{H}'$  obtained after this procedure has removed  $\mathcal{S}_s$  from  $\mathcal{S}$ , in particular  $\mathcal{S}''$  has one open string less than  $\mathcal{S}$ ; also, let  $v_1, \dots, v_{n''}$  be the vertices in  $\mathcal{S}''$  let  $q'' = q(\mathcal{S}''; v_{n''})$  and let  $\tilde{n}'' = \text{nr}(\mathcal{S}''; v_{n''})$ . Observe that  $n'' = n - 3q_s - 1$ ,  $q'' = \tilde{q} - q_s$ ,  $W(\mathcal{S}''; v_{s1}) = W(\mathcal{S}; v_n) - 1$  and  $M(\mathcal{S}''; v_{s1}) = M(\mathcal{S}; v_n)$ . Then, applying the induction hypothesis to  $\mathcal{H}'$  and its branch  $\mathcal{S}''$ , we obtain

$$\text{pd}(\mathcal{H}') = \text{pd}(\tilde{\mathcal{H}}') + \text{pd}(\mathcal{S}''')$$

where  $\tilde{\mathcal{H}}'$  and  $\mathcal{S}'''$  are the disjoint components of  $(\mathcal{H}')^{E'}$ . By definition,  $\tilde{n} = n - M(\mathcal{S}; v_n) - W(\mathcal{S}; v_n) - 3\tilde{q} = n'' - M(\mathcal{S}''; v_{s1}) - W(\mathcal{S}''; v_{s1}) - 3q'' = \tilde{n}''$ . Since  $\tilde{n} = \tilde{n}''$ , then  $E = E'$  and  $\tilde{\mathcal{H}} = \tilde{\mathcal{H}}'$ . Since  $\text{pd}(\mathcal{H}) = 2q_s + 1 + \text{pd}(\mathcal{H}')$ , we obtain

$$\text{pd}(\mathcal{H}) = \text{pd}(\tilde{\mathcal{H}}) + \text{pd}(\mathcal{S}''') + 2q_s + 1$$

Since  $\tilde{n} = \tilde{n}''$ , then  $\mathcal{S}'''$  is obtained by removing the last  $3q_s + 1$  vertices from  $\mathcal{S}'$ . Thus, as above, by Lemma 4.2 we obtain  $\text{pd}(\mathcal{S}') = \text{pd}(\mathcal{S}''') + 2q_s + 1$ . Therefore

$$\text{pd}(\mathcal{H}) = \text{pd}(\tilde{H}) + \text{pd}(\mathcal{S}').$$

The case where  $\mathcal{S}_s$  has  $n_s = 3q_s + 2$  open vertices requires  $q_s + 1$  applications of Lemma 4.2 and follows similarly to the above.

When  $n_s = 3q_s + 1$ , we have three scenarios to consider: the case where  $\mathcal{S}_s$  is part of a 1-1 special configuration; the case where  $\mathcal{S}_s$  is part of a 1-0 special configuration; and the case where  $\mathcal{S}_s$  is neither part of a 1-1 special configuration nor of a 1-0 special configuration.

When  $\mathcal{S}_s$  is part of a 1-1 special configuration  $\mathcal{M}$  in  $\mathcal{S}$ , we remark that, by definition,  $\mathcal{M}$  has  $3\ell + 2$  vertices (for some integer  $\ell$ ) and we call its endpoints  $v_n$  and  $v'$ . Applying Lemma 4.2.(b) for  $\ell$  times, and Lemma 4.2.(a) once, one obtains  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}') + 2\ell + 1$ . Then we can apply induction on  $\mathcal{H}'$ , and a proof similar to the above (with  $W(\mathcal{S}'; v') = W(\mathcal{S}; v_n)$  and  $M(\mathcal{S}'; v') = M(\mathcal{S}; v_n) - 1$ ) gives the conclusion.

When  $\mathcal{S}_s$  is part of 1-0 special configuration  $\mathcal{O}$ , we observe that by definition the string  $\mathcal{O}$  has  $3\ell + 1$  vertices (for some  $\ell$ ), and endpoints  $v_n$  and, say,  $v'$ . We can then apply Lemma 4.2.(b) for  $\ell$  times to obtain

$\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}') + 2\ell$ . Then, the induction hypothesis applied to  $\mathcal{H}'$  and a proof similar to the above (with  $W(\mathcal{S}'; v') = W(\mathcal{S}; v_n)$  and  $M(\mathcal{S}'; v') = M(\mathcal{S}; v_n)$ ) yield the final statement.

Finally, when  $\mathcal{S}_s$  is neither part of a 1-1 special configuration nor of a 1-0 special configuration, then  $n_i \equiv 2 \pmod 3$  for  $i = 1, \dots, s-1$ ,  $n_s \equiv 1 \pmod 3$ , and  $W(\mathcal{S}; v_n) = M(\mathcal{S}; v_n) = 0$ . Observe that  $q_s + 1$  applications Lemma 4.2.(b) yield

$$\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}') + 2(q_s + 1)$$

where  $\mathcal{H}'$  is obtained by removing the last  $3q_s + 3$  vertices from  $\mathcal{S}$ . Let  $\mathcal{S}''$  be the string in  $\mathcal{H}'$  obtained by removing the last  $3q + 3$  vertices from  $\mathcal{S}$ , then the last string of opens of  $\mathcal{S}''$  has  $n_{s-1} - 1$  open vertices and  $\mathcal{S}''$  is again neither part of a 1-1 special configuration nor of a 1-0 special configuration; also,  $\tilde{n} = 2$  if  $v_1$  is open, while  $\tilde{n} = 0$  if  $v_1$  is closed, and  $E = E'$ . By induction, we obtain

$$\text{pd}(\mathcal{H}') = \text{pd}(\tilde{\mathcal{H}}') + \text{pd}(\mathcal{S}'''),$$

where  $\tilde{\mathcal{H}}'$  and  $\mathcal{S}'''$  are the two connected components of  $\mathcal{H}'^{E'}$ . Lemma 4.2.(b) applied to  $\mathcal{S}$  implies that  $\text{pd}(\mathcal{S}) = \text{pd}(\mathcal{S}''') + 2(q_s + 1)$ , which then concludes the proof.  $\square$

Notice that after the cutting, the truncation  $\tilde{\mathcal{S}}$  of  $\mathcal{S}$  in  $\tilde{\mathcal{H}}$  is a branch in  $\tilde{\mathcal{H}}$  with at most two vertices. Moreover, the procedure of Proposition 4.7 yields that if  $\tilde{\mathcal{S}}$  has two vertices, then the vertex connected to the joint  $w$  must be open. Thus, the next step consists in finding the projective dimension of  $\tilde{\mathcal{H}}$  when each branch of the hypergraph has length at most 2. The following proposition gives a reduction that detach the branches of the hypergraph in a controlled way.

**Proposition 4.9.** *Let  $\mathcal{H}$  be a 1-dimensional hypergraph,  $w$  a joint in  $\mathcal{H}$ ,  $\mathcal{S}$  a branch departing from  $w$  with  $n$  vertices and containing no adjacent closed vertices, and let  $E$  be the edge connecting  $w$  to  $\mathcal{S}$ . Then*

$$\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}')$$

where  $\mathcal{H}'$  is the following hypergraph:

- (a) if  $n = 1$ , then  $\mathcal{H}' = \mathcal{H} : E$ , i.e.  $\mathcal{H}'$  is obtained by cancelling  $E$ ;
- (b) if  $n = 2$ , then  $\mathcal{H}' = \mathcal{H}_w$ , i.e.  $\mathcal{H}'$  is obtained by removing  $w$ .

**Proof.** For assertion (a), let  $v_1$  be the vertex in  $\mathcal{S}$ , we apply Theorem 2.9.(a) to obtain

$$\text{pd}(\mathcal{H}) = \max\{\text{pd}(\mathcal{H}_{v_1}), \text{pd}(\mathcal{Q}_{v_1}) + 1\}$$

Observe that, since  $w$  is a joint, then  $\deg(w) \geq 3$  and so  $\mathcal{Q}_{v_1} = (\mathcal{H} : E) \setminus \{v_1\} = \mathcal{H}' \setminus \{v_1\}$  and  $\mathcal{H}'$  is the disjoint union of  $\mathcal{Q}_{v_1}$  with an isolated vertex. Then  $\text{pd}(\mathcal{H}') = \text{pd}(\mathcal{Q}_{v_1}) + 1$ . We also have  $\mathcal{H}_{v_1} = \mathcal{H}' \setminus \{v_1\} \cup \{w\}$  (if  $\{w\} \in \mathcal{H}$ , i.e. if  $w$  is already closed in  $\mathcal{H}$ , then  $\mathcal{H}_{v_1} = \mathcal{H}' \setminus \{v_1\}$ ). Then, by Lemma 2.10,  $\text{pd}(\mathcal{H}_{v_1}) \leq \text{pd}(\mathcal{Q}_{v_1}) + 1$ , thus  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{Q}_{v_1}) + 1 = \text{pd}(\mathcal{H}')$ .

For part (b), let  $v_1$  and  $v_2$  be the two vertices of  $\mathcal{S}$  and assume  $v_1$  is the neighbor of  $w$ . By Theorem 2.9.(a) we have

$$\text{pd}(\mathcal{H}) = \max\{\text{pd}(\mathcal{H}_{v_2}), \text{pd}(\mathcal{Q}_{v_2}) + 1\}$$



Notice that  $\mathcal{S}$  becomes a branch of length 1 in  $\mathcal{H}_{v_2}$  and then, by (a),  $\text{pd}(\mathcal{H}_{v_2}) = \text{pd}(\mathcal{H}_{v_2} : E)$ . By assumption, since  $v_2$  is closed then  $v_1$  is open, therefore  $\mathcal{H}_{v_2} : E = \mathcal{H} : E$ ; on the other hand, one has  $\mathcal{Q}_{v_2} = \mathcal{H}_{v_2} : v_2 = \mathcal{H}_w \setminus \{v_2\}$ . Thus  $\text{pd}(\mathcal{Q}_{v_2}) + 1 = \text{pd}(\mathcal{H}_w) = \text{pd}(\mathcal{H}')$  and it suffices to show that  $\text{pd}(\mathcal{H} : E) \leq \text{pd}(\mathcal{H}')$ . Finally, since the branch  $\mathcal{S}$  in  $\mathcal{H}'$  is a string of length 2, then  $\text{pd}((\mathcal{H} : E)_w) + 1 = \text{pd}(\mathcal{H}')$ . Now, Theorem 2.9.(e) gives  $\text{pd}(\mathcal{H} : E) \leq \text{pd}((\mathcal{H} : E)_w) + 1$ , from which the conclusion follows.

□

We can now prove the main result of this section, stating that we have a simple procedure to compute the projective dimension of many 1-dimensional hypergraphs.

**Theorem 4.10.** *Let  $\mathcal{H}$  be a 1-dimensional hypergraph. If each of its connected components contains at most one cycle, then by using the reduction process of Proposition 4.7 and 4.9, one can obtain  $\text{pd}(\mathcal{H})$ .*

**Proof.** It is enough to prove the statement when  $\mathcal{H}$  is a connected hypergraph having at most one cycle. We may further assume that no two closed vertices of  $\mathcal{H}$  are adjacent, because otherwise, by Theorem 2.9.(d), we can cancel the edge connecting them without changing the projective dimension. Now, if  $\mathcal{H}$  is a cycle, then the statement follows by [17, Theorem 3.4]. If  $\mathcal{H}$  contains no cycles, then iterated applications of Propositions 4.7 and 4.9 allow us to replace  $\mathcal{H}$  by a disjoint union of strings. If  $\mathcal{H}$  strictly contains a cycle, then by assumption  $\mathcal{H}$  is a cycle with one or more trees attached to its vertices, and by repeated applications of Propositions 4.7 and 4.9 we may replace  $\mathcal{H}$  by a disjoint union of strings and, possibly, one cycle. In each of these scenarios, the main theorems in [17] now allow us to compute the projective dimension of each component, and, therefore, the projective dimension of the original hypergraph  $\mathcal{H}$ . □

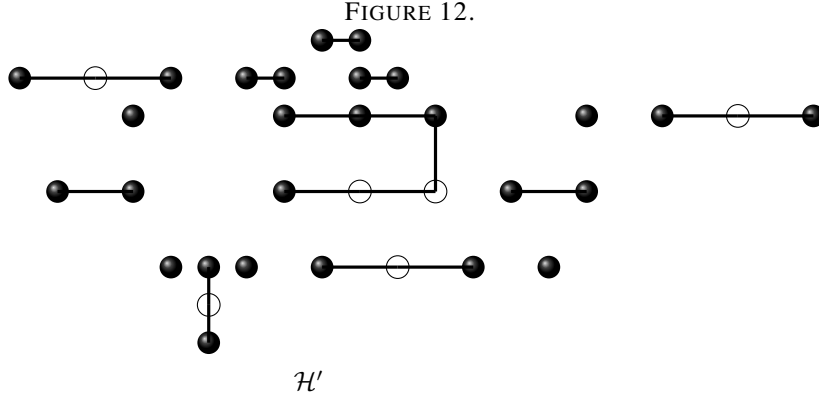
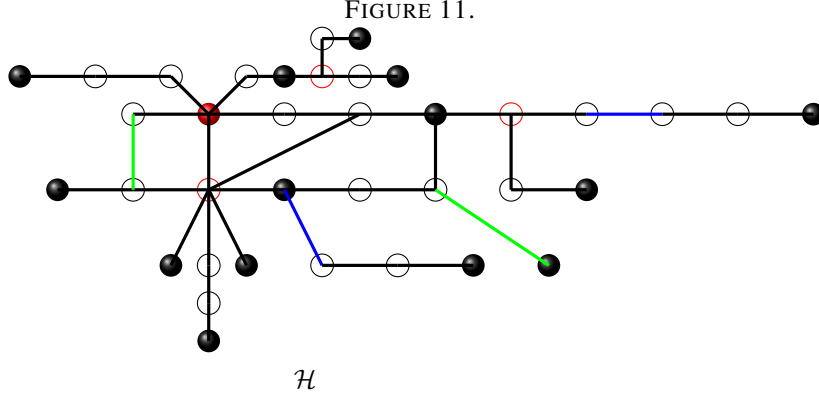
In the Appendix we implemented explicitly two algorithmic procedures that can be employed to compute  $\text{pd}(\mathcal{H})$  (in particular, see Algorithm 5.2).

**Remark 4.11.** (a) *Theorem 4.10 may also be applied in certain cases where the connected components of  $\mathcal{H}$  contain more than one cycle, provided that, at the end of the reduction process of Proposition 4.9, in every connected component all cycles except, possibly, one are strings or pairwise disjoint cycles. This situation appears fairly frequently, because if a joint has a branch  $\mathcal{S}$  with  $\tilde{n} = 2$  (in the statement of Proposition 4.7), then by Propositions 4.7 and 4.9.(b) we can remove the joint; the removal unfolds the cycle and makes it become a string whenever the joint is one of the vertices of the cycle. See for instance Example 4.12.*

(b) *Any 1-dimensional hypergraph is obtained by attaching together any number of trees and cycles. Since Theorem 4.10 applies to all 1-dimensional hypergraphs  $\mathcal{H}$  having an arbitrary number of trees and at most one cycle, and by part (a) to some cases where  $\mathcal{H}$  has multiple cycles, then Theorem 4.10 provides an effective method to determine the projective dimension of a wide class of 1-dimensional hypergraphs.*

The next example illustrates Remark 4.11 in a concrete situation where  $\mathcal{H}$  contains more than one cycle.

**Example 4.12.** *The hypergraph  $\mathcal{H}'$  in Figure 12 is obtained by applying Propositions 4.7 and 4.9 repeatedly from the hypergraph  $\mathcal{H}$  in Figure 11: we cut the blue faces, cancel the green faces and remove the red vertices. Our procedure gives  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}') = 28$ .*



We now define stars and use them to introduce a more complicated class of hypergraph, obtained by connecting together stars via their centers. We will provide explicit combinatorial formulas for their projective dimensions.

**Definition 4.13.** A connected 1-dimensional hypergraph  $\mathcal{H}$  is called a star if either  $|V(\mathcal{H})| = 1$  (and we call the only vertex of  $\mathcal{H}$  its center) or  $\mathcal{H}$  contains no cycles, no adjacent closed vertices, and it contains precisely one joint (called the center of the star).  $\mathcal{H}$  is called a  $d$ -star if  $\mathcal{H}$  is a star and every branch in  $\mathcal{H}$  has length at most  $d$ .

An open star (closed star, resp.) is a star whose center is an open (closed, resp.) vertex.

Note that  $\mathcal{H}$  is a 0-star if and only if  $|V(\mathcal{H})| = 1$ . Also, any  $(d-1)$ -star is also a  $d$ -star when  $d > 1$ ; thus we say that  $\mathcal{H}$  is a *proper  $d$ -star* if  $\mathcal{H}$  is a  $d$ -star and  $\mathcal{H}$  is not a  $(d-1)$ -star (i.e. if  $\mathcal{H}$  contains at least one branch of length  $d$ ). We now give a few more definitions, which can be interpreted as natural generalizations of strings and cycle hypergraphs to stars. The only exception is that for string hypergraphs the assumption of separatedness forces the endpoints of the string to be closed vertices, whereas for strings of stars this need not be the case:

**Definition 4.14.** A string (cycle, tree, resp.) of stars is a hypergraph  $\mathcal{H}$  consisting of a (finite) collection of stars where each star is only connected to other stars via its center and the centers of the stars form a string (cycle, tree, resp.).

Strings, cycles or trees of  $d$ -stars can also be thought as being obtained by taking a string (cycle, tree, resp.) hypergraph and attaching to some (or all) of its vertices strings of length at most  $d$ .

**Example 4.15.** The hypergraph depicted in Figure 13 illustrates an example of a cycle of 2-stars.

First, we prove a simple formula for the projective dimension of open strings of “small” stars (i.e.  $d$ -stars with  $d \leq 2$ ).

**Proposition 4.16.** If  $\mathcal{H}$  is a disjoint union of trees and cycles of proper 2-stars, then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - T(\mathcal{H})$  where  $T(\mathcal{H})$  is the number of proper 2-stars in  $\mathcal{H}$ .

**Proof.** By Theorem 2.9.(d) we may assume there are no adjacent closed vertices. Also, by assumption, every star has at least a branch of length two, thus we can apply Proposition 4.9.(b) to each star and obtain

$$\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}^*)$$

where  $\mathcal{H}^*$  is obtained from  $\mathcal{H}$  by removing the center of each star. Since every star in  $\mathcal{H}$  is a 2-star, then any open vertex in  $\mathcal{H}$  which is not the center of a star, is adjacent to the center of a star, thus it becomes closed after removing all centers. Therefore, all vertices in  $\mathcal{H}^*$  are now closed, i.e.  $\mathcal{H}^*$  is a saturated hypergraph with  $|V(\mathcal{H})| - T(\mathcal{H})$  vertices. Therefore,  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - T(\mathcal{H})$ .  $\square$

Next, we naturally generalize the concept of modularity (see Definition 4.3).

**Definition 4.17.** We say that a string of stars  $\mathcal{H}$  is a 1-1 special star configuration if  $\mathcal{H}$  does not contain two adjacent closed stars and  $n_1 \equiv n_s \equiv 1 \pmod{3}$  and  $n_i \equiv 2 \pmod{3}$  for  $1 < i < s$ , where  $n_i$  is the number of open stars in the  $i$ -th open string of star in  $\mathcal{H}$ . The star modularity  $M^*(\mathcal{H})$  of a string or cycle of stars of  $\mathcal{H}$  is the maximal number of pairwise of disjoint 1-1 special star configurations contained in  $\mathcal{H}$ . Similarly, the star modularity of a disjoint union of strings or cycles of stars is the sum of the star modularity of each connected component.

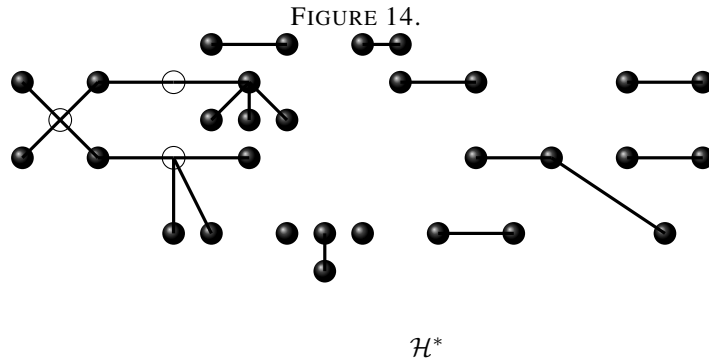
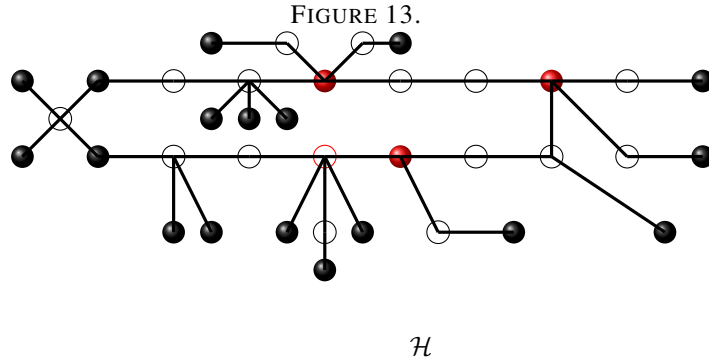
The following proposition has a similar flavour as the main result of [17]; it provides an effective combinatorial formula to compute the projective dimension of strings and cycles of 2-stars.

**Proposition 4.18.** Let  $\mathcal{H}$  be a string or cycle of 2-stars. Let  $T(\mathcal{H})$  be the number of proper 2-stars, let  $\mathcal{H}^*$  be obtained from  $\mathcal{H}$  by removing the centers of the proper 2-stars, and let  $s^*(\mathcal{H}^*)$  be the number of open strings of stars in  $\mathcal{H}^*$ .

- (1) If  $\mathcal{H}$  is an open cycle with  $n_1$  1-stars, then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 1 - \lfloor \frac{n_1-2}{3} \rfloor$ ;
- (2) in all other cases  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - T(\mathcal{H}) - s^*(\mathcal{H}^*) - \sum_{i=1}^s \lfloor \frac{n_i-1}{3} \rfloor + M^*(\mathcal{H}^*)$ , where  $n_i$  is the number of open stars in each open string of stars in  $\mathcal{H}^*$ .

**Proof.** Applying Proposition 4.9.(b) to all proper 2-stars we obtain  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{H}^*)$ , and observe that  $\mathcal{H}^*$  is the disjoint union of strings or cycles of 1-stars. Let  $\mathcal{H}^{**}$  be the hypergraph obtained after applying Proposition 4.9.(a) to each proper 1-star. By definition of cancellation (Definition 2.6.(iii)),  $\mathcal{H}^{**}$  has the same modularity and number of open strings as  $\mathcal{H}^*$ , and each open string has the same number of vertices as  $\mathcal{H}^*$ , because  $\mathcal{H}^{**}$  is obtained by cancelling the edges of the branches of 1-stars. Then  $\mathcal{H}^{**}$  is a disjoint union of closed vertices and string or cycle hypergraphs, with  $s^*(\mathcal{H}^*)$  open strings and  $M^*(\mathcal{H}^{**}) = M^*(\mathcal{H}^*)$ . Now the conclusion follows by applying [17, Theorems 3.4 and 4.3] to each connected component.  $\square$

**Example 4.19.** Figure 13 below depicts a cycle of 2-stars  $\mathcal{H}$ ; Figure 14 shows the hypergraph  $\mathcal{H}^*$  as defined in Proposition 4.18 (obtained by removing the red-labelled vertices). Then  $\text{pd}(\mathcal{H}) = 37 - 4 - 3 + 1 = 31$ .



We now prove an analogous formula for the projective dimension of  $\mathcal{H}$  when the 1-dimensional part,  $\mathcal{H}^1$ , of  $\mathcal{H}$  is a string or cycle of 1-stars. Notice that the hypergraph needs not be 1-dimensional itself, it suffices that its 1-skeleton satisfies certain properties and the higher dimensional faces are “well behaved”.

**Proposition 4.20.** *Let  $\mathcal{H}$  be a hypergraph with  $V(\mathcal{H}) = V(\mathcal{H}^1)$ ; assume its 1-skeleton  $\mathcal{H}^1$  is a separated string or cycle of 1-stars, and distinct stars do not share any higher dimensional face.*

- (1) *If the 1-skeleton of  $\mathcal{H}$  is an open cycle of  $n_1$  1-stars, then  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - 1 - \lfloor \frac{n_1 - 2}{3} \rfloor$ ;*

(2) in all other cases  $\text{pd}(\mathcal{H}) = |V(\mathcal{H})| - s^* - \sum_{i=1}^{s^*} \left\lfloor \frac{n_i-1}{3} \right\rfloor + M^*(\mathcal{H})$ , where  $s^*$  is the number of strings of open stars in  $\mathcal{H}^1$ ,  $n_i$  is the number of open stars in each string of open stars.

**Proof.** By Theorem 2.9.(d), we may assume that there are no adjacent closed vertices. Moreover, by definition, all 1-stars are open stars. We induct on the number of 1-stars and number of vertices. If there are no 1-stars, then  $\mathcal{H}^1$  is either a string or a cycle hypergraph; the assumption on the higher dimensional faces implies that  $\mathcal{H} = \mathcal{H}^1$  (the distinct vertices of  $\mathcal{H}$  are 0-stars); also, since  $\mathcal{H}^1$  is separated, the formula follows by [17, Theorems 3.4 and 4.3].

We may then assume there is at least one proper 1-star; let  $w$  be its open center and  $v$  an endpoint of one of its branches. Let  $F_1, \dots, F_t$  be all higher dimensional faces containing  $v$ , we apply Theorem 2.9.(a) to  $v$  and observe that the assumption on the higher dimensional faces and the fact that  $w$  is a joint yields  $\mathcal{H}_v = \mathcal{Q}_v \cup \{w\} \cup (\bigcup_i \{F_i|_{\mathcal{H}_v}\})$ . Then all other vertices of each  $F_i|_{\mathcal{H}_v}$  are closed in  $\mathcal{H}_v$ , hence by Theorem 2.9.(d), we have  $\text{pd}(\mathcal{H}_v) = \text{pd}(\mathcal{Q}_v \cup \{w\})$ . Since  $w$  is open in  $\mathcal{Q}_v$ , then by Lemma 2.10, we have  $\text{pd}(\mathcal{H}_v) \leq \text{pd}(\mathcal{Q}_v) + 1$ . This inequality combined with Theorem 2.9.(a) yields  $\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{Q}_v) + 1$ . Then by induction (and since  $\mathcal{Q}_v$  has  $|V(\mathcal{H})| - 1$  vertices)

$$\text{pd}(\mathcal{H}) = \text{pd}(\mathcal{Q}_v) + 1 = (|V(\mathcal{H})| - 1) - s^* - \sum_{i=1}^{s^*} \left\lfloor \frac{n_i-1}{3} \right\rfloor + M^*(\mathcal{H}) + 1,$$

yielding the desired formula.  $\square$

## 5. APPENDIX: ALGORITHMIC PROCEDURES AND MORE EXAMPLES

In this section we present an algorithmic procedure to compute the projective dimension of 1-dimensional hypergraphs whose connected components contain at most one cycle. First, however, we need to algorithmically recognize the vertices of  $\mathcal{H}$  which are either joints or endpoints, thus we begin with an algorithm determining the degree  $d(i)$  of any given vertex  $i$  in  $\mathcal{H}$ . Actually, for the purpose of Algorithm 5.2 it suffices to know if  $d(i) = 0, 1, 2$  or if it is greater than 2; so, for reasons of efficiency (e.g. if  $i$  has a large number of neighbors), in Algorithm 5.1 we only consider these possible outputs; of course, it can be easily modified to actually compute  $d(i)$ . The auxiliary variable  $j$  runs through the elements of the vertex set to identify neighbors of  $i$ .

**Algorithm 5.1.** Let  $\mathcal{H}$  be a hypergraph,  $V(\mathcal{H}) = \{1, 2, \dots, \mu\}$ . The input is:  $i \in V(\mathcal{H})$ , i.e. a vertex in a hypergraph  $\mathcal{H}$ . The output is:  $n = d(i)$ , if this number is 0, 1, 2, or “ $n > 2$ ” otherwise.

Step 0: Set  $n = 0$ ,  $V = V(\mathcal{H})$  and  $j = 1$ .

Step 1: If  $n = 3$ , then stop and give “ $n > 2$ ” as output.

If  $|V| = 1$  then stop and give  $n$  as output;

If  $j = \mu$ , then stop and give  $n$  as output.

Otherwise, go to Step 2.

Step 2: If  $j = i$ , set  $j = j + 1$  and go to Step 1.

If  $j \neq i$  then set  $V = V \setminus \{j\}$  and do the following: if  $\{i, j\} \in \mathcal{H}$ , then set  $n = n + 1$  and go to Step 1.

1. If  $\{i, j\} \notin \mathcal{H}$  go to Step 1.

The following result provides an effective algorithmic way to compute the projective dimension of each connected component in Theorem 4.10. In the following algorithm we use the variable  $i$  to detect the vertices with degree one (if any); the variable  $j$  runs through the other vertices looking for neighbors of  $i$ , and  $k$  looks for the other neighbor of  $j$  (if any). The variable  $v$  is used to count  $|V(\mathcal{H})|$  (as the algorithm runs  $\mathcal{H}$  changes and so does  $|V(\mathcal{H})|$ ), and  $c$  is used to isolate the scenario where  $\mathcal{H}$  is a  $v$ -cycle.

**Algorithm 5.2.** *Input: A connected 1-dimensional hypergraph  $\mathcal{H}$  with at most one cycle. Let the vertex set be  $V(\mathcal{H}) = \{1, 2, \dots, \mu\}$ . The output is:  $P = \text{pd}(\mathcal{H})$ .*

*Step 0: Set  $P = 0$ ,  $v = \mu$  and  $i = 1$ .*

*Step 1: If  $\mathcal{H} = \emptyset$ , stop the process and give  $P$  as output.*

*If  $\mathcal{H} \neq \emptyset$  set  $j = k = 1$ ,  $c = 0$  and do the following: if  $i \leq \mu$ , and go to Step 2, if  $i = \mu + 1$ , then set  $i = 1$  and go to Step 2.*

*Step 2: If  $i \notin V(\mathcal{H})$ , then set  $i = i + 1$  and start Step 2 again.*

*If  $i \in V(\mathcal{H})$ , compute  $d(i)$  using Algorithm 5.1.*

*if  $d(i) = 0$ , set  $\mathcal{H} = \mathcal{H}_i$ ,  $P = P + 1$ ,  $v = v - 1$  and  $i = i + 1$ , then go to Step 1;*

*if  $d(i) = 1$  then go to Step 3;*

*if  $d(i) > 1$  set  $c = c + 1$  and do the following:*

*if  $c = v$ , then  $\mathcal{H}$  is a  $v$ -cycle and we go to Step 7;*

*if  $c < v$  then do the following:*

*if  $i \neq \mu$ , set  $i = i + 1$  and start Step 2 again;*

*if  $d(i) > 1$  and  $i = \mu$ , set  $i = 1$  and start Step 2 again.*

*Step 3: If  $j = i$  or if  $\{i, j\} \notin \mathcal{H}$  then set  $j = j + 1$  and start again Step 3. If  $\{i, j\} \in \mathcal{H}$  then go to Step 4.*

*Step 4: Check if  $\{j\} \in \mathcal{H}$ . If so, set  $\mathcal{H} = \mathcal{H}_i$  and  $P = P + 1$ ,  $v = v - 1$ ,  $i = i + 1$  then go to Step 1. If  $\{j\} \notin \mathcal{H}$  go to Step 5. (notice that since  $\{j\} \notin \mathcal{H}$ , then  $d(j) \geq 2$ .)*

*Step 5: Use Algorithm 5.1 to compute  $d(j)$ . If  $d(j) = 2$ , then go to Step 6; otherwise set  $\mathcal{H} = \mathcal{H} \setminus \{\{i, j\}, \{i\}\}$ ,  $P = P + 1$ ,  $v = v - 1$ ,  $i = i + 1$  and go to Step 1.*

*Step 6: If  $k = i$ , or if  $k = j$ , or if  $\{j, k\} \notin \mathcal{H}$ , then set  $k = k + 1$  and start again Step 6. If  $\{j, k\} \in \mathcal{H}$  then set  $\mathcal{H} = \mathcal{H}_{i,j,k}$ ,  $P = P + 2$ ,  $v = v - 3$ ,  $i = i + 1$  and go to Step 1. (this procedure stops because  $d(j) = 2$ )*

*Step 7: Use Algorithm 5.6 in [17] to compute  $\text{pd}(\mathcal{H}) = P_c$ . Output  $P = P + P_c$ .*

**Remark 5.3.** (1) The variable  $c$  counts the number of times that Step 2 runs consecutively without finding a vertex with degree  $\leq 1$ . If  $c = |V(\mathcal{H})|$ , then every vertex of  $\mathcal{H}$  has degree  $\geq 2$ , so  $\mathcal{H}$  is a cycle.

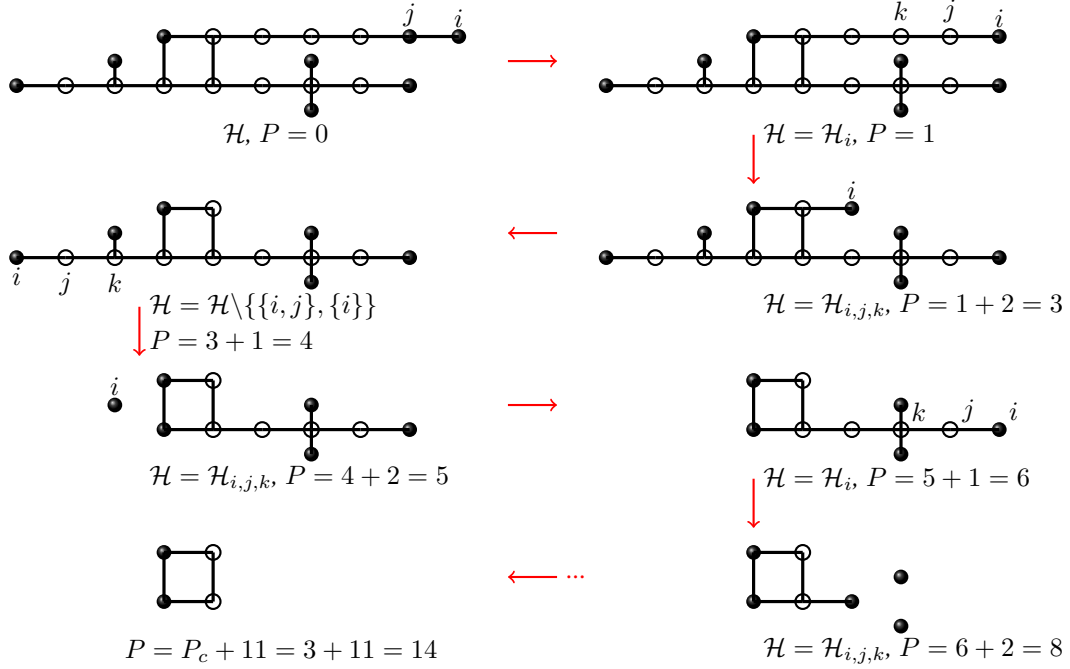
(2) Step 3 always starts with  $j = 1$ , and since  $d(i) = 1$  then there is precisely one  $j$  with  $\{i, j\} \in \mathcal{H}$ ; therefore Step 3 does not need a line for the case where  $j$  becomes larger than  $\mu$ , because it stops before then. A similar comment holds for the variable  $k$  in Step 6.

(3) In Step 4 of Algorithm 5.2, we set  $\mathcal{H} = \mathcal{H}_i$  because  $i$  has only one neighbor (so  $i$  is closed in  $\mathcal{H}$ ), which is also closed. Thus, by Theorem 2.9.(b), we can remove the vertex  $i$  and add one to  $P$ .

The following example illustrates the use of Algorithm 5.2.

**Example 5.4.** : In Figure 15 we provide a hypergraph  $\mathcal{H}$  and all the steps of Algorithm 5.2 to compute  $\text{pd}(\mathcal{H})$ .

FIGURE 15.



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