

BOUNDING THE SOCLES OF POWERS OF SQUAREFREE MONOMIAL IDEALS

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ABSTRACT. Let $S = K[x_1, \dots, x_n]$ be the polynomial ring in n variables over a field K and $I \subset S$ a squarefree monomial ideal. In the present paper we are interested in the monomials $u \in S$ belonging to the socle $\text{Soc}(S/I^k)$ of S/I^k , i.e., $u \notin I^k$ and $ux_i \in I^k$ for $1 \leq i \leq n$. We prove that if a monomial $x_1^{a_1} \cdots x_n^{a_n}$ belongs to $\text{Soc}(S/I^k)$, then $a_i \leq k-1$ for all $1 \leq i \leq n$. We then discuss squarefree monomial ideals $I \subset S$ for which $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$, where $x_{[n]} = x_1 x_2 \cdots x_n$. Furthermore, we give a combinatorial characterization of finite graphs G on $[n] = \{1, \dots, n\}$ for which $\text{depth } S/(I_G)^2 = 0$, where I_G is the edge ideal of G .

INTRODUCTION

The depth of powers of an ideal (especially, a monomial ideal) of the polynomial ring has been studied by many authors. In the present paper, we are interested in the socle of powers of a squarefree monomial ideal.

Let K be a field, $S = K[x_1, \dots, x_n]$ the polynomial ring in n variables over K , and $I \subset S$ a graded ideal. We denote by $\mathfrak{m} = (x_1, \dots, x_n)$ the graded maximal ideal of S . An element $f + I \in S/I$ is called a *socle element* of S/I if $x_i f \in I$ for $i = 1, \dots, n$. Thus $f + I$ is a non-zero socle element of S/I if $f \in I : \mathfrak{m} \setminus I$. The set of socle elements $\text{Soc}(S/I)$ of S/I is called the *socle* of S/I . Notice that $\text{Soc}(S/I)$ is a K -vector space isomorphic to $(I : \mathfrak{m})/I$. One has $\text{depth } S/I = 0$ if and only if $\text{Soc}(S/I) \neq \{0\}$.

In the case that I is a monomial ideal, a case which we mainly consider here, $\text{Soc}(S/I)$ is generated by the residue classes of monomials. If u and v are monomials not belonging to I , then $u + I = v + I$, if and only if $u = v$. Thus, if u is a monomial, it is convenient to write $u \in \text{Soc}(S/I)$ and to call u a socle element of S/I if $u + I \in \text{Soc}(S/I)$ and $u + I \neq 0$. In other words, $u \in \text{Soc}(S/I)$ if and only if $u \notin I$ and $ux_i \in I$ for all $1 \leq i \leq n$.

The present paper is organized as follows. First, in Section 1, we show that, for a squarefree monomial ideal $I \subset S$, if a monomial $x_1^{a_1} \cdots x_n^{a_n}$ is a socle element of S/I^k , then $a_i \leq k-1$ for all $1 \leq i \leq n$ (Corollary 1.2). Second, in Section 2, the edge ideal I_G arising from a finite graph G is discussed. We give a combinatorial characterization of G for which $\text{depth } S/(I_G)^2 = 0$ (Theorem 2.1).

Let $I \subset S$ be a squarefree monomial ideal. Then Corollary 1.2 says that one has $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$ if and only if $x_{[n]}^{k-1} \notin I^k$, where $x_{[n]} = x_1 x_2 \cdots x_n$. In Section 3, we study squarefree monomial ideals $I \subset S$ with $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$. It is proved that, for a squarefree monomial ideal $I \subset S$ with $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$, one has $k < n$ and $\text{depth } S/I^j > 0$ for $j < k$ (Corollary 3.2). Furthermore, for a squarefree monomial ideal $I \subset S$ generated in degree d with $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$, we show that if $d > ((k-1)n+1)/k$, then $\text{depth } S/I^k > 0$ and that

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if $d = ((k-1)n+1)/k$ and $\text{depth } S/I^k = 0$, then $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$ and $\text{depth } S/I^\ell = 0$ for all $\ell \geq k$ (Corollary 3.4).

1. SOCLES OF POWERS OF SQUAREFREE MONOMIAL IDEALS

We begin with

Proposition 1.1. *Let I be a monomial ideal. For $i = 1, \dots, n$ set*

$$c_i = \max\{\deg_{x_i}(u) : u \in G(I)\},$$

and let $x_1^{a_1} \cdots x_n^{a_n}$ be a socle element of S/I . Then $a_i \leq c_i - 1$ for $i = 1, \dots, n$.

Proof. Let $u = x_1^{a_1} \cdots x_n^{a_n}$ be a socle element of S/I . Thus $u \notin I$ and $u \in I : \mathfrak{m}$. Suppose that $a_i \geq c_i$ for some i . Since $x_i u \in I$, there exists $v \in G(I)$ which divides $x_i u$.

It follows that $\deg_{x_j}(v) \leq \deg_{x_j}(x_i u) = \deg_{x_j}(u)$ for $j \neq i$, and $\deg_{x_i}(v) \leq c_i \leq \deg_{x_i}(u)$. Therefore, v divides u , and hence $u \in I$, a contradiction. \square

Corollary 1.2. *Let I be a squarefree monomial ideal, and let $x_1^{a_1} \cdots x_n^{a_n}$ be a socle element of S/I^k . Then*

$$a_i \leq k - 1 \quad \text{for } i = 1, \dots, n.$$

2. EDGE IDEALS WHOSE SQUARE HAS DEPTH ZERO

We consider the case of edge ideals.

Theorem 2.1. *Let $I = I_G \subset S = K[x_1, \dots, x_n]$ be the edge ideal of graph G on the vertex set $[n]$. The following conditions are equivalent:*

- (a) $\text{depth } S/I^2 = 0$;
- (b) G is a connected graph containing a cycle C of length 3, and any vertex of G is a neighbor of C .

Moreover, $x_{[n]} \in \text{Soc}(S/I^2)$ if and only if G is cycle of length 3.

Proof. (b) \Rightarrow (a): Suppose that G has a cycle of length 3, say, $\{1, 2\}$, $\{1, 3\}$ and $\{2, 3\}$ are edges of G and that, for each $4 \leq j \leq n$, one of $\{1, j\}$, $\{2, j\}$ and $\{3, j\}$ is an edge of G . It then follows immediately that the monomial $u = x_1 x_2 x_3$ satisfies $u \notin I^2$ and $u \in I^2 : \mathfrak{m}$. Hence $\text{depth } S/I^2 = 0$, as required. This argument also shows that $x_{[n]} \in \text{Soc}(S/I^2)$ if and only if G is cycle of length 3

(a) \Rightarrow (b): Let $I = I_G$ be the edge ideal of a finite graph G with $\text{depth } S/I^2 = 0$. Then there exists a monomial u with $u \notin I^2$ such that $u \in I^2 : \mathfrak{m}$. Let H denote the induced subgraph of G whose vertices are those $i \in [n]$ such that x_i divides u . Since $u \notin I^2$ it follows that H cannot possess two disjoint edges. If H possesses an isolated vertex i , then $x_i u \notin I^2$. This contradicts $u \in I^2 : \mathfrak{m}$. Hence H is connected without disjoint edges. Thus H must be either a cycle of length 3, or a line of length at most 2.

First, if H is a line of length 1, i.e., H is an edge of G , then we may assume that $u = x_1^{a_1} x_2^{a_2}$ with each $a_i \geq 1$. If each $a_i \geq 2$, then $u \in I^2$, a contradiction. Let $a_1 = 1$ and $u = x_1 x_2^{a_2}$. Then $u x_2 \notin I^2$. This contradicts $u \in I^2 : \mathfrak{m}$.

Now, let H be either a cycle of length 3, or a line of length 2. Thus we may assume that $u = x_1^{a_1} x_2^{a_2} x_3^{a_3}$ with each $a_i \geq 1$, where $\{1, 2\}$ and $\{1, 3\}$ are edges of G . Since $u \notin I^2$, it follows that $a_1 = 1$. Thus $u = x_1 x_2^{a_2} x_3^{a_3}$. If $\{2, 3\}$ is not an edge of G , then $x_2 u \notin I^2$, a contradiction. Hence $\{2, 3\}$ is an edge of G . Then, since $u \notin I^2$, it follows that $a_2 = a_3 = 1$. Thus $u = x_1 x_2 x_3$ and $\{1, 2\}$, $\{1, 3\}$ and $\{2, 3\}$ are edges of G . Let $j \geq 4$. Since $x_j u \in I^2$, it follows that one of $\{1, j\}$, $\{2, j\}$ and $\{3, j\}$ must be an edge of G , as desired. \square

This result has been shown independently by [5].

3. POWERS OF SQUAREFREE MONOMIAL IDEALS WITH MAXIMAL SOCLE

Let $I \subset S = K[x_1, \dots, x_n]$ be a squarefree monomial ideal. According to Corollary 1.2, $x_{[n]}^{k-1}$ is a socle element of S/I^k if $x_{[n]}^{k-1} \notin I^k$. In that case it is a socle element of S/I^k of maximal degree. The next proposition characterizes those squarefree monomial ideals for which $x_{[n]}^{k-1}$ is indeed a socle element of S/I^k .

We consider I as the facet ideal of a simplicial complex Δ . Thus $I = I(\Delta)$ where the set of facets $\mathcal{F}(\Delta)$ of Δ is given as

$$\mathcal{F}(\Delta) = \{\text{supp}(u) : u \in G(I)\}.$$

In other words, $G(I(\Delta)) = \{x_F : F \in \mathcal{F}(\Delta)\}$ where we set $x_F = \prod_{i \in F} x_i$ for $F \subset [n]$.

Proposition 3.1. *Let Δ be a simplicial complex on the vertex set $[n]$, and $I = I(\Delta) \subset S = K[x_1, \dots, x_n]$ its facet ideal.*

(a) *The following conditions are equivalent:*

- (i) $x_{[n]}^{k-1} \notin I^k$;
- (ii) $\bigcap_{i=1}^k F_i \neq \emptyset$ for all $F_1, \dots, F_k \in \mathcal{F}(\Delta)$.

(b) *Assuming that $x_{[n]}^{k-1} \notin I^k$, the following conditions are equivalent:*

- (i) $x_j x_{[n]}^{k-1} \in I^k$ for all j ;
- (ii) for each $j = 1, \dots, n$, there exist $F_1, \dots, F_k \in \mathcal{F}(\Delta)$ such that $\bigcap_{i=1}^k F_i = \{j\}$.

In particular, $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$ if and only if (a)(ii) and (b)(ii) hold.

Proof. (a) $x_{[n]}^{k-1} \in I^k$ if and only if there exist $F_1, \dots, F_k \in \mathcal{F}(\Delta)$ such that $x_{F_1} x_{F_2} \cdots x_{F_k}$ divides $x_{[n]}^{k-1}$. This is the case, if and only if no x_i^k divides $x_{F_1} x_{F_2} \cdots x_{F_k}$. This is equivalent to saying that $\bigcap_{i=1}^k F_i = \emptyset$. Thus the desired conclusion follows.

(b) $x_i x_{[n]}^{k-1} \in I^k$ if and only if $x_{F_1} x_{F_2} \cdots x_{F_k}$ divides $x_j x_{[n]}^{k-1}$ for some $F_1, \dots, F_k \in \mathcal{F}(\Delta)$. By (a), $\bigcap_{i=1}^k F_i \neq \emptyset$. Therefore, $x_{F_1} x_{F_2} \cdots x_{F_k}$ divides $x_j x_{[n]}^{k-1}$ if and only if $\bigcap_{i=1}^k F_i = \{j\}$. \square

Corollary 3.2. *Let $I \subset S = K[x_1, \dots, x_n]$ be a squarefree monomial ideal. Let $n > 1$ and suppose that $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$. Then $k < n$, and $\text{depth } S/I^j > 0$ for $j < k$.*

Proof. The condition (b)(ii) of Proposition 3.1 guarantees the existence of $F^{(j)} \in \mathcal{F}(\Delta)$ with $j \in F^{(j)}$ and $j+1 \notin F^{(j)}$ for each $1 \leq j < n$ and the existence of $F^{(n)} \in \mathcal{F}(\Delta)$ with $n \in F^{(n)}$ and $1 \notin F^{(n)}$. Then $\bigcap_{j=1}^n F^{(j)} = \emptyset$. Thus if $k \geq n$, then the condition (a)(ii) of Proposition 3.1 is violated, and hence $k < n$.

Let $j < k$ and suppose that $\text{depth } S/I^j = 0$. Then $j \geq 2$, since I is squarefree. Let $u \in \text{Soc}(S/I^j)$; then $ux_i \in I^j$ for all i and hence also $x_{[n]}^{j-1} x_i \in I^j$ for all i . Since $n > 1$, the ideal I cannot be a principal ideal, because otherwise $\text{depth } S/I^j > 0$ for all j . Hence there exist an integer i , say $i = 1$, such that $x_2 x_3 \cdots x_n \in I$. Then

$$x_{[n]}^j = (x_{[n]}^{j-1} x_1)(x_2 x_3 \cdots x_n) \in I^{j+1}.$$

It follows that

$$x_{[n]}^{k-1} = x_{[n]}^j x_{[n]}^{k-j-1} = (x_{[n]}^j x_1^{k-j-1})(x_2 x_3 \cdots x_n)^{k-j-1} \in I^k,$$

a contradiction. \square

Examples 3.3. (a) The ideal

$$I = (x_1x_2 \cdots x_{n-1}, x_1x_n, x_2x_n, \dots, x_{n-1}x_n)$$

in $S = K[x_1, \dots, x_n]$ satisfies the conditions (a)(ii) and (b)(ii) of Proposition 3.1 for $k = 2$. Hence $\text{depth}(S/I^2) = 0$.

(b) Let $n = 2d - 1$ and I a monomial ideal of $S = K[x_1, \dots, x_n]$ generated by squarefree monomials of degree d . Then the condition (a)(ii) in Proposition 3.1 is satisfied for $k = 2$. Thus if a squarefree monomial w belongs to $\text{Soc}(S/I^2)$, then w must be $x_{[n]}$. Hence $\text{depth } S/I^2 = 0$ if and only if I satisfies for $k = 2$ the condition (b)(ii) in Proposition 3.1.

For example, if I is generated by the following squarefree monomials

$$\begin{aligned} & x_1x_2 \cdots x_d, \quad x_1x_{d+1}x_{d+2} \cdots x_{2d-1}, \\ & x_ix_{d+1}x_{d+2} \cdots x_{2d-1} \quad \text{with} \quad 2 \leq i \leq d, \\ & x_2x_3 \cdots x_dx_j \quad \text{with} \quad d+1 \leq j \leq 2d-1, \end{aligned}$$

then $\text{depth } S/I^2 = 0$.

Example 3.3(b) shows that for any odd integer $n > 1$ there exists a squarefree monomial ideal $I \subset K[x_1, \dots, x_n]$ generated in degree $d = (n+1)/2$ such that $\text{depth } S/I^2 = 0$.

On the other hand for a squarefree monomial ideal generated in degree $d > (n+1)/2$ one has $\text{depth } S/I^2 > 0$, as follow from

Corollary 3.4. *Let $I \subset K[x_1, \dots, x_n]$ be a squarefree monomial ideal generated in the single degree d .*

- (a) *If $d > ((k-1)n+1)/k$, then $\text{depth } S/I^k > 0$.*
- (b) *For all positive integer d, k and n such that $d = ((k-1)n+1)/k$, there exists a squarefree monomial ideal $I \subset K[x_1, \dots, x_n]$ generated in degree d such that $\text{depth } S/I^k = 0$.*
- (c) *If $d = ((k-1)n+1)/k$ and $\text{depth } S/I^k = 0$. Then $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$ and $\text{depth } S/I^\ell = 0$ for all $\ell \geq k$.*

Proof. (a) Let F_1, \dots, F_k subset of $[n]$ of cardinality d . We first show by induction on i , that $|\bigcap_{j=1}^i F_j| > ((k-i)n+i)/k$. The assertion is trivial for $i = 1$. By using the induction hypothesis, we see that

$$|\bigcap_{j=1}^i F_j| \geq |\bigcap_{j=1}^{i-1} F_j| + |F_i| - n > \frac{(k-i+1)n + (i-1)}{k} + \frac{(k-1)n+1}{k} - n = \frac{(k-i)n+i}{k},$$

as desired.

It follows that any intersection of k subsets of $[n]$ of cardinality d admits more than one elements. Therefore I satisfies condition (a)(ii) of Proposition 3.1, but violates condition (b)(ii).

Since condition (a)(ii) is satisfied, it follows from Proposition 3.1 that $x_{[n]}^{k-1} \notin I^k$. Thus, if we assume that $\text{depth } S/I^k = 0$, Corollary 1.2 implies that $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$. However, since condition (b)(ii) is violated, this is not possible.

(b) Suppose that $d = ((k-1)n+1)/k$. Then there exists an integer $r \geq 0$ such that $d = (r+1)k - r$ and $n = (r+1)k + 1$. Consider the monomial ideal I generated by all

squarefree monomials of degree d in $K[x_1, \dots, x_n]$. By [2, Corollary 3.4] one has

$$\text{depth } S/I^k = \max\{0, n - k(n - d) - 1\}.$$

Since $n - k(n - d) - 1 = (r + 1)k + 1 - k(r - 1) - 1 = 0$, the assertion follows.

(c) Let $u \in \text{Soc}(S/I^k)$, $u = x_1^{a_1} x_2^{a_2} \dots x_n^{a_n}$. Then, by Corollary 1.2, $a_i \leq k - 1$ for all i , and hence $\deg u \leq (k - 1)n = kd - 1$. On the other hand, since $ux_i \in I^k$, it follows that $\deg u + 1 \geq kd$. Thus we conclude that $\deg u = kd - 1 = (k - 1)n$, which is only possible if $u = x_{[n]}^{k-1}$. Let $\ell > k$ and let v be a generator of $I^{\ell-k}$. Then $uvx_i \in I^{\ell+1}$, but $uv \notin I^\ell$, because

$$\deg uv = (kd - 1) + (\ell - k) \leq kd - 1 + (\ell - k)d = \ell d - 1 < \ell d.$$

This shows that $uv \in \text{Soc}(S/I^\ell)$, and consequently $\text{depth } S/I^\ell = 0$, as required. \square

Examples 3.5. Let $k \geq 2$, and assume that $d = ((k-1)n+1)/k$. Then $n = (kd-1)/(k-1)$, and this is an integer if and only if $d \equiv 1 \pmod{k-1}$. One solution is $d = k$. Then $n = k+1$. With these data we may choose the ideal $I \subset S = K[x_1, \dots, x_n]$ generated by all squarefree monomials of degree $d = k = n - 1$. Then obviously I satisfies the conditions (a)(i) and (b)(i) of Proposition 3.1. Thus $x_{[n]}^{k-1} \in \text{Soc}(S/I^k)$. In particular, $\text{depth } S/I^k = 0$. It is shown in [2] that $\text{depth } S/I^j > 0$ for $j < k$. (This also follows from Corollary 3.2). This example shows that arbitrary high powers of a squarefree monomial ideal may have a maximal socle.

It is known by a result of Brodmann [1] (see also [2]) that the depth function $f(k) = \text{depth } S/I^k$ is eventually constant. In [3] the smallest number k for which $\text{depth } S/I^k = \text{depth } S/I^j$ for all $j \geq k$, is denoted by $\text{dstab}(I)$. In [4] it is conjectured that $\text{dstab}(I) < n$ for all graded ideals in $K[x_1, \dots, x_n]$. Corollary 3.2 together with Corollary 3.4(c) show that this conjecture holds true for a squarefree monomial ideal $I \subset K[x_1, \dots, x_n]$ generated in degree $d = ((k-1)n+1)/k$ for which $\text{depth } S/I^k = 0$.

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