# **Autoencoder**

By Prof. Seungchul Lee iSystems Design Lab http://isystems.unist.ac.kr/ UNIST

### **Table of Contents**

- I. 1. Unsupervised Learning
- II. 2. Autoencoders
- III. 3. Autoencoder with TensorFlow
  - I. 3.1. Import Library
  - II. 3.2. Load MNIST Data
  - III. 3.3. Define an Autoencoder Shape
  - IV. 3.4. Define Weights and Biases
  - V. 3.5. Build a Model
  - VI. 3.6. Define Loss, Initializer and Optimizer
  - VII. 3.7. Summary of Model
  - VIII. 2.8. Define Configuration
  - IX. 2.9. Optimization
  - X. 2.10. Test
- IV. 3. Visualization

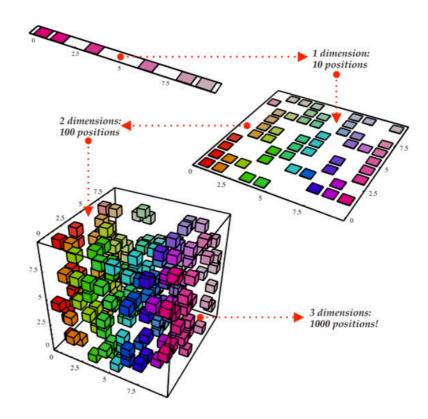
# 1. Unsupervised Learning

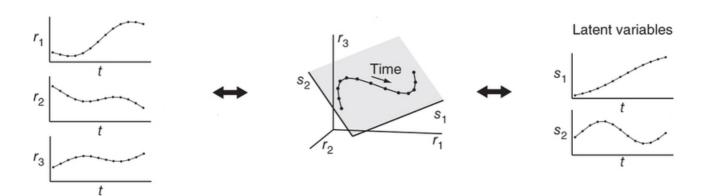
### **Definition**

- Unsupervised learning refers to most attempts to extract information from a distribution that do not require human labor to annotate example
- · Main task is to find the 'best' representation of the data

### **Dimension Reduction**

- Attempt to compress as much information as possible in a smaller representation
- Preserve as much information as possible while obeying some constraint aimed at keeping the representation simpler





# 2. Autoencoders

• It is like 'deep learning version' of unsupervised learning

### **Definition**

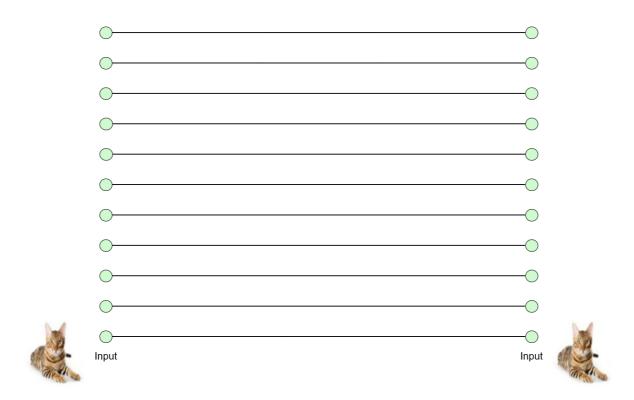
- An autoencoder is a neural network that is trained to attempt to copy its input to its output
- The network consists of two parts: an encoder and a decoder that produce a reconstruction

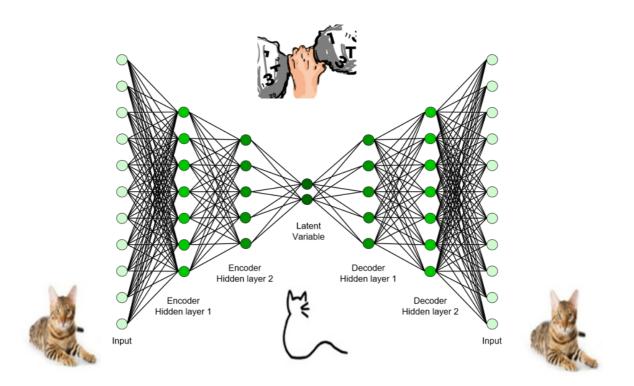
### **Encoder and Decoder**

• Encoder function : h = f(x)

• Decoder function : r=g(h)

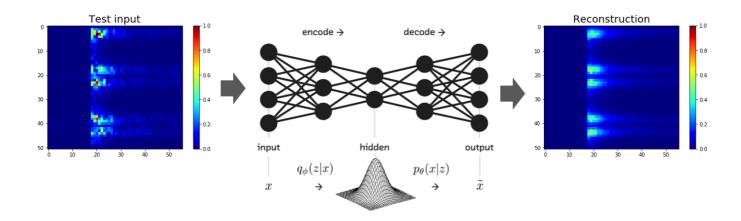
• We learn to set g(f(x)) = x





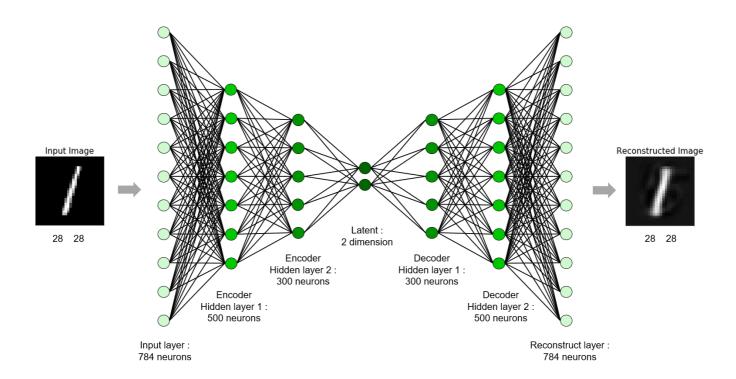
### **Modern Autoencoders**

- Beyond deterministic functions to stochastic mapping:  $p_{
  m encoder}(h \mid x)$  and  $p_{
  m decoder}(x \mid h)$ 
  - Variabtional autoencoder (VAE)
  - Generative adversarial nerwork (GAN)
- · Will not cover them in this tutorial



# 3. Autoencoder with TensorFlow

- · MNIST example
- Use only (1, 5, 6) digits to visualize in 2-D



# 3.1. Import Library

### In [1]:

```
import numpy as np
import matplotlib.pyplot as plt
import tensorflow as tf
```

## 3.2. Load MNIST Data

### In [2]:

```
def batch_maker(batch_size, img, label):
    img_len = len(img)
    random_idx = np.random.randint(img_len, size = batch_size)
    return img[random_idx], label[random_idx]
```

### In [3]:

```
from tensorflow.examples.tutorials.mnist import input_data
mnist = input_data.read_data_sets("MNIST_data/", one_hot=True)
```

```
Extracting MNIST_data/train-images-idx3-ubyte.gz
Extracting MNIST_data/train-labels-idx1-ubyte.gz
Extracting MNIST_data/t10k-images-idx3-ubyte.gz
Extracting MNIST_data/t10k-labels-idx1-ubyte.gz
```

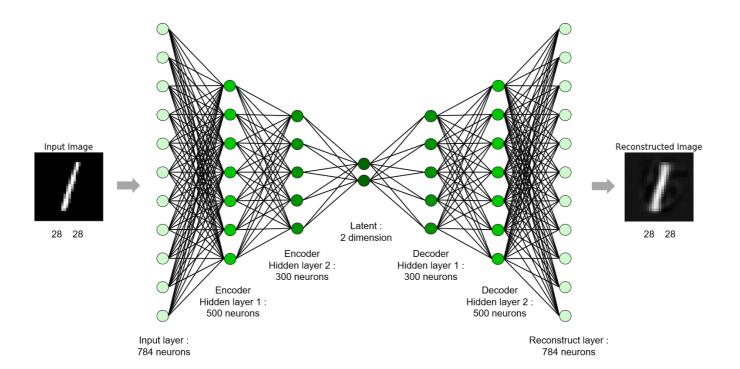
```
train_idx = ((np.argmax(mnist.train.labels, 1) == 1) | \
             (np.argmax(mnist.train.labels, 1) == 5) | \
             (np.argmax(mnist.train.labels, 1) == 6))
test_idx = ((np.argmax(mnist.test.labels, 1) == 1) | \
            (np.argmax(mnist.test.labels, 1) == 5) | \
            (np.argmax(mnist.test.labels, 1) == 6))
            = mnist.train.images[train_idx]
train_imgs
train_labels = mnist.train.labels[train_idx]
           = mnist.test.images[test idx]
test imgs
test_labels = mnist.test.labels[test_idx]
             = train_imgs.shape[0]
n train
             = test_imgs.shape[0]
n_test
print ("Packages loaded")
print ("The number of trainings : {}, shape : {}".format(n_train, train_imgs.shape))
print ("The number of testimgs : {}, shape : {}".format(n_test, test_imgs.shape))
```

Packages loaded

The number of trainings: 16583, shape: (16583, 784)
The number of testings: 2985, shape: (2985, 784)

# 3.3. Define an Autoencoder Shape

- · Input shape and latent variable shape
- · Encoder shape
- Decoder shape



```
In [5]:
```

```
# Shape of input and latent variable
n_input = 28*28

# Encoder shape
n_encoder1 = 500
n_encoder2 = 300

n_latent = 2

# Decoder shape
n_decoder1 = 300
n_decoder2 = 500
```

# 3.4. Define Weights and Biases

- · Define weights and biases for encoder and decoder, separately
- · Based on the predefied layer size
- Initialize with normal distribution with  $\mu=0$  and  $\sigma=0.01$

## In [6]:

```
weights = {
    'encoder1' : tf.Variable(tf.random_normal([n_input, n_encoder1], stddev=0.1)),
    'encoder2' : tf.Variable(tf.random_normal([n_encoder1, n_encoder2], stddev=0.1)),
    'latent' : tf.Variable(tf.random_normal([n_encoder2, n_latent], stddev=0.1)),
    'decoder1' : tf.Variable(tf.random_normal([n_latent, n_decoder1], stddev=0.1)),
    'decoder2' : tf.Variable(tf.random_normal([n_decoder1, n_decoder2], stddev=0.1)),
    'reconst' : tf.Variable(tf.random_normal([n_decoder2, n_input], stddev=0.1))
}
biases = {
    'encoder1' : tf.Variable(tf.random_normal([n_encoder1], stddev=0.1)),
    'encoder2' : tf.Variable(tf.random_normal([n_encoder2], stddev=0.1)),
    'latent' : tf.Variable(tf.random_normal([n_latent], stddev=0.1)),
    'decoder1' : tf.Variable(tf.random_normal([n_decoder1], stddev=0.1)),
    'decoder2' : tf.Variable(tf.random_normal([n_decoder2], stddev=0.1)),
    'reconst' : tf.Variable(tf.random_normal([n_input], stddev=0.1))
}
x = tf.placeholder(tf.float32, [None, n_input])
```

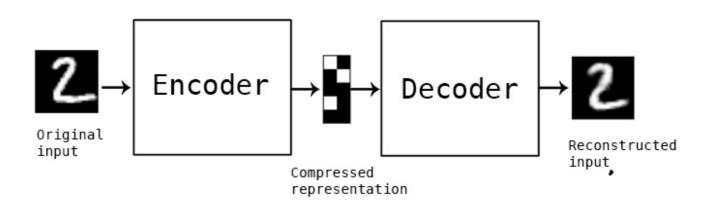
## 3.5. Build a Model

### **Encoder**

- Simple ANN (MLP) model
- Use tanh for a nonlinear activation function
- latent is not applied with a nonlinear activation function

#### **Decoder**

- Simple ANN (MLP) model
- Use tanh for a nonlinear activation function
- reconst is not applied with a nonlinear activation function



### In [7]:

```
def encoder(x, weights, biases):
    encoder1 = tf.add(tf.matmul(x, weights['encoder1']), biases['encoder1'])
    encoder1 = tf.nn.tanh(encoder1)

encoder2 = tf.add(tf.matmul(encoder1, weights['encoder2']), biases['encoder2'])
    encoder2 = tf.nn.tanh(encoder2)

latent = tf.add(tf.matmul(encoder2, weights['latent']), biases['latent'])

return latent
```

### In [8]:

```
def decoder(latent, weights, biases):
    decoder1 = tf.add(tf.matmul(latent, weights['decoder1']), biases['decoder1'])
    decoder1 = tf.nn.tanh(decoder1)

decoder2 = tf.add(tf.matmul(decoder1, weights['decoder2']), biases['decoder2'])
    decoder2 = tf.nn.tanh(decoder2)

reconst = tf.add(tf.matmul(decoder2, weights['reconst']), biases['reconst'])

return reconst
```

# 3.6. Define Loss, Initializer and Optimizer

### Loss

Squared loss

$$rac{1}{N}\sum_{i=1}^N (t_i-y_i)^2$$

### **Optimizer**

· AdamOptimizer: the most popular optimizer

### Initializer

· Initialize all the empty variables

### In [9]:

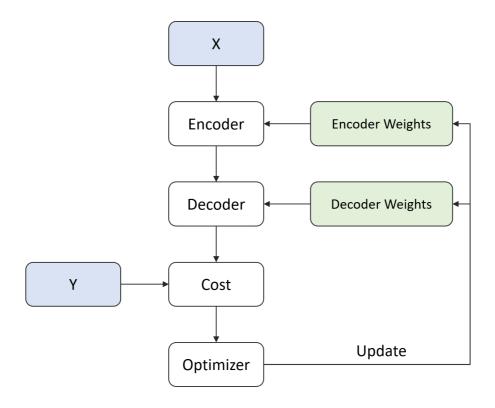
```
LR = 0.0001

latent = encoder(x, weights, biases)
reconst = decoder(latent, weights, biases)
loss = tf.square(tf.subtract(x, reconst))
loss = tf.reduce_mean(loss)

optm = tf.train.AdamOptimizer(LR).minimize(loss)

init = tf.global_variables_initializer()
```

# 3.7. Summary of Model



# 2.8. Define Configuration

- · Define parameters for training autoencoder
  - n\_batch : batch size for stochastic gradient descent
  - n\_iter: the number of training steps
  - n\_prt : check loss for every n\_prt iteration

### In [10]:

```
n_batch = 50
n_iter = 2500
n_prt = 250
```

# 2.9. Optimization

### In [11]:

```
# Run initialize
# config = tf.ConfigProto(allow_soft_placement=True) # GPU Allocating policy
# sess = tf.Session(config=config)
sess.run(init)

# Training cycle
for epoch in range(n_iter):
    train_x, train_y = batch_maker(n_batch, train_imgs, train_labels)
    sess.run(optm, feed_dict={x : train_x})

if epoch % n_prt == 0:
    c = sess.run(loss, feed_dict={x: train_x})
    print ("Iter : {}".format(epoch))
    print ("Cost : {}".format(c))
```

Iter: 0

Cost: 0.45755988359451294

Iter: 250

Cost: 0.053362078964710236

Iter: 500

Cost: 0.04479807987809181

Iter: 750

Cost: 0.04472903534770012

Iter: 1000

Cost: 0.0445869155228138

Iter : 1250

Cost: 0.04172228276729584

Iter: 1500

Cost: 0.037908948957920074

Iter : 1750

Cost: 0.03930409997701645

Iter: 2000

Cost: 0.03531509265303612

Iter: 2250

Cost: 0.03825182095170021

## 2.10. Test

· Test reconstruction performance of the autoencoder

## In [12]:

```
test_x, test_y = batch_maker(1, test_imgs, test_labels)
x_reconst = sess.run(reconst, feed_dict={x : test_x})

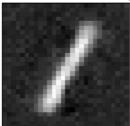
fig = plt.figure(figsize=(5, 3))
ax1 = fig.add_subplot(1, 2, 1)
ax1.imshow(test_x.reshape(28, 28), 'gray')
ax1.set_title('Input Image', fontsize=15)
ax1.set_xticks([])
ax1.set_yticks([])

ax2 = fig.add_subplot(1, 2, 2)
ax2.imshow(x_reconst.reshape(28, 28), 'gray')
ax2.set_title('Reconstructed Image', fontsize=15)
ax2.set_xticks([])
ax2.set_yticks([])
plt.show()
```

## Input Image

## Reconstructed Image



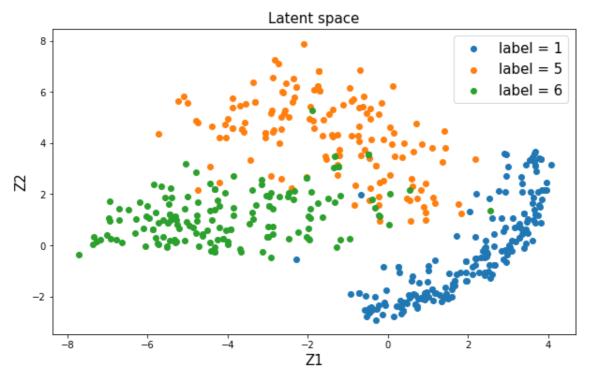


• To see the distribution of latent variables, we make a projection of 784-dimensional image space onto 2-dimensional latent space

### In [13]:

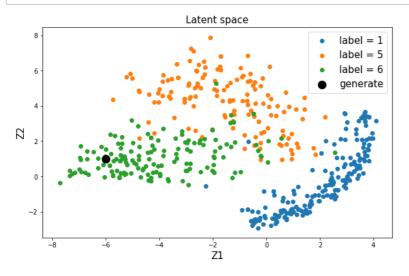
```
test_x, test_y = batch_maker(500, test_imgs, test_labels)
test_y = np.argmax(test_y, axis=1)
test_latent = sess.run(latent, feed_dict={x : test_x})

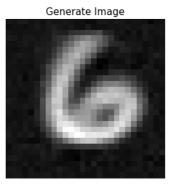
plt.figure(figsize=(10,6))
plt.scatter(test_latent[test_y == 1,0], test_latent[test_y == 1,1], label = 'label =
1')
plt.scatter(test_latent[test_y == 5,0], test_latent[test_y == 5,1], label = 'label =
5')
plt.scatter(test_latent[test_y == 6,0], test_latent[test_y == 6,1], label = 'label =
6')
plt.title('Latent space', fontsize=15)
plt.xlabel('Z1', fontsize=15)
plt.ylabel('Z2', fontsize=15)
plt.legend(fontsize = 15)
plt.show()
```



### **Data Generation**

```
generate_data = np.array([[-6, 1]])
fig = plt.figure(figsize=(15,6))
ax = plt.subplot2grid((1,3), (0,0), colspan=2)
ax.scatter(test_latent[test_y == 1,0], test_latent[test_y == 1,1], label = 'label = 1')
ax.scatter(test_latent[test_y == 5,0], test_latent[test_y == 5,1], label = 'label = 5')
ax.scatter(test_latent[test_y == 6,0], test_latent[test_y == 6,1], label = 'label = 6')
ax.scatter(generate_data[:,0], generate_data[:,1], label = 'generate', s = 150, c =
'k', marker = 'o')
ax.set title('Latent space', fontsize=15)
ax.set_xlabel('Z1', fontsize=15)
ax.set_ylabel('Z2', fontsize=15)
ax.legend(fontsize = 15)
latent_input = tf.placeholder(tf.float32, [None, n_latent])
reconst = decoder(latent_input, weights, biases)
generate_x = sess.run(reconst, feed_dict={latent_input : generate_data})
ax = plt.subplot2grid((1, 3), (0, 2), colspan=1)
ax.imshow(generate_x.reshape(28, 28), 'gray')
ax.set_title('Generate Image', fontsize=15)
ax.set_xticks([])
ax.set_yticks([])
plt.show()
```





# 3. Visualization

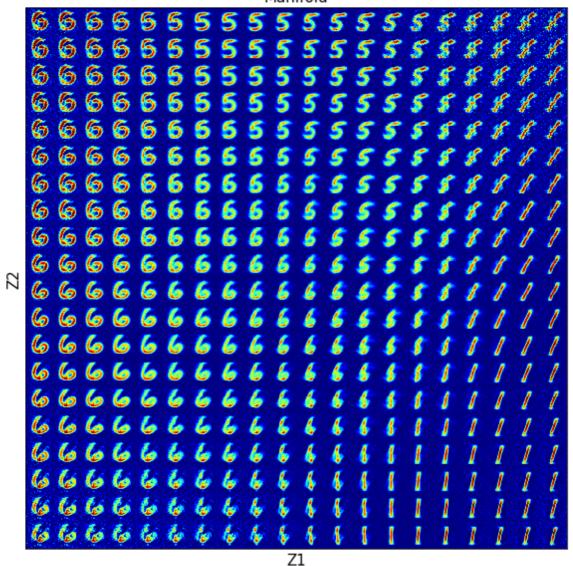
### **Image Generation**

- Select an arbitrary latent varibale z
- · Generate images using the learned decoder

### In [15]:

```
# Initialize canvas
nx = ny = 20
x_values = np.linspace(-8, 4, nx)
y_values = np.linspace(-2, 6, ny)
canvas = np.empty((28*ny, 28*nx))
# Define placeholder
latent_input = tf.placeholder(tf.float32, [None, n_latent])
reconst = decoder(latent_input, weights, biases)
for i, yi in enumerate(y_values):
        for j, xi in enumerate(x_values):
            latent_ = np.array([[xi, yi]])
            reconst_ = sess.run(reconst, feed_dict={latent_input : latent_})
            canvas[(nx-i-1)*28:(nx-i)*28,j*28:(j+1)*28] = reconst_.reshape(28, 28)
plt.figure(figsize=(10, 10))
plt.imshow(canvas, clim=(0, 1), cmap=plt.cm.jet)
plt.title('Manifold', fontsize=15)
plt.xticks([])
plt.xlabel('Z1', fontsize=15)
plt.yticks([])
plt.ylabel('Z2', fontsize=15)
plt.show()
```

Manifold



In [16]:

%%javascript

\$.getScript('https://kmahelona.github.io/ipython\_notebook\_goodies/ipython\_notebook\_toc.
js')