

CMSC351: Prerequisite

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1 Symbols

1. For all (\forall)
2. There exists (\exists)
3. Element in set (\in)
4. Greater than ($>$)
5. Less than ($<$)
6. Greater than equal (\geq)
7. Less than equal (\leq)

2 Proofs

2.1 Weak Induction

First, we need to prove $\forall n \geq n_0 P(n)$ we first prove $P(n_0)$ (which is the base case) and then we prove $\forall k \geq n_0 P(k) \rightarrow P(k+1)$ (which is the inductive step) (you can also prove $P(k-1)$). The assumption of $P(k)$ in the inductive step is the inductive hypothesis.

Ultimately, for the inductive step we are trying to find that for any $k \geq n_0$ if $P(k)$ is true, then $P(k+1)$ is also true.

Example: Suppose we have a set of nested Russian dolls (Matryoshka dolls). Each doll is contained with another doll, each doll is labeled 1, 2, 3 and so on.

In this hypothetical, there are two things that are true. Let's say $M(n)$ is true iff (if and only if) doll n has another doll contained.

- (a) The first doll has another doll contained. That is, $M(n)$ is true.
- (b) For every doll k , if doll k has another doll inside then doll $k+1$ has another doll inside.

$$\forall k \geq 1, M(k) \rightarrow M(k+1)$$

We can now conclude that $\forall n \geq 1, M(n)$.

2.2 Strong Induction

The goal of strong induction is we need to find some property, we can say $P(n)$ and we need to find some n greater than equal to a ($n \geq a$).

How can we accomplish this?

Step 1 (Basis step): We are going to prove for $P(a)$ (we would just prove $P(a)$ for weak induction), $P(a+1)$, ..., for some finite number say $P(b)$.

$(P(a), P(a+b), \dots, P(b)) \leftarrow$ we prove each of these.

Step 2 (Induction): We can now assume $P(i)$ where $a \leq i \leq k$. (Assume $P(i)$). Then, we prove $P(k+1)$.

Example: Fibonacci Sequence

Proof. Claim: The Fibonacci Sequence is defined as the following: $F(0) = 0, F(1) = 1$ and for $n \geq 2, F(n) = F(n-1) + F(n-2)$. We want to prove that for all $n \geq 0, F(n) \leq 2^n$

Base cases:

$$\text{For } n = 0 : F(0) = 0 \leq 2^0 = 1$$

$$\text{For } n = 1 : F(1) = 1 \leq 2^1 = 1$$

For both these base case, they hold true.

Inductive Step: Assume that for all i , $0 \leq i \leq k$, we have $F(k) \leq 2^k$.

(This could also be known as the inductive hypothesis)

We now need to prove $F(k+1) \leq 2^{k+1}$.

By the inductive hypothesis, we have $F(n) \leq 2^n$ and $F(n-1) \leq 2^{n-1}$.

We can prove by which:

$$\begin{aligned}
 F(k+1) &= F(k) + F(k-1) \\
 &\leq 2^{k-1} + 2^{k-2} && \text{(IH)} \\
 &\leq 2^{k-1} + 2^{k-1} && (2^{k-2} \text{ is less than } 2^{k-1}) \\
 &= 2^k && \text{(Simplify)}
 \end{aligned}$$

□

2.3 Constructive Induction

When we are solving recurrences and we have guessed the general form, and we do not know the constants, we use package constructive induction.

Example: We know that $\sum_{i=1}^n i = \frac{1}{2}n^2 - \frac{1}{2}n$. But how do we determine $\sum_{i=1}^n i^2$? Since we know the solution for the first sum is a quadratic, we can guess that for the second sum that is cubic ($an^3 + bn^2 + cn + d$). We start with assumptions. $\sum_{i=1}^{n-1} i = a(n-1)^3 + b(n-1)^2 + c(n-1) + d$ and $n > 0$ We need to prove that $\sum_{i=1}^n i = 1^n i^2 = an^3 + bn^2 + cn + d$ So, we need:

$$\begin{aligned}
 \sum_{i=1}^n i &= an^3 + bn^2 + cn + d \\
 \sum_{i=1}^{n-1} i + n^2 &= an^3 + bn^2 + cn + d \\
 a(n-1)^3 + b(n-1)^2 + c(n-1) + d + n^2 &= an^3 + bn^2 + cn + d \\
 a(n^3 - 3n^2 + 3n - 1) + b(n^2 - 2n + 1) + c(n-1) + d + n^2 &= an^3 + bn^2 + cn + d \\
 an^3 + (b-3a)n^2 + (3a-2b+c)n + (d-a+b-c) &= an^3 + bn^2 + cn + d \\
 an^3 + (b-3a+1)n^2 + (3a-2b+c)n + (d-a+b-c) &= an^3 + bn^2 + cn + d
 \end{aligned}$$

We arrive at a systems of equations:

$$\begin{aligned}b - 3a + 1 &= b \\ 3a - 2b + c &= c \\ d - a + b - d &= d\end{aligned}$$

Thus, $a = \frac{1}{3}$, $b = \frac{1}{2}$, $c = \frac{1}{6}$ and $d = 0$

Therefore,

$$\sum_{i=1}^n i^2 = \frac{1}{3}n^3 + \frac{1}{2}n^2 + \frac{1}{6}n = \frac{n(n+1)(2n+1)}{6}$$

2.4 Structural Induction

To prove some property, $P(x)$ holds for all x in recursively defined set R .

- $P(b)$ for each base case $b \in R$
- $P(c(x))$ for each constructor, c , assuming inductive hypothesis $P(x)$.

Suppose S is a recursively defined set

To prove a statement of the form:

$$\forall s \in S. P(s)$$

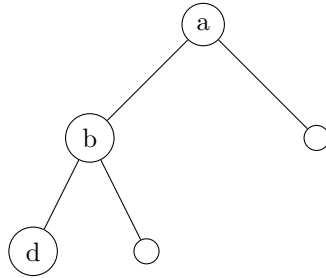
- Base Case: Show P holds for each element defined to be in S as a base case.
- Inductive Step: For each recursive rule used to build S , show that if P holds for things already in S , then P also holds for things already in S , then P also holds for the new elements constructed by the rules.

Example: Let $t \in B$ be a binary tree.

We define a binary tree as the following:

- A single node is a binary tree.
- If B_1 and B_2 are binary trees then a single node as parent B_1 and B_2 is also a binary tree.

Let $e(t)$ = the number of empty trees contained in t .



From this graph, $e(t) = 4$ and $b(t) = 3$

Theorem: For all $t \in B$, $e(t) = 1 + b(t)$

We can prove this by structural induction!

Proof. By structural induction.

Base Case:

The number of empty trees in an empty tree is 1. ✓

The number of binary trees in an empty tree is 0. ✓

Inductive Step:

Let $t_1, t_2 \in B$ and suppose $e(t_1) = 1 + b(t_1)$ and $e(t_2) = 1 + b(t_2)$.

Then,

$$\begin{array}{lcl}
 \begin{array}{c} \text{Diagram 1: A tree with root } \circ \text{ and children } t_1 \text{ and } t_2. \\ e(\text{Diagram 1}) = \end{array} & e(t_1) + e(t_2) \\
 \\
 \begin{array}{c} \text{Diagram 2: A tree with root } \circ \text{ and children } t_1 \text{ and } t_2. \\ 1 + b(\text{Diagram 2}) = \end{array} & \begin{array}{l} 1 + (1 + b(t_1) + b(t_2)) \\ = 2 + (b(t_1) + b(t_2)) \\ e(t_1) + e(t_2) = (1 + b(t_1)) + (1 + b(t_2)) \end{array}
 \end{array}$$

□

3 Combinatorics

3.1 Permutations and Combinations

Formulas:

$$n \text{ objects, permute } k = \frac{n!}{(n-k)!}$$

$$n \text{ objects, choose } k = \frac{n!}{k!(n-k)!}$$

$$n \text{ categories, permute } k = n^k$$

3.2 Probability and Expected Value

Suppose X is a random variable that represents the different outcomes x_1, \dots, x_n with respective probabilities p_1, \dots, p_n then the expected value of X is:

$$E(X) = p_1x_1 + \dots + p_nx_n$$