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UTRAN Iub interface: signalling transport

(Release 16)

 

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# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

1 presented to TSG for information;

2 presented to TSG for approval;

3 or greater indicates TSG approved document under change control.

y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

The present document specifies the signalling transport related to NBAP signalling to be used across the Iub Interface. The Iub interface is a logical interface for the interconnection of Node B and Radio Network Controller (RNC) components of the UMTS Terrestrial Radio Access Network (UTRAN) for the UMTS system. The radio network control signalling between these nodes is based on the Node B application part (NBAP).

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

● References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

● For a specific reference, subsequent revisions do not apply.

● For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] ITU-T Recommendation Q.2100 (1994-07): "B-ISDN signalling ATM adaptation layer (SAAL) overview description".

[2] ITU-T Recommendation Q.2130 (1994-07): "B-ISDN signalling ATM adaptation layer – Service specific coordination function for support of signalling at the user network interface (SSCF–UNI)".

[3] ITU-T Recommendation Q.2110 (1994-07): "B-ISDN ATM adaptation layer – Service specific connection oriented protocol (SSCOP)".

[4] ITU-T Recommendation I.363.5 (1996-08): "B-ISDN ATM Adaptation LayerSpecification : Type 5 AAL".

[5] ITU-T Recommendation I.361 (1995-11): "B-ISDN ATM layer specification".

[6] ITU-T Recommendation I.630 (1999-02): "ATM protection switching".

[7] IETF RFC 2960 (2000-10): "Stream Control Transmission Protocol".

[8] IETF RFC 2460 (1998-12): "Internet Protocol, Version 6 (IPv6) Specification".

[9] IETF RFC 791 (1981-09): "Internet Protocol".

[10] IETF RFC 2474 (1998-12): "Definition of the Differentiated Services Field (DS Field) in the IPv4 and IPv6 Headers".

[11] IETF RFC 1661 (1994-07): "The Point-to-Point Protocol (PPP)".

[12] IETF RFC 1662 (1994-07): "PPP in HDLC-like Framing".

[13] IETF RFC 2507 (1999-02): "IP header compression".

[14] IETF RFC 1990 (1996-08): "The PPP Multilink Protocol (MP)".

[15] IETF RFC 2686 (1999-09): "The Multi-Class Extension to Multi-Link PPP".

[16] IETF RFC 2509 (1999-02): "IP Header Compression over PPP".

[17] IETF RFC 3153 (2001-08): "PPP Multiplexing".

[18] IETF RFC 2364 (1998-07): "PPP over AAL5".

[19] IETF RFC 3031 (2001-01): "Multiprotocol Label Switching Architecture".

[20] IETF RFC 3309 (2002-09): "Stream Control Transmission Protocol (SCTP) Checksum Change".

[21] 3GPP TS 25.433: "UTRAN Iub interface Node B Application Part (NBAP) signalling".

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**IP UTRAN node**: An UTRAN Node supporting the IP Transport Option

## 3.2 Symbols

(void)

## 3.3 Abbreviations

AAL ATM Adaptation Layer

ATM Asynchronous Transfer Mode

HDLC High-level Data Link Control

IP Internet Protocol

NBAP Node B Application Part

PPP Point-to-Point Protocol

RNC Radio Network Controller

SAAL Signalling ATM Adaptation Layer

SCTP Stream Control Transmission Protocol

SSCF Service Specific Coordination Function

SSCOP Service Specific Connection Oriented Protocol

UNI User-Network Interface

# 4 Data Link Layer

## 4.1 ATM Transport Option

ATM shall be used in the radio network control plane according to ITU-T Rec. I.361 [5].

## 4.1.1 Protection switching at ATM Layer

If redundancy of pathways at ATM layer between RNC and Node B is supported, it shall be implemented using ATM Protection Switching according to ITU-T Rec. I.630 [6].

## 4.2 Data Link Layer for IP Transport Option

A RNC or Node B supporting IP Transport Option shall support the PPP protocol with HDLC framing (IETF RFC 1661 [11], IETF RFC 1662 [12]).

NOTE: This does not preclude the single implementation and use of any other L2/L1 protocols (e.g. PPPMux/AAL5/ATM (IETF RFC 3153 [17], IETF RFC 2364 [18]), PPP/AAL2/ATM, Ethernet, MPLS/ATM (IETF RFC 3031 [19]), etc.) fulfilling the UTRAN requirements towards the upper layers.

A RNC or Node B supporting IP transport option and having interfaces connected via slow bandwidth PPP links like E1/T1/J1 shall also support IP Header Compression (IETF RFC 2507 [13]) and the PPP extensions ML/MC-PPP (IETF RFC 1990 [14], IETF RFC 2686 [15]). In this case, negotiation of header compression (IETF RFC 2507 [13]) over PPP shall be performed via (IETF RFC 2509 [16]).

# 5 NBAP signalling bearer

## 5.1 Introduction

The Signalling Bearer for NBAP (TS 25.433 [21]) is a point-to-point protocol. There may be multiple point-to-point links between an RNC and a Node B. As shown in figure 1, the standard allows operators to choose one out of two protocol suites for transporting the NBAP messages.



Figure 1: Iub NBAP Signalling Transport

## 5.2 Signalling bearer in case of ATM Transport Option

The signalling bearer in the Radio Network Control Plane is SAAL-UNI (ITU-T Rec. Q.2100 [1]) over ATM. The protocols to be used to support NBAP signalling are SSCF-UNI (ITU-T Rec. Q.2130 [2]) on top of SSCOP (ITU-T Rec. Q.2110 [3]) and AAL Type 5 (ITU-T Rec. I.363.5 [4]).

## 5.3 Signalling bearer in case of IP Transport Option

SCTP (IETF RFC 2960 [7]) over IP shall be supported as the transport for NBAP signalling bearer on Iub Interface. A RNC equipped with the SCTP stack option shall initiate the INIT procedure for establishing association. The data link layer is as specified in chapter 4.2.

The checksum method specified in IETF RFC 3309 [20] shall be used instead of the method specified in IETF RFC 2960 ([7]).

An IP UTRAN node shall support IPv6 (IETF RFC 2460 [8]). The support of IPv4 (IETF RFC 791 [9]) is optional.

NOTE: This does not preclude single implementation and use of IPv4.

IP dual stack is recommended for the potential transition period from IPv4 to IPv6 in the transport network.

Each signalling bearer between the RNC and Node B shall correspond to one single SCTP stream in UL and one single SCTP stream in DL direction, both streams belonging to the same SCTP association.

IP Differentiated Services code point marking (IETF RFC 2474 [10]) shall be supported. The Diffserv code point may be determined from the application parameters.

Annex A (informative):  
Change history

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Date / TSG** | **TSG Doc.** | **CR** | **Rev** | **Subject/Comment** | **New** |
| 12/2008 | - | - | - | Creation of Rel-8 version based on v7.1.0 | 8.0.0 |
| 12/2009 | - | - | - | Creation of Rel-9 version based on v8.0.0 | 9.0.0 |
| SP-49 | SP-100629 |  |  | Clarification on the use of References (TS 21.801 CR#0030) | 9.0.1 |
| 03/2011 |  |  |  | Creation of Rel-10 version based on v9.0.1 | 10.0.0 |
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| 12/2015 |  |  |  | Update to Rel-13 version (MCC) | 13.0.0 |

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| **Change history** | | | | | | | |
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| 2017-03 | SA#75 |  |  |  |  | Promotion to Release 14 without technical change | 14.0.0 |
| 2018-07 | SA#80 | - | - | - | - | Promotion to Release 15 without technical change | 15.0.0 |
| 2020-07 | SA#88-e | - | - | - | - | Update to Rel-16 version (MCC) | 16.0.0 |