

# MATH592

## Introduction to Algebraic Topology

Pingbang Hu

January 28, 2022

### Abstract

This course will use [\[HPM02\]](#) as the main text, but the order may differ here and there. Enjoy this fun course!

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## Lecture 7: Functors

21 Jan. 10:00

**As previously seen.** Assume that we initially have a commutative diagram in  $\mathcal{C}$  as

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow g \circ f & \downarrow g \\ & & Z \end{array}$$

After applying  $F$ , we'll have

$$\begin{array}{ccc} F(X) & \xrightarrow{F(f)} & F(Y) \\ & \searrow F(g \circ f) = F(g) \circ F(f) & \downarrow F(g) \\ & & F(Z) \end{array}$$

which is a commutative diagram in  $\mathcal{D}$ .

We can also have a so-called contravariant functor.

**Definition 0.1 (Contravariant functor).** Given  $\mathcal{C}, \mathcal{D}$  be two categories.

A contravariant functor

$$F: \mathcal{C} \rightarrow \mathcal{D}$$

is

1. a map on objects

$$F: \text{Ob}(\mathcal{C}) \rightarrow \text{Ob}(\mathcal{D})$$

$$X \mapsto F(X).$$

2. maps of morphisms

$$\text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{D}}(F(Y), F(X))$$

$$[f: X \rightarrow Y] \mapsto [F(f): F(Y) \rightarrow F(X)]$$

such that

- $F(\text{id}_X) = \text{id}_{F(X)}$
- $F(f \circ g) = F(g) \circ F(f)$

Then, we see that in this case, when we apply a contravariant functor  $F$ , the diagram becomes

$$\begin{array}{ccc} F(X) & \xleftarrow{F(f)} & F(Y) \\ & \nwarrow & \uparrow F(g) \\ & & F(Z) \end{array}$$

$F(g \circ f) = F(f) \circ F(g)$

which is a commutative diagram in  $\mathcal{D}$ .

**Example.** Let see some examples.

1. Identity functor.

$$I: \mathcal{C} \rightarrow \mathcal{C}.$$

2. Forgetful functors.

•

$$F: \underline{\text{Gp}} \rightarrow \underline{\text{set}}$$

$$G \mapsto G^1$$

$$[f: G \rightarrow H] \mapsto [f: G \rightarrow H]$$

•

$$F: \underline{\text{Top}} \rightarrow \underline{\text{set}}$$

$$X \mapsto X^2$$

$$[f: X \rightarrow Y] \mapsto [f: X \rightarrow Y]$$

<sup>1</sup> $G$  is now just the underlying set of the group  $G$ .

<sup>2</sup> $X$  is now just the underlying set of the topological space  $X$ .

## 3. Free functors.

$$\begin{aligned} \underline{\text{set}} &\rightarrow \underline{k\text{-vect}} \\ s &\mapsto \text{"free" } k\text{-vector space on } s \end{aligned}$$

i.e., vector space with basis  $s$

$$[f: A \rightarrow B] \mapsto [\text{unique } k\text{-linear map extending } f]$$

## 4.

$$\begin{aligned} \underline{k\text{-vect}} &\rightarrow \underline{k\text{-vect}} \\ V &\mapsto V^* = \text{Hom}_k(V, k) \end{aligned}$$

If we are working on a basis, then we have

$$A \mapsto A^T.$$

Specifically, we care about two functors.

## 1.

$$\begin{aligned} \underline{\text{Top}}^* &\rightarrow \underline{\text{Gp}} \\ (X, x_0) &\mapsto \Pi_1(X, x_0) \end{aligned}$$

where  $\Pi_1$  is so-called *fundamental group*.

## 2.

$$\begin{aligned} \underline{\text{Top}} &\rightarrow \underline{\text{Ab}} \\ X &\mapsto \text{Hp}(X) \end{aligned}$$

where  $\text{Hp}$  is so-called  $p^{\text{th}}$  *homology*.

Let see the formal definition.

## 0.1 Free Groups

**Definition 0.2 (Free group).** Given a set  $S$ , the *free group* is a group  $F_S$  on  $S$  with a map  $S \rightarrow F_S$  satisfying the universal property.

If  $G$  is any group,  $f: S \rightarrow G$  is any map of sets,  $f$  extends uniquely to group homomorphism  $\bar{f}: F_S \rightarrow G$ .

$$\begin{array}{ccc} S & \longrightarrow & F_S \\ & \searrow f & \downarrow \exists! \bar{f}: \text{gp hom} \\ & & G \end{array}$$

**Note.** This defines a *natural bijection*

$$\mathrm{Hom}_{\mathrm{set}}(S, \mathcal{U}(G)) \cong \mathrm{Hom}_{\mathrm{Grp}}(F_S, G),$$

where  $\mathcal{U}(G)$  is the forgetful functor from the category of groups to the category of sets. This is the statement that the free functor and the forgetful functor are **adjoint**; specifically that the free functor is the left adjoint (appears on the left in the Hom's above).

**Definition 0.3 (Adjoint functor).** A free and forgetful functors are *adjoints*.

**Remark.** Whenever we state a universal property for an object (plus a map), an object (plus a map) may or may not exist. If such object exists, then it defines the object **uniquely up to unique isomorphism**, so we can use the universal property as the *definition* of the object (plus a map).

**Lemma 0.1.** Universal property defines  $F_S$  (plus a map  $S \rightarrow F(S)$ ) uniquely up to unique isomorphism.

*Proof.* Fix  $S$ . Suppose

$$S \rightarrow F_S, \quad S \rightarrow \tilde{F}_S$$

both satisfy the unique property. By universal property, there exist maps such that

$$\begin{array}{ccc} S & \longrightarrow & \tilde{F}_S \\ & \searrow f & \downarrow \exists! \varphi \\ & & F_S \end{array} \quad \begin{array}{ccc} S & \longrightarrow & F_S \\ & \searrow f & \downarrow \exists! \psi \\ & & \tilde{F}_S \end{array}$$

We'll show  $\varphi$  and  $\psi$  are inverses (and the unique isomorphism making above commute). Since we must have the following two commutative graphs.

$$\begin{array}{ccc} & F_S & \\ f \nearrow & \downarrow \mathrm{id}_{F_S} & \nwarrow f \\ S & & \\ f \searrow & \downarrow & \nearrow \\ & F_S & \end{array} \quad \begin{array}{ccc} & \tilde{F}_S & \\ f \nearrow & \downarrow \mathrm{id}_{\tilde{F}_S} & \nwarrow f \\ S & & \\ f \searrow & \downarrow & \nearrow \\ & \tilde{F}_S & \end{array}$$

Hence, we see that

$$\begin{array}{ccc} & F_S & \\ f \nearrow & \downarrow \psi & \nwarrow \varphi \\ S & \longrightarrow & \tilde{F}_S \\ f \searrow & \downarrow \varphi & \nearrow \\ & F_S & \end{array} \quad \varphi \circ \psi = \mathrm{id}_{F_S} \quad \begin{array}{ccc} & \tilde{F}_S & \\ f \nearrow & \downarrow \varphi & \nwarrow \psi \\ S & \longrightarrow & F_S \\ f \searrow & \downarrow \psi & \nearrow \\ & \tilde{F}_S & \end{array} \quad \psi \circ \varphi = \mathrm{id}_{\tilde{F}_S}$$

where the identity makes these outer triangles commute, then by the uniqueness in universal property, we must have

$$\varphi \circ \psi = \text{id}_{F_S}, \quad \psi \circ \varphi = \text{id}_{\tilde{F}_S},$$

so  $\varphi$  and  $\psi$  are inverses (thus group isomorphism). ■

## Lecture 8: The Fundamental Group $\pi_1$

24 Jan. 10:00

**Example.** In category Ab free Abelian group on a set  $S$  is

$$\bigoplus_S \mathbb{Z}.$$

In category of fields, no such thing as **free field on  $S$** .

### 0.1.1 Constructing the Free Groups $F_S$

**Proposition 0.1.** The free group defined by the universal property exists.

*Proof.* We'll just give a construction below. First, we see the definition.

**Definition 0.4.** Fix a set  $S$ , and we define a word as a finite sequence (possibly  $\emptyset$ ) in the formal symbols

$$\{s, s^{-1} \mid s \in S\}.$$

Then we see that elements in  $F_S$  are equivalence classes of words with the equivalence relation being

- delete  $ss^{-1}$  or  $s^{-1}s$ . i.e.,

$$vs^{-1}sw \sim vw$$

$$vss^{-1}w \sim vw$$

for every word  $v, w, s \in S$ ,

with the group operation being concatenation. ■

**Example.** Given words  $ab^{-1}, bba$ , their product is

$$ab^{-1} \cdot bba = ab^{-1}bba = aba.$$

**Exercise.** There are something we can check.

1. This product is well-defined on equivalence classes.
2. Every equivalence class of words has a unique *reduced form*, namely the representation.
3. Check that  $F_S$  satisfies the universal property with respect to the map

$$S \rightarrow F_S, \quad s \mapsto s.$$

# 1 The Fundamental Group $\pi_1$

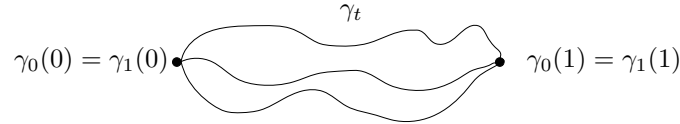
We start with the definition.

**Definition 1.1 (Path).** A *path* in a space  $X$  is a continuous map

$$\gamma: I \rightarrow X$$

where  $I = [0, 1]$ .

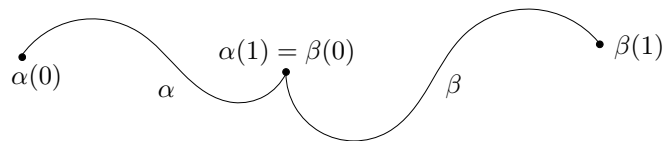
**Definition 1.2 (Homotopy path).** A *homotopy of paths*  $\gamma_0, \gamma_1$  is a homotopy from  $\gamma_0$  to  $\gamma_1$  rel  $\{0, 1\}$ .



**Example.** Fix  $x_1, x_0 \in X$ , then  $\exists$  homotopy of paths is an equivalence relation on paths from  $x_0$  to  $x_1$  (i.e.,  $\gamma$  with  $\gamma(0) = x_0, \gamma(1) = x_1$ ).

**Definition 1.3 (Path composition).** For paths  $\alpha, \beta$  in  $X$  with  $\alpha(1) = \beta(0)$ , the *composition*<sup>a</sup>  $\alpha \cdot \beta$  is

$$(\alpha \cdot \beta)(t) := \begin{cases} \alpha(2t), & \text{if } t \in \left[0, \frac{1}{2}\right] \\ \beta(2t - 1), & \text{if } t \in \left[\frac{1}{2}, 1\right]. \end{cases}$$



<sup>a</sup>Also named *product*, *concatenation*.

**Remark.** By the pasting lemma, this is continuous, hence  $\alpha \cdot \beta$  is actually a path from  $\alpha(0)$  to  $\beta(1)$ .

**Definition 1.4 (Reparameterization).** Let  $\gamma: I \rightarrow X$  be a path, then a *reparameterization* of  $\gamma$  is a path

$$\gamma': I \xrightarrow{\varphi} I \xrightarrow{\gamma} X$$

where  $\varphi$  is continuous and

$$\varphi(0) = 0, \quad \varphi(1) = 1.$$

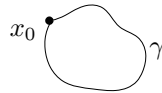
**Exercise.** A path  $\gamma$  is homotopic  $\text{rel}\{0, 1\}$  to all of its reparameterizations.

HW

**Exercise.** Fix  $x_0, x_1 \in X$ . Then Homotopy of paths (relative  $\{0, 1\}$ ) is an equivalence relation on paths from  $x_0$  to  $x_1$ .

**Definition 1.5 (Fundamental Group).** Let  $X$  denotes the space and let  $x_0 \in X$  be the base point. The *fundamental group of  $X$  based at  $x_0$* , denoted by  $\pi_1(X, x_0)$ , is a group such that

- Elements: Homotopy classes  $\text{rel}\{0, 1\}$  of paths  $[\gamma]$  where  $\gamma$  is a **loop** with  $\gamma(0) = \gamma(1) = x_0$ <sup>a</sup>

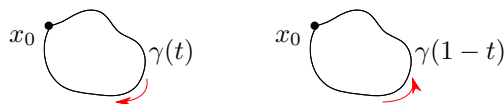


- Operation: [Composition of paths](#).
- Identity: Constant loop  $\gamma$  based at  $x_0$  such that

$$\gamma: I \rightarrow X, \quad t \mapsto x_0$$

- Inverses: The inverse  $[\gamma]^{-1}$  of  $[\gamma]$  is represented by the loop  $\bar{\gamma}$  such that

$$\bar{\gamma}(t) = \gamma(1 - t).$$



<sup>a</sup>We say  $\gamma$  is **based** at  $x_0$ .

*Proof.* We need to prove that the above define a group.

HW.

**Theorem 1.1.** If  $X$  is path-connected, then

$$\forall x_0, x_1 \in X \quad \pi_1(X, x_0) \cong \pi_1(X, x_1).$$

**Remark.** We often write  $\pi_1(X)$  up to isomorphism.

*Proof.*

■ HW.

**Exercise.** Composition of paths is well-defined on homotopy classes  $\text{rel}\{0, 1\}$ .

**Exercise.** If  $X$  is a contractible space, then  $X$  is path connected and  $\pi_1(X)$  is trivial.

## Lecture 9: Calculate Fundamental Group

26 Jan. 10:00

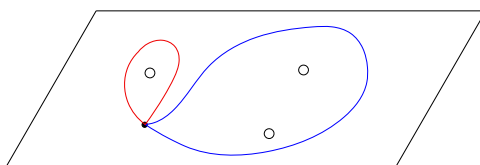


Figure 1: Fundamental Group is basically a *hole detector*!

**Theorem 1.2.** Given  $(X, x_0)$  and  $(Y, y_0)$ , then

$$\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0)$$

such that

$$\left[ \begin{array}{l} r: I \rightarrow X \times Y \\ r(t) = (r_X(t), r_Y(t)) \end{array} \right] \mapsto (r_X, r_Y),$$

where  $\gamma$  is continuous  $\iff f_X, f_Y$  are continuous.

*Proof.* Let  $Z \rightarrow X \times Y$  with  $z \mapsto (f_X(z), f_Y(z))$ . Then we have

$$\text{continuous} \iff f_X, f_Y \text{ are continuous.}$$

Now, apply to

- $I \rightarrow X \times Y$ .
- $I \times I \rightarrow X \times Y$ .

■

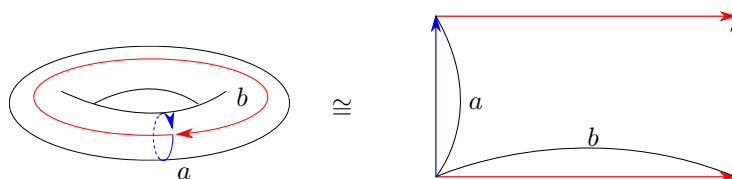


**Corollary 1.1.** Torus  $T \cong S^1 \times S^1$ . Additionally,

$$\pi_1((S^1)^k) \cong \mathbb{Z}^k.$$

*Proof.* Since

$$\pi_1 \cong \mathbb{Z}^2 \cong \mathbb{Z}_a \oplus \mathbb{Z}_b.$$



■

**Example.** We now see some examples.

1.  $\pi_1(S^\infty \times S^1) \cong \mathbb{Z}$
2.  $\pi_1(\mathbb{R}^2 \setminus \{0\}) \cong \mathbb{Z}$  since

$$\mathbb{R}^2 \setminus \{0\} \cong S^1 \times \mathbb{R}.$$

**Theorem 1.3.** Let  $\pi_1$  is a functor

$$\begin{aligned} \pi_1: \underline{\text{Top}}_* &\rightarrow \underline{\text{Gp}} \\ (X, x_0) &\mapsto \pi_1(X, x_0). \end{aligned}$$

A map  $f: X \rightarrow Y$  taking base point  $x_0$  to  $y_0$  induces a map

$$\begin{aligned} f_*: \pi_1(X, x_0) &\rightarrow \pi_1(Y, y_0) \\ [\gamma] &\mapsto [f \circ \gamma] \end{aligned}$$

i.e.,

$$[f: X \rightarrow Y] \mapsto [f_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, f(x_0))].$$

**Notation.** We usually write  $f_*$  if it's a covariant functor, while writing  $f^*$  if it's an [contravariant](#) functor.

*Proof.* We need to check

- well-defined on path homotopy classes.

- $f_*$  is a group homomorphism.

$$f_*(\alpha \cdot \beta) = f_*(\alpha) \cdot f_*(\beta) = \begin{cases} f(\alpha(2s)), & \text{if } s \in \left[0, \frac{1}{2}\right] \\ f(\beta(1 - 2s)), & \text{if } s \in \left[\frac{1}{2}, 1\right]. \end{cases}$$

- $(\text{id}_{(X, x_0)})_* = \text{id}_{\pi_1(X, x_0)}$
- $(f_* \circ g_*) = (f \circ g)_*$

$$(f \circ g)_*[\gamma] = [f \circ g \circ \gamma] = [f \circ (g \circ \gamma)] \implies f_*(\gamma_*(\gamma)).$$

DIY

■

## Lecture 10: Seifert-Van Kampen Theorem

26 Jan. 10:00

We first introduce a definition.

**Definition 1.6 (Free product with amalgamation).** Given some collections of groups  $\{G_\alpha\}_\alpha$ , the *free product*, denoted by  $*_\alpha G_\alpha$  is a group such that

- Elements: Words in  $\{g: g \in G_\alpha \text{ for any } \alpha\}$  modulo by the equivalence relation generated by

$$wg_i g_j v \sim w(g_i g_j)v$$

for every word  $w, v, g_i, g_j \in G_\alpha$ . Also, for the identity element  $e_\alpha$  for  $\text{id} = e_\alpha \in G_\alpha$  for any  $\alpha$  such that

$$we_\alpha v \sim wv.$$

- Operation: Concatenation of words.

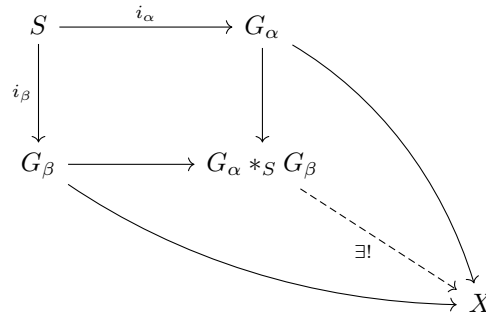
Furthermore, the *free product with amalgamation*  $*_S G_\alpha$  is defined as

$$*_\alpha G_\alpha$$

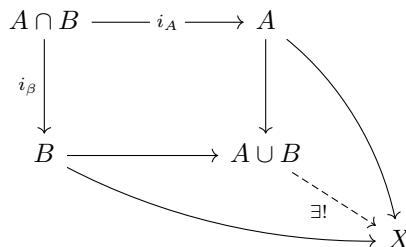
modulo the normal subgroup generated by

$$\{i_\alpha(s)i_\beta(s)^{-1} \mid s \in S\}$$

satisfies the universal property



**Remark.** Aside, in Top, the same universal property defines union



for  $A, B$  are open subsets and the inclusion of intersection.

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**Theorem 1.4 (Seifert-Van Kampen Theorem).** Given  $(X, x_0)$  such that  $X = \bigcup_{\alpha} A_{\alpha}$  with

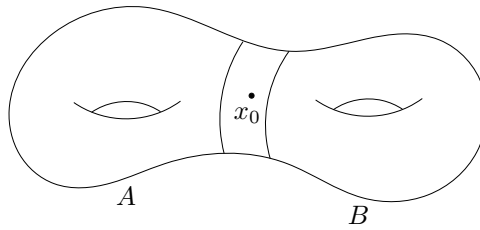
- $A_{\alpha}$  are open and path-connected  $\forall \alpha$   $x_0 \in A_{\alpha}$
- $A_{\alpha} \cap A_{\beta}$  is path-connected for all  $\alpha, \beta$ .

Then there exists a surjective group homomorphism

$$*_\alpha: \pi_1(A_{\alpha}, x_0) \rightarrow \pi_1(X, x_0).$$

If  $A_{\alpha} \cap A_{\beta} \cap A_{\gamma}$  is path-connected for every  $\alpha, \beta, \gamma$ , then

$$\pi_1(X, x_0) \cong \ast_{\pi_1(A_{\alpha} \cap A_{\beta}, x_0)} \pi_1(A_{\alpha}, x_0).$$



**Example.**

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## Appendix

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## References

- [HPM02] A. Hatcher, Cambridge University Press, and Cornell University. Department of Mathematics. *Algebraic Topology*. Algebraic Topology. Cambridge University Press, 2002. ISBN: 9780521795401. URL: <https://books.google.com/books?id=BjKs86kosqC>.