3GPP TS 38.508-2 V16.8.0 (2021-06)

Technical Specification

3rd Generation Partnership Project;

Technical Specification Group Radio Access Network;

5GS;

User Equipment (UE) conformance specification;

Part 2: Common Implementation Conformance Statement (ICS) proforma (Release 16)



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Keywords

5GS, UE, terminal, testing

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Contents

Foreword 4

1 Scope 5

2 References 5

3 Definitions, symbols and abbreviations 6

3.1 Definitions 6

Annex A: Void 7

Annex B (informative): Change history 8

# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

1 presented to TSG for information;

2 presented to TSG for approval;

3 or greater indicates TSG approved document under change control.

y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

The present document is part 2 of a multi-part deliverable covering the 5G System (5GS) User Equipment (UE) protocol conformance specification, as identified below:

- 3GPP TS 38.508-1 [11]: "5GS; User Equipment (UE) conformance specification; Part 1: Common test environment ".

- 3GPP TS 38.508-2: "**5GS; User Equipment (UE) conformance specification; Part 2: Common Implementation Conformance Statement (ICS) proforma**" (the present document).

# 1 Scope

The present document provides the Implementation Conformance Statement (ICS) proforma for 5G New Radio (NR) User Equipment (UE), in compliance with the relevant requirements.

Special conformance testing functions can be found in 3GPP TS 38.509 [12] and 3GPP TS 36.509 [14] and the common test environments are included in 3GPP TS 38.508-1 [11] and 3GPP TS 36.508 [13].

The present document is valid for UE implemented according to 3GPP Releases starting from Release 15 up to the Release indicated on the cover page of the present document.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] to [25] (void)

[26] 3GPP TS 38.508-2 Release 17: "5GS; User Equipment (UE) conformance specification; Part 2: Common Implementation Conformance Statement (ICS) proforma "

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

The requirements of the present document are provided in 3GPP TS 38.508-2 Release 17 [26].

Annex A: Void

Annex B (informative):  
Change history

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Change history** | | | | | | | |
| **Date** | **Meeting** | **TDoc** | **CR** | **Rev** | **Cat** | **Subject/Comment** | **New version** |
| 2017-12 | RAN5#77 | R5-176852 | - | - | - | Introduction of TS 38.508-2 | 0.1.0 |
| 2018-04 | RAN5#2-5G-NR Adhoc | R5-182069 | - | - | - | Addition of several required PICS | 0.2.1 |
| 2018-05 | RAN5#79 | R5-183271 | - | - | - | Addition of Missing PICS | 1.0.0 |
| 2018-06 | RAN#80 | RP-181208 | - | - | - | put under revision control as v15.0.0 with small editorial changes | 15.0.0 |
| 2018-09 | RAN#81 | R5-185161 | 0001 | 1 | F | Addition of PICS | 15.1.0 |
| 2018-12 | RAN#82 | R5-187040 | 0010 | - | F | Addition of new band into RF baseline implementation capabilities | 15.2.0 |
| 2018-12 | RAN#82 | R5-187777 | 0011 | 1 | F | Addition of PICS | 15.2.0 |
| 2019-03 | RAN#83 | R5-192365 | 0020 | 1 | F | Introduction of Physical Layer Baseline Implementation Capabilities for NR CA, NR DC and EN-DC | 15.3.0 |
| 2019-03 | RAN#83 | R5-192706 | 0019 | 1 | F | Introduction of Non 3GPP Access over WLAN PICS | 15.3.0 |
| 2019-03 | RAN#83 | R5-192746 | 0017 | 1 | F | Addition of Capability for test cases | 15.3.0 |
| 2019-03 | RAN#83 | R5-192747 | 0018 | 1 | F | PICS Update | 15.3.0 |
| 2019-03 | RAN#83 | R5-192748 | 0021 | 1 | F | Add UE capability PDU | 15.3.0 |
| 2019-06 | RAN#84 | R5-193576 | 0027 | - | F | Update of Clause 2 References of 38.508-2 | 15.4.0 |
| 2019-06 | RAN#84 | R5-193577 | 0028 | - | F | Introduction of Table A.4.3.2A.2.1-3 configuration for FR1 Intra-band contiguous CA | 15.4.0 |
| 2019-06 | RAN#84 | R5-193756 | 0030 | - | F | Addition of UE capability for mobility | 15.4.0 |
| 2019-06 | RAN#84 | R5-195137 | 0036 | 1 | F | Addition of ICS for FR2 Multiband Relaxation declaration | 15.4.0 |
| 2019-06 | RAN#84 | R5-195331 | 0031 | 1 | F | PICS update | 15.4.0 |
| 2019-06 | RAN#84 | R5-195428 | 0035 | 2 | F | Resubmission: Addition of optional UE capabilities for Demod | 15.4.0 |
| 2019-06 | RAN#84 | R5-195052 | 0029 | 1 | F | Addition of CA\_n41C CA\_n66B and CA\_n71B | 16.0.0 |
| 2019-09 | RAN#85 | R5-197225 | 0037 | 1 | F | Addition and Update of PICS | 16.1.0 |
| 2019-09 | RAN#85 | R5-197440 | 0038 | 1 | F | Addition of NR FR1 intraband non-contiguous and interband CA tables with combinations CA\_66(2A), CA\_n66A-n70A, CA\_n66A-n71A, CA\_n70A-n71a, CA\_n66B-n70A, CA\_n66(2A)-n70A, CA\_n66(2A)-n71A to 38.508-2 | 16.1.0 |
| 2019-09 | RAN#85 | R5-197442 | 0045 | - | F | Updates of SA and NSA information | 16.1.0 |
| 2019-09 | RAN#85 | R5-197510 | 0044 | 1 | F | Update to 38.508-2 for 4Rx handling | 16.1.0 |
| 2019-12 | RAN#86 | R5-198169 | 0049 |  | F | Introduction of UE capabilities for Rel-16 NR CA and EN-DC configurations | 16.2.0 |
| 2019-12 | RAN#86 | R5-198349 | 0051 |  | F | Addition of NR FR1 intraband non-contiguous and interband CA tables with combinations CA\_n66B-n71A, CA\_n66A-n70A-n71A, CA\_n66B-n70A-n71A, CA\_n66(2A)-n70A-n71A to 38.508-2 | 16.2.0 |
| 2019-12 | RAN#86 | R5-198873 | 0047 | 1 | F | Add GAP pattern to PICS | 16.2.0 |
| 2019-12 | RAN#86 | R5-198963 | 0048 | 1 | F | Introduction of UE capabilities for Rel-15 NR CA, NR DC and EN-DC configurations | 16.2.0 |
| 2019-12 | RAN#86 | R5-198964 | 0050 | 1 | F | Introduction of UE capabilities for new Rel-16 NR bands and new SDL band n29 associated NR CA configuration CA\_n29A-n66A | 16.2.0 |
| 2019-12 | RAN#86 | R5-199076 | 0056 | 2 | F | Addition of new PICS needed for testing | 16.2.0 |
| 2019-12 | RAN#86 | R5-199305 | 0052 | 1 | F | Update to 38.508-2 regarding 4Rx antenna ports capability | 16.2.0 |
| 2019-12 | RAN#86 | R5-199312 | 0058 |  | F | Correction to n66 intra-band CA Physical Layer Baseline Implementation Capabilities | 16.2.0 |
| 2019-12 | RAN#86 | R5-199462 | 0054 | 2 | F | EN-DC bands Implementation Conformance Statement (ICS) proforma Updates | 16.2.0 |
| 2019-12 | RAN#86 | R5-199482 | 0053 | 1 | F | Physical Layer Baseline Implementation Capabilities for Beam Correspondence | 16.2.0 |
| 2020-03 | RAN#87 | R5-200558 | 0065 |  | F | Beam Correspondence Mnemonic name update | 16.3.0 |
| 2020-03 | RAN#87 | R5-200592 | 0067 |  | F | Corrections on categories of NR DC and EN-DC physical layer capabilities in 38.508-2 | 16.3.0 |
| 2020-03 | RAN#87 | R5-200598 | 0068 |  | F | Introduction on supported inter-band EN-DC configurations in 38.508-2 | 16.3.0 |
| 2020-03 | RAN#87 | R5-200636 | 0070 |  | F | Corrections and Addition of NR PICS | 16.3.0 |
| 2020-03 | RAN#87 | R5-200903 | 0059 | 1 | F | Additional UE Power Class declaration | 16.3.0 |
| 2020-03 | RAN#87 | R5-200923 | 0062 | 1 | F | Introduction of UE capabilities for n95 SUL band | 16.3.0 |
| 2020-03 | RAN#87 | R5-200969 | 0066 | 1 | F | Corrections on categories of NR CA physical layer capabilities in 38.508-2 | 16.3.0 |
| 2020-03 | RAN#87 | R5-200970 | 0069 | 1 | F | Adding modified MPR behaviour to physical layer capabilities | 16.3.0 |
| 2020-03 | RAN#87 | R5-201062 | 0064 | 1 | F | Introduction of UE capabilities for Rel-16 EN-DC configurations | 16.3.0 |
| 2020-03 | RAN#87 | R5-201123 | 0060 | 1 | F | Correction to NR TC PICs | 16.3.0 |
| 2020-06 | RAN#88 | R5-201923 | 0075 | - | F | Addition of TDD-TDD PC2 inter-band EN-DC UE RF Baseline implementation Capabilities declaration | 16.4.0 |
| 2020-06 | RAN#88 | R5-202108 | 0077 | - | F | Updates on UE capability for Rel-15 NR CA configuration | 16.4.0 |
| 2020-06 | RAN#88 | R5-202226 | 0079 | - | F | Update NR intra-band contiguous CA implementation capabilities in 38.508-2 | 16.4.0 |
| 2020-06 | RAN#88 | R5-202228 | 0080 | - | F | Update RF baseline implementation capabilities in 38.508-2 | 16.4.0 |
| 2020-06 | RAN#88 | R5-202446 | 0082 | - | F | Addition of EN-DC configurations DC\_41C\_n41A and DC\_41D\_n41A | 16.4.0 |
| 2020-06 | RAN#88 | R5-202709 | 0078 | 1 | F | Update ICS proforma tables for UE implementation types in A.4.1 of 38.508-2 | 16.4.0 |
| 2020-06 | RAN#88 | R5-202871 | 0074 | 1 | F | Introduction of several new NR 2CA and 3CA combinations | 16.4.0 |
| 2020-06 | RAN#88 | R5-203113 | 0076 | 2 | F | Additions and corrections to PICS | 16.4.0 |
| 2020-09 | RAN#89 | R5-203279 | 0085 | - | F | n26 Implementation baseline capabilities in 38.508-2 | 16.5.0 |
| 2020-09 | RAN#89 | R5-203457 | 0088 | - | F | Fixing References | 16.5.0 |
| 2020-09 | RAN#89 | R5-203463 | 0089 | - | F | Addition of PICs for CSI-RS measurement without associated SSB | 16.5.0 |
| 2020-09 | RAN#89 | R5-203632 | 0090 | - | F | Introduction of UE capabilities for additional Rel-15 band EN-DC inter-band configurations | 16.5.0 |
| 2020-09 | RAN#89 | R5-203635 | 0091 | - | F | Introduction of UE capabilities for additional Rel-16 EN-DC inter-band configurations | 16.5.0 |
| 2020-09 | RAN#89 | R5-203911 | 0094 | - | F | Update of A.4.3.2A for intra-band contiguous CA capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-203912 | 0095 | - | F | Update of A.4.3.2A.3 for intra-band non-contiguous CA capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-203914 | 0097 | - | F | Update of A.4.3.2B for NR-DC capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-203917 | 0100 | - | F | Update of A.4.3.2B.2.3 for inter-band EN-DC including FR2 capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-204332 | 0108 | - | F | Adding new ICS for handling inter-system change S1-N1 and aligning PDN and PDU handling | 16.5.0 |
| 2020-09 | RAN#89 | R5-204511 | 0109 | 1 | F | Addition of UE capability for voiceFallbackIndicationEPS-r16 | 16.5.0 |
| 2020-09 | RAN#89 | R5-204544 | 0106 | 1 | F | Addition and update of PICS | 16.5.0 |
| 2020-09 | RAN#89 | R5-204710 | 0105 | 1 | F | CR to 38.508-2 to allow vendor declarations related to beam peak searches | 16.5.0 |
| 2020-09 | RAN#89 | R5-204759 | 0102 | 1 | F | Addition of PICS for intra-band EN-DC PC2 | 16.5.0 |
| 2020-09 | RAN#89 | R5-204801 | 0084 | 1 | F | Introduction of Rel-16 inter-band EN-DC configurations within FR1 for physical layer baseline implementation capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-204802 | 0096 | 1 | F | Update of A.4.3.2A.4 for inter-band CA within FR1 capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-204803 | 0098 | 1 | F | Update of A.4.3.2B.2 for intra-band EN-DC capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-204804 | 0099 | 1 | F | Update of A.4.3.2B.2.3 for inter-band EN-DC including FR1 and FR2 capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-204805 | 0101 | 1 | F | Update of A.4.3.2B.2.3 for inter-band EN-DC within FR1 capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-204806 | 0104 | 1 | F | Introduction of UE capabilities for Rel-16 EN-DC configurations | 16.5.0 |
| 2020-09 | RAN#89 | R5-204853 | 0086 | 1 | F | Added UE Phy layer capability into 38.508-2 from 38.306 | 16.5.0 |
| 2020-09 | RAN#89 | R5-204902 | 0087 | 1 | F | Updated table A.4.3.9-4 - 4 Rx antenna ports capabilities | 16.5.0 |
| 2020-09 | RAN#89 | R5-204903 | 0092 | 1 | F | Introduction and correction of general capabilities and some band-combo information for EN-DC | 16.5.0 |
| 2020-09 | RAN#89 | R5-204904 | 0107 | 1 | F | Add new PICS | 16.5.0 |
| 2020-12 | RAN#90 | R5-205053 | 0110 | - | F | ICS for iRAT RS-SINR and SFTD measurements | 16.6.0 |
| 2020-12 | RAN#90 | R5-205612 | 0117 | - | F | Addition of UE capabilities for Rel-16 UE power saving in NR | 16.6.0 |
| 2020-12 | RAN#90 | R5-205640 | 0118 | - | F | Addition of PC2 EN-DC DC\_3A-n78A into RF Baseline implementation Capabilities | 16.6.0 |
| 2020-12 | RAN#90 | R5-205695 | 0120 | - | F | Addition of ICS for UE support PUSCH Pi2 BPSK | 16.6.0 |
| 2020-12 | RAN#90 | R5-205707 | 0121 | - | F | Revise ICS Proforma Tables for Remaining n14, n29, and n30 Capabilities | 16.6.0 |
| 2020-12 | RAN#90 | R5-205773 | 0123 | - | F | Correction to baseline implementation capabilities for a few Rel-16 inter-band EN-DC configurations | 16.6.0 |
| 2020-12 | RAN#90 | R5-205774 | 0124 | - | F | Addition of baseline implementation capabilities for Rel-15 EN-DC inter-band configuration DC\_3A\_n7A | 16.6.0 |
| 2020-12 | RAN#90 | R5-205941 | 0127 | - | F | Update for Flexible PDU-PDN - ICS definitions new and removal | 16.6.0 |
| 2020-12 | RAN#90 | R5-206023 | 0129 | - | F | Update of A.4.1 for UE implementation types | 16.6.0 |
| 2020-12 | RAN#90 | R5-206024 | 0130 | - | F | Update of A.4.3.1 for UE power class implementation capabilities | 16.6.0 |
| 2020-12 | RAN#90 | R5-206025 | 0131 | - | F | Update of A.4.3.2A.2 for implementation capabilities of NR intra-band contiguous CA | 16.6.0 |
| 2020-12 | RAN#90 | R5-206026 | 0132 | - | F | Update of A.4.3.2A.3 for implementation capabilities of NR intra-band non-contiguous CA | 16.6.0 |
| 2020-12 | RAN#90 | R5-206027 | 0133 | - | F | Update of A.4.3.2B for NR-DC implementation capabilities | 16.6.0 |
| 2020-12 | RAN#90 | R5-206310 | 0115 | 1 | F | Addition and update of PICS | 16.6.0 |
| 2020-12 | RAN#90 | R5-206395 | 0112 | 1 | F | Adding UE capabilities for IIoT test | 16.6.0 |
| 2020-12 | RAN#90 | R5-206404 | 0138 | 1 | F | Add UE capability for NR MobEnc TCs | 16.6.0 |
| 2020-12 | RAN#90 | R5-206410 | 0137 | 1 | F | Add UE capability for NR V2X TCs | 16.6.0 |
| 2020-12 | RAN#90 | R5-206414 | 0139 | 1 | F | Adding UE capabilities for eMIMO | 16.6.0 |
| 2020-12 | RAN#90 | R5-206421 | 0116 | 1 | F | Addition of PICS for Rel-16 RACS | 16.6.0 |
| 2020-12 | RAN#90 | R5-206428 | 0111 | 1 | F | Addition of UE capability for nr-HO-ToEN-DC-r16 | 16.6.0 |
| 2020-12 | RAN#90 | R5-206634 | 0114 | 1 | F | Addition of EN-DC capabilities of number of NR DL or number of NR UL carriers | 16.6.0 |
| 2020-12 | RAN#90 | R5-206635 | 0125 | 1 | F | Correction to Enhanced Type X receiver PICS | 16.6.0 |
| 2020-12 | RAN#90 | R5-206636 | 0126 | 1 | F | Addition of PICS for LTE CRS rate matching capability | 16.6.0 |
| 2020-12 | RAN#90 | R5-206637 | 0128 | 1 | F | Addition of PICs for intra-frequency measurements with gap | 16.6.0 |
| 2020-12 | RAN#90 | R5-206716 | 0122 | 1 | F | Addition of baseline implementation capabilities for a few Rel-16 EN-DC inter-band configurations | 16.6.0 |
| 2020-12 | RAN#90 | R5-206717 | 0134 | 1 | F | Introduction of UE capabilities for additional Rel-16 EN-DC inter-band configurations | 16.6.0 |
| 2020-12 | RAN#90 | R5-206771 | 0119 | 1 | F | Addition of PC2 UE RF Baseline Implementation Capabilities for DC\_3A\_n41A | 16.6.0 |
| 2021-03 | RAN#91 | R5-210081 | 0141 | - | F | Introduction of Additional capabilities for NR Band n53 | 16.7.0 |
| 2021-03 | RAN#91 | R5-210483 | 0148 | - | F | Correction of core spec Ref. for 4 Rx antenna ports Capabilities | 16.7.0 |
| 2021-03 | RAN#91 | R5-210484 | 0149 | - | F | Addition of PUSCH HalfPi BPSK capability in FR2 | 16.7.0 |
| 2021-03 | RAN#91 | R5-210566 | 0150 | - | F | Update on manufacturer declaration required for Receiver Beam Peak Search | 16.7.0 |
| 2021-03 | RAN#91 | R5-211001 | 0160 | - | F | Update to NR FR1 2Rx-4Rx implementation Capabilities | 16.7.0 |
| 2021-03 | RAN#91 | R5-211108 | 0163 | - | F | Corrections to subclauses in 38.508-2 with appropriate subclause level and heading styles | 16.7.0 |
| 2021-03 | RAN#91 | R5-211229 | 0169 | - | F | Add n26 to 2Rx capabilities declaration | 16.7.0 |
| 2021-03 | RAN#91 | R5-211376 | 0147 | 1 | F | Addition and update of PICS | 16.7.0 |
| 2021-03 | RAN#91 | R5-211449 | 0164 | 1 | F | Correction of Table A.4.3.2B.2.3.12-1 | 16.7.0 |
| 2021-03 | RAN#91 | R5-211457 | 0154 | 1 | F | Add UE capability for NR MobEnc | 16.7.0 |
| 2021-03 | RAN#91 | R5-211463 | 0144 | 1 | F | Adding scell dormancy indication outside active time to physical layer baseline implementation capabilities | 16.7.0 |
| 2021-03 | RAN#91 | R5-211469 | 0143 | 1 | F | Introduction of common implementation conformance statements for R16 NR SON and MDT | 16.7.0 |
| 2021-03 | RAN#91 | R5-211492 | 0153 | 1 | F | Introduction of general capability for NR to UTRA-FDD CELL\_DCH CS handover | 16.7.0 |
| 2021-03 | RAN#91 | R5-211674 | 0162 | 1 | F | Introduction of UE capabilities for Rel-15 EN-DC FR2 configuration CA\_n261(2A) | 16.7.0 |
| 2021-03 | RAN#91 | R5-211815 | 0142 | 1 | F | Addition of common ICS in A.4.3.11 for Rel-16 HST | 16.7.0 |
| 2021-03 | RAN#91 | R5-211858 | 0140 | 1 | F | Update of UE capabilities for EN-DC configurations | 16.7.0 |
| 2021-03 | RAN#91 | R5-211859 | 0145 | 1 | F | Update of Table A.4.3.2B.2.3.2-2 (DC\_1A-8A\_n78A, DC\_3A-8A\_n78A) | 16.7.0 |
| 2021-03 | RAN#91 | R5-211860 | 0146 | 1 | F | Update of Table A.4.3.2B.2.3.3-2 (DC\_1A-3A-8A\_n78A) | 16.7.0 |
| 2021-03 | RAN#91 | R5-211861 | 0161 | 1 | F | Introduction of UE capabilities for Rel-15 EN-DC FR1 configurations | 16.7.0 |
| 2021-03 | RAN#91 | R5-211862 | 0165 | 1 | F | Addition of PICS powerBoosting-pi2BPSK | 16.7.0 |
| 2021-03 | RAN#91 | R5-211904 | 0170 | 1 | F | Updating UE capability for Rel-16 NR inter-band CA configurations for band n1 | 16.7.0 |
| 2021-03 | RAN#91 | R5-211910 | 0155 | 1 | F | Adding PICS for UL switching | 16.7.0 |
| 2021-06 | RAN#92 | R5-212167 | 0176 | - | F | Removal of technical content in 38.508-2 v16.7.0 and substitution with pointer to the next Release | 16.8.0 |