

# Quiz

For  $n \in \mathbb{N}$ , let  $f(n) = n^2 + 1001n + n^3$  and  $g(n) = 10n^3$ . Then  $f(n)$  has the same asymptotic growth rate as  $g(n)$  (meaning that  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \in \mathbb{R}_+$ ).

true:  $\lim_{n \rightarrow \infty} \frac{n^2 + 1001n + 40n^3}{n^3} = \lim_{n \rightarrow \infty} \left( \underbrace{\frac{1}{n} + \frac{1001}{n^2} + 40}_{\rightarrow 0} \right) = 40$

$n^4 \leq O\left(\frac{n^4}{\log(n)}\right)$

- True
- False

false:

$$\lim_{n \rightarrow \infty} \frac{n^4}{\frac{n^4}{\log n}} = \lim_{n \rightarrow \infty} n^4 \cdot \frac{\log n}{n^4} = \lim_{n \rightarrow \infty} \log n = \infty$$

$e^{3\ln(n)} \leq O(n^2)$

- True
- False

false:

$$e^{3\ln(n)} = e^{\ln(n^3)} = n^3 \notin O(n^2)$$

Let  $f(n) = 6n^2 + 5n + 10$ . For each of the following definitions of  $g(n)$ , is  $g(n) \leq O(f(n))$ ?

True      False

$g(n) = 123456789n - 200\sqrt{n}$

true:  $\lim_{n \rightarrow \infty} \frac{123456789n - 200\sqrt{n}}{6n^2 + 5n + 10} = \lim_{n \rightarrow \infty} \frac{123456789 - \frac{200}{\sqrt{n}}}{6n + 5 + \frac{10}{n}} = 0$

$g(n) = 0.01n^2 \log(n)$

false:  $\lim_{n \rightarrow \infty} \frac{0.01n^2 \log n}{6n^2 + 5n + 10} = \lim_{n \rightarrow \infty} \frac{0.01 \log n}{6 + \frac{5}{n} + \frac{10}{n^2}} = \infty$

$g(n) = 10n^3 + 5n + 1000$

false:  $\lim_{n \rightarrow \infty} \frac{10n^3 + 5n + 1000}{6n^2 + 5n + 10} = \lim_{n \rightarrow \infty} \frac{10n + \frac{5}{n} + \frac{1000}{n^2}}{6 + \frac{5}{n} + \frac{10}{n^2}} = \infty$

Let  $f : \mathbb{N} \rightarrow \mathbb{R}_+$  be some function for which we would like to prove  $f(n) \geq n^2$  for every  $n \geq 1$ . Assume that you have proven that:

- $f(2) \geq 2^2 \rightarrow$  Base case with  $k=2$  instead of  $k=1$
- If  $f(k) \geq k^2$  holds for an arbitrary positive integer  $k$ , then  $f(k+1) \geq (k+1)^2$  holds.

Then,  $f(n) \geq n^2$  holds for all positive integers  $n \geq 1$ .

not for  $n=1$

false

# Discussion Exercise Sheet 1

## Exercise 1.1 Sum of Cubes (1 point).

Prove by mathematical induction that for every positive integer  $n$ ,

$$1^3 + 2^3 + \dots + n^3 = \frac{n^2(n+1)^2}{4}.$$

Wir iterieren über  $k$

Base case: Sei  $k=1$ .  $\frac{1^2 \cdot (1+1)^2}{4} = \frac{4}{4} = 1 = 1^3 \quad \checkmark$

I.H: Wir nehmen an, dass die Aussage für ein  $k \geq 1$ ,  $k \in \mathbb{N}$  gilt.

I.S:  $\underbrace{1^3 + 2^3 + \dots + k^3}_{\text{I.H.}} + (k+1)^3 \stackrel{\text{I.H.}}{=} \frac{k^2(k+1)^2}{4} + (k+1)^3$

$$\begin{aligned} &= \frac{k^2(k+1)^2 + 4(k+1)^3}{4} \\ &= \frac{k^2(k+1)^2 + 4(k+1)(k+1)^2}{4} \\ &= \frac{(k+1)^2(k^2 + 4k + 4)}{4} \quad \text{ausklammern} \\ &= \frac{(k+1)^2(k+2)^2}{4} \quad \text{binom. Formel} \\ &= \frac{(k+1)^2((k+1)+1)^2}{4} \quad \blacksquare \end{aligned}$$

### Exercise 1.2 Sum of reciprocals of roots (1 point).

Consider the following claim:

$$\frac{1}{\sqrt{1}} + \frac{1}{\sqrt{2}} + \cdots + \frac{1}{\sqrt{n}} \leq \sqrt{n}.$$

A student provides the following induction proof. Is it correct? If not, explain where the mistake is.

**Base case:**  $n = 1$ ,

$$\frac{1}{\sqrt{1}} \leq 1, \text{ which is true.}$$
correct

**Induction hypothesis:** Assume the claim holds for  $n = k$ , i.e.

$$\frac{1}{\sqrt{1}} + \cdots + \frac{1}{\sqrt{k}} \leq \sqrt{k}.$$
correct

**Induction step:** Then, starting from the claim we need to prove for  $n = k + 1$  and using logical equivalences:

$$\begin{aligned} \frac{1}{\sqrt{1}} + \cdots + \frac{1}{\sqrt{k}} + \frac{1}{\sqrt{k+1}} &\leq \sqrt{k+1} \stackrel{\checkmark}{\iff} \frac{1}{\sqrt{1}} + \cdots + \frac{1}{\sqrt{k}} \leq \sqrt{k+1} - \frac{1}{\sqrt{k+1}} \\ &\stackrel{\checkmark}{\iff} \frac{1}{\sqrt{1}} + \cdots + \frac{1}{\sqrt{k}} \leq \frac{k+1}{\sqrt{k+1}} - \frac{1}{\sqrt{k+1}} \\ &\stackrel{\times}{\iff} \frac{1}{\sqrt{1}} + \cdots + \frac{1}{\sqrt{k}} \leq \frac{k}{\sqrt{k+1}} \stackrel{\text{orange underline}}{\leq} \frac{k}{\sqrt{k}} \leq \sqrt{k}, \end{aligned} \quad \left. \begin{array}{l} \text{korrekte} \\ \text{Termumformung} \end{array} \right\} \text{Erklären}$$

which is true, therefore the claim holds by the principle of mathematical induction.

$$\begin{aligned} \frac{1}{\sqrt{1}} + \cdots + \frac{1}{\sqrt{k}} &\leq \frac{k}{\sqrt{k+1}} \stackrel{\times}{\iff} \frac{1}{\sqrt{1}} + \cdots + \frac{1}{\sqrt{k}} \leq \frac{k}{\sqrt{k}} \\ &\iff \frac{1}{\sqrt{1}} + \cdots + \frac{1}{\sqrt{k}} \leq \sqrt{k} \end{aligned}$$

Induktionsschritt in falsche Richtung:  $k+1 \rightarrow k$

### Exercise 1.4 Proving Inequalities.

(a) Prove the following inequality by mathematical induction

$$\frac{1}{2} \cdot \frac{3}{4} \cdot \frac{5}{6} \cdots \frac{2n-1}{2n} \leq \frac{1}{\sqrt{3n+1}}, \quad n \geq 1.$$

Base case:  $\frac{1}{2} = \frac{1}{\sqrt{4}} = \frac{1}{\sqrt{3 \cdot 1 + 1}} \quad \checkmark$

I.H.: Wir nehmen an, dass die Aussage für ein  $k \geq 1$  gilt.

I.S.:  $\frac{1}{2} \cdot \frac{3}{5} \cdot \cdots \cdot \frac{2k-1}{2k} \cdot \frac{2k+1}{2k+2} \stackrel{\text{I.H.}}{\leq} \frac{1}{\sqrt{3k+1}} \cdot \frac{2k+1}{2k+2}$

⋮

$$\leq \frac{1}{\sqrt{3(k+1)+1}}$$

wollen wir zeigen

$$\frac{1}{\sqrt{3k+1}} \cdot \frac{2k+1}{2k+2} \leq \frac{1}{\sqrt{3(k+1)+1}}$$

→ falls wir das zeigen können,  
ist der I.S. bewiesen!

$$\Leftrightarrow \frac{2k+1}{2k+2} \leq \frac{\sqrt{3k+1}}{\sqrt{3k+4}}$$

Potenzgesetz

$$\Leftrightarrow \frac{2k+1}{2k+2} \leq \sqrt{\frac{3k+1}{3k+4}}$$

beide Seiten quadrieren

$$\Leftrightarrow \frac{(2k+1)^2}{(2k+2)^2} \leq \frac{3k+1}{3k+4}$$

$$\Leftrightarrow (2k+1)^2(3k+4) \leq (2k+2)^2(3k+1)$$

$$\Leftrightarrow (4k^2+4k+1)(3k+4) \leq (4k^2+8k+4)(3k+1)$$

$$\Leftrightarrow 12k^3 + 12k^2 + 3k + 16k^2 + 16k + 4 \leq 12k^3 + 24k^2 + 12k + 4k^2 + 8k + 4$$

$$\Leftrightarrow \cancel{12k^3} + \cancel{28k^2} + \cancel{19k+4} \leq \cancel{12k^3} + \cancel{28k^2} + \cancel{20k} + \cancel{4}$$

subtrahiere  $19k$

$$\Leftrightarrow 0 \leq k$$

Das heisst der I.S. ist gültig für alle  $k \geq 0$ . □

**Exercise 1.3 Asymptotic growth (1 point).**

(b)  $f(m) = \log(m^3)$  grows asymptotically slower than  $g(m) = (\log m)^3$ .

$$\lim_{m \rightarrow \infty} \frac{\log(m^3)}{\log(m)^3} = \lim_{m \rightarrow \infty} \frac{3 \log(m)}{\log(m)^3} = \lim_{m \rightarrow \infty} \frac{3}{\log(m)^2} = 0$$

→ True

(d)\* If  $f(m)$  grows asymptotically slower than  $g(m)$ , then  $\log(f(m))$  grows asymptotically slower than  $\log(g(m))$ .

False. Counterexample: Let  $f(m) = m$ ,  $g(m) = m^2$

$$\lim_{m \rightarrow \infty} \frac{f(m)}{g(m)} = \lim_{m \rightarrow \infty} \frac{m}{m^2} = \lim_{m \rightarrow \infty} \frac{1}{m} = 0 \quad \rightarrow f \text{ grows slower than } g$$

$$\lim_{m \rightarrow \infty} \frac{\log(f(m))}{\log(g(m))} = \lim_{m \rightarrow \infty} \frac{\log(m)}{\log(m^2)} = \lim_{m \rightarrow \infty} \frac{\log(m)}{2 \log(m)} = \frac{1}{2} \quad \rightarrow \text{they have same growth}$$

# O-Notation

f und g sind Funktionen von N nach  $\mathbb{R}^+$

**Definition 1 (O-Notation).** For  $f : N \rightarrow \mathbb{R}^+$ , "es existiert eine Konstante C" "g ist höchstens um einen konstanten Faktor grösser als f"

$$O(f) := \{g : N \rightarrow \mathbb{R}^+ \mid \exists C > 0 \forall n \in N g(n) \leq C \cdot f(n)\}$$

Definitionszeichen "bedingt dass" "sodass für alle Eingaben  $n \in N$  gilt dass"

- $O(f)$  ist die Menge aller Funktionen die asymptotisch höchstens so schnell wie f wachsen.
- Was f und g am Anfang (für kleine n) machen ist egal.
- Wir ignorieren konstante Faktoren
- Beispiel:  $f(n) = n$ ,  $g(n) = 3n$ , dann gilt  $g \in O(f)$  mit  $C = 3$

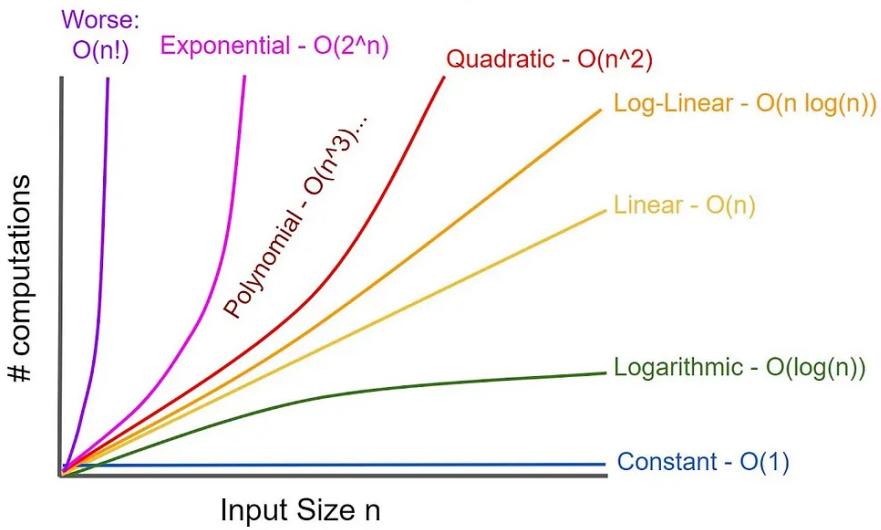
**Theorem 1.** Let  $N$  be an infinite subset of  $\mathbb{N}$  and  $f : N \rightarrow \mathbb{R}^+$  and  $g : N \rightarrow \mathbb{R}^+$ .

- If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , then  $f \leq O(g)$  and  $g \not\leq O(f)$ .  $g$  wächst schneller
- If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = C \in \mathbb{R}^+$ , then  $f \leq O(g)$  and  $g \leq O(f)$ . beide wachsen gleich schnell
- If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$ , then  $f \not\leq O(g)$  and  $g \leq O(f)$ .  $f$  wächst schneller

The following theorem can also be helpful when working with O-notation.

**Theorem 2.** Let  $f, g, h : N \rightarrow \mathbb{R}^+$ . If  $f \leq O(h)$  and  $g \leq O(h)$ , then

1. For every constant  $c > 0$ ,  $c \cdot f \leq O(h)$ . "Wir ignorieren konstante Faktoren"
2.  $f + g \leq O(h)$ .



True or False:  $\log n \leq O(n)$

$$\lim_{n \rightarrow \infty} \frac{\log n}{n} \stackrel{\text{L'Hôp.}}{=} \lim_{n \rightarrow \infty} \frac{1}{n} = 0$$

True or False:  $2^n \leq O(n^5)$

$$\lim_{n \rightarrow \infty} \frac{2^n}{n^5} \stackrel{\text{L'Hôp.}}{=} \lim_{n \rightarrow \infty} \frac{\ln(2) 2^n}{5n^4} \stackrel{\text{L'Hôp.}}{=} \lim_{n \rightarrow \infty} \frac{\ln(2) \ln(2) 2^n}{20n^3} = \dots = \lim_{n \rightarrow \infty} \frac{\ln(2)^5 \cdot 2^n}{120} = \infty$$

True or False:  $n \log n \leq O(n^2)$

$$\lim_{n \rightarrow \infty} \frac{n \log n}{n^2} = \lim_{n \rightarrow \infty} \frac{\log n}{n} \stackrel{\text{L'Hôp.}}{=} \lim_{n \rightarrow \infty} \frac{1}{n} = 0$$

True or False:  $7n^4 + n \leq O(n^4)$

$$\lim_{n \rightarrow \infty} \frac{7n^4 + n}{n^4} = \lim_{n \rightarrow \infty} \frac{7n^4}{n^4} + \frac{n}{n^4} = \lim_{n \rightarrow \infty} 7 + \frac{1}{n^3} = 7$$

Order the following functions by their asymptotic growth rate as  $n \rightarrow \infty$ :

$$n!, \quad \sqrt{n}, \quad 2^n, \quad 1, \quad n^n, \quad \log n, \quad n, \quad n^{\frac{n}{2}}, \quad n^2$$

$$1 \leq \log n \leq \sqrt{n} \leq n \leq n^2 \leq 2^n \leq n^{\frac{n}{2}} \leq n! \leq n^n$$

Order the following functions:

$$n^{10}, \quad n \log n, \quad 3^n, \quad \sqrt{n}$$

$$\sqrt{n} \leq n \log n \leq n^{10} \leq 3^n$$

Order the following functions:

$$n^2 + \log n, \quad n + 100, \quad \sqrt{n} + \log^2 n, \quad n^3 + n$$

$$\sqrt{n} + \log^2 n \leq n + 100 \leq n^2 + \log n \leq n^3 + n$$

Order the following functions:

$$2^n + n^2, \quad n^4 + 3n, \quad n \log n + 5, \quad 3^n + n^3$$

$$n \log n + 5 \leq n^4 + 3n \leq 2^n + n^2 \leq 3^n + n^3$$

Order the following functions:

$$n^2 + 2^n, \quad n \log n + n^2, \quad n + \sqrt{n}, \quad 10^n + n^3$$

$$n + \sqrt{n} \leq n \log n + n^2 \leq n^2 + 2^n \leq 10^n + n^3$$