

# CMSC351: Prerequisite

Johning To

5/23/2023

<b>1</b>	<b>Symbols</b>	<b>2</b>
<b>2</b>	<b>Proofs</b>	<b>3</b>
2.1	Weak Induction . . . . .	3
2.2	Strong Induction . . . . .	3
2.3	Constructive Induction . . . . .	4
2.4	Structural Induction . . . . .	5
<b>3</b>	<b>Combinatorics</b>	<b>7</b>
3.1	Permutations and Combinations . . . . .	7
3.2	Probability and Expected Value . . . . .	7
<b>4</b>	<b>Calculus</b>	<b>8</b>
4.1	Sequences and Sums . . . . .	8
4.2	L'hospital Rule . . . . .	9
4.3	Manipulation of Logs . . . . .	9

# 1 Symbols

1. For all ( $\forall$ )
2. There exists ( $\exists$ )
3. Element in set ( $\in$ )
4. Greater than ( $>$ )
5. Less than ( $<$ )
6. Greater than equal ( $\geq$ )
7. Less than equal ( $\leq$ )

## 2 Proofs

### 2.1 Weak Induction

First, we need to prove  $\forall n \geq n_0 P(n)$  we first prove  $P(n_0)$  (which is the base case) and then we prove  $\forall k \geq n_0 P(k) \rightarrow P(k+1)$  (which is the inductive step) (you can also prove  $P(k-1)$ ). The assumption of  $P(k)$  in the inductive step is the inductive hypothesis.

Ultimately, for the inductive step we are trying to find that for any  $k \geq n_0$  if  $P(k)$  is true, then  $P(k+1)$  is also true.

**Example:** Suppose we have a set of nested Russian dolls (Matryoshka dolls). Each doll is contained with another doll, each doll is labeled 1, 2, 3 and so on.

In this hypothetical, there are two things that are true. Let's say  $M(n)$  is true iff (if and only if) doll  $n$  has another doll contained.

- (a) The first doll has another doll contained. That is,  $M(n)$  is true.
- (b) For every doll  $k$ , if doll  $k$  has another doll inside then doll  $k+1$  has another doll inside.

$$\forall k \geq 1, M(k) \rightarrow M(k+1)$$

We can now conclude that  $\forall n \geq 1, M(n)$ .

### 2.2 Strong Induction

The goal of strong induction is we need to find some property, we can say  $P(n)$  and we need to find some  $n$  greater than equal to  $a$  ( $n \geq a$ ).

How can we accomplish this?

**Step 1 (Basis step):** We are going to prove for  $P(a)$  (we would just prove  $P(a)$  for weak induction),  $P(a+1)$ , ..., for some finite number say  $P(b)$ .

$(P(a), P(a+b), \dots, P(b)) \leftarrow$  we prove each of these.

**Step 2 (Induction):** We can now assume  $P(i)$  where  $a \leq i \leq k$ . (Assume  $P(i)$ ). Then, we prove  $P(k+1)$ .

**Example: Fibonacci Sequence**

*Proof.* Claim: The Fibonacci Sequence is defined as the following:  $F(0) = 0, F(1) = 1$  and for  $n \geq 2, F(n) = F(n-1) + F(n-2)$ . We want to prove that for all  $n \geq 0, F(n) \leq 2^n$

Base cases:

$$\text{For } n = 0 : F(0) = 0 \leq 2^0 = 1$$

$$\text{For } n = 1 : F(1) = 1 \leq 2^1 = 1$$

For both these base case, they hold true.

Inductive Step: Assume that for all  $i$ ,  $0 \leq i \leq k$ , we have  $F(k) \leq 2^k$ .

(This could also be known as the inductive hypothesis)

We now need to prove  $F(k+1) \leq 2^{k+1}$ .

By the inductive hypothesis, we have  $F(n) \leq 2^n$  and  $F(n-1) \leq 2^{n-1}$ .

We can prove by which:

$$\begin{aligned}
 F(k+1) &= F(k) + F(k-1) \\
 &\leq 2^{k-1} + 2^{k-2} && \text{(IH)} \\
 &\leq 2^{k-1} + 2^{k-1} && (2^{k-2} \text{ is less than } 2^{k-1}) \\
 &= 2^k && \text{(Simplify)}
 \end{aligned}$$

□

## 2.3 Constructive Induction

When we are solving recurrences and we have guessed the general form, and we do not know the constants, we use package constructive induction.

**Example:** We know that  $\sum_{i=1}^n i = \frac{1}{2}n^2 - \frac{1}{2}n$ . But how do we determine  $\sum_{i=1}^n i^2$ ? Since we know the solution for the first sum is a quadratic, we can guess that for the second sum that is cubic ( $an^3 + bn^2 + cn + d$ ). We start with assumptions.  $\sum_{i=1}^{n-1} i = a(n-1)^3 + b(n-1)^2 + c(n-1) + d$  and  $n > 0$  We need to prove that  $\sum_{i=1}^n i^2 = an^3 + bn^2 + cn + d$  So, we need:

$$\begin{aligned}
 \sum_{i=1}^n i &= an^3 + bn^2 + cn + d \\
 \sum_{i=1}^{n-1} i + n^2 &= an^3 + bn^2 + cn + d \\
 a(n-1)^3 + b(n-1)^2 + c(n-1) + d + n^2 &= an^3 + bn^2 + cn + d \\
 a(n^3 - 3n^2 + 3n - 1) + b(n^2 - 2n + 1) + c(n-1) + d + n^2 &= an^3 + bn^2 + cn + d \\
 an^3 + (b-3a)n^2 + (3a-2b+c)n + (d-a+b-c) &= an^3 + bn^2 + cn + d \\
 an^3 + (b-3a+1)n^2 + (3a-2b+c)n + (d-a+b-c) &= an^3 + bn^2 + cn + d
 \end{aligned}$$

We arrive at a systems of equations:

$$\begin{aligned}b - 3a + 1 &= b \\ 3a - 2b + c &= c \\ d - a + b - d &= d\end{aligned}$$

Thus,  $a = \frac{1}{3}$ ,  $b = \frac{1}{2}$ ,  $c = \frac{1}{6}$  and  $d = 0$

Therefore,

$$\sum_{i=1}^n i^2 = \frac{1}{3}n^3 + \frac{1}{2}n^2 + \frac{1}{6}n = \frac{n(n+1)(2n+1)}{6}$$

## 2.4 Structural Induction

To prove some property,  $P(x)$  holds for all  $x$  in recursively defined set  $R$ .

- $P(b)$  for each base case  $b \in R$
- $P(c(x))$  for each constructor,  $c$ , assuming inductive hypothesis  $P(x)$ .

Suppose  $S$  is a recursively defined set

To prove a statement of the form:

$$\forall s \in S. P(s)$$

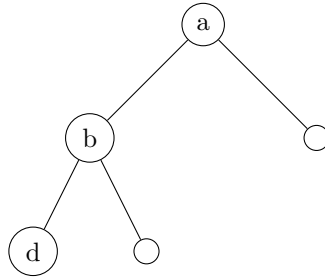
- Base Case: Show  $P$  holds for each element defined to be in  $S$  as a base case.
- Inductive Step: For each recursive rule used to build  $S$ , show that if  $P$  holds for things already in  $S$ , then  $P$  also holds for things already in  $S$ , then  $P$  also holds for the new elements constructed by the rules.

**Example:** Let  $t \in B$  be a binary tree.

We define a binary tree as the following:

- A single node is a binary tree.
- If  $B_1$  and  $B_2$  are binary trees then a single node as parent  $B_1$  and  $B_2$  is also a binary tree.

Let  $e(t)$  = the number of empty trees contained in  $t$ .



From this graph,  $e(t) = 4$  and  $b(t) = 3$

**Theorem:** For all  $t \in B$ ,  $e(t) = 1 + b(t)$

We can prove this by structural induction!

*Proof.* By structural induction.

**Base Case:**

The number of empty trees in an empty tree is 1. ✓

The number of binary trees in an empty tree is 0. ✓

**Inductive Step:**

Let  $t_1, t_2 \in B$  and suppose  $e(t_1) = 1 + b(t_1)$  and  $e(t_2) = 1 + b(t_2)$ .

Then,

$$\begin{array}{lcl}
 \begin{array}{c} \text{Diagram 1: A tree with root } \circ \text{ and children } t_1 \text{ and } t_2. \\ e(\text{Diagram 1}) = \end{array} & e(t_1) + e(t_2) \\
 \\
 \begin{array}{c} \text{Diagram 2: A tree with root } \circ \text{ and children } t_1 \text{ and } t_2. \\ 1 + b(\text{Diagram 2}) = \end{array} & \begin{array}{l} 1 + (1 + b(t_1) + b(t_2)) \\ = 2 + (b(t_1) + b(t_2)) \\ e(t_1) + e(t_2) = (1 + b(t_1)) + (1 + b(t_2)) \end{array}
 \end{array}$$

□

### 3 Combinatorics

#### 3.1 Permutations and Combinations

Formulas:

$$n \text{ objects, permute } k = \frac{n!}{(n-k)!}$$

$$n \text{ objects, choose } k = \frac{n!}{k!(n-k)!}$$

$$n \text{ categories, permute } k = n^k$$

#### 3.2 Probability and Expected Value

Suppose  $X$  is a random variable that represents the different outcomes  $x_1, \dots, x_n$  with respective probabilities  $p_1, \dots, p_n$  then the expected value of  $X$  is:

$$E(X) = p_1x_1 + \dots + p_nx_n$$

**Example.** Suppose an algorithm sorts the values in a list and returns the alternating sum/difference of the result. For example, if you give it  $[5, 8, 4, 1]$  and then sorts to  $[1, 4, 5, 8]$  and then returns  $1 - 4 + 5 - 8 = -6$ .

If we are given the following inputs:  $[5, 8, 4, 1]$ ,  $[10, 20, 0]$ ,  $[2, 1]$  and  $[0, 5, 2, -3]$ . The following outcomes of each:

$$[5, 8, 4, 1] \rightarrow -6$$

$$[10, 20, 0] \rightarrow -10$$

$$[2, 1] \rightarrow -1$$

$$[0, 5, 2, -3] \rightarrow -1$$

Since all inputs are equally likely, the probability of each input set is  $\frac{1}{4}$ .

$$0.25(-6) + 0.25(10) + 0.25(-1) + 0.25(-6) = 0.75$$

## 4 Calculus

### 4.1 Sequences and Sums

Some must know sums:

$$\begin{aligned}\sum_{i=1}^n 1 &= n \\ \sum_{i=1}^n i &= \frac{n(n+1)}{2} \\ \sum_{i=1}^n i^2 &= \frac{n(n+1)(2n+1)}{6} \\ \sum_{i=0}^n r^i &= \frac{r^{n+1} - 1}{r - 1} \\ \sum_{i=0}^n 2^i &= 2^{n+1} - 1 \\ \sum_{i=1}^n i * 2^i &= (n-1)2^{n+1} + 2\end{aligned}$$

**Example:** Let's solve the following sum:

$$\sum_{i=2}^n 2i + 2^{-i} + i^2$$

First, we split can split the summation up (because of the addition).

$$\sum_{i=2}^n 2i + \sum_{i=2}^n 2^{-i} + \sum_{i=2}^n i^2$$

We can solve each one of these separately now.

$$\begin{aligned}\sum_{i=2}^n 2i &= 2 \sum_{i=2}^n i = 2 \left[ \frac{n(n+1)}{2} - 1 \right] \\ \sum_{i=2}^n 2^{-i} &= \sum_{i=2}^n \frac{1}{2}^n = \left[ \sum_{i=0}^n \frac{1}{2}^n \right] - 1 - \frac{1}{2} = \left[ \frac{\frac{1}{2}^{n+1} - 1}{\frac{1}{2} - 1} \right] - \frac{1}{2} \\ \sum_{i=2}^n i^2 &= \frac{n(n+1)(2n+1)}{6} - 1\end{aligned}$$

The results of the sum of those:

$$2 \left[ \frac{n(n+1)}{2} - 1 \right] + \left[ \frac{\frac{1}{2}^{n+1} - 1}{\frac{1}{2} - 1} \right] - \frac{1}{2} + \left[ \frac{n(n+1)(2n+1)}{6} \right] - 1$$



## 4.2 L'hospital Rule

Things to remember:

**Theorem.** Suppose we are trying to evaluate:

$$\lim_{x \rightarrow \infty} \frac{f(x)}{g(x)}$$

- If  $\lim_{x \rightarrow \infty} f(x) = \lim_{x \rightarrow \infty} g(x) = 0$  then:

$$\lim_{x \rightarrow \infty} \frac{f(x)}{g(x)} = \lim_{x \rightarrow \infty} \frac{f'(x)}{g'(x)}$$

- If  $\lim_{x \rightarrow \infty} f(x) = \lim_{x \rightarrow \infty} g(x) = \infty$  then:

$$\lim_{x \rightarrow \infty} \frac{f(x)}{g(x)} = \lim_{x \rightarrow \infty} \frac{f'(x)}{g'(x)}$$

## 4.3 Manipulation of Logs

$$\log_b a = \frac{\log_c a}{\log_c b} \text{ (base changes)}$$

$$\log_b(xy) = \log_b x + \log_b y$$

$$\log_b\left(\frac{x}{y}\right) = \log_b x - \log_b y$$

$$\log_b(x^p) = p \log_b x$$