

# Algorithm Theory, Tutorial 1

## ~~Improving the QLever Search Engine~~

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- Contact tutor ([johannes.kalmbach@gmail.com](mailto:johannes.kalmbach@gmail.com)) for questions concerning corrections etc.
- Contact forum ([daphne.informatik.uni-freiburg.de](http://daphne.informatik.uni-freiburg.de)) for everything else
- Suggestion: Submit in groups of two (better for understandable algorithms)
- Submit readable solutions (LaTeX as pdf, CLEAN handwriting (+ good scan if necessary))
- Spend enough time on exercise sheets and writeup (you and I have to understand your submission).

- Pseudocode, limit to important aspects
- Reader must be able to understand and implement it.
- E.g “Split Array  $A$  in two evenly-sized halves  $L$  and  $R$ ”

Ex 1a: Prove or disprove:  $n! \in \Omega(n^2)$

Choose  $n_0 = 2$ ,  $c = 0.5$

$$n \geq n_0 = 2$$

$$n! = n \cdot (n-1) \cdot (n-2)! \geq n \cdot (n-1) = \underbrace{n^2 - n}_{n \geq 2} \geq 0.5 \cdot n^2$$

$$\left. \begin{array}{l} n^2 - n \geq 0.5 n^2 \\ \Leftrightarrow 1 - \frac{1}{n} \geq 0.5 \end{array} \right\} \text{True for } n \geq 2$$





Ex 1b: Prove or disprove:  $\sqrt{n^3} \in O(n \log n)$

(Claim  $\exists c, n_0 : \dots$

$\forall n \geq n_0$

$$\sqrt{n^3} \leq c \cdot n \cdot \log n$$

for large  
Enough  $n$

$$\sqrt{n} \leq c \cdot \log n \leq n^{\frac{1}{4}} \cdot c$$

Hint

$\cdot n^{\frac{1}{2}}$

$$\Rightarrow 1 \leq n^{-\frac{1}{4}} \cdot c \cdot \text{constant} \Rightarrow \text{lightning bolt}$$

$\rightarrow 0$

for large enough  
 $n$

Ex 1b: Prove or disprove:  $\sqrt{n^3} \in O(n \log n)$



Ex 1b: Prove or disprove:  $\sqrt{n^3} \in O(n \log n)$

Ex 1c: Prove or disprove:  $2^{\sqrt{\log_2 n}} \in \Theta(n)$

$$\Rightarrow 2^{\sqrt{\log_2 n}} \in \Omega(n)$$

$$\Rightarrow \exists n_0, c, \forall n \geq n_0 \quad 2^{\sqrt{\log_2 n}} \geq c \cdot n$$

$\Rightarrow$

$\Rightarrow$

$$\begin{aligned} \sqrt{\log_2 n} &\geq \log c + \log n \\ 1 &\geq \frac{\log c}{\sqrt{\log_2 n}} + \sqrt{\log_2 n} \\ &\quad \downarrow \qquad \qquad \downarrow \\ &\quad \rightarrow 0 \qquad \rightarrow \infty \end{aligned}$$

$\Rightarrow$  for large  $n$

$$\Rightarrow 2^{\sqrt{\log_2 n}} \notin \Omega(n)$$


Ex 1c: Prove or disprove:  $2^{\sqrt{\log_2 n}} \in \Theta(n)$

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# Sort by asymptotic growth

$$\sqrt{\log n} < \log(\sqrt{n}) = \log n = \log(n^2) \\ < \log^2(n) < 2^{\sqrt{\log_2 n}} < \sqrt{n} < n$$

$n^2$	$\sqrt{n}$	$2^{\sqrt{n}}$	$\log(n^2)$
$2^{\sqrt{\log_2 n}}$	$\log(n!)$	$\log(\sqrt{n})$	$(\log n)^2$
$\log n$	$10^{100}n$	$n!$	$n \log n$
$2^n/n$	$n^n$	$\sqrt{\log n}$	$n$

$\in o(\text{next})$   

 asymptotic

$$= 10^{100}n < \underbrace{n \cdot \log n = \log(n!)} < n^2 \\ < 2^{\sqrt{n}} < \frac{2^n}{n} < n! < n^n$$

## Sort by asymptotic growth

$$n! = \underbrace{n \cdot (n-1) \cdot (\dots)}_{\frac{n}{2} \text{ factors that are } \geq \frac{n}{2}} \cdot \left(\frac{n}{2}\right)! \geq \left(\frac{n}{2}\right)^{\frac{n}{2}}$$

$$\left(\frac{n}{2}\right)^{\frac{n}{2}} \leq n! \leq n^n$$

$$\Rightarrow \frac{n}{2} \cdot \log\left(\frac{n}{2}\right) \leq \log(n!) \leq n \cdot \log n$$

# Sort by asymptotic growth

## Ex 3: Master Theorem

See master solution, just table lookup



## Ex 4: Peak Elements



You are given an array  $A[1 \dots n]$  of  $n$  integers and the goal is to find a peak element, which is defined as an element in  $A$  that is equal to or bigger than its direct neighbors in the array. Formally,  $A[i]$  is a peak element if  $A[i - 1] \leq A[i] \geq A[i + 1]$ . To simplify the definition of peak elements on the rims of  $A$ , we introduce *sentinal-elements*  $A[0] = A[n + 1] = -\infty$ .

- Ⓐ Give an algorithm with runtime  $O(\log n)$  (measured in the number of read operations on the array) which returns the position  $i$  of a peak element.
- Ⓑ Prove that your algorithm always returns a peak element, give a recurrence relation for the runtime and use it to prove the runtime.

# Why/When do peak elements exist?

Claim: If  $A[0] < A[1]$  and  $A[n] > A[n+1]$  then  $A[1 \dots n]$  contains a peak element.

Prove by contradiction: Assume that  $A[1 \dots n]$  contains no peak, then



$\Rightarrow A_1 < A_2 < A_3 < A_4 < \dots < A_{n-1} < A_n > A_{n+1}$

↑  
else  
 $A_1$  is peak

Similar

↓  
required

# Constructing the algorithm

Idea: Make the considered array smaller while ensuring that it still contains a peak element:

```
fun peak(Arr):
```

```
    m = middle index in Arr
```

```
    if Arr[m] is peak, return m
```

```
    if Arr[m-1] ≥ Arr[m]
```

```
        return peak(Arr[1..m-1])
```

```
    else
```

```
        return peak(Arr[m..end])
```



# Constructing the algorithm

# Proof of correctness

Two parts: Algorithm always terminates and only looks at arrays that contain peak elements.

Beginning: peak exists (because of sentinels)  
~~Rec~~ if input has risings before  
and after  
then smaller recursed array  
has risings

$\Rightarrow$  Base case:

Array of size 1, that has  
risings before + after  $\Rightarrow$  peak

$$T(n) = \cancel{2} T\left(\frac{n}{2}\right) + O(1)$$

↓  
only recurse  
to one half

↘ look  
at middle

$$\Rightarrow T(n) \in O(\log n)$$

# Proof of correctness

## Ex 5, Frequent Numbers

You are given an Array  $A[0 \dots n-1]$  of  $n$  integers and the goal is to determine frequent numbers which occur at least  $n/3$  times in  $A$ . There can be at most three such numbers, if any exist at all.

- Ⓐ Give an algorithm with runtime  $O(n \log n)$  (measured in number of array entries that are read) based on the divide and conquer principle that outputs the frequent numbers (if any exist).
- Ⓑ Argue why your algorithm is correct, give a recurrence relation for the runtime and use it to prove the runtime.



## First Try: HashMap

$d = \text{dict}()$       # Hash Table

for  $el$  in array

$d[el] += 1$

#  $O(n)$  in  
worst case

for  $el$  in  $d$  dict:

if  $d[el] \geq \frac{n}{3}$

output  $el$

# Divide and Conquer

```
fun freq (Arr) ;  
  if len(Arr) ≤ 3: ... (trivial) O(1)  
    l = freq (left half)  
    r = freq (right half)  
  
  for K in l ∪ r :  
    [ If K occurs sufficiently often  
      in Arr, add it to output  
      Count candidates in  
      full array ]
```

$\downarrow \geq \frac{n}{3}$

$\downarrow$  (len(Arr))

runtime

Recursion

$$T(n) = 2 \cdot T\left(\frac{n}{2}\right) + O(n)$$

$$\Rightarrow T(n) \in \Theta(n \cdot \log n)$$

1. sort the array ( $n \cdot \log n$ )

2 3 1 2  
00 111 2 33 4 4 4 4 . . .



2. Determine freq. els in linear time



