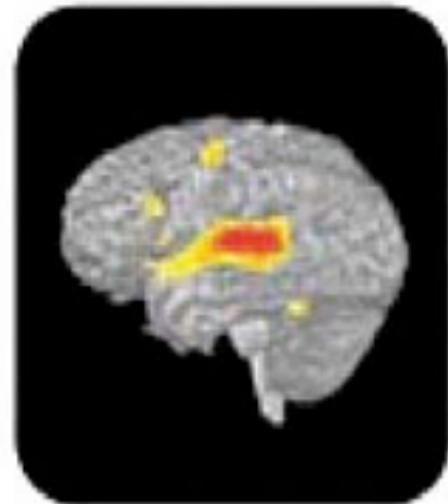




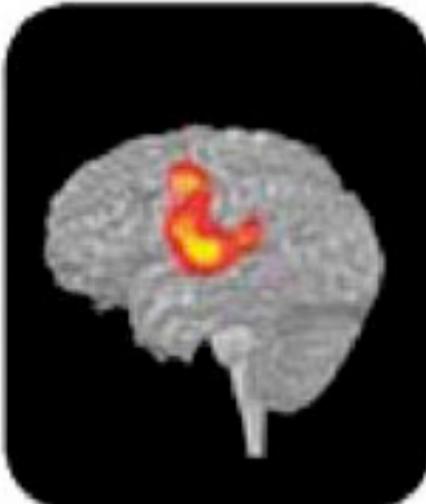
C. Anderson, G. Theraulaz, and J.-L. Deneubourg,  
Self-assemblages in insect societies. Insectes soc. 49 (2002) 99–110



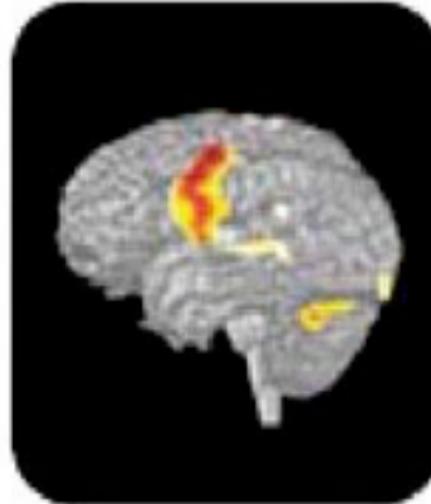
Ian Armstrong: [http://commons.wikimedia.org/wiki/File:Termite\\_mound\\_NT.jpg](http://commons.wikimedia.org/wiki/File:Termite_mound_NT.jpg)



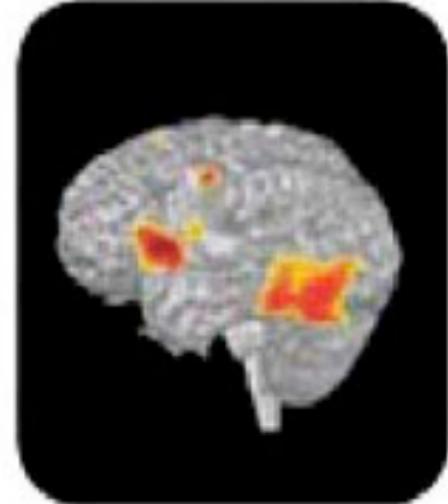
Hearing Words



Speaking Words



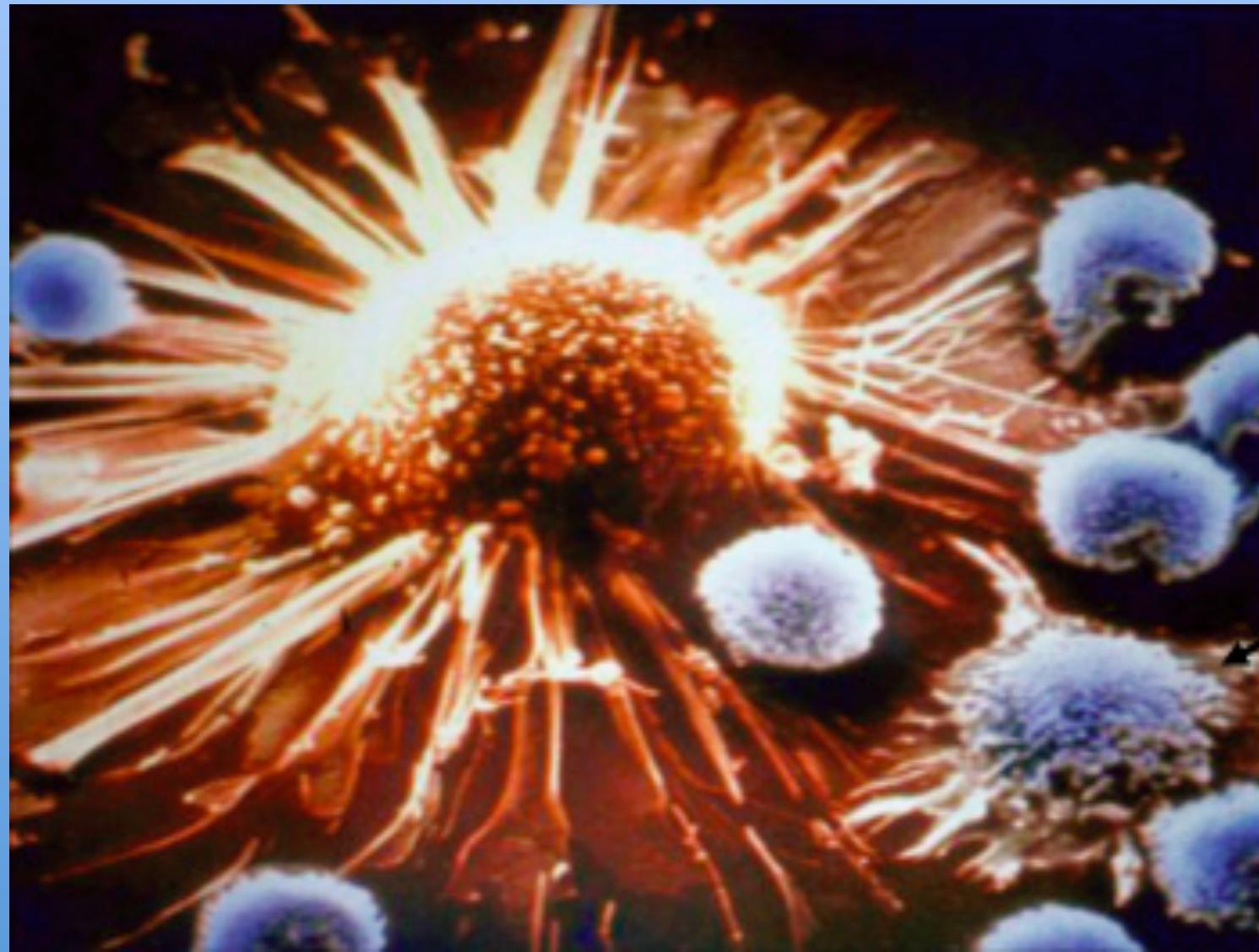
Seeing Words



Thinking  
about Words

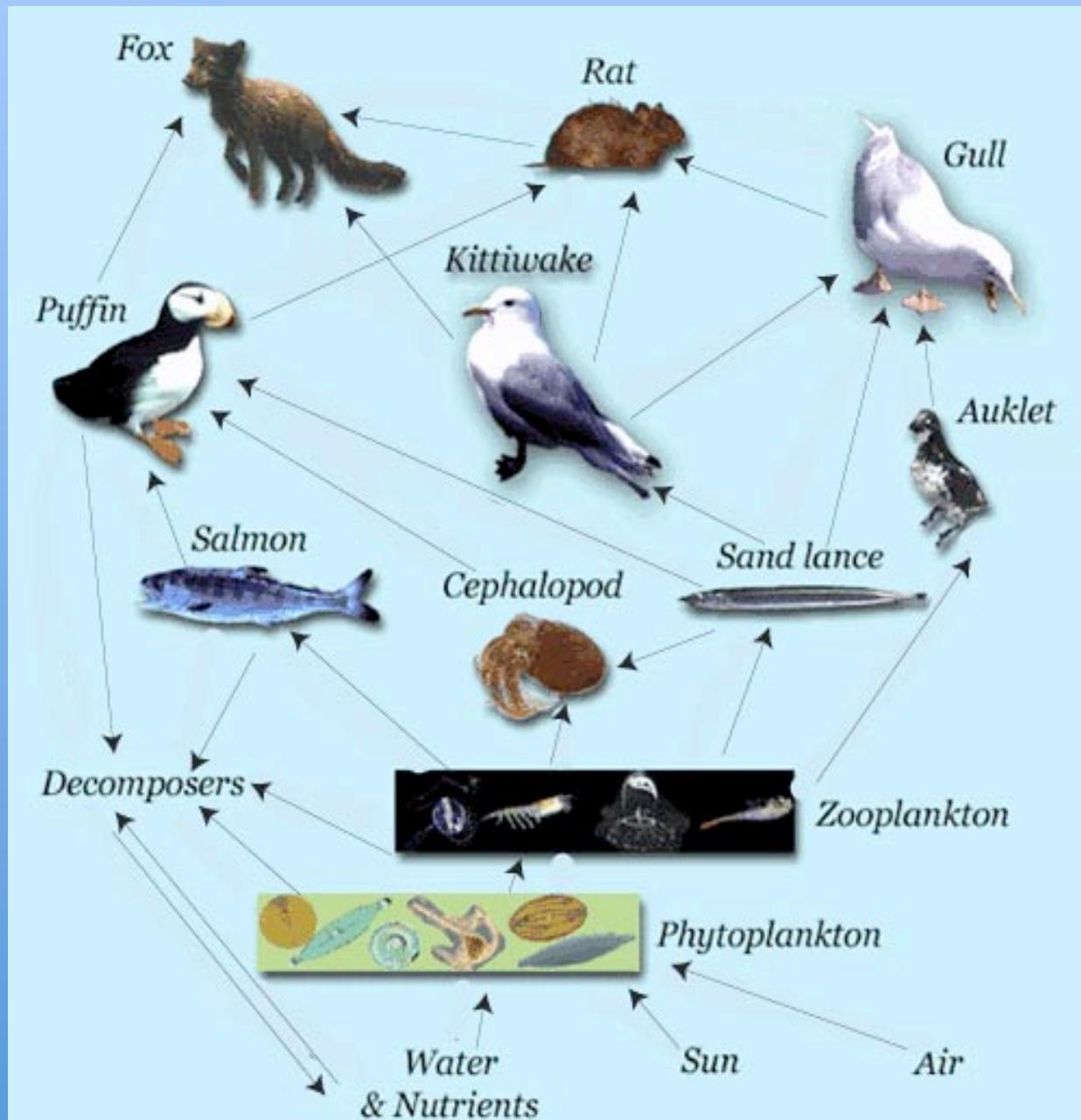
<http://www.nimh.nih.gov/images/health-and-outreach/brains-innerworkings-images/thinking-brain.jpg>

# Immune System Cells Attack Cancer Cell



<http://home.ccr.cancer.gov/inthejournals/itj-therapy.asp>

# A Food Web



# Facebook “Friend” Links



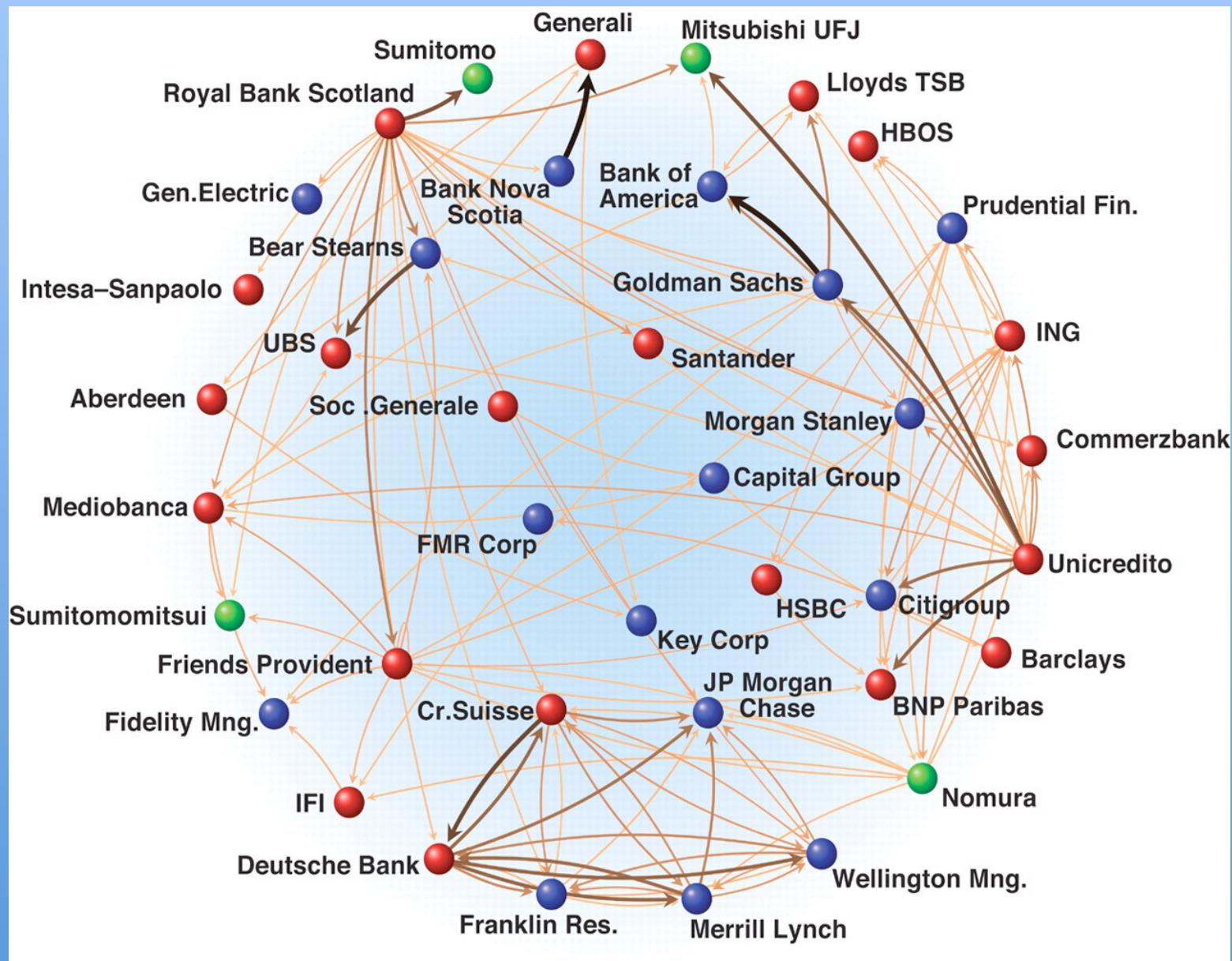
Paul Butler [http://www.facebook.com/note.php?note\\_id=469716398919](http://www.facebook.com/note.php?note_id=469716398919)

# The Science of Cities

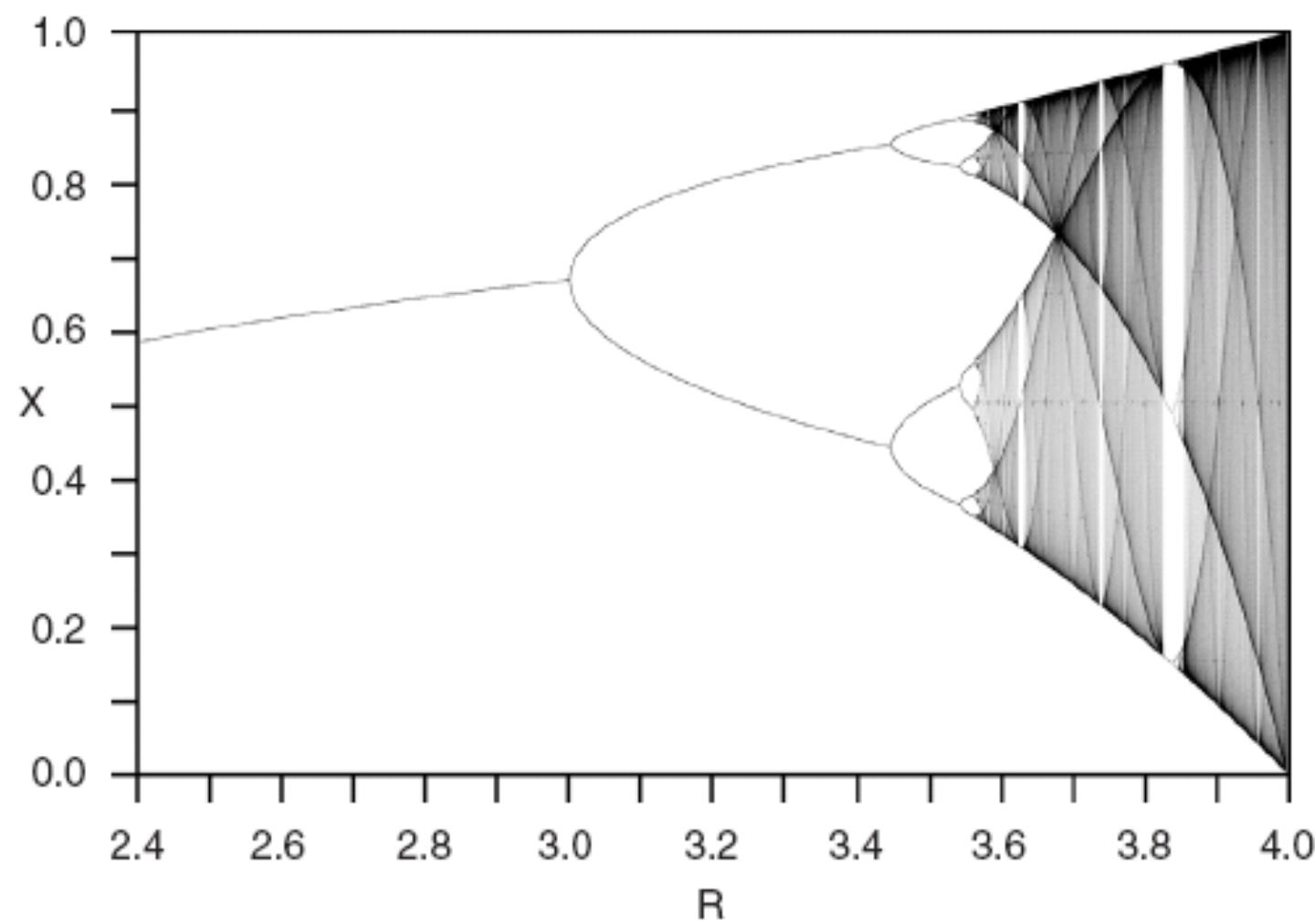


[http://en.wikipedia.org/wiki/File:Shibuya\\_tokyo.jpg](http://en.wikipedia.org/wiki/File:Shibuya_tokyo.jpg)

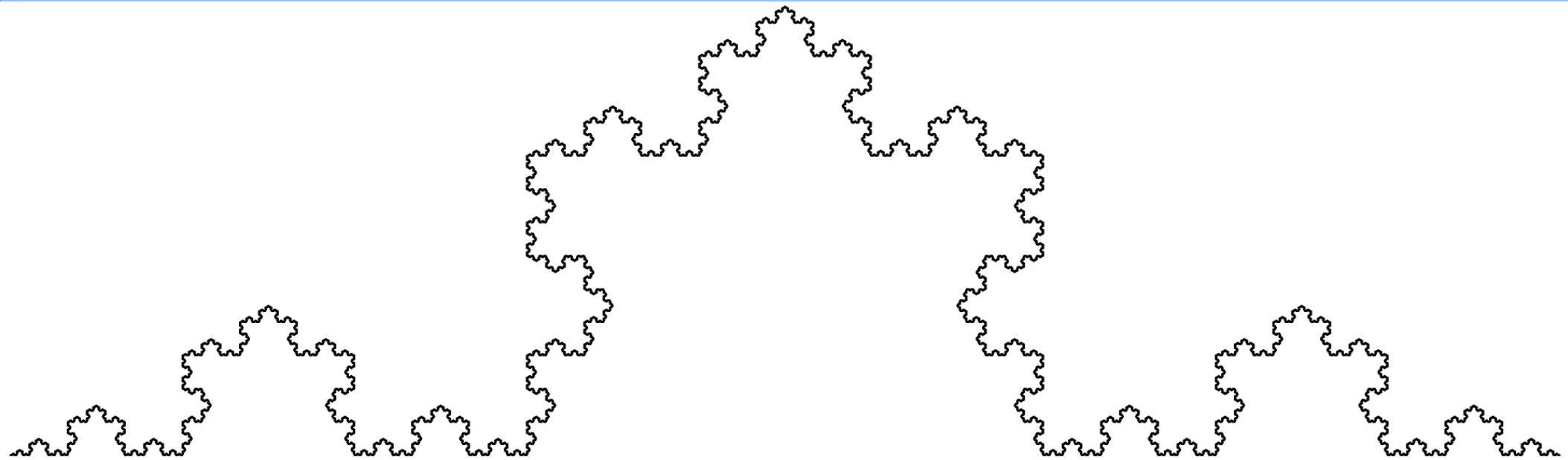
# Network of Financial Institutions



Economic Networks: The New Challenges, *Science* 24 July 2009: vol. 325 no. 5939  
422-425

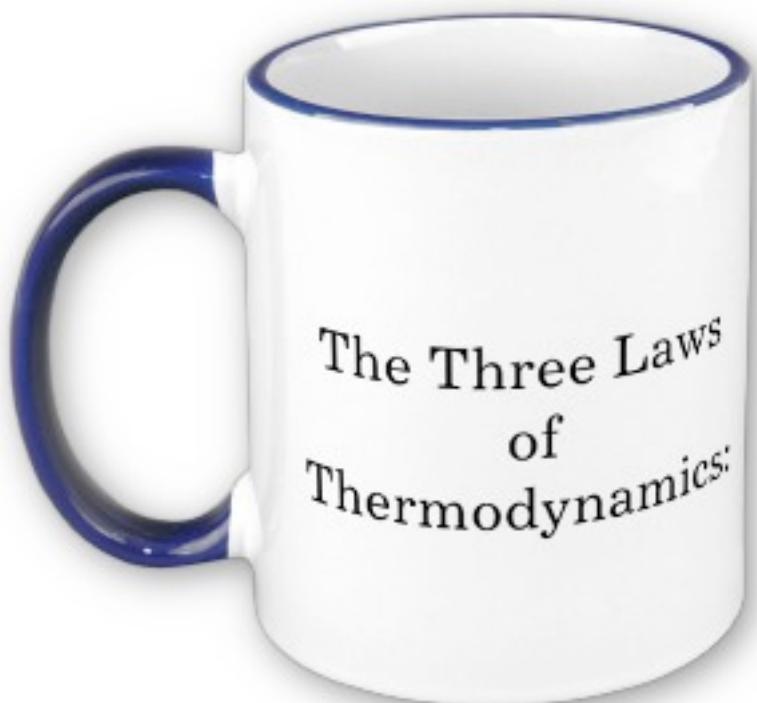


Bifurcation Diagram



“Although [complex systems] differ widely in their physical attributes, they resemble one another in the way they handle information. That common feature is perhaps the best starting point for exploring how they operate.”

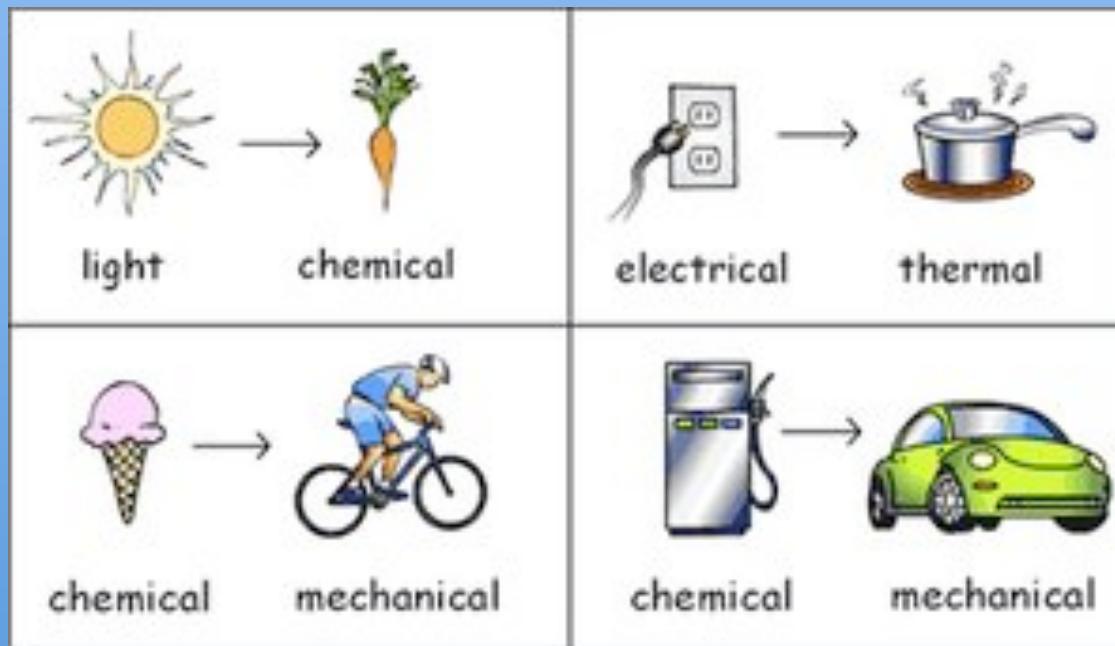
— Murray Gell-Mann, *The Quark and the Jaguar*, 1995



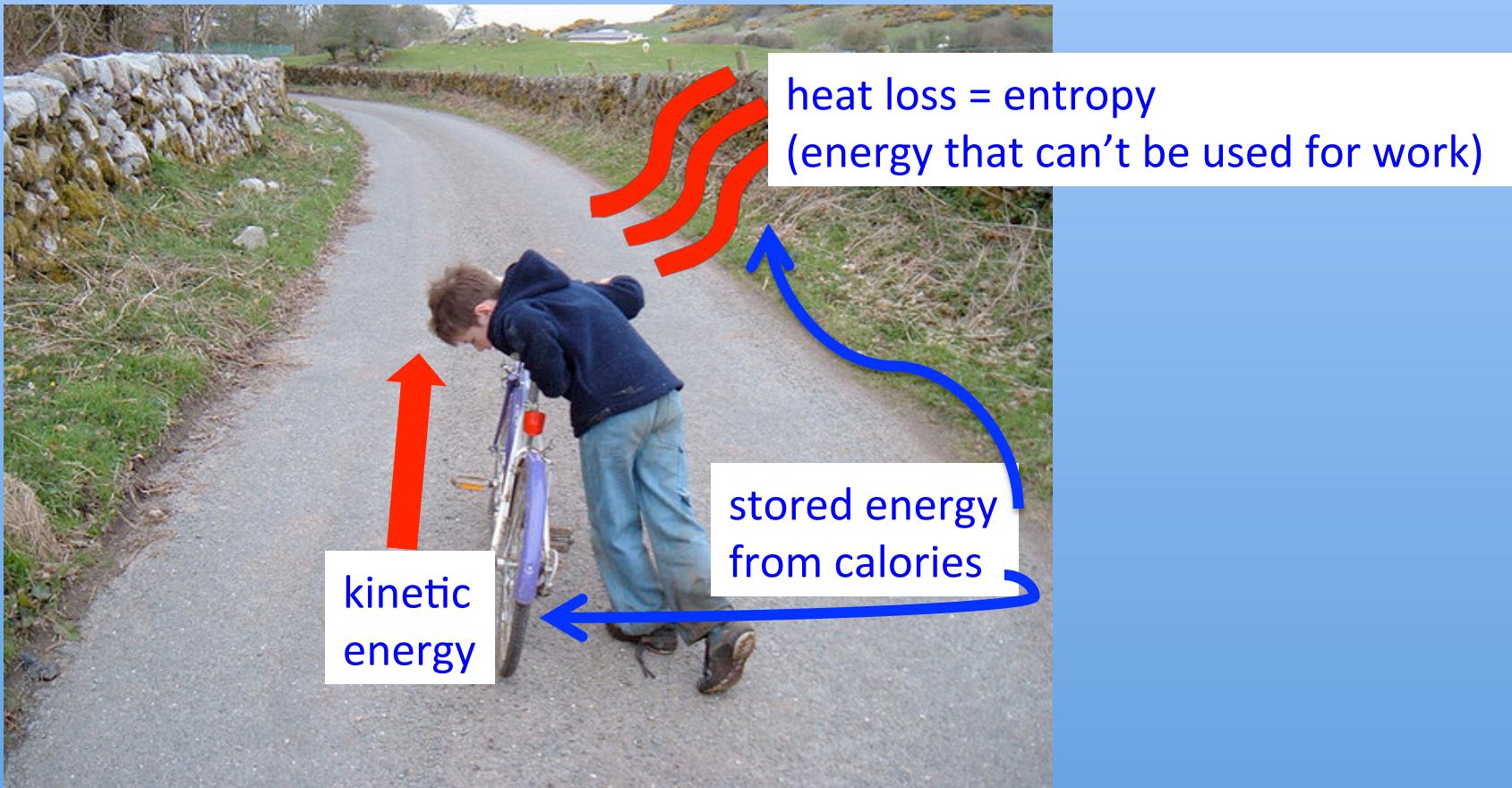
**First law of thermodynamics:** In an isolated system, energy is conserved

Energy: A system's potential to do “work”

Energy can be transformed from one kind into another:



**Second law of thermodynamics:** In an isolated system, entropy always increases until it reaches a maximum value.



<http://www.flickr.com/photos/zstephen/130961009/sizes/z/in/photostream/>

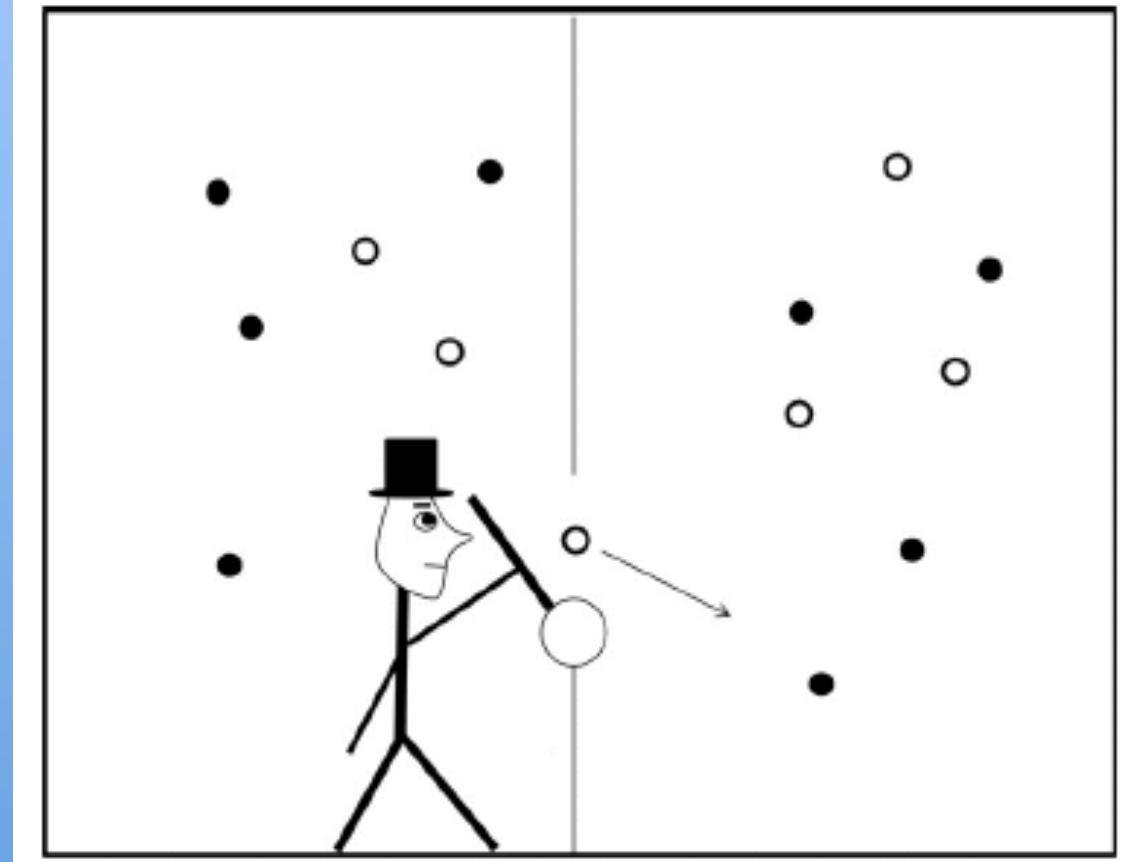
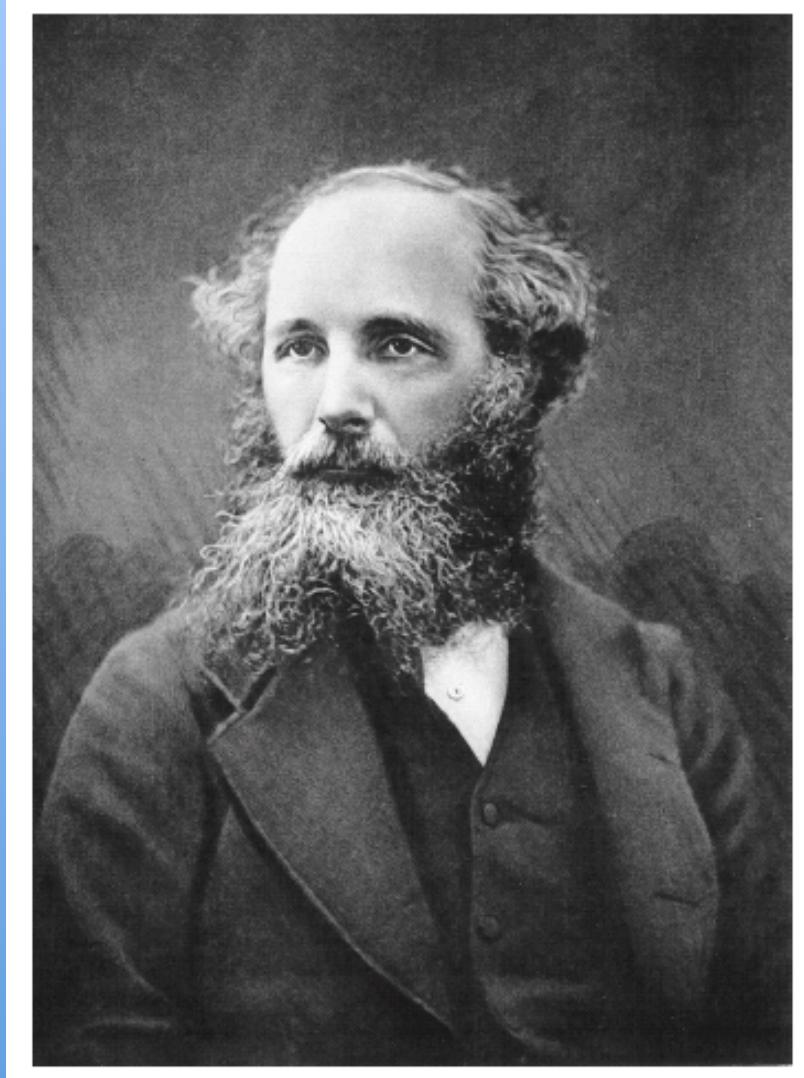


# **Entropy as a Measure of Disorder**

**NetLogo Models Library:**  
**Chemistry and Physics → GasLab → Gaslab Two Gas**

# Implications of the Second Law of Thermodynamics

- Systems are naturally disordered. They cannot become organized without the input of work
- Perpetual motion machines are not possible
- Time has a direction: the direction of increasing entropy



**Maxwell's Demon**

**James Clerk Maxwell, 1831-1879**

“The hot system [i.e., the right side] has gotten hotter and the cold [the left side] has gotten colder and yet no work has been done, only the intelligence of a very observant and neat-fingered being has been employed.”

**Maxwell:**

The second law of thermodynamics is “a statistical certainty”.

# THEORY OF HEAT

BY

J. CLERK MAXWELL, M.A.

L.L.D. EDIN., F.R.S., L. & R.

*Secretary Fellow of Trinity College*

*Professor of Experimental Physics in the University of Cambridge*

WITH CORRECTIONS AND ADDITIONS (1861)

"

LORD RAYLEIGH, M.A., D.C.L., LL.D.

*Secretary of the Royal Society, Professor of Natural Philosophy in the Royal Institution, and late Professor of Experimental Physics*

*in the University of Cambridge*

NEW EDITION

1871

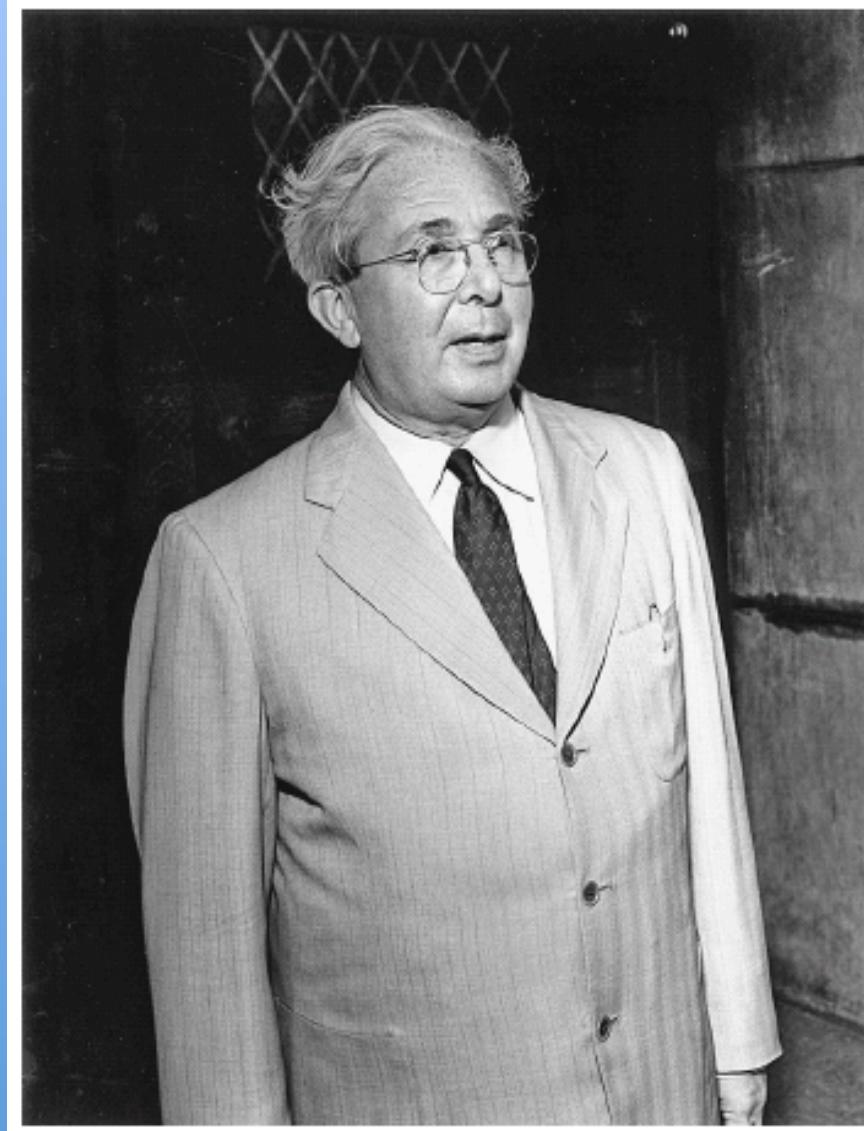
LONGMANS, GREEN, AND CO.

39, PATERNOSTER ROW, LONDON

NEW YORK AND BOMBAY

1893

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Leo Szilard, 1898-1964

**ON THE DECREASE OF ENTROPY IN A THERMODYNAMIC SYSTEM  
BY THE INTERVENTION OF INTELLIGENT BEINGS**

**LEO SZILARD**

*Translated by Anatol Rapoport and Mechthilde Knoller from the original article "Über die Entropieverminderung in einem thermodynamischen System bei Eingriffen intelligenter Wesen." Zeitschrift für Physik, 1929, 53, 840–856.*

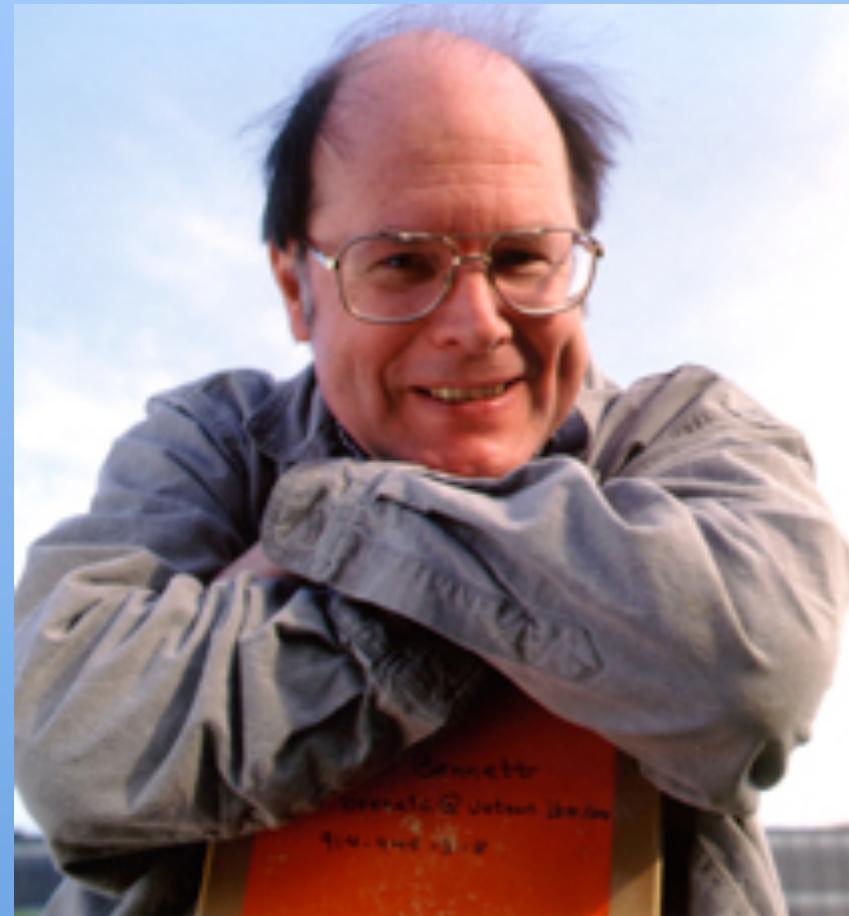
# A “bit” of information

**Szilard:** A *bit* of information is the amount of information needed to answer a “fast/slow” question, or any “yes/no” question.

The field of Computer Science adopted this terminology for computer memory.



Rolf Landauer (1927–1999)



Charles Bennett



ELSEVIER

15 July 1996

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PHYSICS LETTERS A

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Physics Letters A 217 (1996) 188–193

# The physical nature of information

Rolf Landauer<sup>1</sup>

*IBM T.J. Watson Research Center, P.O. Box 218, Yorktown Heights, NY 10598, USA*

Received 9 May 1996

Communicated by V.M. Agranovich

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## Abstract

Information is inevitably tied to a physical representation and therefore to restrictions and possibilities related to the laws of physics and the parts available in the universe. Quantum mechanical superpositions of information bearing states can be used, and the real utility of that needs to be understood. Quantum parallelism in computation is one possibility and will be

# The Fundamental Physical Limits of Computation

*What constraints govern the physical process of computing? Is a minimum amount of energy required, for example, per logic step? There seems to be no minimum, but some other questions are open*

by Charles H. Bennett and Rolf Landauer

# COMPLEXITY, ENTROPY AND THE PHYSICS OF INFORMATION

EDITED BY

*Wojciech H. Zurek*



A FORTRESS INSTITUTE VOLUME IN TIME

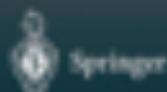
SANTA FE INSTITUTE STUDIES IN THE SCIENCES OF COMPLEXITY

100

Dirk Bouwmeester  
Arthur K. Ekert  
Anton Zeilinger  
(Eds.)

## The Physics of Quantum Information

- Quantum Cryptography
- Quantum Teleportation
- Quantum Computation



Springer

# In electronics...

PHYSICAL REVIEW B 84, 085418 (2011)

## Probing the power of an electronic Maxwell's demon: Single-electron transistor monitored by a quantum point contact

Gernot Schaller,\* Clive Emery, Gerold Kiesslich, and Tobias Brandes

*Institut für Theoretische Physik, Technische Universität Berlin, Hardenbergstrasse 36, D-10623 Berlin, Germany*

(Received 28 June 2011; revised manuscript received 14 July 2011; published 23 August 2011)

We suggest that a single-electron transistor continuously monitored by a quantum point contact may function as Maxwell's demon when closed-loop feedback operations are applied as time-dependent modifications of the tunneling rates across its junctions. The device may induce a current across the single-electron transistor even

In biology...

**Life's demons: information and order in biology**

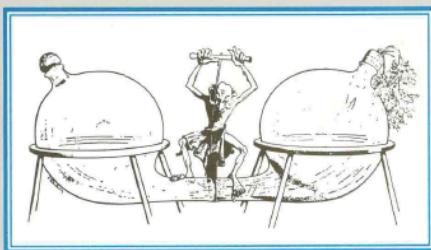
**What subcellular machines gather and process the  
information necessary to sustain life?**

***Philippe M Binder & Antoine Danchin***

Received 8 February 2011; Accepted 15 April 2011

# MAXWELL'S DEMON

ENTROPY  
INFORMATION  
COMPUTING



EDITED BY  
**HARVEY S LEFF**  
AND  
**ANDREW F REX**

PRINCETON SERIES  
IN PHYSICS

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## Maxwell's Demon 2

Entropy, Classical and Quantum Information, Computing

Edited by **HARVEY S LEFF**  
and **ANDREW F REX**

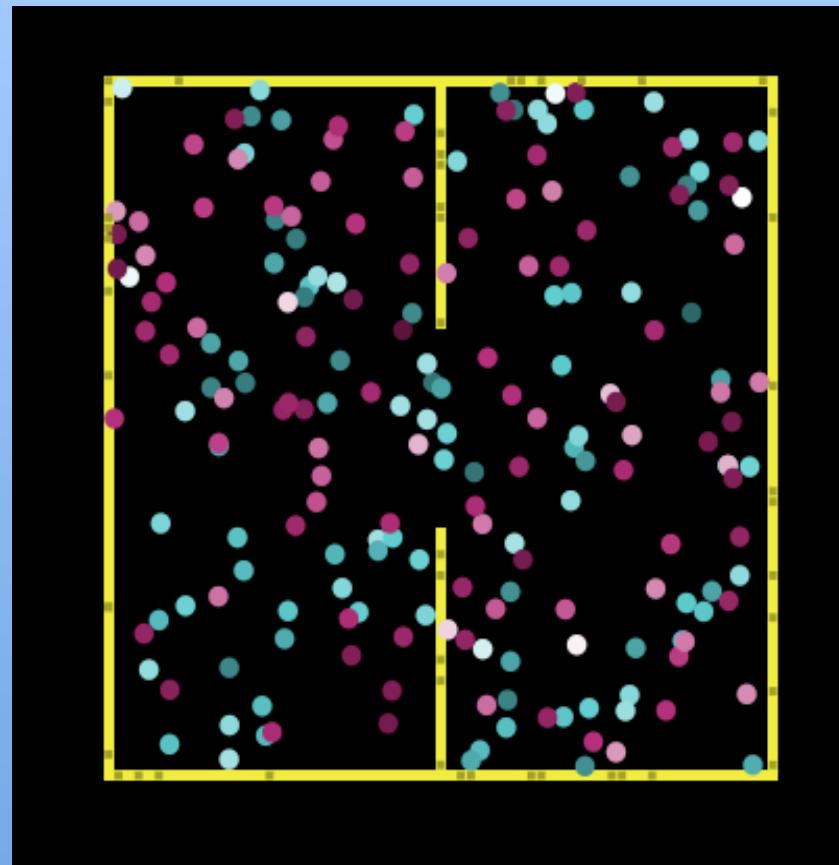
IoP

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**Thermodynamics:** The study of heat and thermal energy

**Statistical mechanics:** A general mathematical framework that shows how macroscopic properties (e.g. heat) arise from statistics of the *mechanics* of large numbers of microscopic components (e.g., atoms or molecules)

# Example: Room full of air



**Macroscopic property (thermodynamics):** Temperature, pressure

**Microscopic property (mechanics):** Positions and velocities of air molecules

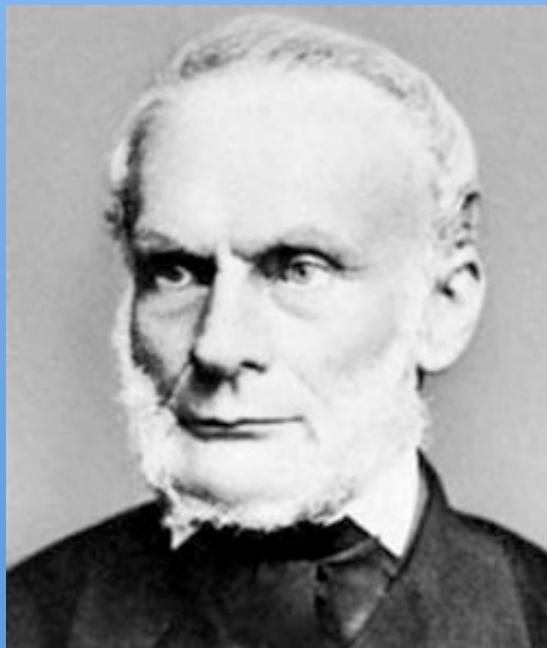
**Statistical mechanics:** How statistics of positions and velocities of molecules give rise to temperature, pressure, etc.

## **Thermodynamic entropy**

measures the amount of  
heat loss when energy is  
transformed to work

# Thermodynamic entropy

measures the amount of  
heat loss when energy is  
transformed to work

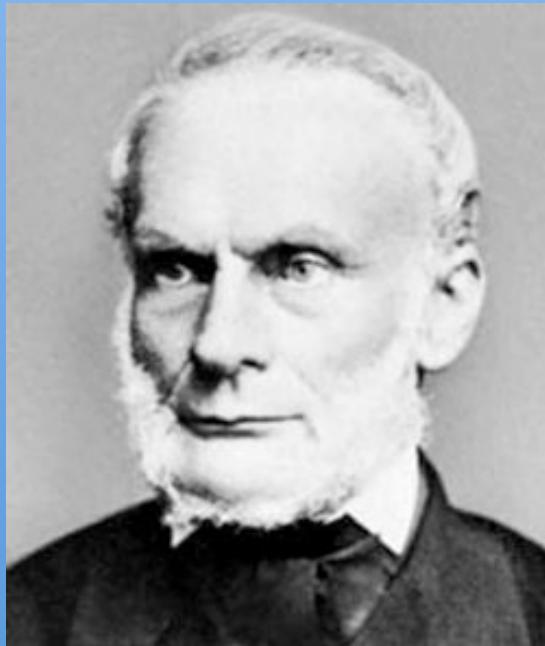


Rudolf Clausius, 1822-1888

# Thermodynamic entropy

measures the amount of  
heat loss when energy is  
transformed to work

Heat loss  $\approx$  “disorder”

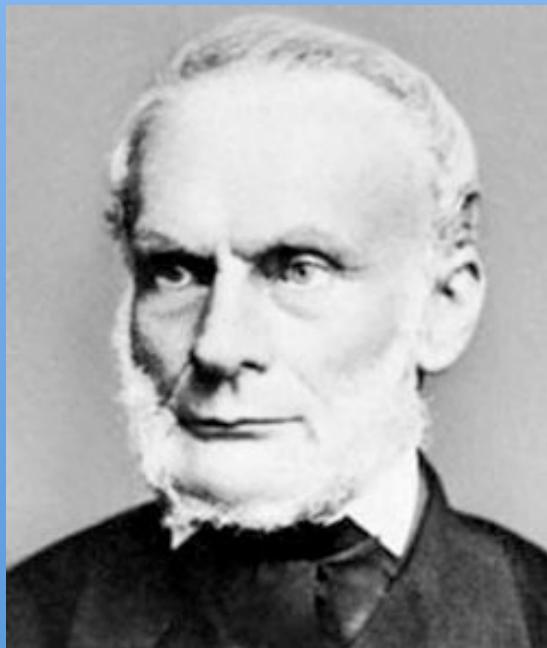


Rudolf Clausius, 1822-1888

**Thermodynamic entropy**  
measures the amount of  
heat loss when energy is  
transformed to work

Heat loss  $\approx$  “disorder”

Theory is specific to heat

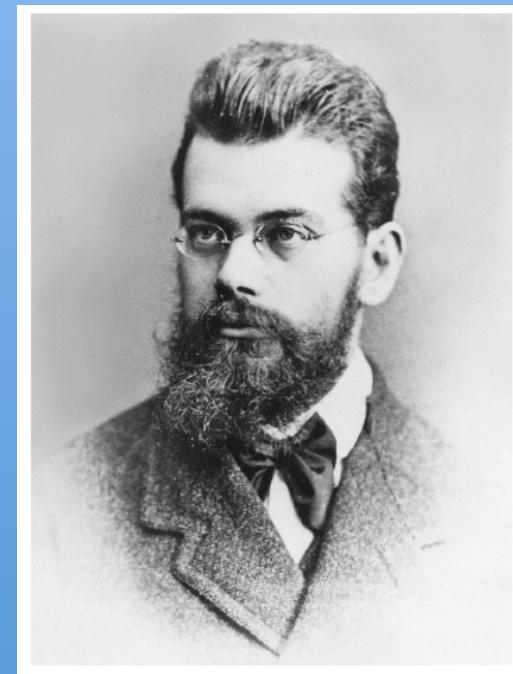


Rudolf Clausius, 1822-1888

**Statistical mechanics entropy**  
measures the number of possible  
microstates that lead to a macrostate

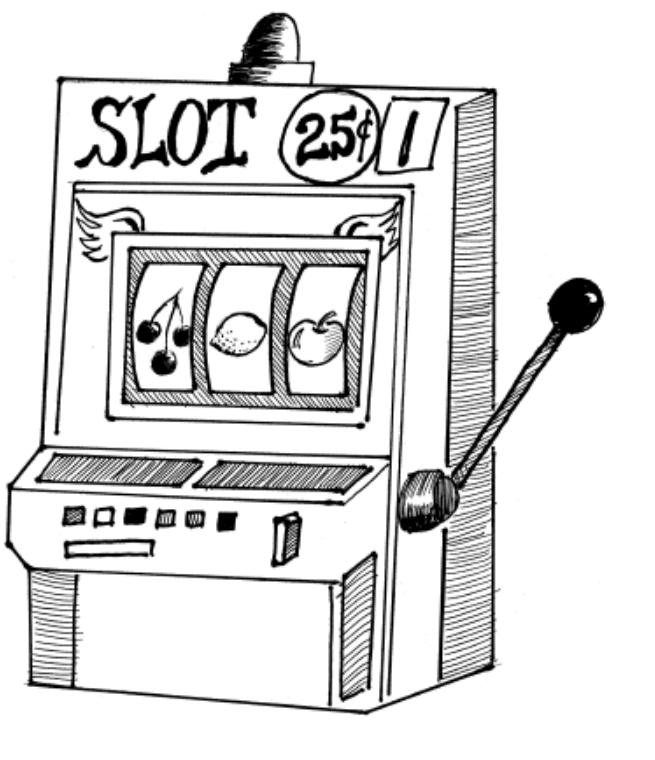
Number of microstates  $\approx$  disorder

A more general theory



Ludwig Boltzmann, 1844-1906

## A slight sidetrack to learn about microstates and macrostates



**Microstates:** states of the three slot-machine windows: each is one of  $\{apple, orange, cherry, pear, lemon\}$  with equal probability

**Example microstate:** *cherry, lemon, apple*

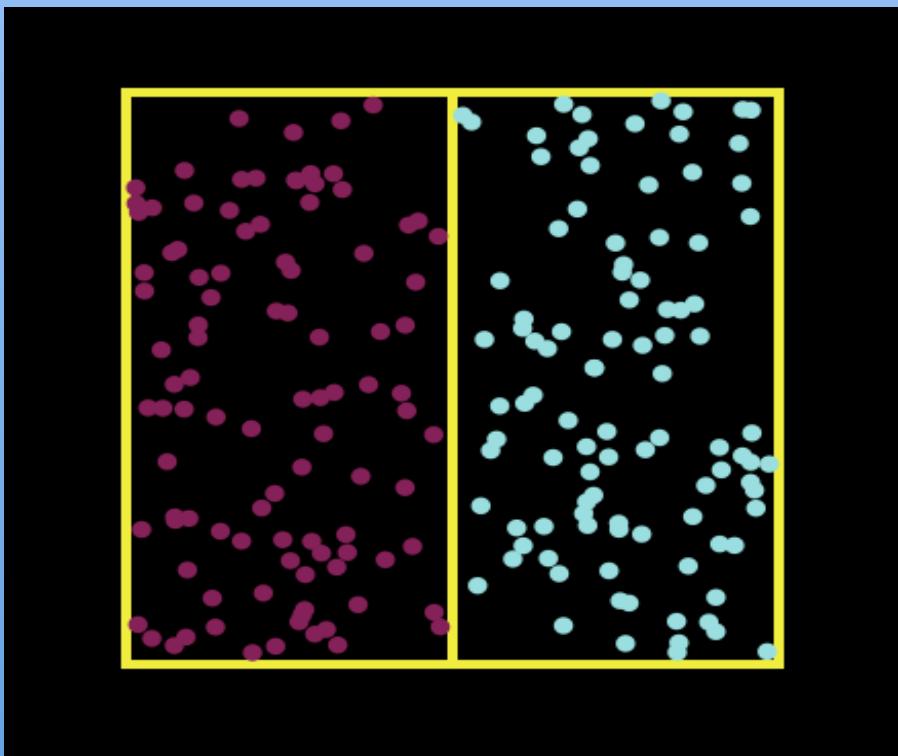
**Example macrostate:** *Win* (three of the same fruit)

**Question 1:** How many microstates give rise to the *Win* macrostate?

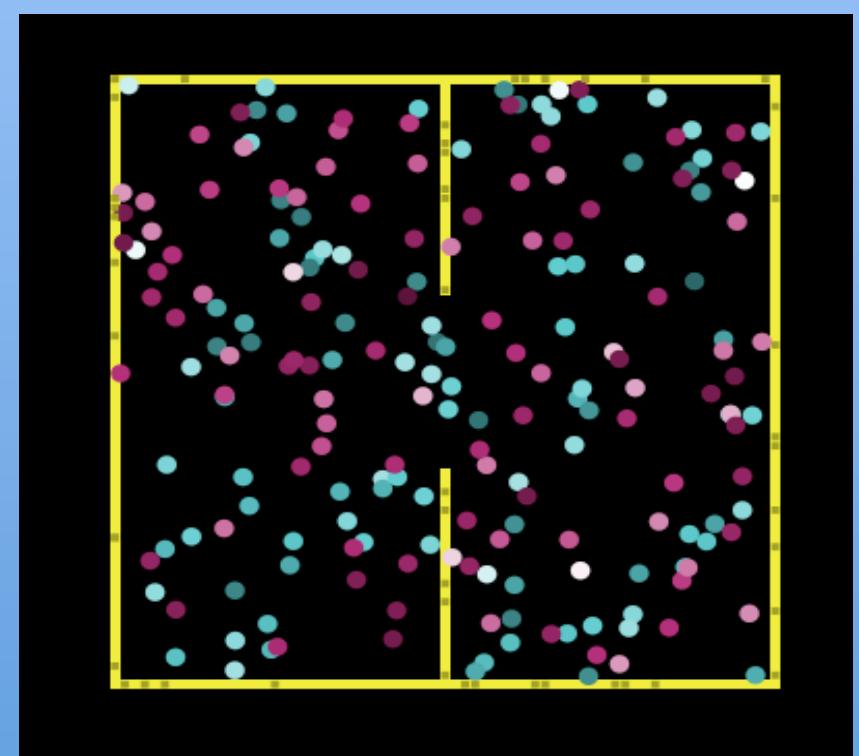
**Question 2:** How many microstates give rise to the *Lose* macrostate?

# NetLogo Two Gas Model

Start



Finish

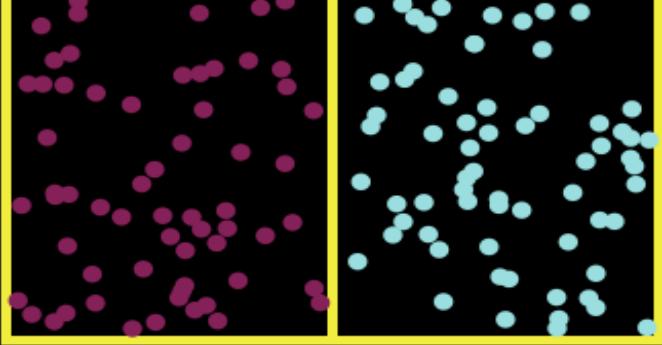


# NetLogo Two Gas Model

**Microstate:** Position and velocity of every particle

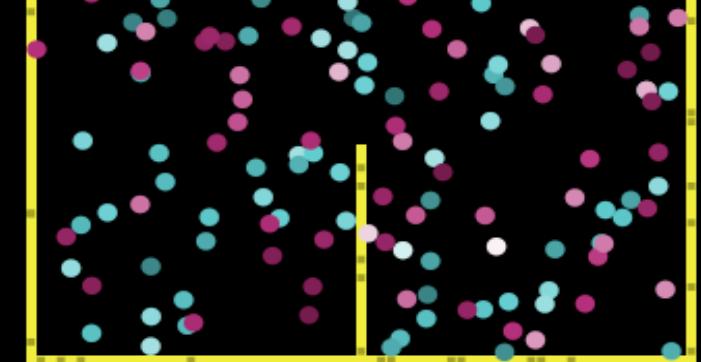
Start

Fewer possible microstates  
→ Lower entropy  
→ More “ordered”



Finish

More possible microstates  
→ Higher entropy  
→ More “disordered”



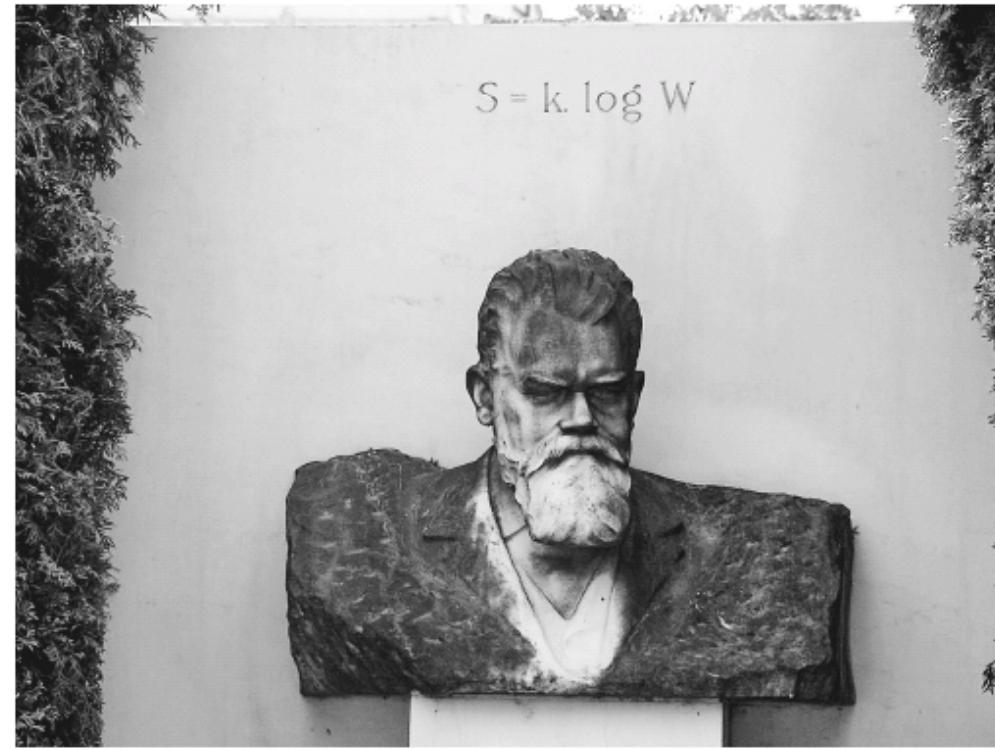
**Macrostate:** All fast particles are on the right, all slow particles are on the left.

**Macrostate:** Fast and slow particles are completely mixed.

**Second Law of Thermodynamics:** In an isolated system, entropy will always increase until it reaches a maximum value.

**Second Law of Thermodynamics (Statistical Mechanics Version):** In an isolated system, the system will always progress to a macrostate that corresponds to the maximum number of microstates.

# Boltzmann Entropy



## Boltzmann's tomb, Vienna, Austria

The entropy  $S$  of a macrostate is  $k$  times the natural logarithm of the number  $W$  of microstates corresponding to that macrostate.

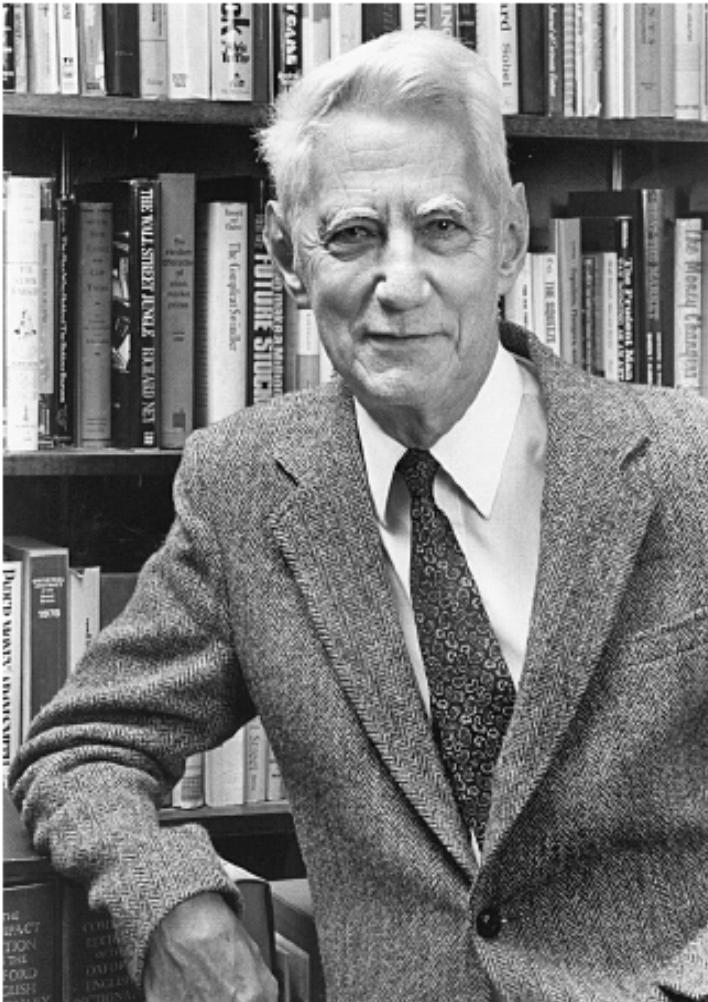
$k$  is called “Boltzmann’s constant”. This constant and the logarithm are just for putting entropy into a particular units.

**General idea:** The more microstates that give rise to a macrostate, the more probable that macrostate is. Thus *high entropy = more probable macrostate*.

## **Second Law of Thermodynamics (Statistical Mechanics Version):**

In an isolated system, the system will tend to progress to the most probable macrostate.

# Shannon Information



Claude Shannon, 1916-2001

Shannon worked at Bell Labs (part of AT&T)

Major question for telephone communication: How to transmit signals most efficiently and effectively across telephone wires?

Shannon adapted Boltzmann's statistical mechanics ideas to the field of communication.

# Shannon's Formulation of Communication

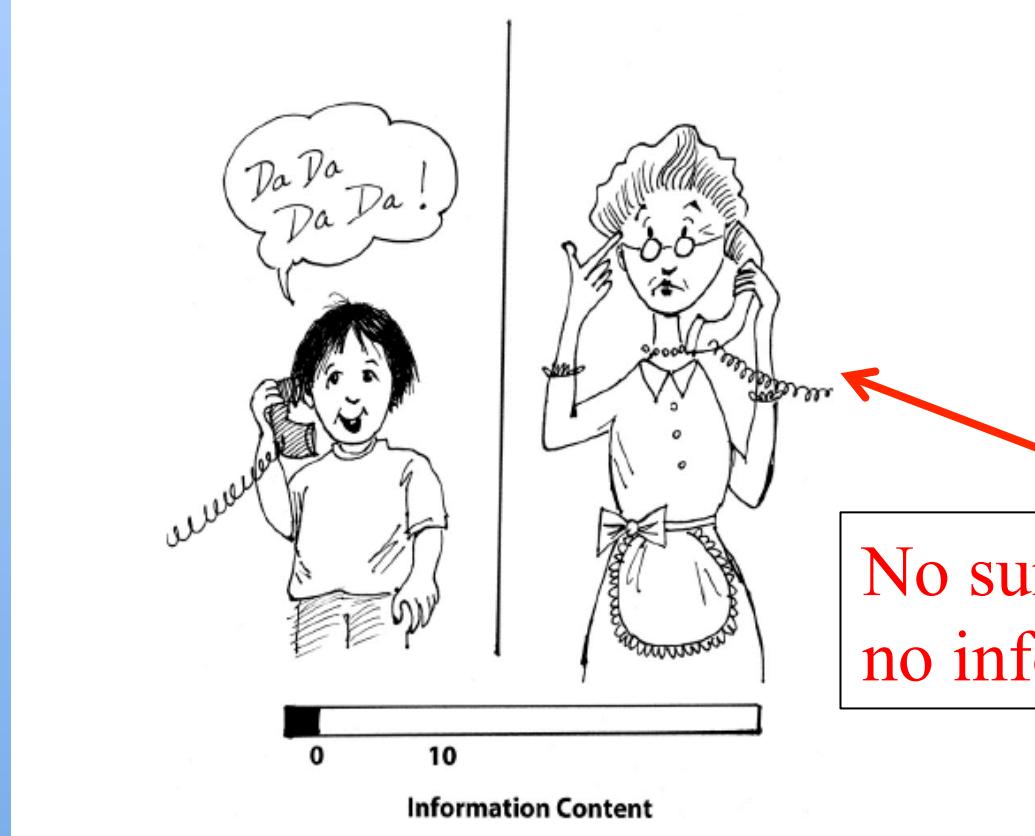


**Message source :** Set of all possible messages this source can send, each with its own probability of being sent next.

**Message:** E.g., symbol, number, or word

**Information content  $H$  of the message source:** A function of the number of possible messages, and their probabilities

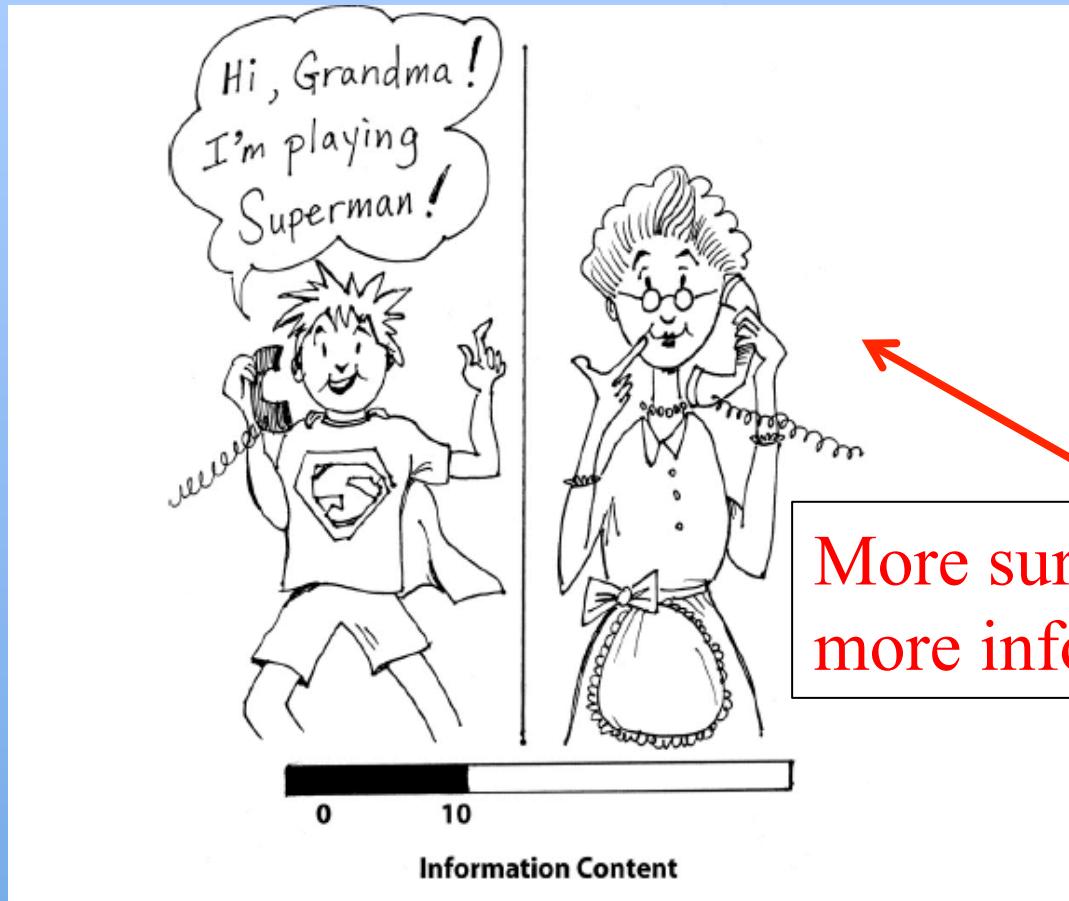
**Informally:** The amount of “surprise” the receiver has upon receipt of each message



**Message source:** One-year-old

**Messages:**  
“Da”    Probability 1

**InformationContent** (one-year-old) = 0 bits



**Message source:** Three-year-old

**Messages:** 500 words ( $w_1, w_2, \dots, w_{500}$ )

**Probabilities:**  $p_1, p_2, \dots, p_{500}$

**InformationContent** (three-year-old) > 0 bits

# More technical part (optional)

# Boltzmann Entropy

**Microstate:** Detailed configuration of system components (e.g., “apple pear cherry”)

**Macrostate:** Collection of microstates (e.g., “all three the same” or “exactly one apple”)

**Entropy  $S$ :** Assumes all microstates are equally probable

$$S(\text{macrostate}) = k \log W$$

where  $W$  is the number of microstates corresponding to the macrostate.  
 $S$  is measured in units defined by  $k$  (often “Joules per Kelvin”)

# Shannon Information

**Message:** E.g., a symbol, number, or word.

**Message source:** A set of possible messages, with probabilities for sending each possible message

**Information content  $H$ :**  
Let  $N$  be the number of possible messages, and  $p_i$  be the probability of message  $i$ . Then

$$H(\text{message source}) = - \sum_{i=1}^N p_i \log_2 p_i$$

$H$  is measured in  
“(average) bits per message”

# Shannon Information is analogous to Boltzmann Entropy when all messages are equally probable

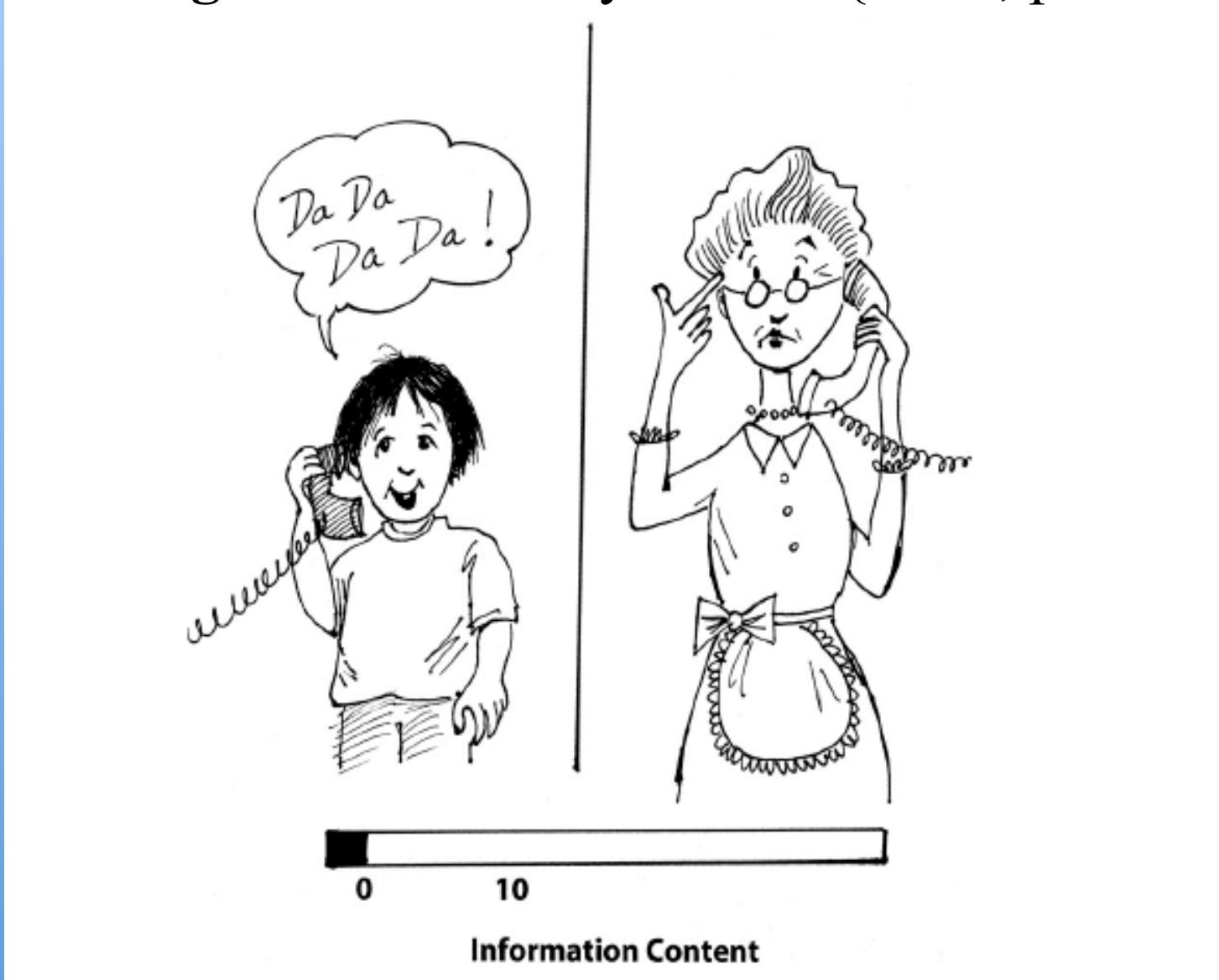
**Boltzmann entropy:** Assumes all microstates are equally probable. Let  $W$  be the number of microstates corresponding to the given macrostate

$$S(\text{macrostate}) = k \log_e W$$

**Shannon Information:** Assume all messages are equally probable. Let  $M$  be the number of messages that the given message source can send. Then the probability of the source sending any particular message is  $1/M$ .

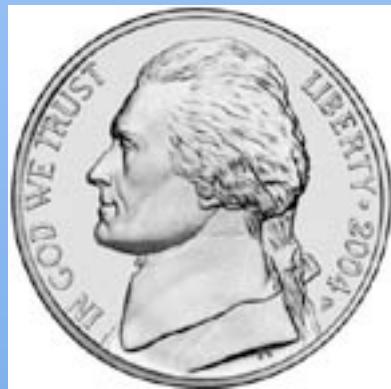
$$\begin{aligned} H(\text{message source}) &= -\sum_{i=1}^N p_i \log_2 p_i \\ &= -\sum_{i=1}^N \frac{1}{M} \log_2 \frac{1}{M} \\ &= -\log_2 \frac{1}{M} \\ &= -\log_2 M^{-1} \\ &= \log_2 M \end{aligned}$$

**Message source:** One-year-old: {"Da", probability 1}



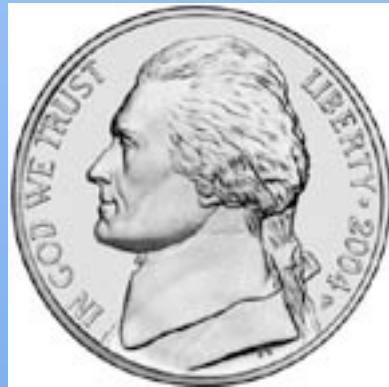
$$H(\text{one-year-old}) = - \sum_{i=1}^N p_i \log_2 p_i = 1 * \log_2 1 = 0 \text{ bits}$$

**Message source:** Fair coin:  
("Heads", probability .5)  
("Tails", probability .5)



$$\begin{aligned}H(\text{fair coin}) &= -\sum_{i=1}^N p_i \log_2 p_i \\&= -[(.5 \log_2 .5) + (.5 \log_2 .5)] \\&= -[.5(-1) + .5(-1)] \\&= 1 \text{ bit } (\text{on average, per message})\end{aligned}$$

**Message source:** Biased coin:  
("Heads", probability .6)  
("Tails", probability .4)



$$\begin{aligned}H(\text{biased coin}) &= -\sum_{i=1}^N p_i \log_2 p_i \\&= -[(.6 \log_2 .6) + (.4 \log_2 .4)] \\&= .971 \text{ bits } (\text{on average, per message})\end{aligned}$$

**Message source:** Fair die:

(“1”, probability 1/6)

(“2”, probability 1/6)

(“3”, probability 1/6)

(“4”, probability 1/6)

(“5”, probability 1/6)

(“6”, probability 1/6)



$$H(\text{fair die}) = - \sum_{i=1}^N p_i \log_2 p_i$$

$$= -6 \left( \frac{1}{6} \log_2 \frac{1}{6} \right)$$

$\approx 2.58$  bits (per message, on average)

# Text Analysis

- Text info content:

One way to do text analysis

Info content of text [one way to measure it]: based on relative frequency of word in the text. Roughly measures \*compressibility\*

E.g., “to be or not to be”

to: 2                  relative frequency: 2/6

be: 2                  2/6  $H(\text{"to be or not to be"})$

or: 1                  1/6     $= - \left[ \left( \frac{2}{6} \log_2 \frac{2}{6} \right) + \left( \frac{2}{6} \log_2 \frac{2}{6} \right) + \left( \frac{1}{6} \log_2 \frac{1}{6} \right) + \left( \frac{1}{6} \log_2 \frac{1}{6} \right) \right]$

not: 1                  Total words: 6                   $\approx 1.92$

More generally: Information content = average number of bits it takes to encode a message from a given message source, given an “optimal coding”.

$$H(\text{"to be or not to be"}) \approx 1.92 \text{ bits per word on average}$$

This gives the compressibility of a text.

See “Huffman Coding”.

# Shannon Information Content versus Meaning

Shannon information content does not capture the notion of the *function* or *meaning* of information.

The *meaning* of information comes from **information processing**.

More on this in Unit 6 (Self-Organization in Nature)

# Shannon Information Content versus Meaning

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