

# This is the softmax workbook for ECE C147/C247

## Assignment #2

Please follow the notebook linearly to implement a softmax classifier.

Please print out the workbook entirely when completed.

We thank Serena Yeung & Justin Johnson for permission to use code written for the CS 231n class ([cs231n.stanford.edu](http://cs231n.stanford.edu)). These are the functions in the cs231n folders and code in the jupyter notebook to preprocess and show the images. The classifiers used are based off of code prepared for CS 231n as well.

The goal of this workbook is to give you experience with training a softmax classifier.

In [1]:

```
import random
import numpy as np
from cs231n.data_utils import load_CIFAR10
import matplotlib.pyplot as plt

%matplotlib inline
%load_ext autoreload
%autoreload 2
```

In [2]:

```
def get_CIFAR10_data(num_training=49000, num_validation=1000, num_test=1000):
    """
    Load the CIFAR-10 dataset from disk and perform preprocessing to
    it for the linear classifier. These are the same steps as we used
    SVM, but condensed to a single function.
    """
    # Load the raw CIFAR-10 data
    cifar10_dir = 'cifar-10-batches-py' # You need to update this line
    X_train, y_train, X_test, y_test = load_CIFAR10(cifar10_dir)

    # subsample the data
    mask = list(range(num_training, num_training + num_validation))
    X_val = X_train[mask]
    y_val = y_train[mask]
    mask = list(range(num_training))
    X_train = X_train[mask]
    y_train = y_train[mask]
    mask = list(range(num_test))
    X_test = X_test[mask]
    y_test = y_test[mask]
    mask = np.random.choice(num_training, num_dev, replace=False)
    X_dev = X_train[mask]
    y_dev = y_train[mask]

    # Preprocessing: reshape the image data into rows
    X_train = np.reshape(X_train, (X_train.shape[0], -1))
    X_val = np.reshape(X_val, (X_val.shape[0], -1))
    X_test = np.reshape(X_test, (X_test.shape[0], -1))
```

```

X_dev = np.reshape(X_dev, (X_dev.shape[0], -1))

# Normalize the data: subtract the mean image
mean_image = np.mean(X_train, axis = 0)
X_train -= mean_image
X_val -= mean_image
X_test -= mean_image
X_dev -= mean_image

# add bias dimension and transform into columns
X_train = np.hstack([X_train, np.ones((X_train.shape[0], 1))])
X_val = np.hstack([X_val, np.ones((X_val.shape[0], 1))])
X_test = np.hstack([X_test, np.ones((X_test.shape[0], 1))])
X_dev = np.hstack([X_dev, np.ones((X_dev.shape[0], 1))])

return X_train, y_train, X_val, y_val, X_test, y_test, X_dev, y_d

# Invoke the above function to get our data.
X_train, y_train, X_val, y_val, X_test, y_test, X_dev, y_dev = get_CI
print('Train data shape: ', X_train.shape)
print('Train labels shape: ', y_train.shape)
print('Validation data shape: ', X_val.shape)
print('Validation labels shape: ', y_val.shape)
print('Test data shape: ', X_test.shape)
print('Test labels shape: ', y_test.shape)
print('dev data shape: ', X_dev.shape)
print('dev labels shape: ', y_dev.shape)

```

```

Train data shape: (49000, 3073)
Train labels shape: (49000,)
Validation data shape: (1000, 3073)
Validation labels shape: (1000,)
Test data shape: (1000, 3073)
Test labels shape: (1000,)
dev data shape: (500, 3073)
dev labels shape: (500,)

```

## Training a softmax classifier.

The following cells will take you through building a softmax classifier. You will implement its loss function, then subsequently train it with gradient descent. Finally, you will choose the learning rate of gradient descent to optimize its classification performance.

In [3]:

```

from nn1 import Softmax

```

```
In [4]: # Declare an instance of the Softmax class.  
# Weights are initialized to a random value.  
# Note, to keep people's first solutions consistent, we are going to  
  
np.random.seed(1)  
  
num_classes = len(np.unique(y_train))  
num_features = X_train.shape[1]  
  
softmax = Softmax(dims=[num_classes, num_features])
```

## Softmax loss

```
In [5]: ## Implement the loss function of the softmax using a for loop over  
# the number of examples  
  
loss = softmax.loss(X_train, y_train)
```

```
In [6]: print(loss)
```

2.3277607028048966

## Question:

You'll notice the loss returned by the softmax is about 2.3 (if implemented correctly). Why does this make sense?

## Answer:

For randomized initialize produce score is approximately  $-\log(1/\text{number of classes})$ . In our dataset it is  $-\log(1/10)$ , which is close to 2.3 .

## Softmax gradient

```
In [7]: ## Calculate the gradient of the softmax loss in the Softmax class.  
# For convenience, we'll write one function that computes the loss  
# and gradient together, softmax.loss_and_grad(X, y)  
# You may copy and paste your loss code from softmax.loss() here, and  
# use the appropriate intermediate values to calculate the gradient  
  
loss, grad = softmax.loss_and_grad(X_dev, y_dev)  
  
# Compare your gradient to a gradient check we wrote.  
# You should see relative gradient errors on the order of 1e-07 or 1e  
softmax.grad_check_sparse(X_dev, y_dev, grad)
```

```

numerical: 0.232830 analytic: 0.232830, relative error: 1.148632e-07
numerical: 0.872624 analytic: 0.872624, relative error: 5.363070e-09
numerical: -0.810095 analytic: -0.810095, relative error: 5.811410e-09
numerical: 1.580320 analytic: 1.580320, relative error: 2.285313e-08
numerical: -0.226904 analytic: -0.226904, relative error: 2.445827e-07
numerical: 0.861224 analytic: 0.861224, relative error: 3.650412e-08
numerical: -0.385418 analytic: -0.385418, relative error: 1.093618e-07
numerical: -0.861036 analytic: -0.861036, relative error: 2.058609e-08
numerical: -0.577454 analytic: -0.577454, relative error: 1.241367e-08
numerical: -3.409534 analytic: -3.409534, relative error: 1.591523e-08

```

## A vectorized version of Softmax

To speed things up, we will vectorize the loss and gradient calculations. This will be helpful for stochastic gradient descent.

```
In [8]: import time
```

```
In [9]: ## Implement softmax.fast_loss_and_grad which calculates the loss and
# WITHOUT using any for loops.

# Standard loss and gradient
tic = time.time()
loss, grad = softmax.loss_and_grad(X_dev, y_dev)
toc = time.time()
print('Normal loss / grad_norm: {} / {} computed in {}s'.format(loss,
grad, toc - tic))

tic = time.time()
loss_vectorized, grad_vectorized = softmax.fast_loss_and_grad(X_dev,
y_dev)
toc = time.time()
print('Vectorized loss / grad: {} / {} computed in {}s'.format(loss_v
ectorized, grad_vectorized, toc - tic))

# The losses should match but your vectorized implementation should b
print('difference in loss / grad: {} / {} '.format(loss - loss_vectori
zed, grad - grad_vectorized))

# You should notice a speedup with the same output.
```

```

Normal loss / grad_norm: 2.3508560816329536 / 369.2454044123771 compu
ted in 0.10746431350708008s
Vectorized loss / grad: 2.350856081632953 / 369.2454044123771 compute
d in 0.003798961639404297s
difference in loss / grad: 4.440892098500626e-16 / 2.3949332989750384e
-13

```

# Stochastic gradient descent

We now implement stochastic gradient descent. This uses the same principles of gradient descent we discussed in class, however, it calculates the gradient by only using examples from a subset of the training set (so each gradient calculation is faster).

## Question:

How should the softmax gradient descent training step differ from the svm training step, if at all?

## Answer:

The procedure is the same but the loss function and cost function are different. Softmax gets the probability of different class so the loss number will be a different scale compare to SVM.

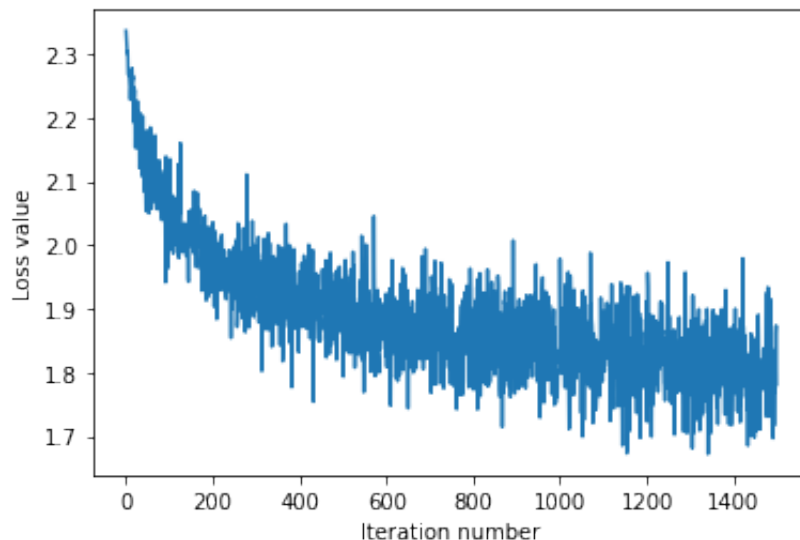
In [10...

```
# Implement softmax.train() by filling in the code to extract a batch  
# and perform the gradient step.  
import time  
  
tic = time.time()  
loss_hist = softmax.train(X_train, y_train, learning_rate=1e-7,  
                           num_iters=1500, verbose=True)  
toc = time.time()  
print('That took {}s'.format(toc - tic))  
  
plt.plot(loss_hist)  
plt.xlabel('Iteration number')  
plt.ylabel('Loss value')  
plt.show()
```

```

iteration 0 / 1500: loss 2.3365926606637544
iteration 100 / 1500: loss 2.0557222613850827
iteration 200 / 1500: loss 2.0357745120662813
iteration 300 / 1500: loss 1.9813348165609888
iteration 400 / 1500: loss 1.9583142443981612
iteration 500 / 1500: loss 1.8622653073541355
iteration 600 / 1500: loss 1.8532611454359382
iteration 700 / 1500: loss 1.8353062223725827
iteration 800 / 1500: loss 1.829389246882764
iteration 900 / 1500: loss 1.8992158530357484
iteration 1000 / 1500: loss 1.97835035402523
iteration 1100 / 1500: loss 1.8470797913532633
iteration 1200 / 1500: loss 1.8411450268664082
iteration 1300 / 1500: loss 1.79104024957921
iteration 1400 / 1500: loss 1.8705803029382257
That took 3.821718692779541s

```



Evaluate the performance of the trained softmax classifier on the validation data.

```

In [11... ## Implement softmax.predict() and use it to compute the training and

y_train_pred = softmax.predict(X_train)
print('training accuracy: {}'.format(np.mean(np.equal(y_train,y_train_
y_val_pred = softmax.predict(X_val)
print('validation accuracy: {}'.format(np.mean(np.equal(y_val, y_val_

training accuracy: 0.3811428571428571
validation accuracy: 0.398

```

## Optimize the softmax classifier

You may copy and paste your optimization code from the SVM here.

```

In [12... np.finfo(float).eps

```

```

Out[12... 2.220446049250313e-16

```

In [13...

```
# ===== #
# YOUR CODE HERE:
#   Train the Softmax classifier with different learning rates and
#   evaluate on the validation data.
#   Report:
#       - The best learning rate of the ones you tested.
#       - The best validation accuracy corresponding to the best valida
#
#   Select the SVM that achieved the best validation error and report
#   its error rate on the test set.
# ===== #

learning_rate = [1e-4, 5e-4, 1e-3, 5e-3, 1e-2, 5e-2, 1e-1, 5e-1]

for lr in learning_rate:
    softmax_temp = softmax.train(X_train, y_train, learning_rate=lr, n
    y_val_pred = softmax.predict(X_val)
    print('validation accuracy for learning rate of {} : {}'.format(l

# ===== #
# END YOUR CODE HERE
# ===== #
```

```
validation accuracy for learning rate of 0.0001 : 0.326
/Users/Jonathanchang/Downloads/HW2-code/nndl/softmax.py:128: RuntimeW
arning: divide by zero encountered in log
    loss = np.sum(-np.log(score[np.arange(X.shape[0]), y]))
validation accuracy for learning rate of 0.0005 : 0.302
validation accuracy for learning rate of 0.001 : 0.271
validation accuracy for learning rate of 0.005 : 0.319
validation accuracy for learning rate of 0.01 : 0.286
validation accuracy for learning rate of 0.05 : 0.234
validation accuracy for learning rate of 0.1 : 0.285
validation accuracy for learning rate of 0.5 : 0.314
```

In [14...

```
softmax_temp = softmax.train(X_train, y_train, learning_rate=0.1, num_
y_test_pred = softmax.predict(X_test)
print('validation accuracy for learning rate of {} : {}'.format(0.1,
```

```
validation accuracy for learning rate of 0.1 : 0.292
```

In [ ]: