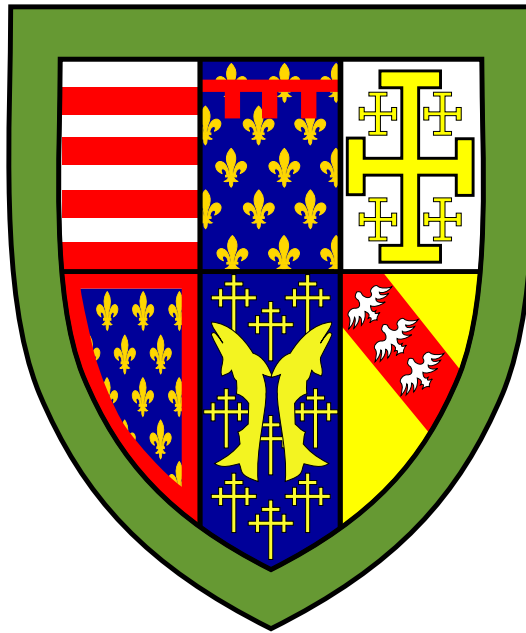


Queens' College Cambridge

Hoare Logic and Model Checking



Alistair O'Brien

Department of Computer Science

June 5, 2022

1 Hoare Logic

While

Syntax

Arith Terms	$E ::= N \mid X \mid E + E \mid E - E \mid E \times E$
Bool Terms	$B ::= \text{true} \mid \text{false} \mid B \wedge B \mid B \vee B \mid \neg B$ $\mid E = E \mid E \leq E \mid E \geq E$
Commands	$C ::= \text{skip} \mid C_1; C_2 \mid X := E$ $\mid \text{if } B \text{ then } C \text{ else } C \mid \text{while } B \text{ do } C$
Variables	$\chi ::= X \mid x$
Terms	$t ::= \chi \mid f(t_1, \dots, t_n)$ e.g. $+$, $-$, \dots
Assertions	$P ::= \top \mid \perp \mid P \wedge P \mid P \vee P \mid P \rightarrow P$ $\mid t = t \mid p(t_1, \dots, t_n)$ e.g. \geq , \leq , \dots $\mid \exists x.P \mid \forall x.P$
Stack	$s \in \text{Var} \rightarrow \mathbb{Z}$

Operational Semantics

$$\boxed{\mathcal{E} \llbracket \cdot \rrbracket (\cdot) : \text{AExp} \times \text{Stack} \rightarrow \mathbb{Z}}$$

$$\begin{aligned}\mathcal{E} \llbracket N \rrbracket (s) &= N \\ \mathcal{E} \llbracket X \rrbracket (s) &= s(X) \\ \mathcal{E} \llbracket E_1 \odot E_2 \rrbracket (s) &= \mathcal{E} \llbracket E_1 \rrbracket (s) \odot \mathcal{E} \llbracket E_2 \rrbracket (s)\end{aligned}$$

$$\boxed{\mathcal{B} \llbracket \cdot \rrbracket (\cdot) : \text{BExp} \times \text{Stack} \rightarrow \mathbb{B}}$$

$$\begin{aligned}\mathcal{B} \llbracket \text{true} \rrbracket (s) &= \top \\ \mathcal{B} \llbracket \text{false} \rrbracket (s) &= \perp \\ \mathcal{B} \llbracket B_1 \odot B_2 \rrbracket (s) &= \mathcal{B} \llbracket B_1 \rrbracket (s) \odot \mathcal{B} \llbracket B_2 \rrbracket (s) \\ \mathcal{B} \llbracket E_1 \mathcal{R} E_2 \rrbracket (s) &= \begin{cases} \top & \text{if } \mathcal{E} \llbracket E_1 \rrbracket (s) \mathcal{R} \mathcal{E} \llbracket E_2 \rrbracket (s) \\ \perp & \text{otherwise} \end{cases}\end{aligned}$$

$$\boxed{\langle C, s \rangle \rightsquigarrow \langle C, s \rangle}$$

$$\begin{array}{c} \frac{\mathcal{E} \llbracket E \rrbracket (s) = N}{\langle X := E, s \rangle \rightsquigarrow \langle \text{skip}, s[X \mapsto N] \rangle} \text{ASSIGN} \qquad \frac{\langle C_1, s \rangle \rightsquigarrow \langle C'_1, s' \rangle}{\langle C_1; C_2, s \rangle \rightsquigarrow \langle C'_1; C_2, s' \rangle} \text{SEQ}_1 \\ \\ \frac{}{\langle \text{skip}; C, s \rangle \rightsquigarrow \langle C, s \rangle} \text{SEQ}_2 \qquad \frac{\mathcal{B} \llbracket B \rrbracket (s) = \top}{\langle \text{if } B \text{ then } C_1 \text{ else } C_2, s \rangle \rightsquigarrow \langle C_1, s \rangle} \text{IF}_1 \\ \\ \frac{\mathcal{B} \llbracket B \rrbracket (s) = \perp}{\langle \text{if } B \text{ then } C_1 \text{ else } C_2, s \rangle \rightsquigarrow \langle C_2, s \rangle} \text{IF}_2 \qquad \frac{\mathcal{B} \llbracket B \rrbracket (s) = \perp}{\langle \text{while } B \text{ do } C, s \rangle \rightsquigarrow \langle \text{skip}, s \rangle} \text{WHILE}_2 \\ \\ \frac{\mathcal{B} \llbracket B \rrbracket (s) = \top}{\langle \text{while } B \text{ do } C, s \rangle \rightsquigarrow \langle C; \text{while } B \text{ do } C, s \rangle} \text{WHILE}_1 \end{array}$$

Semantics of Assertions and Hoare Triples

$$\boxed{\llbracket \cdot \rrbracket (\cdot) : \text{Term} \times \text{Stack} \rightarrow \mathbb{Z}}$$

$$\begin{aligned} \llbracket \chi \rrbracket (s) &= s(\chi) \\ \llbracket f(t_1, \dots, t_n) \rrbracket (s) &= \llbracket f \rrbracket (\llbracket t_1 \rrbracket (s), \dots, \llbracket t_n \rrbracket (s)) \\ \llbracket E \rrbracket (s) &= \mathcal{E} \llbracket E \rrbracket (s) \quad (E \text{ can occur inside } t) \end{aligned}$$

$$\boxed{\llbracket \cdot \rrbracket : \text{Assertion} \rightarrow \mathcal{P}(\text{Stack})}$$

$$\begin{aligned} \llbracket \top \rrbracket &= \text{Stack} \\ \llbracket \perp \rrbracket &= \emptyset \\ \llbracket P \wedge Q \rrbracket &= \llbracket P \rrbracket \cap \llbracket Q \rrbracket \\ \llbracket P \vee Q \rrbracket &= \llbracket P \rrbracket \cup \llbracket Q \rrbracket \\ \llbracket P \rightarrow Q \rrbracket &= \{s \in \text{Stack} : s \in \llbracket P \rrbracket \implies s \in \llbracket Q \rrbracket\} \\ \llbracket t_1 = t_2 \rrbracket &= \{s \in \text{Stack} : \llbracket t_1 \rrbracket (s) = \llbracket t_2 \rrbracket (s)\} \\ \llbracket p(t_1, \dots, t_n) \rrbracket &= \{s \in \text{Stack} : \llbracket p \rrbracket (\llbracket t_1 \rrbracket (s), \dots, \llbracket t_n \rrbracket (s))\} \\ \llbracket \forall x. P \rrbracket &= \{s \in \text{Stack} : \forall N \in \mathbb{Z}. s[x \mapsto N] \in \llbracket P \rrbracket\} \\ \llbracket \exists x. P \rrbracket &= \{s \in \text{Stack} : \exists N \in \mathbb{Z}. s[x \mapsto N] \in \llbracket P \rrbracket\} \end{aligned}$$

$$\boxed{\models \{P\} C \{Q\}}$$

- Assuming command C is executed in initial state satisfying *precondition* P and C terminates, then the terminal state satisfies *postcondition* Q :

$$\models \{P\} C \{Q\} \iff \forall s, s' \in \text{Stack}. s \in \llbracket P \rrbracket \wedge \langle C, s \rangle \rightsquigarrow^* \langle \text{skip}, s' \rangle \implies s' \in \llbracket Q \rrbracket$$

$$\boxed{\models [P] C [Q]}$$

- Assuming command C is executed in initial state satisfying *precondition* P , then C terminates and any terminal state satisfies *postcondition* Q :

$$\begin{aligned} \models [P] C [Q] &\iff \forall s \in \text{Stack}. s \in \llbracket P \rrbracket \\ &\implies \langle C, s \rangle \not\rightsquigarrow^\omega \wedge (\forall s' \in \text{Stack}. \langle C, s \rangle \rightsquigarrow^* \langle \text{skip}, s' \rangle \implies s' \in \llbracket Q \rrbracket) \end{aligned}$$

Proof System

$\boxed{\vdash_{\text{FOL}} P}$ (See IB Logic and Proof)

$\boxed{\vdash \{P\} C \{Q\}}$

$$\begin{array}{c}
\frac{\vdash \{P \wedge B\} C_1 \{Q\} \quad \vdash \{P \wedge \neg B\} C_2 \{Q\}}{\vdash \{P\} \text{ if } B \text{ then } C_1 \text{ else } C_2 \{Q\}} \text{ IF} \qquad \frac{}{\vdash \{\{E/X\}P\} X := E \{P\}} \text{ ASSIGN} \\
\\
\frac{}{\vdash \{P\} \text{ skip } \{P\}} \text{ SKIP} \qquad \frac{\vdash \{P \wedge B\} C \{P\}}{\vdash \{P\} \text{ while } B \text{ do } C \{P \wedge \neg B\}} \text{ WHILE} \\
\\
\frac{\vdash \{P\} C_1 \{Q\} \quad \vdash \{Q\} C_2 \{R\}}{\vdash \{P\} C_1; C_2 \{Q\}} \text{ SEQ} \qquad \frac{\vdash \{P\} C \{Q\} \quad \text{mod}(C) \cap \text{fv}(R) = \emptyset}{\vdash \{P \wedge R\} C \{Q \wedge R\}} \text{ CONSTANCY} \\
\\
\frac{\vdash_{\text{FOL}} P_1 \rightarrow P_2 \quad \vdash \{P_2\} C \{Q_2\} \quad \vdash_{\text{FOL}} Q_2 \rightarrow Q_1}{\vdash \{P_1\} C \{Q_1\}} \text{ CONSEQUENCE}
\end{array}$$

$\boxed{\vdash [P] C [Q]}$

$$\frac{\vdash [P \wedge B \wedge t = n] C[P \wedge t < n] \quad \vdash_{\text{FOL}} P \wedge B \rightarrow t \geq 0}{\vdash [P] \text{ while } B \text{ do } C [P \wedge \neg B]} \text{ WHILE } (n \in \text{VAR})$$

Theorems

Lemma 1.0.1. (Semantic Properties) The following holds:

Termination Program steps to skip \iff it doesn't diverge:

$$\forall C \in \text{Cmd}, s \in \text{Stack}. (\exists s' \in \text{Stack}. \langle C, s \rangle \rightsquigarrow^* \langle \text{skip}, s' \rangle) \iff \langle C, s \rangle \not\rightsquigarrow^\omega$$

where

$$\begin{aligned}
\langle C, s \rangle \not\rightsquigarrow &\iff \nexists c \in \text{Config}. \langle C, s \rangle \rightsquigarrow c \\
\langle C, s \rangle \rightsquigarrow^\omega &\iff \exists c \in \text{Config}. C \rightsquigarrow^* c \wedge c \not\rightsquigarrow
\end{aligned}$$

Determinacy of While

$$\begin{aligned}
\forall C, C', C'' \in \text{Cmd}, s, s', s'' \in \text{Stack}. \langle C, s \rangle \rightsquigarrow^* \langle C', s' \rangle \wedge \langle C, s \rangle \rightsquigarrow^* \langle C'', s'' \rangle \\
\implies \langle C', s' \rangle = \langle C'', s'' \rangle
\end{aligned}$$

Proof. Termination follows from $c \not\rightsquigarrow \iff c = \langle \text{skip}, s \rangle$. Proof of determinacy by *structural induction* on C .

Lemma 1.0.2. (Substitution Lemmas) The following holds:

- (i) $\mathcal{E} [\{E_2/X\}E_1] (s) = \mathcal{E} [E_1] (s[X \mapsto \mathcal{E} [E_2] (s)])$
- (ii) $\llbracket \{E/X\}t \rrbracket (s) = \llbracket t \rrbracket (s[X \mapsto \mathcal{E} [E] (s)])$

$$(iii) \ s \in \llbracket \{E/X\}P \rrbracket \iff s[X \mapsto \mathcal{E} \llbracket E \rrbracket (s)] \in \llbracket P \rrbracket$$

Proof. Proof by *structural induction* on E , t and P .

Theorem 1.0.1. (Soundness) If $\vdash \{P\} C \{Q\}$, then $\models \{P\} C \{Q\}$.

Proof. Proof by *rule induction* on $\vdash \{P\} C \{Q\}$.

- Hoare Logic is not complete due to incompleteness of FOL w/ arithmetic.

Theorem 1.0.2. (Incompleteness) Hoare Logic is *incomplete*:

$$\models \{P\} C \{Q\} \not\Rightarrow \vdash \{P\} C \{Q\}.$$

Proof. Assume $\models \{P\} C \{Q\} \Rightarrow \vdash \{P\} C \{Q\}$. We wish to show the contradiction of $\models P \Rightarrow \vdash_{\text{FOL}} P$. Assume $\models P$, that is $\forall s. s \in \llbracket P \rrbracket$. So we have $\models \{\top\} \text{skip} \{P\}$. By completeness we have $\vdash \{\top\} \text{skip} \{P\}$, that is:

$$\frac{\overline{\vdash \{\top\} \text{skip} \{\top\}} \quad \vdash_{\text{FOL}} \top \rightarrow P}{\vdash \{\top\} \text{skip} \{P\}}$$

So we have $\vdash_{\text{FOL}} \top \rightarrow P \iff \vdash_{\text{FOL}} P$. \square

Definition 1.0.1. (WLP and SP) Weakest liberal precondition **wlp** and strong post-conditions **sp** s.t

$$\vdash_{\text{FOL}} P \rightarrow \text{wlp}(C, Q) \iff \vdash \{P\} C \{Q\} \iff \vdash_{\text{FOL}} \text{sp}(P, C) \rightarrow Q$$

- **wlp** and **sp** don't exist due to *while loops*:

$$\begin{aligned} \text{wlp}(\text{skip}, Q) &= Q \\ \text{wlp}(X := E, Q) &= \{E/X\}Q \\ \text{wlp}(C_1; C_2, Q) &= \text{wlp}(C_1, \text{wlp}(C_2, Q)) \\ \text{wlp}(\text{if } B \text{ then } C_1 \text{ else } C_2, Q) &= (B \rightarrow \text{wlp}(C_1, Q)) \wedge (\neg B \rightarrow \text{wlp}(C_2, Q)) \\ \text{wlp}(\text{while } B \text{ do } C, Q) &= \text{wlp}(\text{if } B \text{ then } (C; \text{while } B \text{ do } C) \text{ else skip}, Q) \\ &= (B \rightarrow \text{wlp}(C, \text{wlp}(\text{while } B \text{ do } C, Q))) \wedge (\neg B \rightarrow Q) \end{aligned}$$

Theorem 1.0.3. (Relative Completeness) Hoare Logic is *relatively complete*:

$$\models \{P\} C \{Q\} \Rightarrow (\vdash \{P\} C \{Q\} \iff \text{completeness of } \vdash_{\text{FOL}}),$$

that is to say failure to derive $\vdash \{P\} C \{Q\}$ is only due to failure to derive $\vdash_{\text{FOL}} R$ (for valid R).

Theorem 1.0.4. (Undecidability) Hoare Logic is *undecidable*:

$$\nexists f \in \text{Computable}. f(P, C, Q) = \top \iff \models \{P\} C \{Q\}.$$

Proof. Proof by *reduction to Halting problem*, by using $\models \{\top\} C \{\perp\}$ as a termination checker for C .

While_p

Syntax

Arith Terms	$E ::= N \mid X \mid E + E \mid E - E \mid E \times E$
Bool Terms	$B ::= \text{true} \mid \text{false} \mid B \wedge B \mid B \vee B \mid \neg B$ $\mid E = E \mid E \leq E \mid E \geq E$
Null	$\text{null} = 0$
Commands	$C ::= \text{skip} \mid C_1; C_2 \mid X := E \mid X := [E] \mid [E_1] := E_2$ $\mid \text{if } B \text{ then } C \text{ else } C \mid \text{while } B \text{ do } C$ $\mid X := \text{alloc}(E_0, \dots, E_n) \mid \text{dispose}(E)$
Variables	$\chi ::= X \mid x$
Terms	$t ::= \chi \mid f(t_1, \dots, t_n)$ e.g. $+$, $-$, \dots
Assertions	$P ::= \top \mid \perp \mid P \wedge P \mid P \vee P \mid P \rightarrow P$ $\mid t = t \mid p(t_1, \dots, t_n)$ e.g. \geq , \leq , \dots $\mid \exists x.P \mid \forall x.P$ $\mid t \mapsto t \mid P * P \mid \text{emp}$
Stack	$s \in \text{Var} \rightarrow \mathbb{Z}$
Location	$\ell \in \text{Loc} = \mathbb{Z}_{\geq 0}$
Heap	$h \in \text{Heap} = (\text{Loc} \setminus \{\text{null}\}) \rightarrow \mathbb{Z}$
State	$\sigma ::= \langle s, h \rangle$
Config	$c ::= \langle C, \sigma \rangle \mid \not\downarrow$

Operational Semantics

$$\mathcal{E} \llbracket \cdot \rrbracket (\cdot) : \text{AExp} \times \text{Stack} \rightarrow \mathbb{Z}$$

$$\begin{aligned} \mathcal{E} \llbracket N \rrbracket (s) &= N \\ \mathcal{E} \llbracket X \rrbracket (s) &= s(X) \\ \mathcal{E} \llbracket E_1 \odot E_2 \rrbracket (s) &= \mathcal{E} \llbracket E_1 \rrbracket (s) \odot \mathcal{E} \llbracket E_2 \rrbracket (s) \end{aligned}$$

$$\mathcal{B} \llbracket \cdot \rrbracket (\cdot) : \text{BExp} \times \text{Stack} \rightarrow \mathbb{B}$$

$$\begin{aligned} \mathcal{B} \llbracket \text{true} \rrbracket (s) &= \top \\ \mathcal{B} \llbracket \text{false} \rrbracket (s) &= \perp \\ \mathcal{B} \llbracket B_1 \odot B_2 \rrbracket (s) &= \mathcal{B} \llbracket B_1 \rrbracket (s) \odot \mathcal{B} \llbracket B_2 \rrbracket (s) \\ \mathcal{B} \llbracket E_1 \mathcal{R} E_2 \rrbracket (s) &= \begin{cases} \top & \text{if } \mathcal{E} \llbracket E_1 \rrbracket (s) \mathcal{R} \mathcal{E} \llbracket E_2 \rrbracket (s) \\ \perp & \text{otherwise} \end{cases} \end{aligned}$$

$$\boxed{C \rightsquigarrow C}$$

$$\begin{array}{c}
\frac{\mathcal{E} \llbracket E \rrbracket (s) = N}{\langle X := E, \langle s, h \rangle \rangle \rightsquigarrow \langle \text{skip}, \langle s[X \mapsto N], h \rangle \rangle} \text{ASSIGN} \quad \frac{\langle C_1, \sigma \rangle \rightsquigarrow \langle C'_1, \sigma' \rangle}{\langle C_1; C_2, \sigma \rangle \rightsquigarrow \langle C'_1; C_2, \sigma' \rangle} \text{SEQ}_1 \\
\\
\frac{}{\langle \text{skip}; C, \sigma \rangle \rightsquigarrow \langle C, \sigma \rangle} \text{SEQ}_2 \quad \frac{\mathcal{B} \llbracket B \rrbracket (s) = \top}{\langle \text{if } B \text{ then } C_1 \text{ else } C_2, \langle s, h \rangle \rangle \rightsquigarrow \langle C_1, \langle s, h \rangle \rangle} \text{IF}_1 \\
\\
\frac{\mathcal{B} \llbracket B \rrbracket (s) = \perp}{\langle \text{if } B \text{ then } C_1 \text{ else } C_2, \langle s, h \rangle \rangle \rightsquigarrow \langle C_2, \langle s, h \rangle \rangle} \text{IF}_2 \quad \frac{\mathcal{B} \llbracket B \rrbracket (s) = \perp}{\langle \text{while } B \text{ do } C, \langle s, h \rangle \rangle \rightsquigarrow \langle \text{skip}, \langle s, h \rangle \rangle} \text{WHILE}_2 \\
\\
\frac{\mathcal{B} \llbracket B \rrbracket (s) = \top}{\langle \text{while } B \text{ do } C, \langle s, h \rangle \rangle \rightsquigarrow \langle C; \text{while } B \text{ do } C, \langle s, h \rangle \rangle} \text{WHILE}_1 \quad \frac{\mathcal{E} \llbracket E \rrbracket (s) = \ell \in \text{Loc} \quad \ell \in \text{dom } h}{\langle s, h \rangle \vdash_{\text{LOC}} E} \text{LOC} \\
\\
\frac{\mathcal{E} \llbracket E \rrbracket (s) = \ell \quad \ell \in \text{dom } h \quad h(\ell) = N}{\langle X := [E], \langle s, h \rangle \rangle \rightsquigarrow \langle \text{skip}, \langle s[X \mapsto N], h \rangle \rangle} \text{DEREF}_1 \quad \frac{\sigma \not\vdash_{\text{LOC}} E}{\langle X := [E], \sigma \rangle \rightsquigarrow \not\downarrow} \text{DEREF}_2 \\
\\
\frac{\mathcal{E} \llbracket E_1 \rrbracket (s) = \ell \quad \ell \in \text{dom } h \quad \mathcal{E} \llbracket E_2 \rrbracket (s) = N}{\langle [E_1] := E_2, \langle s, h \rangle \rangle \rightsquigarrow \langle \text{skip}, \langle s, h[\ell \mapsto N] \rangle \rangle} \text{HASSIGN}_1 \quad \frac{\sigma \not\vdash_{\text{LOC}} E_1}{\langle [E_1] := E_2, \sigma \rangle \rightsquigarrow \not\downarrow} \text{HASSIGN}_2 \\
\\
\frac{\mathcal{E} \llbracket E \rrbracket (s) = \ell \quad \ell \in \text{dom } h}{\langle \text{dispose}(E), \langle s, h \rangle \rangle \rightsquigarrow \langle \text{skip}, \langle s, h \setminus \ell \rangle \rangle} \text{DISPOSE}_1 \quad \frac{\sigma \not\vdash_{\text{LOC}} E}{\langle \text{dispose}(E), \sigma \rangle \rightsquigarrow \not\downarrow} \text{DISPOSE}_2 \\
\\
\frac{\mathcal{E} \llbracket E_i \rrbracket (s) = N_i \quad \forall i \in [0, n]. \ell + i \notin \text{dom } h \quad \ell \neq \text{null}}{\langle X := \text{alloc}(E_0, \dots, E_n), \langle s, h \rangle \rangle \rightsquigarrow \langle \text{skip}, \langle s[X \mapsto \ell], h[\ell \mapsto N_0, \dots, \ell + n \mapsto N_n] \rangle \rangle} \text{ALLOC}
\end{array}$$

Semantics of Assertions and Hoare Triples

$$\boxed{\llbracket \cdot \rrbracket (\cdot) : \text{Term} \times \text{Stack} \rightarrow \mathbb{Z}}$$

$$\begin{aligned}
\llbracket \chi \rrbracket (s) &= s(\chi) \\
\llbracket f(t_1, \dots, t_n) \rrbracket (s) &= \llbracket f \rrbracket (\llbracket t_1 \rrbracket (s), \dots, \llbracket t_n \rrbracket (s)) \\
\llbracket E \rrbracket (s) &= \mathcal{E} \llbracket E \rrbracket (s) \quad (E \text{ can occur inside } t)
\end{aligned}$$

$$\boxed{\llbracket \cdot \rrbracket (\cdot) : \text{Assertion} \times \text{Stack} \rightarrow \mathcal{P}(\text{Heap})}$$

$$\begin{aligned}
\llbracket \top \rrbracket (s) &= \text{Heap} \\
\llbracket \perp \rrbracket (s) &= \emptyset \\
\llbracket P \wedge Q \rrbracket (s) &= \llbracket P \rrbracket (s) \cap \llbracket Q \rrbracket (s) \\
\llbracket P \vee Q \rrbracket (s) &= \llbracket P \rrbracket (s) \cup \llbracket Q \rrbracket (s) \\
\llbracket P \rightarrow Q \rrbracket (s) &= \{h \in \text{Heap} : h \in \llbracket P \rrbracket (s) \implies h \in \llbracket Q \rrbracket (s)\} \\
\llbracket t_1 = t_2 \rrbracket (s) &= \begin{cases} \text{Heap} & \text{if } \llbracket t_1 \rrbracket (s) = \llbracket t_2 \rrbracket (s) \\ \emptyset & \text{otherwise} \end{cases} \\
\llbracket p(t_1, \dots, t_n) \rrbracket (s) &= \begin{cases} \text{Heap} & \text{if } \llbracket p \rrbracket (\llbracket t_1 \rrbracket (s), \dots, \llbracket t_n \rrbracket (s)) \\ \emptyset & \text{otherwise} \end{cases}
\end{aligned}$$

$$\begin{aligned}
\llbracket \forall x. P \rrbracket (s) &= \{h \in \mathbf{Heap} : \forall N \in \mathbb{Z}. h \in \llbracket P \rrbracket (s[x \mapsto N])\} \\
\llbracket \exists x. P \rrbracket (s) &= \{h \in \mathbf{Heap} : \exists N \in \mathbb{Z}. h \in \llbracket P \rrbracket (s[x \mapsto N])\} \\
\llbracket t_1 \mapsto t_2 \rrbracket (s) &= \left\{ h \in \mathbf{Heap} : \exists \ell \in \mathbf{Loc}, N \in \mathbb{Z}. \begin{aligned} &\llbracket t_1 \rrbracket (s) = \ell \wedge \llbracket t_2 \rrbracket (s) = N \wedge \ell \neq \mathbf{null} \\ &\wedge \text{dom } h = \{\ell\} \wedge h(\ell) = N \end{aligned} \right\} \\
\llbracket P * Q \rrbracket (s) &= \{h \in \mathbf{Heap} : \exists h_1, h_2 \in \mathbf{Heap}. h_1 \in \llbracket P \rrbracket (s) \wedge h_2 \in \llbracket Q \rrbracket (s) \wedge h = h_1 \uplus h_2\} \\
\llbracket \mathbf{emp} \rrbracket (s) &= \{h \in \mathbf{Heap} : \text{dom } h = \emptyset\}
\end{aligned}$$

- $t_1 \mapsto t_2$ asserts ownership of t_1 (ℓ) with value t_2 in heap ($\text{dom } h = \{\ell\}$).
- $P * Q$ splits heap into disjoint parts h_1, h_2 satisfying P and Q resp.
- \mathbf{emp} asserts ownership of no locations (empty heap).

$$\boxed{\vdash \{P\} C \{Q\}}$$

- Assuming state $\langle s, h_1 \rangle$ satisfies *precondition* P and command C executed in initial state $\langle s, h_1 \uplus h_F \rangle$ (h_F = unchanged frame heaplet), then:
 1. C does not fault (P asserts ownership of all locations accessed),
 2. if C terminates then terminal state is $\langle s', h'_1 \uplus h_F \rangle$ and $\langle s', h'_1 \rangle$ satisfies *post-condition* Q .

$$\begin{aligned}
\vdash \{P\} C \{Q\} &\iff \forall s \in \mathbf{Stack}, h_1, h_F \in \mathbf{Heap}. \text{dom } h_1 \cap \text{dom } h_F = \emptyset \wedge h_1 \in \llbracket P \rrbracket (s) \\
&\implies \langle C, \langle s, h_1 \uplus h_F \rangle \rangle \not\rightsquigarrow^* \downarrow \\
&\quad \wedge \forall s' \in \mathbf{Stack}, h' \in \mathbf{Heap}. \langle C, \langle s, h_1 \uplus h_F \rangle \rangle \rightsquigarrow^* \langle \mathbf{skip}, \langle s', h' \rangle \rangle \\
&\implies \exists h'_1 \in \mathbf{Heap}. h' = h'_1 \uplus h_F \wedge h'_1 \in \llbracket Q \rrbracket (s)
\end{aligned}$$

Proof System

$$\boxed{\vdash_{\text{CSL}} P} \text{ (Separation Logic Proof System)}$$

$$\boxed{\vdash \{P\} C \{Q\}}$$

$$\begin{aligned}
&\frac{\vdash \{P \wedge B\} C_1 \{Q\} \quad \vdash \{P \wedge \neg B\} C_2 \{Q\}}{\vdash \{P\} \text{if } B \text{ then } C_1 \text{ else } C_2 \{Q\}} \text{IF} & \frac{}{\vdash \{\{E/X\}P\} X := E \{P\}} \text{ASSIGN} \\
&\frac{}{\vdash \{P\} \mathbf{skip} \{P\}} \text{SKIP} & \frac{\vdash \{P \wedge B\} C \{P\}}{\vdash \{P\} \mathbf{while } B \text{ do } C \{P \wedge \neg B\}} \text{WHILE} \\
&\frac{\vdash \{P\} C_1 \{Q\} \quad \vdash \{Q\} C_2 \{R\}}{\vdash \{P\} C_1; C_2 \{Q\}} \text{SEQ} & \frac{\vdash \{P\} C \{Q\} \quad \text{mod}(C) \cap \text{fv}(R) = \emptyset}{\vdash \{P \wedge R\} C \{Q \wedge R\}} \text{CONSTANCY} \\
&\frac{\vdash_{\text{CSL}} P_1 \rightarrow P_2 \quad \vdash \{P_2\} C \{Q_2\} \quad \vdash_{\text{CSL}} Q_2 \rightarrow Q_1}{\vdash \{P_1\} C \{Q_1\}} \text{CONSEQUENCE}
\end{aligned}$$

$$\begin{array}{c}
\frac{\vdash \{P\} C \{Q\} \quad \text{mod}(C) \cap \text{fv}(R) = \emptyset}{\vdash \{P * R\} C \{Q * R\}} \text{FRAMING} \qquad \frac{\vdash \{P\} C \{Q\}}{\vdash \{\exists x.P\} C \{\exists x.Q\}} \text{EXISTS} \\
\\
\frac{}{\vdash \{E \mapsto t\} \text{dispose}(E) \{\text{emp}\}} \text{DISPOSE} \qquad \frac{}{\vdash \{E_1 \mapsto t\} [E_1] := E_2 \{E_1 \mapsto E_2\}} \text{HASSIGN} \\
\\
\frac{}{\vdash \{E \mapsto v \wedge X = x\} X := [E] \{\{x/X\}E \mapsto v \wedge X = v\}} \text{DEREF} \\
\\
\frac{}{\vdash \{X = x \wedge \text{emp}\} X := \text{alloc}(E_0, \dots, E_n) \{X \mapsto \{x/X\}E_0, \dots, \{x/X\}E_n\}} \text{ALLOC}
\end{array}$$

2 Model Checking

Temporal Models

Definition 2.0.1. (Temporal Model) A temporal model $M \in \text{TModel}(\text{AP})$ over atomic propositions AP is a tuple $(S, S_0, \cdot \rightarrow \cdot, \ell)$ where:

- S is a set of states and $S_0 \subseteq S$ is the initial set of states,
- $\cdot \rightarrow \cdot : S \rightarrow S$ is the *accessibility/transition relation*
- $\ell : S \rightarrow \mathcal{P}(\text{AP})$ is a labelling function

and $\cdot \rightarrow \cdot$ is *left-total*:

$$\forall s \in S. \exists s' \in S. s \rightarrow s'$$

Definition 2.0.2. (Path) A path π through M is mapping $\pi : \mathbb{N} \rightarrow S$ satisfying:

$$\forall n \in \mathbb{N}. \pi(n) \rightarrow \pi(n+1)$$

$\pi \setminus n$ is *suffix* of path π , defined by $i \mapsto \pi(i+n)$

Definition 2.0.3. (Reachable) The set of reachable states in M is defined as

$$\text{Reachable}(M) = \{\pi \in \text{Path}(M) : \pi(0) \in S_0\}$$

$s \in S$ is reachable in M if $s \in \text{Reachable}(M)$, that is:

$$\exists \pi \in \text{Path}(M), n \in \mathbb{N}. \pi(0) \in S_0 \wedge \pi(n) = s$$

Definition 2.0.4. (Stuttering) A model M is said to be *stuttering* iff

$$\forall s \in S. s \rightarrow s$$

- **Definite Temporal Models:**
 - S and S_0 are finite
 - $\cdot \rightarrow \cdot$ and ℓ are computable

Temporal Logics

(Linear Temporal Logic) LTL

- Describes properties of **paths** in models
- Considers infinite **linear** paths (each state has exactly 1 *successor*)
- Cannot reason about *states* (or their successors/branching)

Syntax

Path Property	$\phi ::= \perp$	(False: no path satisfies)
	$ \top$	(True: all paths satisfy)
	$ p$	(Atomic predicate: head satisfies p)
	$ \neg\phi$	(Negation: path doesn't satisfy ϕ)
	$ \phi_1 \wedge \phi_2$	(Conjunction: path satisfies ϕ_1 and ϕ_2)
	$ \phi_1 \vee \phi_2$	(Disjunction: path satisfies ϕ_1 or ϕ_2)
	$ \phi_1 \rightarrow \phi_2$	(Implication: path satisfies ϕ_1 then satisfies ϕ_2)
	$ \mathbf{X} \phi$	(neXt: tail satisfies ϕ)
	$ \mathbf{G} \phi$	(Generally: every path suffix satisfies ϕ)
	$ \mathbf{F} \phi$	(Future: some path suffix satisfies ϕ)
	$ \phi_1 \mathbf{U} \phi_2$	(Until: some path suffix satisfies ϕ_2 , all prefixes until then satisfy ϕ_1)

Semantics

$$\boxed{M \models \phi}, \boxed{s \models_M \phi}$$

$$M \models \phi = \forall s \in S. s \in S_0 \implies s \models_M \phi$$

$$s \models_M \phi = \forall \pi \in \text{Path}(M). \pi(0) = s \implies \pi \models_M \phi$$

$$\boxed{\pi \models_M \phi}$$

$$\pi \models_M \top = \top$$

$$\pi \models_M \perp = \perp$$

$$\pi \models_M p = p \in \ell(\pi(0))$$

$$\pi \models_M \neg\phi = \neg(\pi \models_M \phi)$$

$$\pi \models_M \phi_1 \wedge \phi_2 = \pi \models_M \phi_1 \wedge \pi \models_M \phi_2$$

$$\pi \models_M \phi_1 \vee \phi_2 = \pi \models_M \phi_1 \vee \pi \models_M \phi_2$$

$$\pi \models_M \phi_1 \rightarrow \phi_2 = \neg(\pi \models_M \phi_1) \vee \pi \models_M \phi_2$$

$$\pi \models_M \mathbf{X} \phi = \pi \setminus 1 \models_M \phi$$

$$\pi \models_M \mathbf{F} \phi = \exists n \in \mathbb{N}. \pi \setminus n \models_M \phi$$

$$\pi \models_M \mathbf{G} \phi = \forall n \in \mathbb{N}. \pi \setminus n \models_M \phi$$

$$\pi \models_M \phi_1 \mathbf{U} \phi_2 = \exists n \in \mathbb{N}. \pi \setminus n \models_M \phi_2 \wedge (\forall k \in [0, n). \pi \setminus k \models_M \phi_1)$$

(Computation Tree Logic) CTL*

- Describes properties of possible *path trees*
- Considers *set* of possible futures for each state

Syntax

State Property	$\psi ::= \perp$	(False: no state satisfies)
	$ \top$	(True: all states satisfy)
	$ p$	(Atomic predicate: state satisfies p)
	$ \psi_1 \wedge^s \psi_2$	(Conjunction: state satisfies ψ_1 and ψ_2)
	$ \psi_1 \vee^s \psi_2$	(Disjunction: state satisfies ψ_1 or ψ_2)
	$ \psi_1 \rightarrow^s \psi_2$	(Implication: state satisfies ψ_1 then satisfies ψ_2)
	$ \mathbf{A} \phi$	(Universal: every outgoing path satisfies ϕ)
	$ \mathbf{E} \phi$	(Existential: some outgoing path satisfies ϕ)
Path Property	$\phi ::= \psi$	(State: head satisfies ψ)
	$ \phi_1 \wedge \phi_2$	(Conjunction: path satisfies ϕ_1 and ϕ_2)
	$ \phi_1 \vee \phi_2$	(Disjunction: path satisfies ϕ_1 or ϕ_2)
	$ \phi_1 \rightarrow \phi_2$	(Implication: path satisfies ϕ_1 then satisfies ϕ_2)
	$ \mathbf{X} \phi$	(neXt: tail satisfies ϕ)
	$ \mathbf{G} \phi$	(Generally: every path suffix satisfies ϕ)
	$ \mathbf{F} \phi$	(Future: some path suffix satisfies ϕ)
	$ \phi_1 \mathbf{U} \phi_2$	(Until: some path suffix satisfies ϕ_2 , all prefixes until then satisfy ϕ_1)

Semantics

$$\boxed{M \models \psi}$$

$$M \models \psi = \forall s \in S. s \in S_0 \implies s \models_M \psi$$

$$\boxed{s \models_M \psi}, \boxed{\pi \models_M \phi}$$

$$s \models_M \top = \top$$

$$s \models_M \perp = \perp$$

$$s \models_M p = p \in \ell(s)$$

$$s \models_M \psi_1 \wedge^s \psi_2 = s \models_M \psi_1 \wedge s \models_M \psi_2$$

$$s \models_M \psi_1 \vee^s \psi_2 = s \models_M \psi_1 \vee s \models_M \psi_2$$

$$s \models_M \psi_1 \rightarrow^s \psi_2 = \neg(s \models_M \psi_1) \vee s \models_M \psi_2$$

$$s \models_M \mathbf{A} \phi = \forall \pi \in \text{Path}(M). \pi(0) = s \implies \pi \models_M \phi$$

$$s \models_M \mathbf{E} \phi = \exists \pi \in \text{Path}(M). \pi(0) = s \implies \pi \models_M \phi$$

$$\pi \models_M \psi = \pi(0) \models_M \psi$$

$$\pi \models_M \phi_1 \wedge^p \phi_2 = \pi \models_M \phi_1 \wedge \pi \models_M \phi_2$$

$$\pi \models_M \phi_1 \vee^p \phi_2 = \pi \models_M \phi_1 \vee \pi \models_M \phi_2$$

$$\pi \models_M \phi_1 \rightarrow \phi_2 = \neg(\pi \models_M \phi_1) \vee \pi \models_M \phi_2$$

$$\pi \models_M \mathbf{X} \phi = \pi \setminus 1 \models_M \phi$$

$$\pi \models_M \mathbf{F} \phi = \exists n \in \mathbb{N}. \pi \setminus n \models_M \phi$$

$$\pi \models_M \mathbf{G} \phi = \forall n \in \mathbb{N}. \pi \setminus n \models_M \phi$$

$$\pi \models_M \phi_1 \mathbf{U} \phi_2 = \exists n \in \mathbb{N}. \pi \setminus n \models_M \phi_2$$

$$\wedge (\forall k \in [0, n). \pi \setminus k \models_M \phi_1)$$

- CLT* fragments:

CTL Force all temporal operators (X, F, G, U) to use path quantifiers (A, E)

LTL No path quantifiers, no explicit state props, uses A implicitly.

ACTL* Universal fragment of CTL*

ECTL* Existential fragment of CTL*

Automated Theorem Proving

Simulations

- Concrete temporal model transformed to abstract model with *reduced* state space

Definition 2.0.5. (Simulation) Let $M = (S, S_0, \cdot \rightarrow \cdot, \ell) \in \text{TModel}(\text{AP})$ and $M' = (S', S'_0, \cdot \rightsquigarrow \cdot, \ell') \in \text{TModel}(\text{AP}')$ be temporal models where $\text{AP}' \subseteq \text{AP}$. $R \subseteq S \times S'$ is a *simulation*, denoted $M \preceq^R M'$ if:

- (i) R is consistent w/ labels:

$$\forall s \in S, s' \in S'. s R s' \implies \ell(s) \cap \text{AP}' = \ell'(s')$$

- (ii) R is consistent w/ initial states:

$$\forall s \in S_0. \exists s' \in S'_0. s R s'$$

- (iii) Any step M has a corresponding step in M' for any R -related start and end states:

$$\forall s_1, s_2 \in S, s'_1 \in S'. s_1 R s'_1 \wedge s_1 \rightarrow s_2 \implies \exists s'_2 \in S'. s'_1 \rightsquigarrow s'_2 \wedge s_2 R s'_2$$

Definition 2.0.6. (Simulation Preorder) The simulation preorder $\cdot \preceq \cdot$ is defined as:

$$M \preceq M' = \exists R \subseteq S \times S'. M \preceq^R M'$$

Theorem 2.0.1. (Simulation preserves ACTL*) The universal, implication-free fragment of CTL* ($\text{ACTL}^{*\text{IF}}$) is consistent w/ simulation:

$$\begin{aligned} \forall M \in \text{TModel}(\text{AP}), M' \in \text{TModel}(\text{AP}'), \psi \in \text{StateProp}^{\text{ACTL}^{*\text{IF}}}, \\ M \preceq M' \wedge M' \models \psi \implies M \models \psi \end{aligned}$$

- **Problem:** $M \not\models \psi \not\Rightarrow M' \not\models \psi$.

Definition 2.0.7. (Bisimulation) Let $M = (S, S_0, \cdot \rightarrow \cdot, \ell) \in \text{TModel}(\text{AP})$ and $M' = (S', S'_0, \cdot \rightsquigarrow \cdot, \ell') \in \text{TModel}(\text{AP})$. $R \subseteq S \times S'$ is a *bisimulation*, denoted $M \approx^R M'$ if:

- (i) R is consistent w/ labels:

$$\forall s \in S, s' \in S'. s R s' \implies \ell(s) = \ell'(s')$$

- (ii) R bi-directionally relates initial states:

$$(\forall s \in S_0. \exists s' \in S'_0. s R s') \wedge (\forall s' \in S'_0. \exists s \in S_0. s R s')$$

- (iii) • M can match steps of M' :

$$\forall s_1, s_2 \in S, s'_1 \in S'. s_1 R s'_1 \wedge s_1 \rightarrow s_2 \implies \exists s'_2 \in S'. s'_1 \rightsquigarrow s'_2 \wedge s_2 R s'_2$$

- M' can match steps of M :

$$\forall s'_1, s'_2 \in S', s_1 \in S. s'_1 R s_1 \wedge s'_1 \rightsquigarrow s'_2 \implies \exists s_2 \in S. s_1 \rightarrow s_2 \wedge s_2 R s'_2$$

Definition 2.0.8. (Bisimilarity) M and M' are *bisimilar*, denoted $M \approx M'$, give by:

$$M \approx M' = \exists R \subseteq S \times S'. M \approx^R M'$$

We have

$$M \approx M' \implies M \preceq M' \wedge M' \preceq M$$

Theorem 2.0.2. (Bisimulation preserves CTL*) CTL* is consistent w/ bisimulation:

$$\forall M, M' \in \text{TModel}(\text{AP}), \psi \in \text{StateProp}.$$

$$M \approx M' \implies (M' \models \psi \iff M \models \psi)$$

Model Checker

- **Idea:** Function `mca` that computes set of states that a state prop satisfies. Focusing on ECTL (using dual laws for CTL).
- **Problems:** Approach is slow.
- **Solutions:** Memoization, “symbolic model checking” (BBDs, etc), lazy computations, partial orderings (reduces # repeated computations), etc

```
open Core
(* States are integers n ≥ 0 *)
module State = Int

(* Temporal model parameterized by atomic props ['ap].
   Assumed to be left total *)
type 'ap tmodel =
  { s : State.Set.t
  ; s0 : state -> bool
  ; t : state -> state -> bool
  ; l : state -> 'ap -> bool
  }

(* model checker [mc], satisfies mc m ψ ⇔ M ⊨ ψ *)
let mc (m : 'ap tmodel) (psi : 'ap state_prop) : bool =
  let v = mca m psi in
  State.Set.for_all m.s ~f:(fun s ->
    not (m.s0 s) || State.Set.mem v s)
```

```

(* [mca m  $\psi$ ] is the set of states satisfying  $\psi$  *)
let rec mca m psi : State.Set.t =
  let module S = State.Set in
  match psi with
  | True -> m.s
  | False -> S.empty
  | Atom p -> S.filter m.s ~f:(fun s -> m.l s p)
  | Not psi -> S.diff m.s (mca m psi)
  | And (psi1, psi2) ->
    let v1 = mca m psi1
    and v2 = mca m psi2 in
    S.inter v1 v2
  | Or (psi1, psi2) ->
    let v1 = mca m psi1
    and v2 = mca m psi2 in
    S.union v1 v2
  | Impl (psi1, psi2) -> mca m (Or (Not psi1, psi2))
  | A (X psi) ->
    (*  $A X \psi \simeq \neg E X \neg \psi$  *)
    mca m (Not (E (X (Not psi))))
  | A (G psi) ->
    (*  $A G \psi \simeq \neg E F \neg \psi$  *)
    mca m (Not (E (F (Not psi))))
  | A (F psi) ->
    (*  $A F \psi \simeq \neg E G \neg \psi$  *)
    mca m (Not (E (G (Not psi))))
  | A (U (psi1, psi2))
    (*  $A [\psi_1 U \psi_2] \simeq \neg [E \{\neg \psi_2 U \neg [\psi_1 \vee \psi_2]\} \vee E G \neg \psi_2]$  *)
    mca m (Not (Or
      (E (U (Not psi2, Not (Or (psi1, psi2)))))
      , E (G (Not psi2)))))
  | E (X psi) ->
    let v = mca m psi in
    S.filter m.s ~f:(fun s -> S.exists v ~f:(m.t s))
  | E (F psi) -> mca m (E U (True, psi))
  | E (G psi) ->
    (* G and U reason about infinite paths
       (use fixpoint for finite computation) *)
    let v = mca m psi in
    (* Compute initial state set [v], remove states from [v']
       that cannot transition into [v] *)
    fix v (fun v' ->
      S.filter v' ~f:(fun s -> S.exists v' ~f:(m.t s)) )
  | E (U (psi1, psi2)) ->
    let v1 = mca m psi1
    and v2 = mca m psi2 in
    fix v2 (fun v' ->
      S.union v' (S.filter v1 ~f:(S.exists v' ~f:(m.t s))))

```

Refutations

- **Idea:** $M \not\models^{\text{ACTL}} \psi \iff M \models \neg \psi^{\text{ACTL}}$, by duality $\neg \psi^{\text{ACTL}}$ can be expressed in ECTL (in NNF).
- Use Curry-Howard for ‘*witnesses*’ (terms) with a validity relation (type system).
- Witnesses may be computed using a model checking algorithm (Program synthesis).

$$\text{FinPath}(M, s) = \{\Pi \in \text{List } S : \Pi(0) = s \wedge \forall 0 \leq i \leq |\Pi| - 1. \Pi(i) \rightarrow \Pi(i+1)\}$$

Syntax

Terms	$e ::=$
	$ p(s)$
	$ \neg p(s)$
	$ \langle e, e \rangle$
	$ \mathbf{L} \ e$
	$ \mathbf{R} \ e$
	$ \mathbf{X}(s, s, e)$
	$ \mathbf{F}([s, \dots, s], e)$
	$ \mathbf{G}([\langle s, e \rangle, \dots, \langle s, e \rangle])$
	$ \mathbf{U}([\langle s, e \rangle, \dots, \langle s, e \rangle], s, e)$

Type System

$$\begin{array}{c}
\frac{p \in \ell(s)}{s \vdash_M p(s) : p} \text{ATOM} \quad \frac{p \notin \ell(s)}{s \vdash_M \neg p(s) : \neg p} \neg\text{ATOM} \quad \frac{s \vdash_M e_1 : \psi_1 \quad s \vdash_M e_2 : \psi_2}{s \vdash_M \langle e_1, e_2 \rangle : \psi_1 \wedge \psi_2} \wedge \\
\\
\frac{s \vdash_M e : \psi_1}{s \vdash_M \mathbf{L} \ e : \psi_1 \vee \psi_2} \vee_1 \quad \frac{s \vdash_M e : \psi_2}{s \vdash_M \mathbf{R} \ e : \psi_1 \vee \psi_2} \vee_2 \\
\\
\frac{s \rightarrow s' \quad s' \vdash_M e : \psi}{s \vdash_M \mathbf{X}(s, s', e) : \mathbf{E} \ \mathbf{X} \ \psi} \mathbf{X} \quad \frac{\Pi \in \text{FinPath}(M, s) \quad \text{last}(\Pi) \vdash_M e : \psi}{s \vdash_M \mathbf{F}(\Pi, e) : \mathbf{E} \ \mathbf{F} \ \psi} \mathbf{F} \\
\\
\frac{\Pi = [s_0, \dots, s_n] \in \text{FinPath}(M, s) \quad \overbrace{\text{last}(\Pi) \rightarrow \Pi(n)}^{\text{lasso-shaped path}} \quad \forall 0 \leq i \leq n. s_i \vdash_M e_i : \psi}{s \vdash_M \mathbf{G}([\langle s_0, e_0 \rangle, \dots, \langle s_n, e_n \rangle]) : \mathbf{E} \ \mathbf{G} \ \psi} \mathbf{G} \\
\\
\frac{\Pi = [s_0, \dots, s_n, s_{n+1}] \in \text{FinPath}(M, s) \quad \forall 0 \leq i \leq n. s_i \vdash_M e_i : \psi_1 \quad s_{n+1} \vdash_M e_{n+1} : \psi_2}{s \vdash_M \mathbf{U}([\langle s_0, e_0 \rangle, \dots, \langle s_n, e_n \rangle, s_{n+1}, e_{n+1}]) : \mathbf{E} \ (\psi_1 \ \mathbf{U} \ \psi_2)} \mathbf{U}
\end{array}$$