

Trends in transmission and mortality rates of the Covid-19 pandemic estimated from publicly available data

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Abstract

A simple compartment model of Covid-19 infections and deaths is applied to publicly available data to estimate trends and transmission and mortality rates using random effects. Model estimates of infections and deaths match observations closely. Trends in estimated transmission rate vary substantially between geographic areas. Transmission rates were suppressed below 0.007da^{-1} by the end of May in some areas, but often rebounded when social constraints were relaxed. Mortality rates of individuals infected with Covid-19 fell to less than 0.001da^{-1} in most areas by the end of July. These results show that publicly available data, often collected and compiled with different protocols, can be used to quantitatively estimate trends in transmission and mortality rate.

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Introduction

The sudden advent of the Covid-19 pandemic provoked many political jurisdictions to advise people to “shelter in place” and to practice “social distancing”. If this advice has been effective, it should be possible to detect the effects of the advice by comparing changes in transmission rates over time and between areas. SIR models are often applied to the spread of epidemics and have certainly been applied to the current Covid-19 pandemic (e.g. Chen et al. 2020; Roques et al. 2020). These models divide the affected the effected population into three compartments: susceptible (S), Infected (I) and Recovered (R). SIR models are usually expressed as coupled ordinary differential equations,

$$\frac{dS}{dt} = -\beta \frac{IS}{N} - \mu S \quad (1)$$

$$\frac{dI}{dt} = \beta \frac{IS}{N} - \mu I - \gamma I \quad (2)$$

$$\frac{dR}{dt} = -\mu R + \gamma I \quad (3)$$

$$N = S + I + R \quad (4)$$

where N is the population size, β is the instantaneous rate ($[t^{-1}]$), μ is the instantaneous mortality rate ($[t^{-1}]$), and γ is the instantaneous recovery rate ($[t^{-1}]$).

As the pandemic began to unfold, scientific institutes and governments at different levels began to make data publicly available on the World Wide Web. Unfortunately, data collection protocols may vary between institution over time. Additionally, few data sets include data for each of the compart-

ments in a SIR model. The New York Times’ “historical” data set¹ is an easily accessible source of data and is updated daily. These data comprise daily totals of “cases” and “deaths” for each county in the United States. I assume that the data included as “cases” are a reasonable approximations of the Infected compartment (I) in a SIR model. There are simply no credible data of comparable scope on either the Susceptible or the Recovered compartments.

Model Structure

I make some simplifying assumptions in the face of incomplete data: (1) The entire population is susceptible so that $S/N = 1$. (2) Over the short term, the size of the Susceptible compartment does not change, $\frac{dS}{dt} = 0 = \frac{dN}{dt}$, eliminating the Susceptible compartment. (3) People who recover from a Covid-19 infection return to the Susceptible compartment, eliminating the Recovered compartment. With these assumptions, and with the addition of a “deaths” compartment, the simplified SIR model is

$$\frac{dI}{dt} = \beta I - \mu I - \gamma I \quad (5)$$

$$\frac{dD}{dt} = \mu I \quad (6)$$

Most importantly this model has state variables that might be matched to available observations.

The available data contain measurement errors of various types. Definitions and methods of detecting and reporting the numbers of infected

¹<https://github.com/nytimes/covid-19-data/>

persons and numbers of deaths attributable to Covid-19 have changed since January of 2020, are continuing to evolve, and can be expected to change in the future. Reporting protocols also vary between political jurisdictions (or “geographies” in the parlance of the New York Times). Finally, there is additional variability in the biosocial processes that mediate disease transmission.

State-space models separate variability in the biosocial processes in the system (transition model) from errors in observing features of interest in the system (observation model). (See Harvey 1990).

The general form of a state-space process or transition model is

$$\alpha_t = T(\alpha_{t-1}) + \Theta_t \quad (7)$$

where α_t is the state at time t and the function T embodies the dynamics mediating the development of the state at time t from the state at the previous time with random process error, Θ_t .

The transition model for the simplified SIR model is constructed from the explicit finite difference approximations of equations (5) and (6) with associated log-normal random errors.

$$I_t = I_{t-\Delta t} (1 + \Delta t (\beta_{t-\Delta t} - \mu_{t-\Delta t} - \gamma_{t-\Delta t})) e^{\eta_t} \quad (8)$$

$$D_t = (D_{t-\Delta t} + \Delta t \mu_{t-\Delta t} I_{t-\Delta t}) e^{\eta_t} \quad (9)$$

where η is a normal random deviate, $\eta \sim N(0, \sigma_\eta)$, representing temporal variability in the biosocial factors that mediate the spread of the pandemic. I have no particular justification, beyond the parsimony principle, for the

assumption that the variance, σ_η , of the processes for I and D , should be the same.

The rate constants in the SIR model differential equations (in this case β , μ and γ) are often assumed to be invariant. This biological assumption clearly conflicts with the social assumptions that behavioral modification can reduce transmission rates and that medical advances can reduce both transmission and mortality rates. One approach to modeling time-dependent rates of transmission and mortality, β and μ , is to treat them as random effects (Skaug and Fournier 2006). Random effects are appropriate if repeating a time series of observations would not yield the same outcome as the initial observations. Random effects are also appropriate when observing the same process in two different areas. I model the β and μ time series as log-normal random walks. I assume that

$$\log \beta_t = \log \beta_{t-\Delta t} + \varepsilon; \quad \varepsilon \sim N(0, \sigma_\beta) \quad (10)$$

$$\log \mu_t = \log \mu_{t-\Delta t} + \varrho; \quad \varrho \sim N(0, \sigma_\mu) \quad (11)$$

A similar approach has been used by fisheries scientists to represent ill-determined parameters in fisheries stock assessment models, such as time-dependent fishing induced mortality (Nielsen and Berg 2014; Sibert 2017).

The recovery rate, $\gamma_{t-\Delta t}$, in equation (8) is computed algebraically as

$$\gamma_{t-\Delta t} = \beta_{t-\Delta t} - \mu_{t-\Delta t} + \left(1 - \frac{I_t}{I_{t-\Delta t}}\right) \quad (12)$$

The general form of the state-space observation model is

$$x_t = O(\alpha_t) + \Omega_t \quad (13)$$

where the function O describes the measurement process with error Ω in observing the state α .

I applied separate observation error models for cases and deaths. The observation model for cases is a simple log-normal error

$$\log \varphi_t = \left(\log \frac{1}{\sqrt{2\pi\sigma_I^2}} - \left(\frac{\log I_t - \log \hat{I}_t}{\sigma_I} \right)^2 \right) \quad (14)$$

where I is the observed number of cases and \hat{I} is the number of cases predicted by equation 8.

Not all those afflicted by Covid-19 have died; there are far fewer deaths than infections. In addition, the observed time series for both I and D begins at the first recorded case, i.e. at time $t = 0, I_t \geq 1$. The first recorded death occurs several days or weeks after the first recorded case. Therefore the deaths time-series inevitably contains a substantial number of initial recorded zeros. The observation model for deaths accommodates observed zeroes by assuming to be “zero-inflated” log normal likelihood given by

$$\log \varepsilon_t = \begin{cases} D_t > 0 : & (1 - p_0) \cdot \left(\log \frac{1}{\sqrt{2\pi\sigma_D^2}} - \left(\frac{\log D_t - \log \hat{D}_t}{\sigma_D} \right)^2 \right) \\ D_t = 0 : & p_0 \cdot \log \frac{1}{\sqrt{2\pi\sigma_D^2}} \end{cases} \quad (15)$$

where D is the observed number of deaths, \hat{D} is the number of deaths predicted by equation 9, and p_0 is the proportion of observed deaths equal to zero.

Model parameters are estimated by maximizing the joint likelihood of the process errors, observation errors, and random effects.

$$L(\theta, \alpha, x) = \prod_{t=1}^m [\phi(\alpha_t - T(\alpha_{t-1}), \Theta)] \cdot \prod_{t=0}^m [\phi(x_t - O(\alpha_t), \Omega)] \quad (16)$$

Table 1: List of model variables for the simple SIR model, `simpleSIR4`. There are two state variables computed from the of estimated parameters and random effects. There are two random effects and five estimated variance parameters. All models variables are represented in the TMB C++ module as their natural logarithms.

| Variable | Definition |
|------------------------------|--|
| <i>State variables:</i> | |
| I | Number of infected individuals |
| D | Number of deaths |
| <i>Random effects:</i> | |
| β_t | Transmission rate; log-normal random walk |
| μ_t | Mortality rate; log-normal random walk |
| <i>Estimated parameters:</i> | |
| σ_I | Infectious compartment estimation standard deviation |
| σ_D | Deaths compartment estimation standard deviation |
| σ_η | Standard deviation of transmission and deaths process errors |
| σ_β | Standard deviation of transmission rate random walk |
| σ_μ | Standard deviation of mortality rate random walk |

where m is the number of days elapsed since the first recorded case, x_t is the vector of daily observations of cases and deaths, α_t is the vector of the daily calculations of the state variables and random effects, and θ is a vector of model parameters (Table 1). The R package TMB (Kristensen et al. 2016) was used to estimate the parameters of the model. The R and supporting C++ files are available on github.²

Results

Six months after the pandemic began spreading in the United States, it was obvious that some areas were more successful than other in controlling the spread of the Covid-19 virus. Trends in the per-capita number of cases in the thirty most populous counties in the United States are shown in Figure 1. These trajectories fall into two more or less distinct groups: those that are concave downward, e.g. Nassau Co. NY (NaNY), indicating successful control, and those that are concave upward, e.g. Miami-Dade Co. FL (MDFL), indicateing less successful control.

Prevalence histories for counties representative of concave downward and concave upward trajectories are shown in Figure 2. The 11-day moving averages of the daily increases in cases and deaths is a good indicator of the relative success in controlling the outbreak. The cumulative trends in number of cases is equivalent to the per capita trends in Figure 1. All histories show extreme day to day variability. Variability is most notable in the deaths time series, particularly for smaller counties.

²simpleSIR4 at <https://github.com/johnrsibert/SIR-Models>

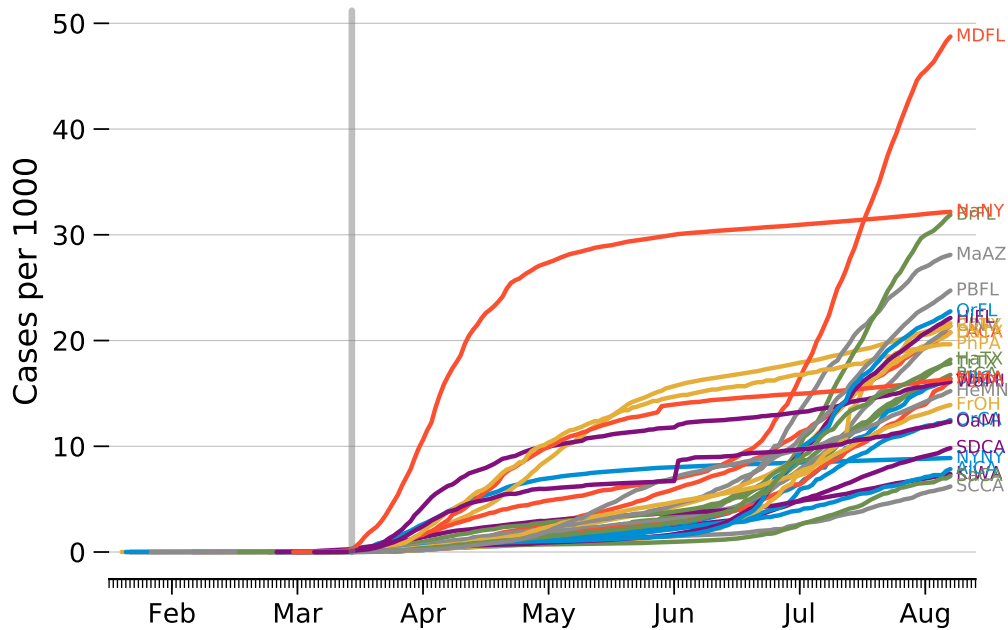


Figure 1: Trends in number of cases per 1000 people in the 30 most populous US counties. The vertical gray bar mark the March 19, 2020 California shelter in place order. See Table A.1 for key to county abbreviations.

The **simpleSIR4** model estimates two random effects and five parameters. In principle, all random effects and parameters are estimated simultaneously. Initial experiments with the model showed that several different numerical algorithms used to find the minimum of the log likelihood function were unable to easily reach a solution. Minima were reached for some counties, but most attempts terminated prematurely. Inspection of the diagnostic plots for the model showed that predicted values of cases and deaths matched observed values almost exactly with unrealistically low estimates of $\sigma_{\ln I}$ and $\sigma_{\ln D}$.

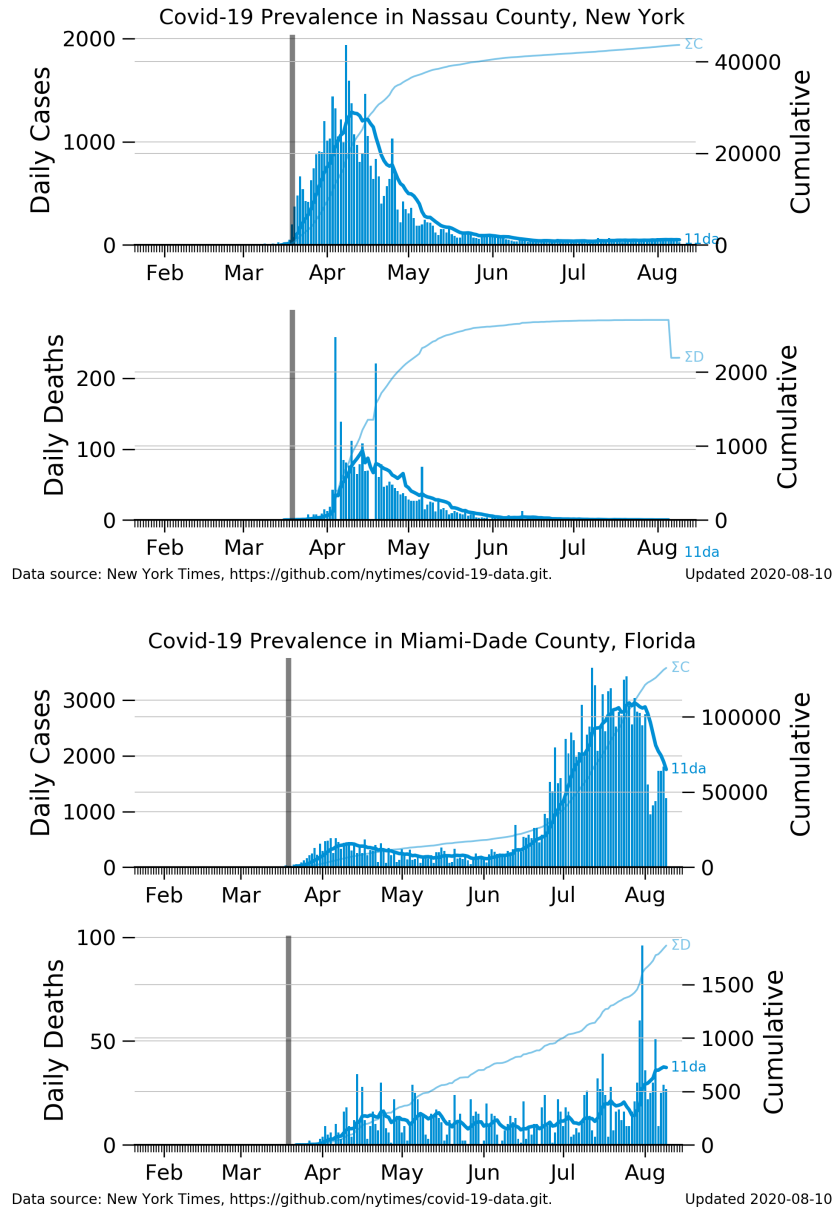


Figure 2: Prevalence trajectories for two US counties. Blue bars indicate daily increases in cases and deaths; dark blue lines enclosing the bars indicate 11 day moving averages of daily increases (labeled “11da”); pale blue lines indicate cumulative numbers (labeled ΣC and ΣD); vertical gray bar marks the March 19, 2020 California shelter in place order.

The extreme variability in the data is reflected in the extreme variability of the estimated trends in transmission and mortality rates estimated by the unconstrained 5 parameter model. See Appendix figures C.3 and C.4 for details.

The `simpleSIR4` model is easily configured with selected parameters fixed at constant values. All subsequent analysis focused on models with $\sigma_{\ln I} = 0.223$ and $\sigma_{\ln D} = 0.00953$. These standard deviations are equivalent to measurement errors of approximately 25% in reporting cases and 10% in reporting deaths. The algorithm converges to a solution in all cases, and converges rapidly using gradient methods.

Diagnostic information for the model estimates is plotted on logarithmic scales both to illustrate the lognormal likelihood functions used in the observation model, equations (14) and (15) and to illustrate trends in estimated transmission and mortality rates close to zero. The blue '+' symbols represent the observed cases (I) and deaths (D). The red lines overlaying the symbols are model predictions (\hat{I}) and (\hat{D}) of cases and deaths. The shaded areas bounded by red outlines are ± 2 estimated standard deviations, $\sigma_{\ln I}$ and $\sigma_{\ln D}$ in the observation model, around the estimated trends. The solid blue lines in the transmission rate $\ln \beta$ and mortality rate $\ln \mu$ diagnostic plots are the estimated transmission and death rate random effects. The shaded areas bounded by blue outlines are estimated random effects ± 2 estimated standard errors of the random effect. The red lines labeled $\tilde{\beta}$ and $\tilde{\mu}$ are the medians of the estimated random effects over the time period.

Diagnostic plots for the constrained model are shown in figures 3 and 4

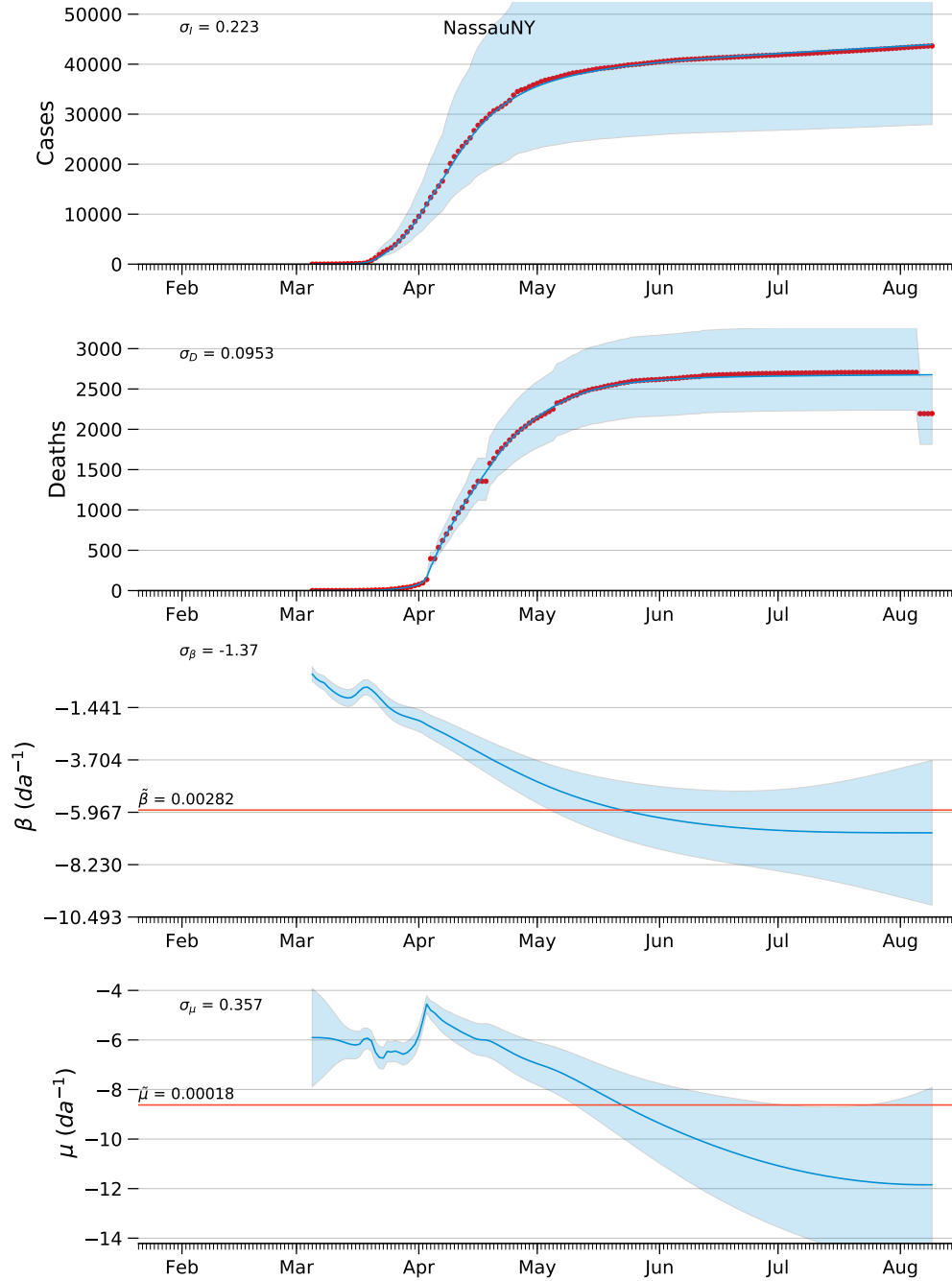


Figure 3: Diagnostic plots of model estimates for Nassau County, NY, with constraints of the observation model variance, $\sigma_{\ln I} = 0.223$ and $\sigma_{\ln D} = 0.00953$. See page 11 for explanation of figure.

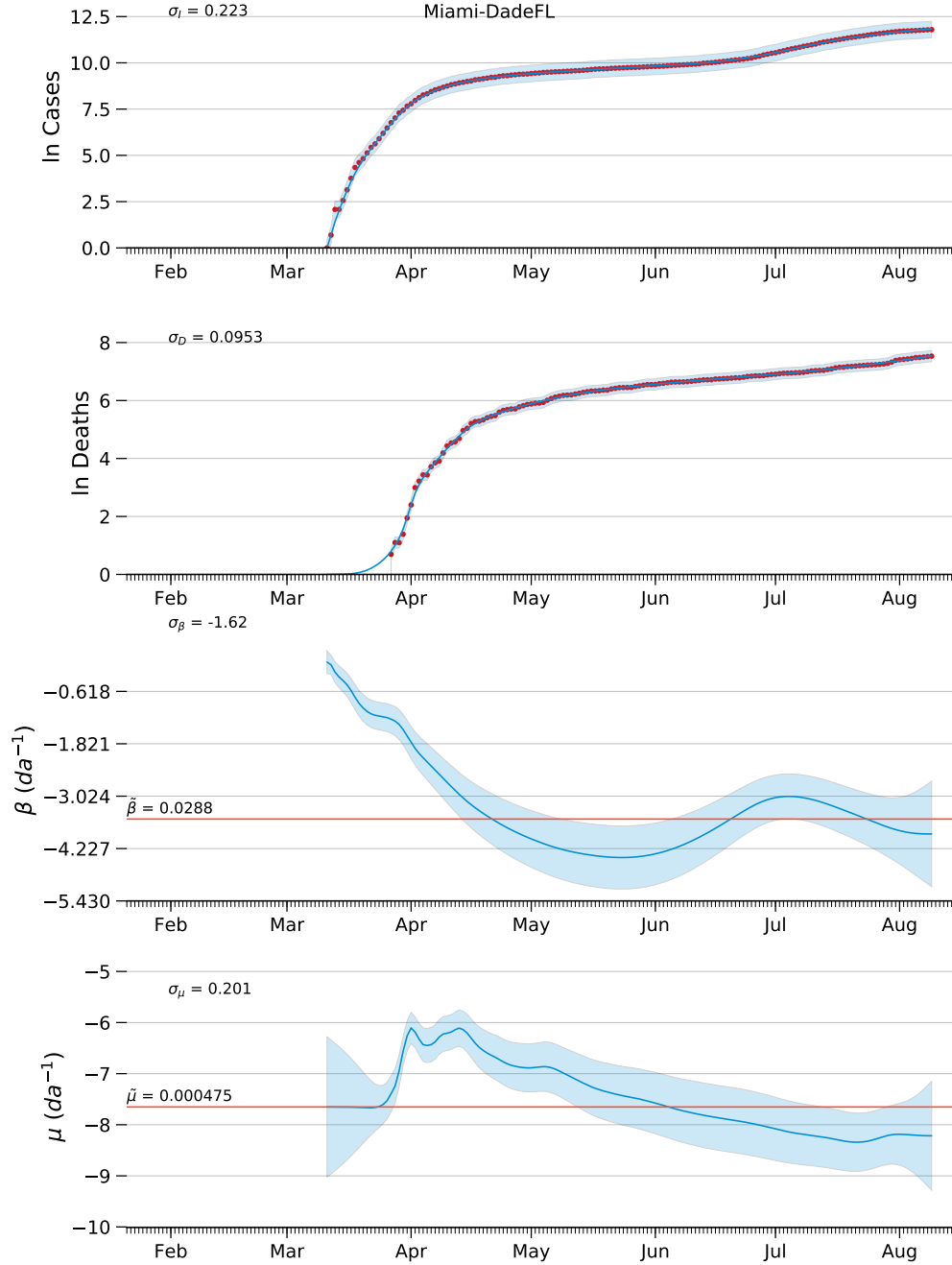


Figure 4: Diagnostic plots of model estimates for Miami-Dade County,FL, with constraints of the observation model variance, $\sigma_{\ln I} = 0.223$ and $\sigma_{\ln D} = 0.00953$. See page 11 for explanation of figure.

for convex downward and convex upward trajectories respectively. Estimated cases and deaths agree well with observation throughout the time series because of constraints on the observation model errors. Distinct trends in transmission rate estimates are plainly evident for the two prevalence patterns. A small, but distinct, transitory upward “bump” in July is evident for the convex downward example, Miami-Dade Co. FL. Estimated mortality rates trend generally downward for both patterns.

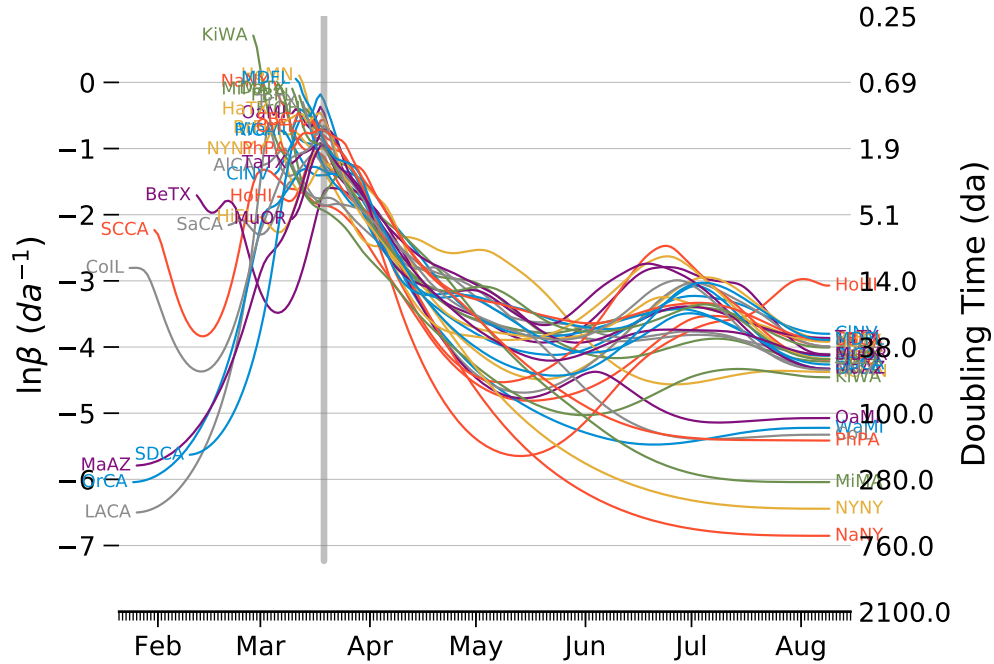


Figure 5: Estimated natural logarithms of the transmission rate for thirty two US counties using the constrained `simpleSIR4` model. Equivalent doubling times ($t_2 = \frac{\ln 2}{\exp(\ln \beta)}$) are shown on the right-hand ordinate. See Table A.1 for key to county abbreviations.

Figure 5 compares estimated transmission rate among counties. Trans-

mission rates increased rapidly immediately after the first recorded case, and by early March the instantaneous transmission rate was greater than 1da^{-1} ($\ln \beta \approx 0$), equivalent to a doubling time of less than one day. Beginning in April, transmission rates fell substantially, and doubling times increased to longer than 20 days in some counties by late May. Counties with estimated transmission rates less than 0.007da^{-1} (or $\ln \beta \leq 5$) at the end of May correspond roughly to those counties with concave downward prevalence trajectories. Figure 6 is a simplified presentation of estimated transmission rate between counties that compare counties with sustainable suppression of transmission (Cook Co, IL, Nassau Co, NY) with two counties that have suffered a resurgence of cases (Honolulu Co, HI and Miami-Dade Co FL). The Honolulu example indicates that simply suppressing the transmission rate to a point where the doubling time is greater than 100 days does not ensure a sustainable outcome. The regions enclosed by ± 2 standard errors show that estimated transmission rates these four counties were similar in April and May, but diverged significantly in June to become distinct in August.

Figure 7 compares estimated mortality rate among counties. Initial mortality rates were quite variable during the first months of the pandemic and but rose quickly to around 0.01da^{-1} ($\ln \mu \approx 4.6$) in April. Subsequently the estimated mortality rates decreased similarly for all counties and appear to have leveled off in August to lows near 0.0003da^{-1} ($\ln \mu \approx -8$) in August.

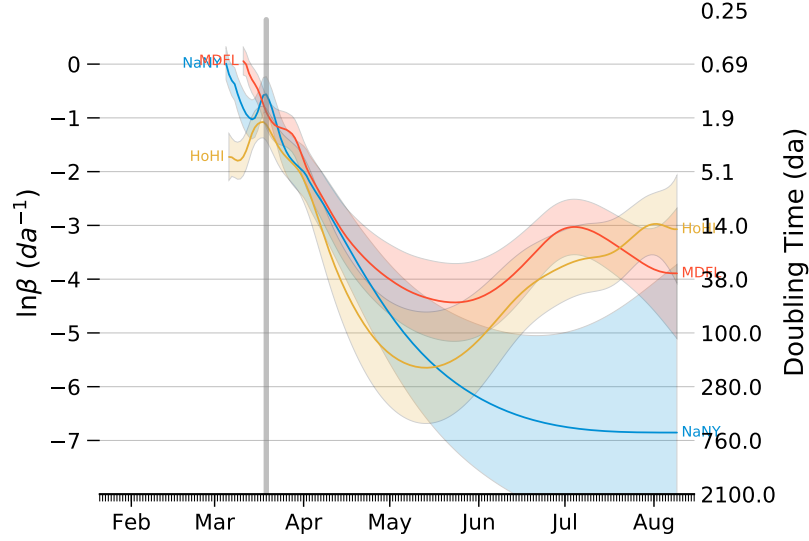


Figure 6: Estimated natural logarithms of the transmission rate for four US counties using the constrained `simpleSIR4` model. The shaded areas indicate the estimated random effect ± 2 estimated standard errors. Equivalent doubling times ($t_2 = \frac{\ln 2}{\exp(\ln \beta)}$) are shown on the right-hand ordinate. See Table A.1 for key to county abbreviations.

Discussion

Nonlinear statistical models with multiple parameters rely on numerical methods to estimate parameters by searching for minima in the negative of the likelihood function. The parameter values at the minima are considered to be maximum likelihood estimators. The minimization algorithms applied to unconstrained `simpleSIR4` do not reliably converge to solutions. The standard deviations in the observation model are components of the likelihood, and the algorithm pushes these parameters toward unrealistically low estimates. Setting the values of $\sigma_{\ln I}$ and $\sigma_{\ln D}$ to arbitrarily small constants

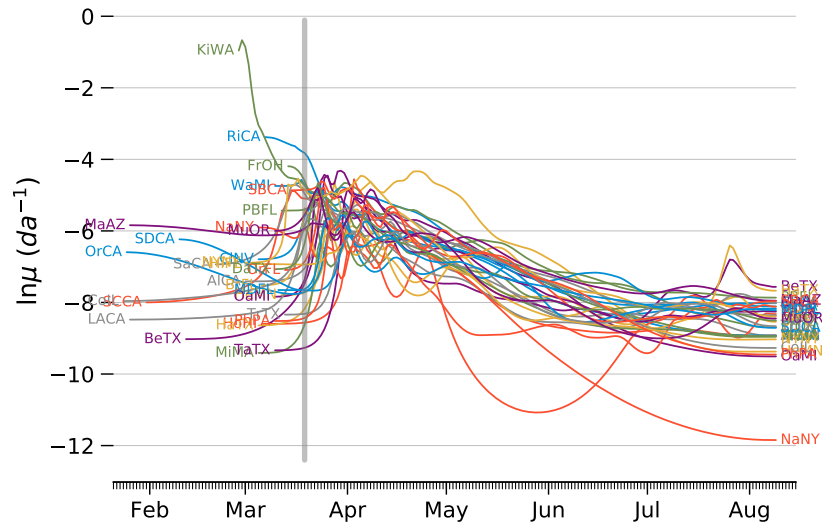


Figure 7: Estimated natural logarithms of the mortality rate for thirty two US counties using the constrained `simpleSIR4` model. See Table A.1 for key to county abbreviations.

allows the algorithm to estimate the other parameters.

The trends in estimated transmission rate Figure 5 seem reasonable. The extremely high transmission rates in March agree well with doubling times reported in newspaper articles at the time. The steady decline of transmission rates after shelter-in-place advice is also consistent with casual observation. The transmission rates estimated for several counties appear to trend upward, at least briefly, in July (figure 5). Counties that display this upward deflection are also counties that have not been successful in controlling spread of the outbreak

The incubation time of the Covid-19 virus is generally considered to be about 14 days (**Someone2020**). The trends in Figure 5 in conjunction with the observed trends suggest that sustainable containment of the pandemic does not occur unless the instantaneous transmission rate is forced below $0.018da^{-1}$, that is, unless the doubling time is greater than 35 days, approximately twice the incubation period.

The magnitude and variability of the mortality rate estimates (Figure 7) at the start of the time series may be a result of variable lags between between the first recorded case and the first recorded death. The first recorded death in King Co. WA occurred on the second day of the time series when four cases were recorded. In contract, the first recorded death in Middlesex Co. MA occurred on the sixteenth day of the time series when 177 cases were recorded.

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Appendices

A Abbreviation Key

Table A.1: Key to county name abbreviations.

| Key | County | State | Key | County | State |
|------|--------------|-------|------|----------------|-------|
| AlCA | Alameda | CA | MuOR | Multnomah | OR |
| BeTX | Bexar | TX | NYNY | New York City | NY |
| BrFL | Broward | FL | NaNY | Nassau | NY |
| CINV | Clark | NV | OaMI | Oakland | MI |
| CoIL | Cook | IL | OrCA | Orange | CA |
| DaTX | Dallas | TX | OrFL | Orange | FL |
| FrOH | Franklin | OH | PBFL | Palm Beach | FL |
| HaTX | Harris | TX | PhPA | Philadelphia | PA |
| HeMN | Hennepin | MN | RiCA | Riverside | CA |
| HiFL | Hillsborough | FL | SBCA | San Bernardino | CA |
| HoHI | Honolulu | HI | SCCA | Santa Clara | CA |
| KiWA | King | WA | SDCA | San Diego | CA |
| LACA | Los Angeles | CA | SaCA | Sacramento | CA |
| MDFL | Miami-Dade | FL | TaTX | Tarrant | TX |
| MaAZ | Maricopa | AZ | TrTX | Travis | TX |
| MiMA | Middlesex | MA | WaMI | Wayne | MI |

B Estimation results

Table B.1: Model results. Estimating β and μ trends as random effects with constraints on σ_I and σ_D . Counties sorted in order of decreasing transmission rate (β). Data updated 2020-08-04 from <https://github.com/nytimes/covid-19-data.git>.2020-08-04

| County | n | p_0 | f | C | σ_η | σ_β | σ_μ | σ_I | σ_D | $\tilde{\gamma}$ | $\tilde{\beta}$ | $\tilde{\mu}$ |
|--------------------|-------|---------|--------|-----|---------------|----------------|--------------|------------|------------|------------------|-----------------|---------------|
| Nassau, NY | 151 | 0.0789 | -348 | 0 | 0.14 | 0.256 | 0.346 | 0.223 | 0.0953 | -1.22e-08 | 0.00322 | 0.000241 |
| New York City, NY | 155 | 0.0833 | -310 | 0 | 0.161 | 0.217 | 0.36 | 0.223 | 0.0953 | -2.36e-08 | 0.00533 | 0.000405 |
| Wayne, MI | 146 | 0.0544 | -336 | 0 | 0.14 | 0.229 | 0.16 | 0.223 | 0.0953 | -1.8e-08 | 0.00619 | 0.000875 |
| Oakland, MI | 146 | 0.068 | -332 | 0 | 0.137 | 0.226 | 0.426 | 0.223 | 0.0953 | -1.62e-08 | 0.0101 | 0.000594 |
| Philadelphia, PA | 146 | 0.102 | -340 | 0 | 0.126 | 0.175 | 0.425 | 0.223 | 0.0953 | -2.42e-08 | 0.0106 | 0.000532 |
| Middlesex, MA | 151 | 0.105 | -357 | 0 | 0.124 | 0.237 | 0.371 | 0.223 | 0.0953 | -1.25e-08 | 0.0107 | 0.000451 |
| King, WA | 157 | 0.0633 | -431 | 0 | 0.126 | 0.234 | 0.339 | 0.223 | 0.0953 | -8.63e-09 | 0.013 | 0.000481 |
| Franklin, OH | 142 | 0.0629 | -419 | 0 | 0.102 | 0.157 | 0.3 | 0.223 | 0.0953 | -1.76e-08 | 0.0208 | 0.00101 |
| Honolulu, HI | 150 | 0.166 | -484 | 0 | 0.0721 | 0.227 | 0.49 | 0.223 | 0.0953 | -5.15e-08 | 0.0215 | 0.000174 |
| Cook, IL | 192 | 0.275 | -473 | 0 | 0.101 | 0.234 | 0.217 | 0.223 | 0.0953 | -2.2e-07 | 0.0226 | 0.000466 |
| Alameda, CA | 155 | 0.141 | -465 | 0 | 0.0808 | 0.133 | 0.248 | 0.223 | 0.0953 | -3.45e-08 | 0.0231 | 0.000527 |
| Multnomah, OR | 146 | 0.0272 | -497 | 0 | 0.0798 | 0.181 | 0.318 | 0.223 | 0.0953 | -5.07e-08 | 0.0233 | 0.000362 |
| Santa Clara, CA | 185 | 0.204 | -586 | 0 | 0.071 | 0.237 | 0.274 | 0.223 | 0.0953 | -1.55e-07 | 0.0246 | 0.000352 |
| Los Angeles, CA | 190 | 0.236 | -454 | 0 | 0.102 | 0.305 | 0.244 | 0.223 | 0.0953 | -3.45e-07 | 0.0249 | 0.000423 |
| San Diego, CA | 175 | 0.244 | -430 | 0 | 0.0956 | 0.277 | 0.317 | 0.223 | 0.0953 | -2.63e-07 | 0.0267 | 0.000719 |
| Riverside, CA | 149 | 0.06 | -482 | 0 | 0.09 | 0.138 | 0.183 | 0.223 | 0.0953 | -2.72e-08 | 0.0294 | 0.000895 |
| Palm Beach, FL | 144 | 0.069 | -378 | 0 | 0.111 | 0.166 | 0.157 | 0.223 | 0.0953 | -1.54e-08 | 0.0302 | 0.000942 |
| Harris, TX | 151 | 0.0921 | -373 | 0 | 0.102 | 0.197 | 0.322 | 0.223 | 0.0953 | -2.44e-08 | 0.0302 | 0.000301 |
| Miami-Dade, FL | 145 | 0.11 | -342 | 0 | 0.133 | 0.197 | 0.206 | 0.223 | 0.0953 | -1.08e-08 | 0.0308 | 0.000473 |
| Orange, FL | 143 | 0.0208 | -418 | 0 | 0.101 | 0.21 | 0.435 | 0.223 | 0.0953 | -1.98e-08 | 0.0314 | 0.00024 |
| Clark, NV | 151 | 0.0724 | -436 | 0 | 0.1 | 0.158 | 0.218 | 0.223 | 0.0953 | -3.3e-08 | 0.0319 | 0.000743 |
| Travis, TX | 143 | 0.0972 | -359 | 0 | 0.1 | 0.19 | 0.271 | 0.223 | 0.0953 | -1.73e-08 | 0.0327 | 0.000319 |
| Tarrant, TX | 146 | 0.068 | -414 | 0 | 0.1 | 0.138 | 0.42 | 0.223 | 0.0953 | -3.12e-08 | 0.0328 | 0.000335 |
| Broward, FL | 150 | 0.0728 | -391 | 0 | 0.107 | 0.167 | 0.447 | 0.223 | 0.0953 | -2.15e-08 | 0.0333 | 0.000411 |
| Orange, CA | 191 | 0.313 | -519 | 0 | 0.0769 | 0.234 | 0.266 | 0.223 | 0.0953 | -3.73e-07 | 0.0334 | 0.000732 |
| Dallas, TX | 146 | 0.0612 | -388 | 0 | 0.11 | 0.17 | 0.309 | 0.223 | 0.0953 | -1.43e-08 | 0.0336 | 0.000504 |
| San Bernardino, CA | 141 | 0.0634 | -406 | 0 | 0.0999 | 0.14 | 0.198 | 0.223 | 0.0953 | -2.06e-08 | 0.0343 | 0.000794 |
| Sacramento, CA | 164 | 0.109 | -574 | 0 | 0.0666 | 0.174 | 0.252 | 0.223 | 0.0953 | -8.02e-08 | 0.0343 | 0.000428 |
| Hennepin, MN | 144 | 0.103 | -359 | 0 | 0.114 | 0.207 | 0.402 | 0.223 | 0.0953 | -1.24e-08 | 0.036 | 0.000917 |
| Hillsborough, FL | 155 | 0.16 | -461 | 0 | 0.0813 | 0.189 | 0.227 | 0.223 | 0.0953 | -7.15e-08 | 0.0373 | 0.00065 |
| Maricopa, AZ | 190 | 0.283 | -482 | 0 | 0.0897 | 0.235 | 0.158 | 0.223 | 0.0953 | -4e-07 | 0.0422 | 0.00188 |
| Bexar, TX | 173 | 0.224 | -478 | 0 | 0.0745 | 0.213 | 0.376 | 0.223 | 0.0953 | -7.61e-08 | 0.0461 | 0.000393 |
| Median | 150.5 | 0.09465 | -418.5 | 0 | 0.101 | 0.202 | 0.3045 | 0.223 | 0.0953 | -2.43e-08 | 0.0298 | 0.000477 |

Table B.2: Model results. Estimating β and μ trends as random effects without constraints on σ_I and σ_D . Counties sorted in order of decreasing transmission rate (β). Data updated 2020-08-04 from <https://github.com/nytimes/covid-19-data.git>. 2020-08-04

| County | n | p_0 | f | C | σ_η | σ_β | σ_μ | σ_I | σ_D | $\tilde{\gamma}$ | β | $\tilde{\mu}$ |
|--------------------|-------|---------|-------|-----|---------------|----------------|--------------|------------|------------|------------------|---------|---------------|
| Nassau, NY | 151 | 0.0789 | -1190 | 1 | 0.231 | 0.603 | 10.3 | 0.000183 | 3.29e-08 | -9.46e-09 | 0.00295 | 9.88e-05 |
| New York City, NY | 155 | 0.0833 | -1000 | 0 | 0.187 | 1.04 | 1.01 | 0.000436 | 0.000367 | -2.73e-08 | 0.00476 | 0.000234 |
| Oakland, MI | 146 | 0.068 | -836 | 1 | 0.16 | 1.55 | 1.18 | 9.12e-07 | 0.00426 | -1.91e-08 | 0.00669 | 0.000318 |
| Cook, IL | 192 | 0.275 | -1100 | 1 | 0.116 | 3.68 | 0.992 | 8.16e-08 | 8.01e-05 | -2.24e-07 | 0.00679 | 0.000201 |
| Wayne, MI | 146 | 0.0544 | -856 | 1 | 0.192 | 1.15 | 1.46 | 0.000795 | 0.000936 | -2.57e-08 | 0.00701 | 0.000362 |
| Philadelphia, PA | 146 | 0.102 | -728 | 1 | 0.159 | 1 | 1.98 | 0.00274 | 0.00396 | -3.1e-08 | 0.00964 | 0.000227 |
| Middlesex, MA | 151 | 0.105 | -836 | 0 | 0.162 | 1.05 | 1.24 | 0.000663 | 0.00204 | -1.56e-08 | 0.00995 | 0.000331 |
| King, WA | 157 | 0.00633 | -1080 | 1 | 0.147 | 0.474 | 0.909 | 0.00206 | 0.00224 | -5.99e-09 | 0.0133 | 0.000455 |
| Honolulu, HI | 150 | 0.166 | -1480 | 10 | 0.116 | 4.87 | 117 | 0.000734 | 3.7e-06 | -5.53e-08 | 0.0156 | 1.46e-11 |
| Santa Clara, CA | 185 | 0.204 | -1280 | 1 | 0.104 | 4.78 | 7.43 | 9.33e-08 | 2.29e-06 | -1.53e-07 | 0.0158 | 1.16e-07 |
| Sacramento, CA | 164 | 0.109 | -803 | 10 | 0.123 | 3.17 | 2.46 | 1.44e-06 | 0.0049 | -8.81e-08 | 0.0183 | 0.00016 |
| Multnomah, OR | 146 | 0.0272 | -894 | 1 | 0.119 | 0.896 | 3.35 | 0.0036 | 0.00226 | -6.79e-08 | 0.0234 | 5.44e-05 |
| Franklin, OH | 142 | 0.0629 | -819 | 1 | 0.123 | 0.462 | 1.3 | 0.00255 | 0.00368 | -1.42e-08 | 0.0239 | 0.000575 |
| Los Angeles, CA | 190 | 0.236 | -971 | 1 | 0.242 | 0.889 | 0.983 | 2.22e-06 | 0.00661 | -3.77e-07 | 0.024 | 0.000399 |
| Alameda, CA | 155 | 0.141 | -905 | 1 | 0.131 | 3.61 | 20.3 | 2.65e-05 | 6.68e-08 | -3.95e-08 | 0.0241 | 9.44e-10 |
| San Diego, CA | 175 | 0.244 | -850 | 1 | 0.161 | 1.08 | 1.23 | 4.71e-06 | 0.0103 | -2.91e-07 | 0.0247 | 0.000335 |
| Bexar, TX | 173 | 0.224 | -689 | 1 | 0.131 | 2.61 | 1.44 | 0.000397 | 0.0103 | -7.18e-08 | 0.0261 | 0.000256 |
| Orange, CA | 191 | 0.313 | -878 | 1 | 0.127 | 1.54 | 0.961 | 6.16e-06 | 0.053 | -4.02e-07 | 0.0268 | 0.000509 |
| Orange, FL | 143 | 0.0208 | -710 | 1 | 0.119 | 0.678 | 1.08 | 0.0015 | 0.0373 | -2.3e-08 | 0.027 | 0.000192 |
| Clark, NV | 151 | 0.0724 | -662 | 10 | 0.148 | 1.5 | 5.31 | 0.00247 | 1.25e-05 | -4.16e-08 | 0.0276 | 0.000322 |
| Tarrant, TX | 146 | 0.068 | -662 | 1 | 0.14 | 1.24 | 1.34 | 0.00891 | 0.00326 | -3.79e-08 | 0.0283 | 0.000269 |
| Harris, TX | 151 | 0.0921 | -429 | 1 | 0.116 | 0.27 | 0.965 | 0.156 | 0.0215 | -2.11e-08 | 0.0297 | 0.000289 |
| Palm Beach, FL | 144 | 0.069 | -507 | 0 | 0.137 | 0.337 | 1.31 | 0.0822 | 0.00588 | -1.16e-08 | 0.0302 | 0.000525 |
| San Bernardino, CA | 141 | 0.0634 | -567 | 1 | 0.146 | 1.74 | 2.74 | 0.0051 | 0.00586 | -1.74e-08 | 0.0304 | 0.000207 |
| Miami-Dade, FL | 145 | 0.11 | -722 | 10 | 0.156 | 0.639 | 0.961 | 6.89e-06 | 0.00814 | -9.55e-09 | 0.0313 | 0.000447 |
| Hennepin, MN | 144 | 0.103 | -726 | 0 | 0.14 | 0.72 | 1.35 | 0.00522 | 0.00203 | -9.13e-09 | 0.0314 | 0.00048 |
| Riverside, CA | 149 | 0.06 | -683 | 1 | 0.113 | 1.25 | 5.23 | 0.0174 | 2.17e-05 | -2.61e-08 | 0.0317 | 0.000718 |
| Maricopa, AZ | 190 | 0.283 | -932 | 1 | 0.105 | 1.23 | 1.26 | 5.43e-06 | 0.0164 | -4.2e-07 | 0.0322 | 0.000596 |
| Travis, TX | 143 | 0.0972 | -394 | 1 | 0.14 | 1.07 | 1.44 | 0.177 | 0.0131 | -1.67e-08 | 0.0329 | 0.000265 |
| Hillsborough, FL | 155 | 0.16 | -653 | 0 | 0.111 | 1.11 | 1.28 | 0.00477 | 0.0169 | -7.24e-08 | 0.0329 | 0.000393 |
| Broward, FL | 150 | 0.0728 | -584 | 1 | 0.13 | 0.23 | 1.63 | 0.0759 | 0.00289 | -2.03e-08 | 0.0331 | 0.000275 |
| Dallas, TX | 146 | 0.0612 | -529 | 1 | 0.13 | 0.303 | 1.26 | 0.0757 | 0.00757 | -1.04e-08 | 0.0349 | 0.000342 |
| Median | 150.5 | 0.09465 | -811 | 1 | 0.134 | 1.065 | 1.325 | 0.0011475 | 0.00382 | -2.67e-08 | 0.0254 | 0.0003035 |

C Diagnostic Plots

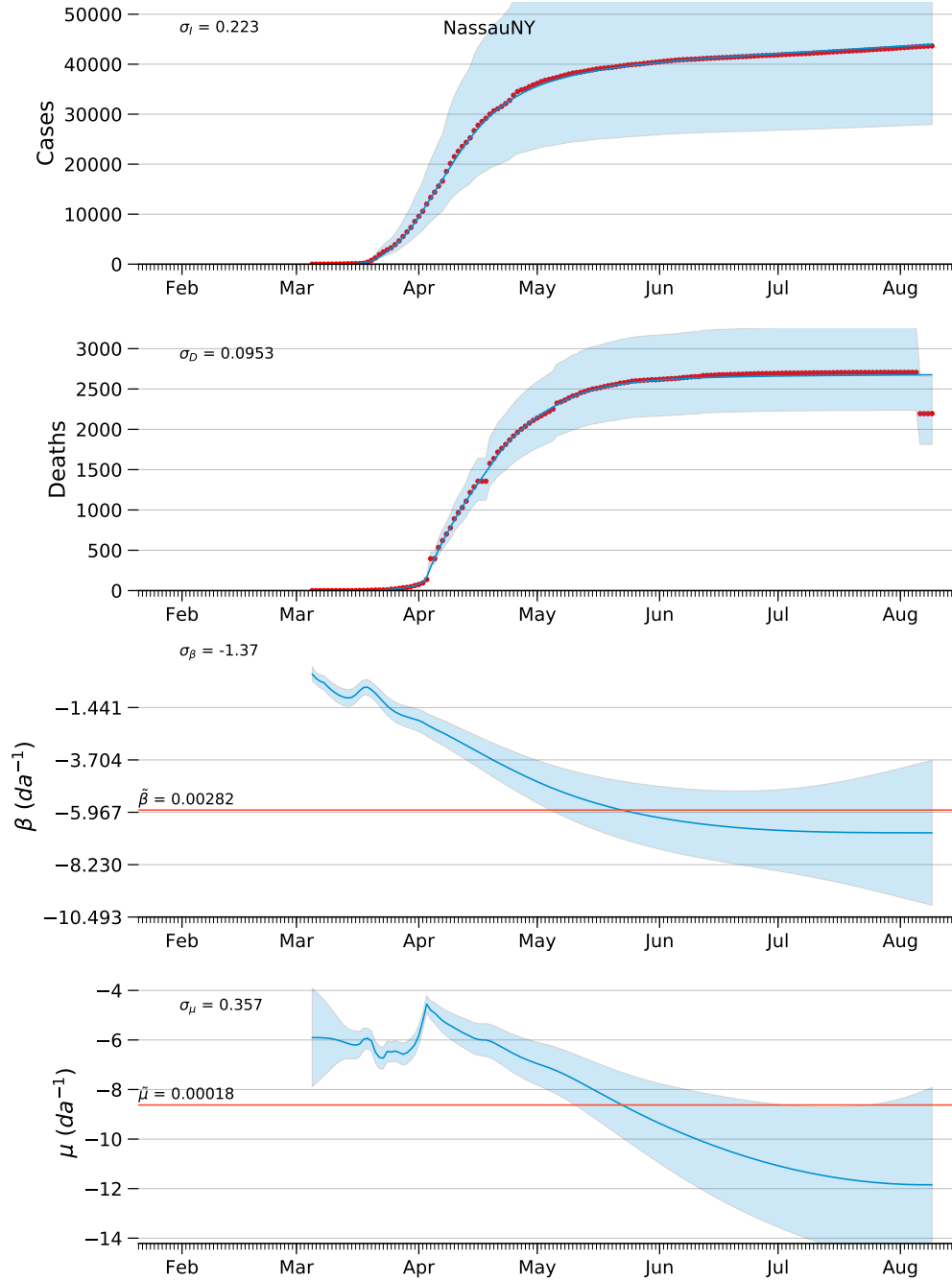


Figure C.1: Diagnostic plots of model estimates for Nassau County, NY, with constraints of the observation model variance, $\sigma_{\ln I} = 0.223$ and $\sigma_{\ln D} = 0.00953$. Cases and deaths plotted on arithmetic scale. See page 11 for explanation of figure.

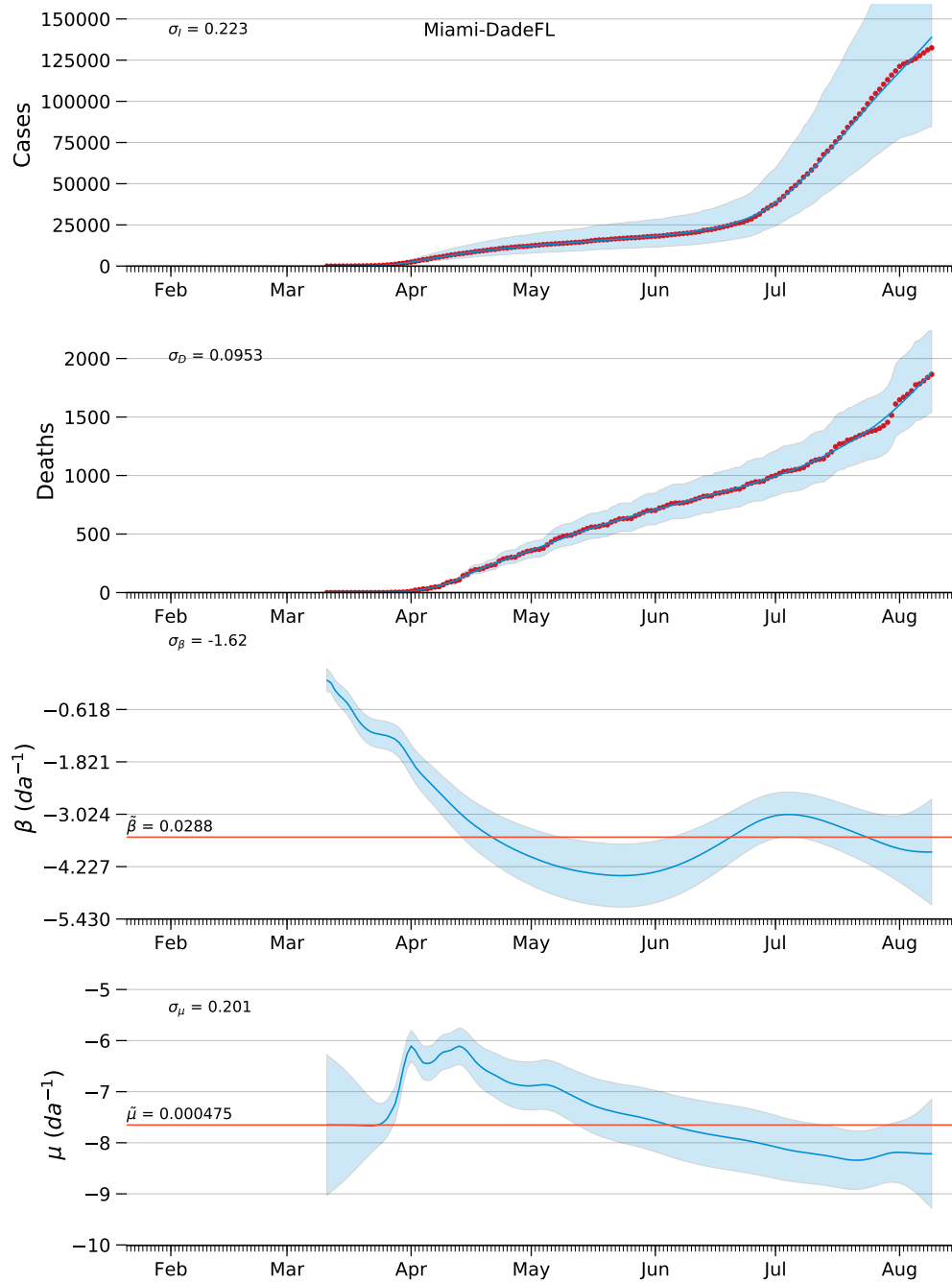


Figure C.2: Diagnostic plots of model estimates for Miami-Dade County, FL with constraints of the observation model variance, $\sigma_{\ln I} = 0.223$ and $\sigma_{\ln D} = 0.00953$. Cases and deaths plotted on arithmetic scale. See page 11 for explanation of figure.

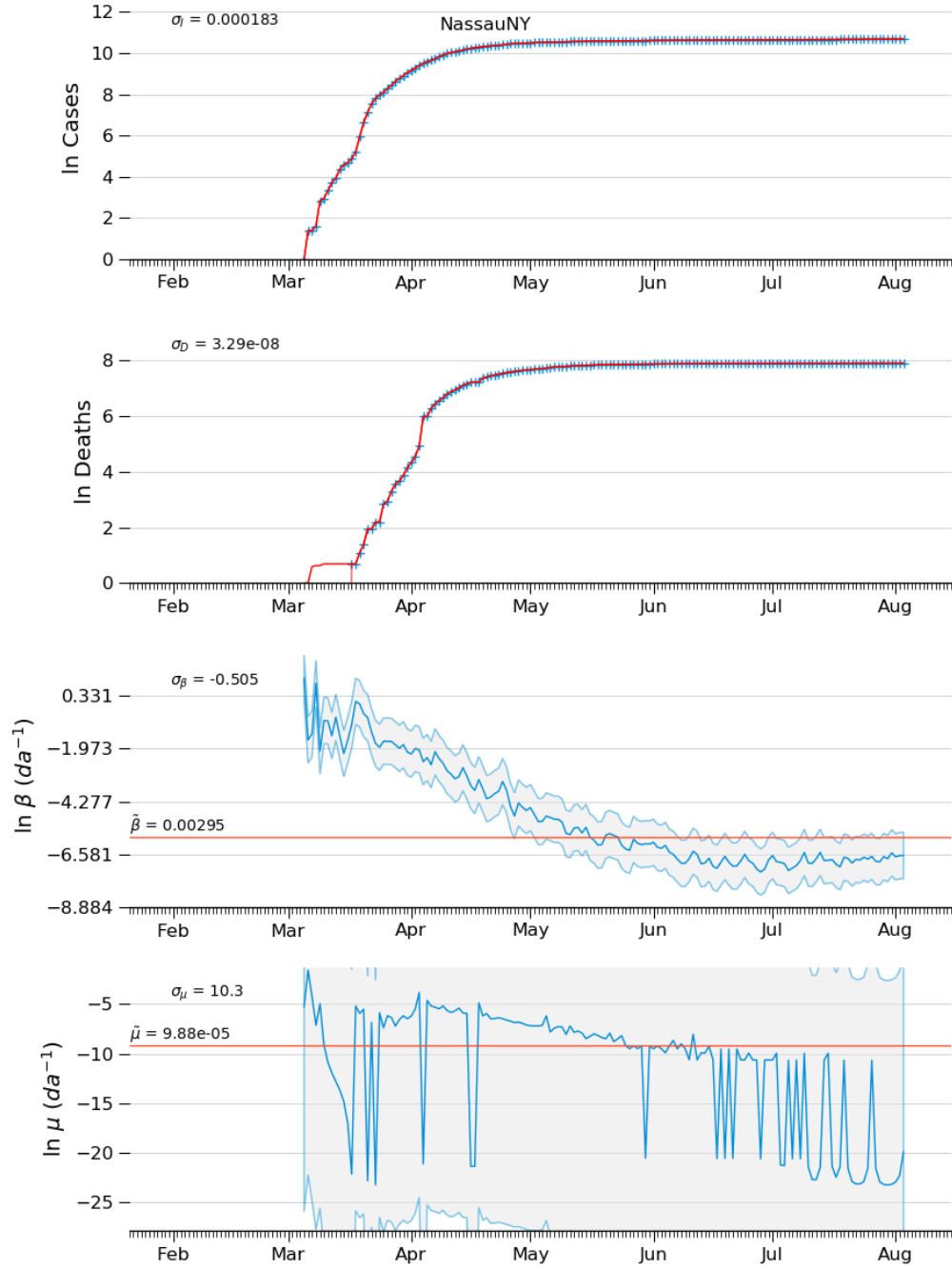


Figure C.3: Diagnostic plots of model estimates for Nassau County, NY, without constraints of the observation model variance. See page 11 for explanation of figure.

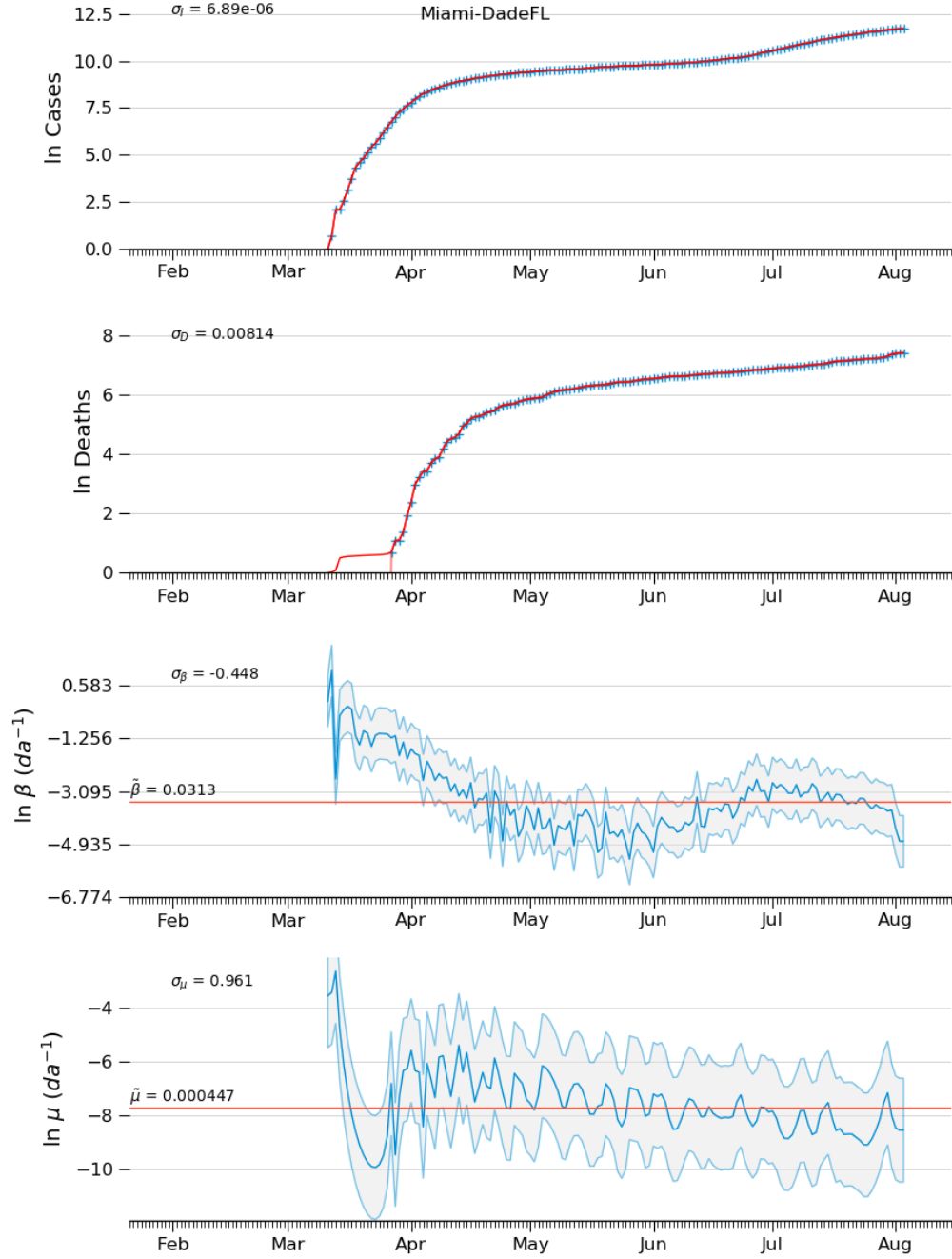


Figure C.4: Diagnostic plots of model estimates for Miami-Dade County, FL, without constraints of the observation model variance. See page 11 for explanation of figure.