

TITLE PAGE

UPF3B mutations including a novel synonymous variant associated with absent speech implicate nonsense mediated mRNA decay as a regulator of neurodevelopmental disorder gene networks.

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ABSTRACT

Loss-of-function mutations of the X-chromosome gene *UPF3B* cause male neurodevelopmental disorders (NDDs) via largely unknown mechanisms. We investigated initially by interrogating a novel synonymous *UPF3B* variant in a male with absent speech. *In-silico* and functional studies using cell lines derived from this individual show altered *UPF3B* RNA splicing. The resulting mRNA species encodes a frame-shifted protein with a premature termination codon (PTC) predicted to elicit degradation via nonsense mediated mRNA decay (NMD). *UPF3B* mRNA was reduced in the cell line, and no UPF3B protein was produced, confirming a loss-of-function allele. *UPF3B* is itself involved in the NMD mechanism which degrades both PTC-bearing mutant transcripts and also many physiological transcripts. RNAseq analysis showed that ~1.6% of mRNAs exhibited altered expression. These mRNA changes overlapped and correlated with those we identified in additional cell lines obtained from individuals harbouring other *UPF3B* mutations, permitting us to interrogate pathogenic mechanisms of *UPF3B* associated NDDs. We identified 102 genes consistently deregulated across all *UPF3B* mutant cell lines. Of the 51 upregulated genes, 75% contained an NMD-targeting feature thus identifying high-confidence direct NMD targets. Intriguingly, 22 of the dysregulated genes encoded known NDD genes, suggesting UPF3B-dependent NMD regulates gene networks critical for cognition and behaviour. Indeed, we show that 78.5% of all NDD genes encode a transcript predicted to be targeted by NMD. These data describe the first synonymous *UPF3B* mutation in a patient with prominent speech and language disabilities, and identify plausible mechanisms of pathology downstream of UPF3B mutations involving the deregulation of NDD-gene networks.

INTRODUCTION.

Hemizygous loss-of-function mutations in *UPF3B* cause male intellectual disability of variable severity associated with a spectrum of non-penetrant behavioural disturbances including Attention Deficient Hyperactive Disorders (ADHD), Childhood On-Set Schizophrenia (COS) and Autism (OMIM: 300298)(1-6). *UPF3B* encodes a core factor in the Nonsense Mediated mRNA Decay (NMD) pathway (7, 8). NMD degrades mutant transcripts bearing premature termination codons (PTCs). More recently, it was discovered that NMD also regulates up to 20% of the normal transcriptome, and is essential brain development and function (7, 8). Here we experimentally resolve the pathogenicity of a synonymous variant identified via whole exome sequencing in *UPF3B* in a patient with absent speech. The impact of this *UPF3B* variant on the individual's transcriptome, compared to that we discovered in additional individuals with *UPF3B* mutations, led us to postulate new mechanisms of pathology underpinning *UPF3B* associated neurodevelopmental disorders (NDDs).

The initial subject of this study was a Caucasian male born through an uneventful pregnancy following a non-consanguineous union. The individual's older sister developed normally. The mother reportedly had minor motor delay as a child. The clinical features of the affected male were previously described (9). In brief, the major findings included (at age 32 months) motor and fine motor delay, absence of speech and delayed receptive language, and later (48 months), communication only via single words and gestures, accompanied with a formal diagnosis of autism. He was of normal height (75th percentile), normal head circumference (50th percentile), low weight (5-10th percentiles), low muscle tone and displayed joint hypermobility. Facial dysmorphisms included a prominent forehead and chin, deep set eyes and a long thin face (9).

The subject's genetic inquiry began with high resolution (60K) microarray-based Comparative Genomic Hybridisation, which identified a maternally inherited duplication at Xq26.3 spanning ~650Kb (9). Duplications overlapping this region have been identified in individuals with developmental delay phenotypes, however in all cases where investigated, the duplication was assessed as benign or likely benign (9). Duplication of Xq26.3 is however associated with X-linked Acroigantism (X-LAG), but the individual did not display the elevated growth parameters and endocrine features that are its hallmark (9, 10). Furthermore, the individuals Xq26.3 duplication mapped outside the X-LAG minimal critical region, and did not contain *GPR101*, strongly supported as the driver of the disease (9-12). As such, the Xq26.3 duplication was classified as likely benign. Subsequent whole exome sequencing performed as part of the Deciphering Developmental Disorders UK (DDD UK) study (13) which revealed a single variant with potential relevance to the individual's symptoms, a maternally inherited novel synonymous variant in *UPF3B*.

In this study, we show that the synonymous *UPF3B* variant impacts a canonical splice donor site in *UPF3B*, resulting in an alternative splicing event that culminates in a non-functional allele and compromised NMD. This is the first synonymous change identified in *UPF3B* that causes a NDD, and herein supports *UPF3B*, and NMD in general, as an essential pathway involved in motor development, speech, language and communication. Our transcriptomic analysis of lymphoblastoid cell lines (LCLs) from this and additional *UPF3B* patients provide evidence of NMD-regulated NDD gene networks, providing new insights into pathological mechanisms responsible for neurological disease.

RESULTS

A synonymous *UPF3B* variant that alters a canonical splice site

In addition to the individual's likely benign Xq26.3 duplication encompassing ten protein coding, and six non-coding genes, whole exome sequencing revealed a single synonymous variant of unknown significance in *UPF3B*: NC_000023.10 g.118975698C>T; NM_080632.3: c.624G>A; NP_542199.3: p.(Gln208=) (Supplementary Fig. 1). This variant is absent from the GnomAD and dbSNP databases. Examination of this variant revealed it altered the highly conserved -1 position of the 5' canonical splice donor site of exon 6 (Supplementary Fig. 2a). Canonical splice acceptor site (NYAG/G) and donor site (CAG/GUAAGU) sequences are strongly conserved and define exon-intron and intron-exon boundaries respectively (14). Variation from the canonical sequences can induce alternative splicing events (15). To investigate if the c.624G>A variant might disrupt splicing of *UPF3B* mRNA, we first assessed its impact using *in-silico* variant and splicing predictive algorithms (Supplementary Fig. 2b) (16-21). The CADD score of the c.624G>A variant was 20.4, classifying it amongst the top 1% of deleterious variants. All algorithms predicted a loss of the wild-type 5' donor splice site. Algorithms reporting on 'splice site strength' (Human Splicing Finder, MaxEnt and Neural Network Splice Predictor) predicted the variant splice site to be less efficient than wildtype (Supplementary Fig. 2b).

The synonymous *UPF3B* variant alters *UPF3B* splicing

A lymphoblastoid cell line (LCL) was derived from the affected individual to experimentally assess *UPF3B* mRNA splicing. Human *UPF3B* gives rise to two protein encoding isoforms; a long isoform (NM_080632.3) consisting of 11 exons (1452 bp), and an alternatively spliced shorter isoform (NM_023010.3) arising due to exon 8 skipping (in-frame, 1413bp). Both isoforms are affected by the c.624G>A variant as it is positioned in exon 6 up-stream of exon 8 (Fig. 1a). Variants at 5' donor splice sites can lead to single exon skipping, but can

also result in the use of alternative ‘cryptic’ splice sites. To determine the consequence of *UPF3B* c.624G>A on splicing, cDNA was reverse transcribed from RNA of LCLs derived from control individuals and the affected individual and used as a template in a *UPF3B* specific PCR. The primers amplified a product from exon 5 to exon 9, spanning the variant site (Fig. 1a). In control cell lines, PCR products representing both long and short isoforms were detected as expected, with the shorter isoform being most prominently expressed (Fig. 1b). The long and short isoform PCR products amplified from transcripts containing the *UPF3B* variant c.624G>A were both reduced in size and appeared reduced in intensity (Fig. 1b). Sanger sequencing of these PCR products revealed complete exclusion of exon 6 (44 bp) (Fig. 1c). These alternatively spliced transcripts lacking exon 6 are herein referred to collectively as *UPF3B*^{ΔEx6} mRNA.

***UPF3B*^{ΔEx6} mRNA is expressed at a reduced level**

The *UPF3B*^{ΔEx6} mRNA encodes a frameshift and introduces a premature termination codon (PTC) within exon 7 (p.Ala194fs*57). This PTC is located >55nt upstream of the terminal exon-exon junction, and is thus predicted to be degraded by NMD (Fig. 1a) (7). But as UPF3B itself is a core factor of the NMD machinery, the NMD process may be inefficient (22, 23). Quantitative real-time PCR was performed to compare the levels of *UPF3B* and *UPF3B*^{ΔEx6} mRNA in control and c.624G>A LCLs respectively (Fig. 1d). *UPF3B*^{ΔEx6} mRNA was reduced by 47% compared to control *UPF3B* mRNA. To investigate if this downregulation depends on NMD, cells were incubated with Cycloheximide, an inhibitor of translation and thus NMD (1, 24). Cyclohexamide treatment elevated the levels *UPF3B*^{ΔEx6} mRNA suggestive of NMD involvement (Fig. 1e). Cyclohexamide treatment also modestly elevated the levels of wildtype *UPF3B* mRNA, a phenomena which has been previously reported (1, 4), and likely reflects the NMD self-regulatory feedback mechanism in which the mRNAs encoding several NMD factors including UPF3B are themselves physiological NMD targets (25).

***UPF3B* protein is not detectably expressed from *UPF3B*^{ΔEx6} mRNA**

Because ~53% of *UPF3B*^{ΔEx6} mRNA persists in LCLs (Fig. 1d), these variant transcripts may be translated into a truncated protein (of predicted ~29.7 kDa size for both short and long isoforms; Fig. 2a). To investigate, protein was isolated from control and c.624G>A variant LCLs. As an additional control, protein was isolated from LCLs derived from an individual with a known loss-of-function *UPF3B* variant (c.867_868delAG; p.Gly290fs*2) (1). This individual has mild intellectual disability as previously described (Family 2, Individual III-5 in (1)). Proteins were separated by polyacrylamide gel electrophoresis and analysed by western blot. To assess if any full-length or C-terminally truncated UPF3B proteins were expressed in the variant LCLs, an

antibody to the N-terminus of UPF3B was used (Fig. 2b). Whilst full-length UPF3B protein was observed in control LCLs, no UPF3B peptides of any size were detected in LCLs harbouring either the c.624G>A or c.867_868delAG *UPF3B* variants. We further confirmed loss of full-length UPF3B using an antibody which binds a C-terminal epitope downstream of the PTCs (Fig. 2c). In the absence of UPF3B protein, the levels of the paralogous protein UPF3A are known to become elevated, and proposed to support residual NMD activity (23, 26). Consistent with this, UPF3A protein was upregulated in both the c.624G>A and c.867_868delAG variant LCLs compared to control LCLs (Fig. 2c). Of note also, the level of UPF3A protein, in particular its shorter isoform, was greater in the c.867_868delAG compared to the c.624G>A variant LCLs, which in support of previous studies, inversely related with the severity of neurological features these two individuals (23). These data demonstrate that the *UPF3B*^{ΔEx6} transcript fails to detectably express either full-length or truncated UPF3B protein species, and as such, the c.624G>A *UPF3B* synonymous variant is a loss-of-function allele.

Identification of mRNAs misregulated in response to loss of functional UPF3B

As aforementioned, UPF3B is a core NMD factor that promotes the decay of both mutant transcripts harbouring PTCs and endogenous mRNA species which harbour particular 'NMD-targeting features' (27). Such features include upstream reading frames, introns within 3'UTRs, PTC-like codons introduced via alternative splicing events, and long (>1.5Kb) 3'UTRs (27). *GADD45B*, *ATF4* and *GAS5* mRNA have all been shown to be targeted by NMD in different contexts (1, 22, 25, 28-30). We assessed the expression levels of these three mRNAs as an initial proxy for NMD activity in control and *UPF3B* c.624G>A variant LCLs (Fig. 3a). All 3 of these NMD target mRNAs were shown to be upregulated in the c.624G>A variant LCL compared to controls, with increases ranging from ~30% (*GADD45B*) to 160% (*GAS5*). These data are aligned with reduced NMD capacity in c.624G>A variant LCLs.

To investigate the potential defect in NMD further using a transcriptome-wide approach, RNAseq was conducted on RNA isolated from the c.624G>A variant LCLs and four male control LCLs. This identified differentially expressed genes (DEGs; fold-change > 2; p<0.05; Fig 3b, Supplementary Fig. 3, Online Resource 1). Of the 224 DEGs (=~1.6% of the LCL transcriptome), 31% were observed to be upregulated (Fig. 3c). Next we aimed to establish that this transcriptomic deregulation aligns with loss of UPF3B-dependent NMD. We compared the DEG profile of the c.624G>A variant LCL against DEG profiles obtained from LCLs from two brothers harbouring the known pathogenic *UPF3B* variant c.867_868delAG and four normal individuals (controls) (=122 DEGs; Fig. 3d, Supplementary Fig. 3, Online Resource 1) (1). Although the c.867_868delAG

and c.624G>A *UPF3B* variants are distinct, they both ultimately result in the complete loss of UPF3B protein (Fig. 2b and 2c and (1)). We found 10% of DEGs (=22 genes) overlapped between the two *UPF3B* variant DEG sets, equating to a 10.7 fold over-representation ($p = 7.45 \times 10^{-17}$ Exact Hypergeometric Probability test; Fig 3e). In addition to *UPF3B* this list included three other known NDD genes (*ALDH7A1*, *DCHS1*, and *TBLIX*; Online Resource 1). The percentage of overlapping DEGs was slightly higher (14%) when restricting analysis to up-regulated DEGs, which equated to a 30.2-fold over-representation ($p = 8.5 \times 10^{-13}$ Exact Hypergeometric Probability test; Fig. 3f). We next sought to correlate the expression of each of the DEG sets independently between the two *UPF3B* variant LCL transcriptomes. The expression of c.624A>G DEGs in c.867_868delAG LCLs was found to be significantly and positively correlated, and vice-versa, the expression of c.867_868delAG DEGs in the c.624A>G LCL was also significantly and positively correlated (Fig. 3g-h). Thus the DEGs between the different UPF3B variant transcriptomes were significantly overlapped, and in general, the direction and magnitude of transcriptional change of DEGs from both variant lines significantly correlated. Collectively, these data demonstrate reduced NMD function in p.624A>G LCLs.

UPF3B-dependent NMD regulates NDD gene networks

The finding that all three *UPF3B* variant cell lines lack detectable UPF3B protein and exhibit an overlapped and correlated pattern of mRNA deregulation provided an opportunity to identify a high-confidence UPF3B-dependent gene network. To this end, we grouped all three *UPF3B* variant transcriptomes together to provide more robust identification of DEGs related to loss of UPF3B (Fig 4a, Online Resource 1). We identified 102 DEGs in common to these 3 LCLs lacking UPF3B (~0.7% of the LCL transcriptome; Fig. 4b). Half of the DEGs were up-regulated, and half were down-regulated. Up-regulated mRNAs are candidates to be direct NMD targets. In support that many of these up-regulated mRNAs may be direct targets, >75% of them had known NMD-inducing features, compared with only ~35% of the down-regulated mRNAs (Fig. 4c). In total there were 40 up-regulated genes, and 83 transcripts associated with those genes, which have an NMD targeting features consisting of either a long 3'UTRs (>1.2kb), an upstream open reading frames (uORFs) or an exon-exon junctions downstream of physiological termination codons (dEJs; Online Resource 1). These genes, and their NMD-transcripts, are thus high confidence UPF3B-NMD targets. Half of these genes expressed multiple transcript species with NMD features, and >30% of the NMD-targeted transcripts had multiple NMD targeting features (Fig. 4d). We used these high confidence UPF3B-NMD targeted transcripts to explore how UPF3B

might select its mRNA targets. The predominant NMD-targeting features were a long 3'UTR (found in 65.1% of transcripts), or uORFs (54.3%). Presence of a dEJs (15.6%) were the least prevalent, and 31.4% of transcripts had multiple combinations of features (Fig. 4d). These data identify high confidence UPF3B-NMD targets, and suggest that long 3'UTRs and uORF are the most frequent NMD-targeting features that direct degradation via UPF3B-NMD in LCLs.

We performed gene ontology and gene pathway analysis using various different algorithms (DAVID, ENRICHR, GOSEQ, CLUSTER PROFILE) (31-34) on the 102 genes significantly differentially expressed between the 3 UPF3B variant LCLs and the control LCLs. Almost universally, these algorithms failed to identify statistically enriched terms or pathways (adjusted p values were >0.05 ; Fig. 4e, and data not shown), probably because of the small number of DEGs (102) that were analysed. The one exception was an enrichment of genes involved in the 'immune response' biological pathway (Online Resource 1). We then manually inspected the DEGs and found that 27 of them were associated with OMIM terms, 12 of which involving neurological manifestation (Online Resource 1). We then tested whether NDD genes known to be expressed in LCLs were significantly enriched (see Materials and Methods and Online Resource 1). A total of 22 / 102 DEGs were known intellectual disability and/or autism genes, which equated to a 2.2-fold genome wide over-representation ($p = 3.3 \times 10^{-4}$ via Exact Hypergeometric Probability test; Fig. 4f). These data reveal that UPFB-dependent NMD targets a large network of NDD genes. Of these 22 NDD genes, 13 were upregulated of which 11 contained NMD-targeting features, i.e. were high confidence direct UPF3B-NMD targets (Fig. 4g). Finally we sought to extrapolate this observation and conducted a search of NMD features in transcripts derived from all known ID and autism genes. We discovered that ~78.5% of these 1845 NDD genes contained transcripts with annotated NMD-targeting features (Fig. 4g).

DISCUSSION

Here we report, and functionally validate, the pathogenicity of a novel synonymous variant in *UPF3B* in a male paediatric case with a NDD. The mechanism of action involves disruption of the 5' splice donor site of exon 6, leading to an exon 6-skipped transcript encoding a truncated UPF3B. We could not detect this truncated UPF3B species, but even if sufficiently stable to be expressed at low level it would lack functional UPF2- and Y14-binding sites, and thus be non-functional.

Loss-of-function *UPF3B* mutations are an established cause of a range of X-linked NDDs, including developmental delay, intellectual disability, ADHD, COS, communication disorders, autism, obsession (1-6). Presentation of these features is highly variable, even within families. Missense variants in *UPF3B* can also be pathogenic and further expanding clinical variability (1, 3). Here, the affected individual's phenotype overlaps with many of the clinical features initially reported in individuals with complete loss-of-function *UPF3B* mutations. These phenotypes include intellectual disability, autism, slender build and poor muscle tone, a long and thin face with prominent forehead and chin and deep-set eyes (1, 9). However the most striking features of the individual in our study are the motor defects and almost complete absence of speech and language skills, overall making the clinical presentation in this individual severe. Whilst delay in motor development has been reported in another case, ongoing motor disability is a novel finding. Likewise, delays in speech and language have been reported in some individual cases (2, 5), but the ongoing absence of speech and language in this individual is a much more severe outcome. Of note, the complete absence of speech was also recently described in another family with loss of function *UPF3B* mutation (6). These data thus expand the *UPF3B* associated phenotypical spectrum to include motor and severe speech language and communication disabilities. Interestingly, heterozygous loss-of-function mutations in *UPF2* encoding another core component of the NMD machinery have also been recently implicated in individuals with speech and language disorders (35).

Synonymous variants are historically challenging to interpret. Whilst it is widely acknowledged that synonymous SNVs can sometimes contribute to disease phenotypes by altering splicing patterns, miRNA binding, pre-mRNA structure and translation dynamics, they ultimately require experimental validation (36-44). Synonymous variants impacting splice sites, such as the one we study here, are often classified as variants of unknown significance in a diagnostic setting without functional investigations (14, 15). Here, for the first time, we functionally validate a *UPF3B* splice variant. Another *UPF3B* variant (c.846+1G>A) affecting the splice donor site of exon 7 has been reported, but awaits functional interrogation (44). This male presented with mild ID, no language capability, macrocephaly, tall stature, hypotonia, facial dysmorphisms and dilated Virchow Robin spaces.

In multiple animal species, loss of the core NMD factors, including *UPF1* and *UPF2*, causes embryonic lethality (45). In contrast, *UPF3B* is not required for survival of either mice or humans (1, 46), probably because *UPF3B* is specifically required for a branch of the NMD pathway, not the entire pathway (22). In the absence of *UPF3B*, the protein product of its gene paralog, *UPF3A*, is dramatically stabilised, leading to its upregulation (26). Indeed, we found that to be the case for the c.624G>A LCL studied here. This has also been observed in other

cell types, including those of the developing embryo and brain (23, 26, 28, 46). In the absence of UPF3B, stabilised UPF3A is speculated to partially, but not fully, rescue NMD (23). Furthermore, the level of UPF3A stabilisation has been shown to inversely correlate with disease severity across UPF3B several patients (23). Our data provides additional support of this relationship.

Multiple lines of evidence suggest that c.624G>A variant LCL has compromised NMD: (1) ~50% decrease UPF3B mutant mRNA itself (carrying a PTC) which could be rescued with a NMD inhibitor, (2) Up-regulation of mRNA of the well-established NMD target mRNAs *GADD45B*, *ATF4* and *GAS5*, and (3) deregulation of ~1.6% of the transcriptome, which (4) significantly over-lapped and correlated gene expression changes with other loss-of-function *UPF3B* variant LCLs. Heterozygous loss-of-function mutations in *UPF2* in individuals with NDDs have also been shown to have a similar molecular impact (23, 35). CNVs in several NMD and exon junction complex genes, namely *UPF2*, *UPF3A*, *RBM8A*, *SMG6*, *eIF4AIII* and *RNPS1*, are statistically enriched in individuals with NDDs also (47). Thus compromised NMD is an established cause of NDD in humans (8). In further support, multiple neural cell and mouse based models of brain development show a requirement of full NMD activity for normal function, and learning, memory and speech in particular (8, 28, 35, 46, 48). Whilst these models have begun to elucidate some of the underlying molecular and cellular mechanisms, much remains unknown, particularly in the human patient context.

We leveraged our *UPF3B* loss-of-function LCLs to investigate the downstream pathogenic mechanisms by which UPF3B-NMD acts. Although LCLs are of hematopoietic origin, remarkably, their transcriptomes are similar with brain transcriptomes (23). We also found 1312 / 1845 NDD genes were expressed in LCLs (Online Resource 1). In the LCLs with the c.624G>A variant, *FOXP1*, a gene involved in speech and communication was found deregulated (49, 50). Deregulation of *FOXP1* was also recently reported in LCLs of *UPF2* patients, which also exhibit prominent speech and language deficits (35). By comparison, *FOXP1* was not found deregulated in c.867_868delAG LCLs, whom do not present with severe speech and language features. Thus we speculate that deregulation specifically of NMD-regulated NDD gene networks can contribute to the patient phenotype. Interestingly, a total 21 NDD genes in addition to *UPF3B* were significantly deregulated in LCLs from the severely affected individual with the c.624G>A variant, whilst only 6 NDD genes were found deregulated in the more mildly affected individuals with c.867_868delAG variants. By comparison, gene-ontology based approaches failed to identify any significant terms or pathways that could otherwise explain meaningful differences between the c.624G>A and c.867_868DelAG DEGs (Online Resource 1).

By integrating the RNAseq data from the independent LCL lines with loss-of-function *UPF3B* alleles, we identified RNAs deregulated in all three lines (representing ~0.7% of the transcriptome). The majority of the up-regulated transcripts harbour NMD-inducing features, which suggests they could be direct NMD targets. Gene ontology analysis of all DEGs identified the immune response pathway as the only significantly enriched term (Online Resource 1). Intriguingly, an activated brain immune-response was recently identified as the mechanism driving neurological dysfunction in *Upf2* brain-specific knockout mice. Treating the mice with anti-inflammatory drugs ameliorated the phenotype (35). Other genes that were deregulated encode TGF β signalling components and axon guidance proteins, which have been shown to be functionally linked with the role of *UPF3B* and/or NMD in brain development in previous studies (8, 28, 48, 51, 52). The most significant finding from our analysis was that genes involved in NDDs were over-represented among the *UPF3B*-regulated mRNAs, and that a large number of these NDD mRNAs have NMD-inducing features, suggesting they may be direct NMD targets. Studies involving human brain tissue, for example derived from patient induced pluripotent stem cells, will be required to interrogate the phenomena of NMD-regulated NDD gene networks in detail with view of understanding the disease mechanisms.

That compromised NMD causes NDDs is clear from multiple human genetic and model system studies (1, 8, 35, 47). Ongoing investigations of the NMD mechanism, and its downstream mRNA targets, and impact on brain function is required to resolve the key processes of pathology, and allow the development of future precision therapy options. Furthermore, as NMD is as a major modifier of the 12% of all genetic diseases which feature PTC-type mutations, such knowledge may benefit our understanding of the role of NMD in genetic disease more generally (53-55).

MATERIALS AND METHODS

Ethical Compliance

This study was performed in accordance with the ethical standards as laid down in the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards. This study was approved by the Women's and Children's Health Network Human Research Ethics Committee, South Australia, Australia (HREC786-07-2020) and UK Research Ethics Committee approval (10/H0305/83, granted by the Cambridge South REC, and GEN/284/12 granted by the Republic of Ireland REC). This study was performed in accordance with the ethical

standards as laid down in the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards. Informed and written parental consent was obtained as previously described (9).

Whole Exome Sequencing

Whole exome sequencing was performed as part of the DDD UK as previously described (13).

Cell Culture

The Epstein-Barr virus immortalised lymphoblastoid cell lines (LCLs) were established and cultured as previously described (56).

RNA Extraction, PCR and Sanger Sequencing

Total RNA was obtained from cell pellets using an automated extraction protocol on the Maxwell® RSC Instrument and Maxwell® RSC simply RNA Cell kit as per manufactures instructions (Promega, Madison, WI, USA). cDNA was synthesised from 1.5µg of RNA using random hexamer primers 5x First Strand Buffer and Superscript III reverse transcriptase (Invitrogen, Thermo Fisher, Waltham, MA, USA).

PCR was performed using Taq DNA Polymerase as per manufactures instruction (Roche, Basel, Switzerland) using cDNA template. Primers against *UPF3B* were: Forward: TGACATCTACTCCAGAGACAC and Reverse GGCTCTTTCATCACTGAGATTC. Cycling conditions were as follows: 94°C for 5 mins, 30 cycles of 94°C for 30 s, 58°C for 30s, 72 for 10 s with a final extension at 72°C for 10 mins. PCR products were subjected to sequencing reactions using Bright Dye Terminator (MCLAB, San Francisco, CA, USA) and analysed using automated capillary sequencing. Sequences were aligned using SeqMan module of the Lasergene DNA and protein analysis software package (DNASTar, Inc., Madison, WI, USA).

For quantitative PCR (qPCR), the Fast SYBR green (Applied Biosystems, Thermo Fisher) reagents were used. Reactions were run on the StepOne Plus qPCR machine (Applied Biosystems, Thermo fisher). *GAPDH* was used for gene normalisation. Relative mRNA expression was calculated using the $\Delta\Delta C_t$ method where C_t represents cycle threshold. Primer sequences were previously described (1)

Immunoblotting

Cells were lysed with RIPA buffer (65.3mM Tris, 150mM NaCl, 1%(v/v) Nonidet P-40) supplemented with 40µl/ml of 25x protease inhibitor cocktail (Sigma-Aldrich, St Louis, MO) and 5µl/ml of 200mM Na₂VO₄, 200mM NaF and 200mM phenylmethylsulfonyl (PMSF) as previously described (57). Protein Concentration was determined by Bradford Assay and cell lysate was then processed for immunoblotting and visualised with ECL substrate (Bio-Rad, Hercules, CA, USA) as per manufacturer's protocol. The following antibodies were used: Primary Antibodies: Sheep-UPF3B 901 (1/1000; in house, (1)), Rabbit-UPF3B (1/250; Sigma-Aldrich), Rabbit-UPF3A (1/1000; Sigma-Aldrich), Mouse-βactin (1/3000, Sigma-Aldrich). Secondary Antibodies: HRP-Conjugated donkey anti sheep IgG (1/2000, Chemicon, Temecula, CA, USA), HRP-conjugated Goat anti mouse (1/2000, DAKO, Agilent, Santa Clara, CA, USA) and HRP conjugated goat anti-rabbit (1/2000, DAKO).

RNA Sequencing

LCLs were cultured in parallel and mRNA isolated at the same time. Poly-A⁺ mRNA was isolated and subjected to paired-end RNA sequencing (RNA Seq) on the Illumina NextSeq platform. RNA sequencing data are available from the corresponding author (RNA Seq counts data is in Online Resource 1). Quality of the reads was assessed using the FastQC package and segments of low-quality reads trimmed using Trim Galore. Greater than 48 million reads were obtained per sample. Normalization and differential gene expression analysis was performed using the DESeq2 pipeline (58). P values were adjusted to control for the false discovery rate (FDR) using the Benjamini-Hochberg method. A differentially expressed gene (DEG) was defined by a fold change >2 and an adjusted p value < 0.05. DEGs were analysed using various gene ontology packages (31-34). List of autism genes was obtained from the Simons Foundation Autism Research Initiative (SFARI) Human Gene Module (<https://www.sfari.org/resource/sfari-gene/>; accessed 31/1/2020). List of intellectual disability genes was obtained from Nijmegen Genome Diagnostics (<http://www.genomediagnosicsnijmegen.nl/index.php/en/>; accessed 31/1/2020). The lists of these genes, and the reduction of the list to those expressed in LCLs is provided in Online Resource 1. Statistical significance of over-representation factors (number over expected number of overlapping genes) was calculated using a hypergeometric probability test (http://nemates.org/MA/progs/overlap_stats.html), where the whole-gene population was defined as the 13284 genes found expressed in LCLs, and NDD gene lists used limited to the 1312 NDD genes expressed in LCLs (Online Resource 1).

ACKNOWLEDGEMENTS

This work was supported by the National Health and Medical Research Council (NHMRC) of Australia including Project Grant (1008077) to J. Gecz and L. Jolly, and Program Grant (628952) and Research Fellowship (1041920) to J. Gecz. This work was also supported by Australian Research Council (ARC) Fellowship (DE160100620) to L. Jolly. The DDD study presents independent research commissioned by the Health Innovation Challenge Fund [grant number HICF-1009-003], a parallel funding partnership between Wellcome and the Department of Health, and the Wellcome Sanger Institute [grant number WT098051]. The views expressed in this publication are those of the author(s) and not necessarily those of Wellcome or the Department of Health. The study has UK Research Ethics Committee approval (10/H0305/83, granted by the Cambridge South REC, and GEN/284/12 granted by the Republic of Ireland REC).

CONFLICTS OF INTEREST

The authors have no conflicts of interest to declare.

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FIGURE LEGENDS

Figure 1. The c.624G>A *UPF3B* variant results in loss of exon 6 from *UPF3B* mRNA associated with reduced mRNA levels. **(a)** A schematic representation of *UPF3B* pre-mRNA structure. Black dotted lines represent alternative splicing of exon 8 which produces two major *UPF3B* isoforms (long and short). Position of c.624G>A variant shown in red. Red dotted line represents scenario of exon 6 skipping, and the position of the premature termination codon (PTC) that would result. Position of PCR primers used in B is shown in blue. **(b)** Reverse transcribed cDNA from the RNA of LCLs derived from male control individuals (n=3) and male individual with c.624G>A variant was subject to PCR using primers shown in A. Expected sizes of the *UPF3B* long and short isoforms are shown. **(c)** Sanger sequencing of PCR products in B. Data confirms the *UPF3B* c.624G>A variant mRNA species lack exon 6 (44 bp in length). **(d)** Quantitative PCR (qPCR) of *UPF3B* mRNA in LCLs. Data normalised to *GAPDH* mRNA expression. **(e)** Cycloheximide (CHX) treatment of LCLs restores *UPF3B* c.624G>A variant mRNA to control levels. LCLs were treated for 6 hours with or without Cycloheximide prior to isolation of RNA. qPCR analysis of *UPF3B* mRNA normalised to *GAPDH*. Note *UPF3B* mRNA from control LCLs is also upregulated also in response to Cycloheximide treatment. qPCR data (D and E) represent the mean of triplicate experiments +/- standard deviation, *p<0.05 by Student's t-test; n.s.: not significant.

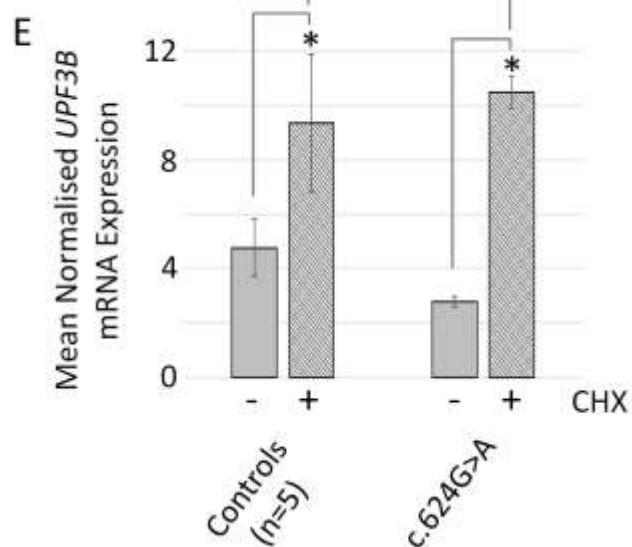
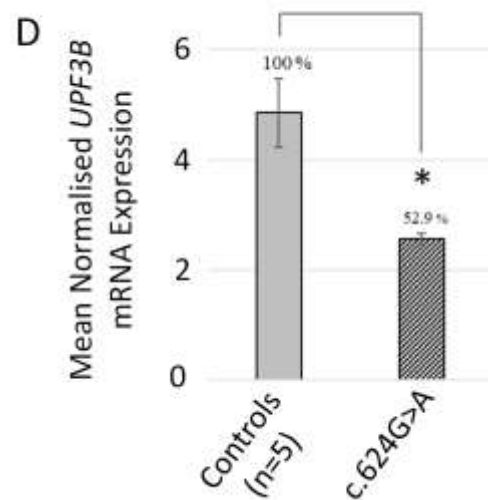
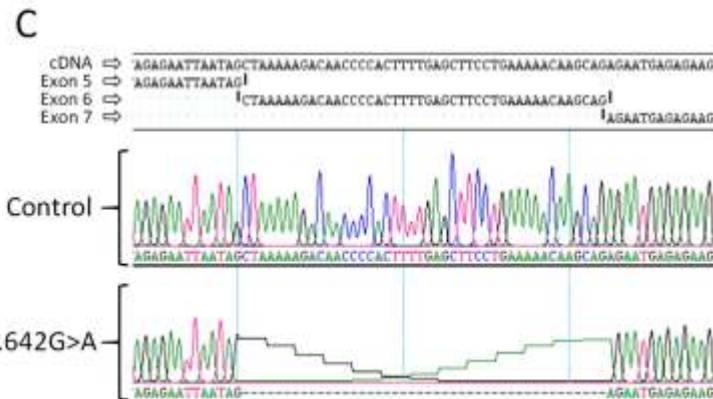
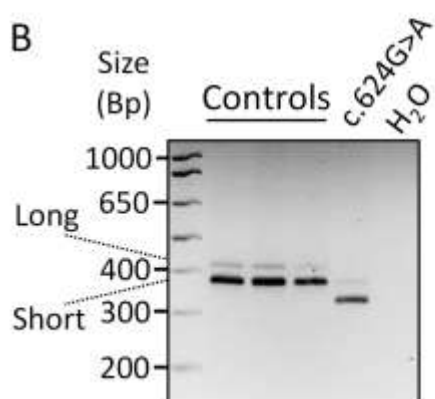
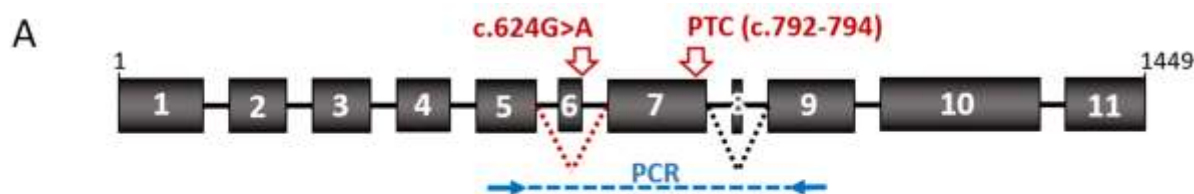


Figure 2. UPF3B protein is not expressed from $UPF3B^{AE_{x6}}$ mRNA. **(a)** Schematic of UPF3B protein. Locations of the RRM-Like and EBM domains shown in light grey. The protein encoded by $UPF3B^{AE_{x6}}$ mRNA as a result of the c.624G>A variant is shown in red, along with position of p.Ala194 and position of the premature termination codon (PTC; red arrow). Position of the PTC produced from mRNA housing the c.867_868delAG variant is shown in yellow. Epitopes of antibodies used in B and C are shown in blue. **(b-c)** Western-blot analysis of UPF3B protein isolated from LCLs derived from male control individuals (n=4), and individuals harbouring the c.624G>A variant and the c.867_868delAG variant. ACTB used as a loading control. **(b)** UPF3B identified using an N-terminal antibody. Black arrow heads identify non-specific (N.S.) bands. **(c)** UPF3B identified using a C-terminal antibody. Blot was stripped and re-probed for UPF3A.

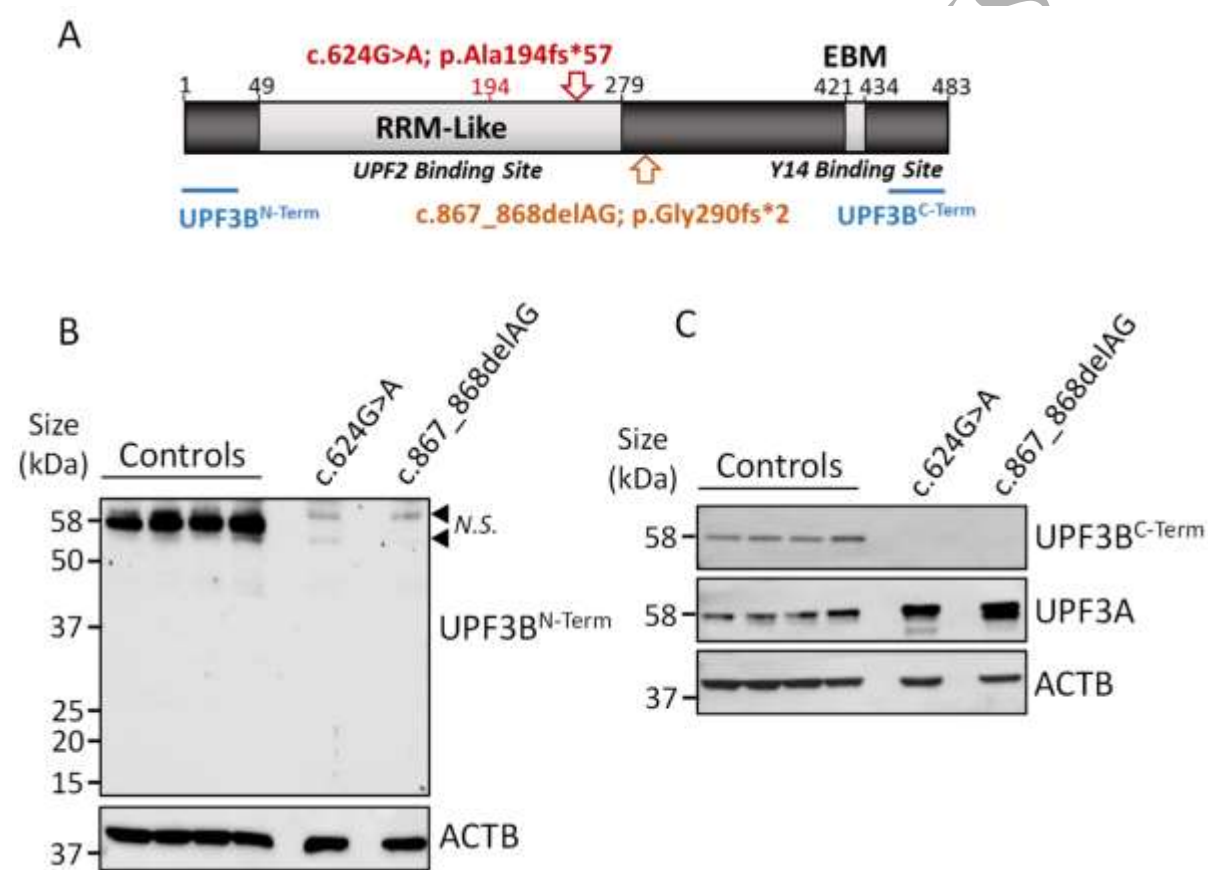


Figure 3. LCLs harbouring the c.624G>A variant show evidence of compromised NMD. **(a)** qPCR of NMD target genes *GADD45B*, *ATF4* and *GAS5* using RNA isolated from LCLs of control individuals (n=5) and the individual with c.624G>A *UPF3B* variant. Data normalised to *GAPDH* expression. **(b)** Schematic of RNAseq comparison used in C; DEG: Differentially Expressed Gene (Fold Change >2; $p < 0.05$). **(c)** Proportion of DEGs found up- and down-regulated. **(d)** Schematic of RNAseq comparison used in E-F. **(e and f)** Overlap of DEGs and up-regulated DEGs found in c.624G>A and c.867_868delAG variant LCLs. Transcriptome-wide over-representation factors provided; p values represent an exact hypergeometric probability test **(g)** Scatter plot and Pearson's correlation of the expression of c.624A>G DEGs in c.867_868delAG LCLs. **(h)** Scatter plot and Pearson's correlation of expression of c.867_868delAG DEGs in c.624A>G LCLs.

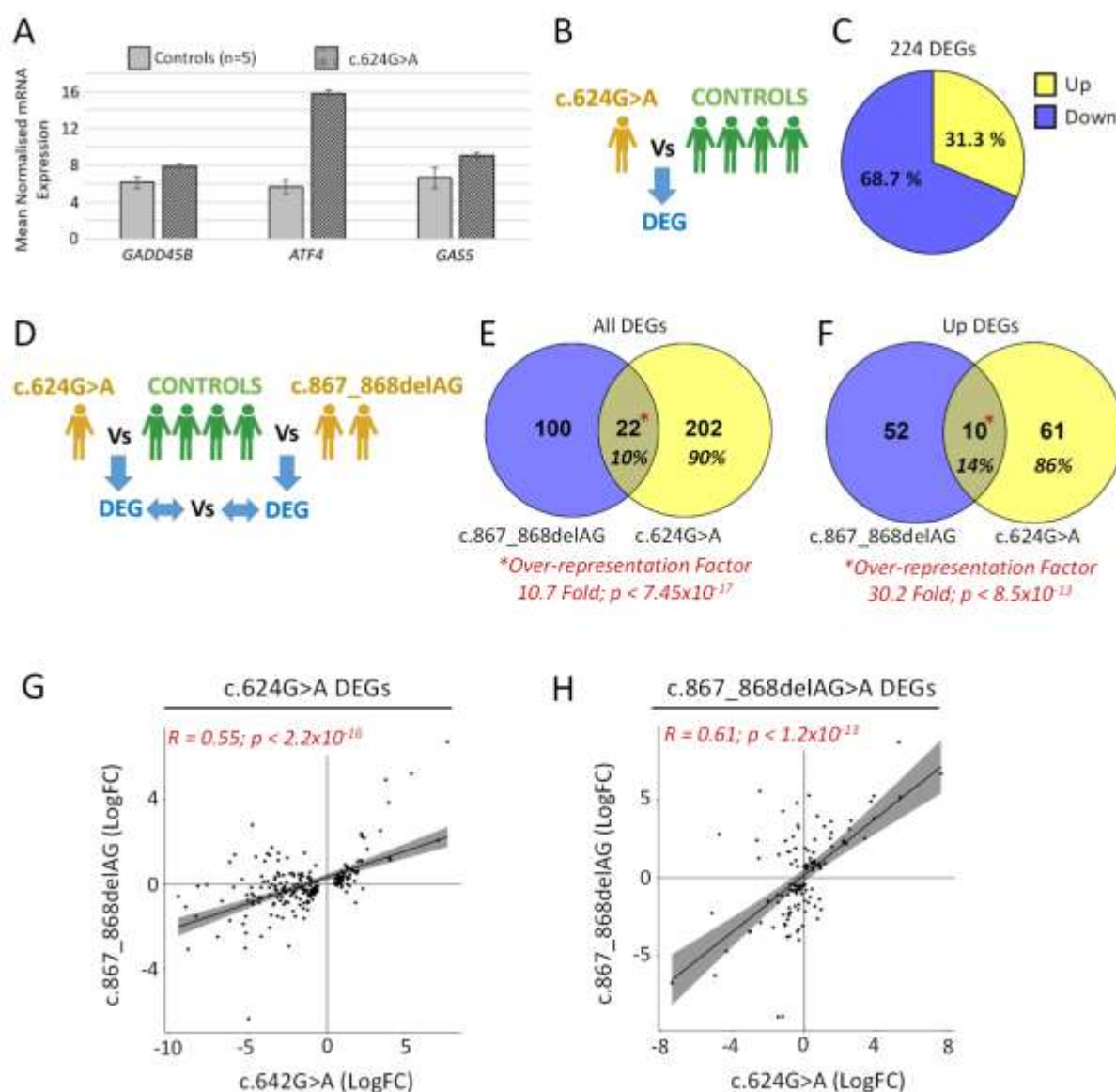
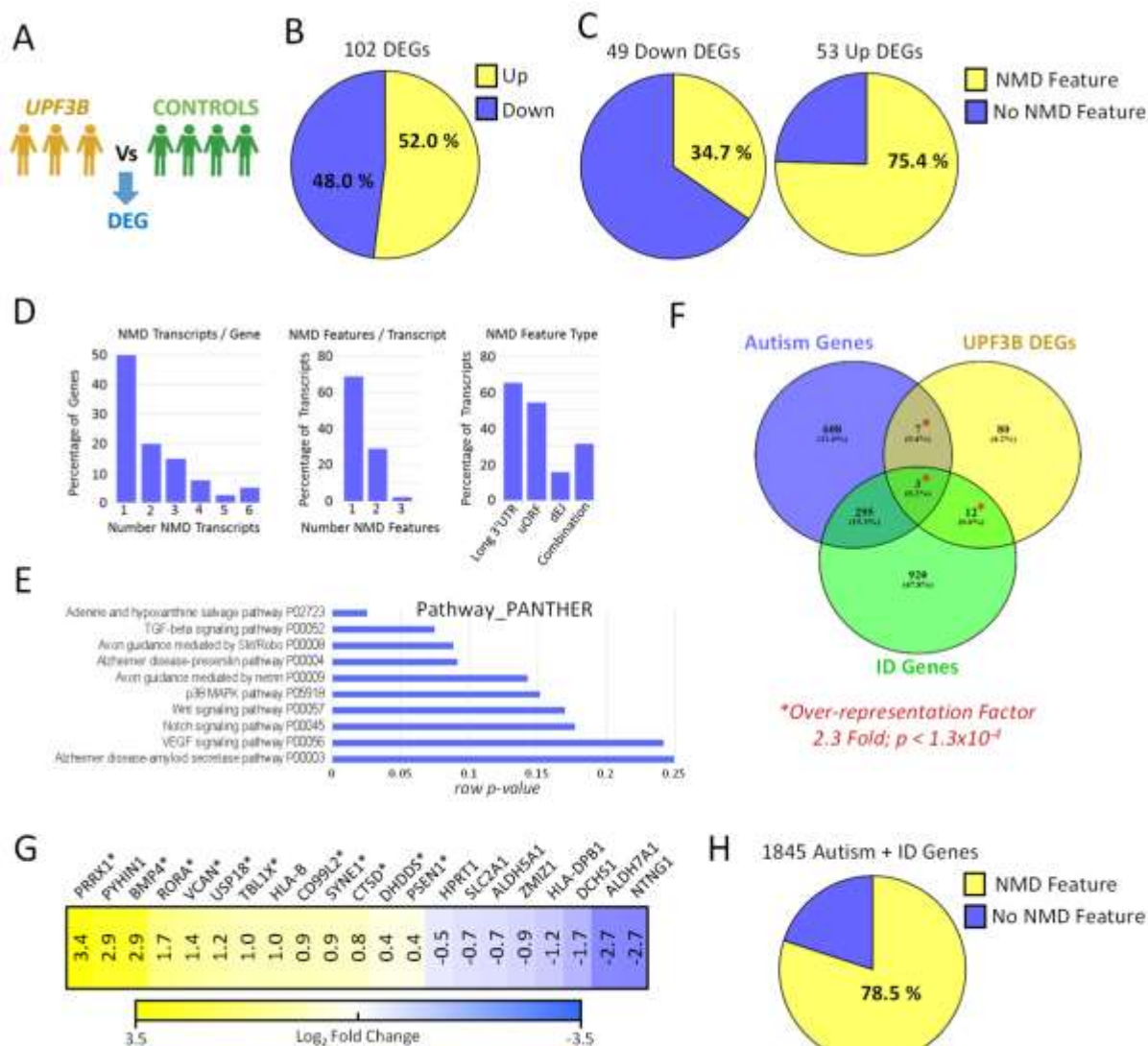


Figure 4. UPF3B dependent NMD regulates networks of neurodevelopmental disorder genes. RNA was isolated from LCLs derived from four male control individuals, and 3 individuals harbouring loss-of-function *UPF3B* variants including c.624G>A (singleton) and c.867_868delAG (brothers). **(a)** Schematic of RNAseq comparison applied. DEG: Differentially Expressed Gene (Fold Change >2; $p < 0.05$). **(b)** Proportion of DEGs found up- and down-regulated. **(c)** Percentage of up- and down-regulated DEGs with transcripts harbouring NMD-targeting mRNA features. **(d)** Analysis of the 40 up-regulated genes which express transcripts harbouring NMD targeting features (NMD transcripts). **(e)** Gene Pathway analysis of the 102 DEGs. Raw p-values are graphed. Note adjusted p values for all data displayed is > 0.05 (not significant). **(f)** Overlap of DEGs with known autism and intellectual disability (ID) genes (collectively termed neurodevelopmental disorders genes; NDD genes). Transcriptome wide over representation factor is provided. p value represents an exact hypergeometric probability test. **(g)** Expression of NDD related DEGs in UPF3B mutant LCLs. Asterisk designate upregulated NDD genes with NMD-targeting features. **(h)** Percentage of autism and ID related NDD genes with transcripts that harbour NMD-targeting features.



UNCORRECTED

ABBREVIATIONS

ASD	Autism Spectrum Disorder
DDD UK	Deciphering Developmental Disorders United Kingdom
DEG	Differentially Expressed Gene
dEJ	Downstream Exon Junction
ID	Intellectual Disability
LCL	Lymphoblastoid Cell Line
NDD	Neurodevelopmental Disorder
NMD	Nonsense Mediated mRNA Decay
OMIM	Online Mendelian Inheritance in Man
PTC	Premature Termination Codon
uORFs	Upstream Open Reading Frame
UTR	Untranslated Region
X-LAG	X-linked Acroigantism