

A decomposition of the tripos-to-topos construction

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Part 1

A universal characterization of the tripos-to-topos construction

A universal characterization of the tripos-to-topos construction

- ▶ What should a universal characterization of the tripos-to-topos construction look like?
- ▶ It should be something two-dimensional, since triposes and toposes form 2-categories in a natural way.

Definition of Tripos

Let \mathbb{C} be a category with finite limits. A **tripos over \mathbb{C}** is a functor

$$\mathcal{P} : \mathbb{C}^{\text{op}} \rightarrow \mathbf{Poset},$$

such that

1. For each $A \in \mathbb{C}$, $\mathcal{P}(A)$ is a **Heyting algebra**¹.
2. For all $f : A \rightarrow B$ in \mathbb{C} the maps $\mathcal{P}(f) : \mathcal{P}(B) \rightarrow \mathcal{P}(A)$ preserve all structure of Heyting algebras.
3. For all $f : A \rightarrow B$ in \mathbb{C} , the maps $\mathcal{P}(f) : \mathcal{P}(B) \rightarrow \mathcal{P}(A)$ have left and right adjoints

$$\exists_f \dashv \mathcal{P}(f) \dashv \forall_f$$

subject to the **Beck-Chevalley condition**.

4. For each $A \in \mathbb{C}$ there exists $\pi_A \in \mathbb{C}$ and $(\exists_A) \in \mathcal{P}(\pi_A \times A)$ such that for all $\psi \in \mathcal{P}(C \times A)$ there exists $\chi_\psi : C \rightarrow \pi_A$ such that

$$\mathcal{P}(\chi_\psi \times A)(\exists_A) = \psi.$$

¹A Heyting algebra is a poset which is bicartesian closed as a category.

Tripos morphisms

A **tripos morphism** between triposes $\mathcal{P} : \mathbb{C}^{\text{op}} \rightarrow \mathbf{Poset}$ and $\mathcal{Q} : \mathbb{D}^{\text{op}} \rightarrow \mathbf{Poset}$ is a pair (F, Φ) of a functor

$$F : \mathbb{C} \rightarrow \mathbb{D}$$

and a natural transformation

$$\Phi : \mathcal{P} \rightarrow \mathcal{Q} \circ F$$

such that

1. F preserves finite products
2. For every $C \in \mathbb{C}$, Φ_C preserves finite meets.

If Φ commutes with existential quantification, i.e.

$$\Phi_D(\exists_f \psi) = \exists_{Ff} \Phi_C(\psi)$$

for all $f : C \rightarrow D$ in \mathbb{C} and $\psi \in \mathcal{P}(C)$, then we call the tripos morphism **regular**.

Tripos transformations

A tripos transformation

$$\eta : (F, \Phi) \rightarrow (G, \Gamma) : \mathcal{P} \rightarrow \mathcal{Q}$$

is a natural transformation

$$\eta : F \rightarrow G$$

such that for all $C \in \mathbb{C}$ and all $\psi \in \mathcal{P}(C)$, we have

$$\Phi_C(\psi) \leq \Omega(\eta_C)(\Gamma_C(\psi)).$$

The 2-category **Trip** of triposes

Triposes, tripos morphisms and tripos transformations form a 2-category which we call **Trip**.

The 2-category **Top** of toposes

Toposes, finite limit preserving functors and arbitrary natural transformations form a 2-category which we call **Top**.

The functor $S : \mathbf{Top} \rightarrow \mathbf{Trip}$

- ▶ For a given topos \mathcal{E} , the functor $\mathcal{E}(-, \Omega)$ is a tripos if we equip the homsets with the inclusion ordering of the classified subobjects
- ▶ This construction is 2-functorial and gives rise to a 2-functor

$$S : \mathbf{Top} \rightarrow \mathbf{Trip}$$

- ▶ The tripos-to-topos construction can't be a left biadjoint of **S**, since it is **oplax functorial** (examples later).
- ▶ However, there *is* a characterization as a **generalized biadjunction**.

Dc-categories

Definition

1. A **dc-category** is given by a 2-category \mathcal{C} together with a designated subclass \mathcal{C}_r of the class of all 1-cells which contains identities and is closed under composition and vertical isomorphisms.
Elements of \mathcal{C}_r are called **regular 1-cells**.
We call a dc-category **geometric**, if all left adjoints in it are regular.
2. A **special functor** between dc-categories \mathcal{C} and \mathcal{D} is an oplax functor $F : \mathcal{C} \rightarrow \mathcal{D}$ such that Ff is a regular 1-cell whenever f is a regular 1-cell, all identity constraints $FI_A \rightarrow I_{FA}$ are invertible, and the composition constraints $F(gf) \rightarrow Fg Ff$ are invertible whenever g is a regular 1-cell.
3. A **special transformation** between special functors F, G is an oplax natural transformation $\eta : F \rightarrow G$ such that all η_A are regular 1-cells and the naturality constraint $\eta_B Ff \rightarrow Gf \eta_A$ is invertible whenever f is a regular 1-cell.

Special biadjunctions

A **special biadjunction** between dc-categories \mathcal{C} and \mathcal{D} is given by

- special functors

$$F : \mathcal{C} \rightarrow \mathcal{D}$$

$$U : \mathcal{D} \rightarrow \mathcal{C},$$

- special transformations

$$\eta : \text{id}_{\mathcal{C}} \rightarrow UF$$

$$\varepsilon : FU \rightarrow \text{id}_{\mathcal{D}}$$

- **invertible** modifications

$$\mu : \text{id}_U \rightarrow U\varepsilon \circ \eta U$$

$$\nu : \varepsilon F \circ F\eta \rightarrow \text{id}_F$$

such that the equalities

$$\begin{array}{ccc} \text{Diagram showing } U\nu_C \text{ and } \mu_{FC} \text{ connected by two curved arrows, } \eta_C \text{ on both sides.} & = & \eta_C \\ \eta_C & & \eta_C \end{array}$$

and

$$\begin{array}{ccc} \text{Diagram showing } \nu_{UD} \text{ and } F\mu_D \text{ connected by two curved arrows, } \varepsilon_D \text{ on both sides.} & = & \varepsilon_D \\ \varepsilon_D & & \varepsilon_D \end{array}$$

hold for all $C \in \mathcal{C}$ and $D \in \mathcal{D}$.

Properties of special biadjunctions

- ▶ If they exist, special biadjoints are unique up to equivalence.
- ▶ For any special biadjunction $\mathbf{F} \dashv \mathbf{U}$, the right adjoint \mathbf{U} is **strong**.

The dc-categories of triposes and toposes

- ▶ To give **Top** and **Trip** the structure of dc-categories, specify classes of *regular* 1-cells.
- ▶ A regular 1-cell in **Trip** is a tripos morphism which commutes with \exists .
- ▶ A regular 1-cell in **Top** is a functor which preserves epimorphisms (besides finite limits).

The characterization

Theorem

The 2-functor $S : \mathbf{Top} \rightarrow \mathbf{Trip}$ is a special functor and has a special left biadjoint

$$T \dashv S : \mathbf{Top} \rightarrow \mathbf{Trip}$$

whose object part is the tripos-to-topos construction.

The topos \mathbf{TP}

For a tripos \mathcal{P} on \mathbb{C} , \mathbf{TP} is given as follows:

- ▶ The objects of \mathbf{TP} are pairs $A = (|A|, \sim_A)$, where $|A| \in \text{obj}(\mathbb{C})$, $(\sim_A) \in \mathcal{P}(|A| \times |A|)$, and the judgments

$$\begin{aligned}x \sim_A y &\vdash y \sim_A x \\x \sim_A y, y \sim_A z &\vdash x \sim_A z\end{aligned}$$

hold in the logic of \mathcal{P} .

Intuition: “ \sim_A is a partial equivalence relation on $|A|$ in the logic of \mathcal{P} ”

The topos \mathbf{TP}

- ▶ A **morphism** from A to B is a predicate $\phi \in \mathcal{P}(|A| \times |B|)$ such that the following judgments hold in \mathcal{P} .

(strict) $\phi(x, y) \vdash x \sim_A x \wedge y \sim_B y$

(cong) $\phi(x, y), x \sim_A x', y \sim_B y' \vdash \phi(x', y')$

(singval) $\phi(x, y), \phi(x, y') \vdash y \sim_B y'$

(tot) $x \sim_A x \vdash \exists y . \phi(x, y)$

The topos \mathcal{TP}

- ▶ The composition of two morphisms

$$A \xrightarrow{\phi} B \xrightarrow{\gamma} C,$$

is given by

$$(\gamma \circ \phi)(a, c) \quad \equiv \quad \exists b. \phi(a, b) \wedge \gamma(b, c).$$

- ▶ The identity morphism on A is \sim_A .

Mapping tripos morphisms to functors between toposes

Given a **regular** tripos morphism

$$(F, \Phi) : \mathcal{P} \rightarrow \mathcal{Q},$$

we can define a functor

$$\mathbf{T}(F, \Phi) : \mathbf{T}\mathcal{P} \rightarrow \mathbf{T}\mathcal{Q}$$

by

$$\begin{aligned} (|A|, \sim_A) &\mapsto (F(|A|), \Phi(\sim_A)) \\ (\gamma : (|A|, \sim_A) \rightarrow (|B|, \sim_B)) &\mapsto \Phi\gamma \end{aligned}$$

This works because the definition of partial equivalence relations, functional relations and composition only uses \wedge and \exists , which are preserved by regular tripos morphisms.

Mapping tripos morphisms to functors between toposes

- ▶ This method only works if (F, Φ) is regular.
- ▶ For plain tripos morphisms, we have to use a trick involving *weakly complete objects*.

Weakly complete objects

Definition

(C, τ) in \mathbf{TP} is *weakly complete*, if for every

$$\phi : (A, \rho) \rightarrow (C, \tau),$$

there exists a morphism $f : A \rightarrow C$ (in the base category) such that

$$\phi(a, c) \dashv\vdash \rho(a, a) \wedge \tau(fa, c)$$

- ▶ f is not unique, but ϕ can be reconstructed from f .
- ▶ For weakly complete (C, τ) , $\mathbf{TP}((A, \rho), (C, \sigma))$ is a quotient of $\mathbb{C}(A, C)$ by the partial equivalence relation

$$f \sim g \iff \rho(x, y) \vdash \sigma(fx, gy).$$

Weakly complete objects (continued)

- For each object (A, ρ) in \mathbf{TP} , there is an isomorphic weakly complete object $(\tilde{A}, \tilde{\rho})$ with underlying object πA and partial equivalence relation

$$\begin{aligned} m, n : \pi(A) \mid & (\exists x : A. \rho(x, x) \wedge \forall y : A. y \in m \Leftrightarrow \rho(x, y)) \\ & \wedge (\forall x. x \in m \Leftrightarrow x \in n) \end{aligned}$$

- This means that \mathbf{TP} is equivalent to its full subcategory $\widetilde{\mathbf{TP}}$ on the weakly complete objects.
- For an arbitrary tripos morphism $(F, \Phi) : \mathcal{P} \rightarrow \mathcal{R}$, we can define a functor

$$\tilde{\mathbf{T}}(F, \Phi) : \widetilde{\mathbf{TP}} \rightarrow \mathbf{TR}$$

by

$$\begin{aligned} (A, \rho) &\mapsto (FA, \Phi\rho) \\ \downarrow [f] &\mapsto \downarrow (a, b \mid \rho(a, a) \wedge \sigma(Ffa, b)) \\ (B, \sigma) &\mapsto (FB, \Phi\rho) \end{aligned}$$

- ▶ Problem: In general we have to pre- or postcompose by the equivalence $T\mathcal{P} \simeq \widetilde{T\mathcal{P}}$, which renders computations complicated.
- ▶ Role of weakly complete objects conceptually not clear.
- ▶ Proposed solution: decompose the tripos-to-topos construction in two steps, in the intermediate step, the weakly complete objects have a categorical characterization.

Part 2

A decomposition of the tripos-to-topos construction

The category \mathbf{FP}

Definition

For a tripos \mathcal{P} we define a category \mathbf{FP} such that

- ▶ \mathbf{FP} has the same objects as \mathbf{TP}
- ▶ $\mathbf{FP}((A, \rho), (B, \sigma))$ is the subquotient of $\mathbb{C}(A, B)$ by

$$f \sim g \iff \rho(x, y) \vdash \sigma(fx, gy).$$

- ▶ \mathbf{FP} can be identified with a *luff* subcategory of \mathbf{TP} .

Coarse objects

- ▶ **Central observation:** Weakly complete objects in \mathbf{TP} can be characterized as *coarse objects* in \mathbf{FP} , where *coarse* is defined as follows.

Definition

An object C of a category is called **coarse**, if for every morphism $f : A \rightarrow B$ which is monic and epic at the same time, and every

$g : A \rightarrow C$ there exists a mediating arrow in

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ & \searrow g & \downarrow \\ & C & \end{array} .$$

Coarse objects

Lemma

Weakly complete objects in \mathbf{TP} coincide with coarse objects in \mathbf{FP} .

Proof:

- ▶ Weakly complete objects are coarse, because mono-epis in \mathbf{FP} are isos in \mathbf{TP} .
- ▶ To see that coarse objects are weakly complete, let $\phi : (A, \rho) \rightarrow (C, \tau)$ in \mathbf{TP} , and consider the following diagram in \mathbf{FP} :

$$\begin{array}{ccc} (A \times C, (\rho \otimes \tau)|_{\phi}) & \xrightarrow{[\pi]} & (A, \rho) \\ & \searrow [\pi'] & \downarrow \\ & & (C, \sigma) \end{array}$$

The mediator gives the desired morphism in the base.

2nd observation: The coarse objects of \mathbf{FP} form a reflective subcategory (which we will call \mathbf{TP} from now on).

$$J \dashv I : \mathbf{TP} \rightarrow \mathbf{FP}$$

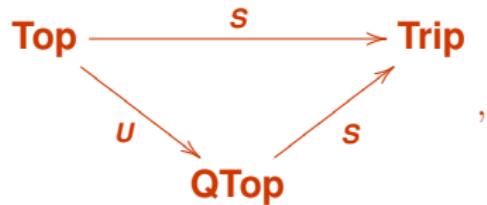
Given an arbitrary tripos morphism $(F, \Phi) : \mathcal{P} \rightarrow \mathcal{R}$, we can now define

$$\begin{aligned} F(F, \Phi) &: \mathbf{FP} \rightarrow \mathbf{FR} \\ (A, \rho) &\mapsto (FA, \Phi\rho) \\ [f] &\mapsto [Ff] \end{aligned}$$

and we obtain a functor between \mathbf{TP} and \mathbf{TQ} by pre- and postcomposing by the right and left adjoints of the reflections.

An abstract look at the decomposition

Abstractly, the decomposition arises when we factor the forgetful functor $\mathbf{S} : \mathbf{Top} \rightarrow \mathbf{Trip}$ through an intermediate dc-category



the dc-category of **q-toposes**.

Q-Toposes

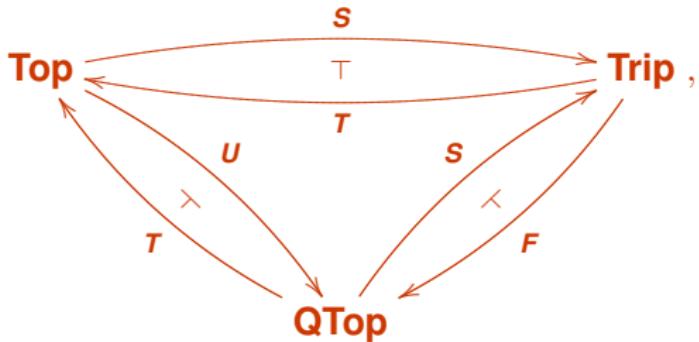
Definition

- ▶ A monomorphism $m : U \rightarrow B$ in a category \mathcal{C} is called **strong**, if for every commutative square

$$\begin{array}{ccc} A & \longrightarrow & U \\ e \downarrow & \nearrow h & \downarrow m \\ Q & \longrightarrow & B \end{array}$$

where e is an epimorphism, there exists a (unique) h .

- ▶ A **q-topos** is a category \mathcal{C} with finite limits, an exponentiable classifier of strong monomorphisms, and pullback stable quotients of strong equivalence relations.
- ▶ The **dc-category of q-toposes** has finite limit preserving functors as 1-cells. Regular 1-cells additionally preserve epimorphisms and strong epimorphisms.



We have to prove that

- ▶ The presheaf \mathbf{Sc} of strong subobjects of a q-topos \mathcal{C} is a tripos.
- ▶ For any tripos \mathcal{P} , the category \mathbf{FP} is a q-topos.
- ▶ The coarse objects of any q-topos form a reflective subcategory which is a topos.

Q-toposes to triposes

To show that the presheaf of strong monomorphisms on a q-topos is a tripos, we define an internal language which is very similar to the **type theory based on equality** in the book *Higher order categorical logic* of Lambek and Scott.

Types:

$$A ::= X \mid 1 \mid \Omega \mid PA \mid A \times A \quad X \in \text{obj}(\mathcal{C})$$

Terms:

We use Δ to denote a context $x_1:A_1, \dots, x_n:A_n$ of typed variables.

$$\frac{}{\Delta \mid x_i : A_i} \quad (i=1, \dots, n)$$

$$\frac{}{\Delta \mid * : 1}$$

$$\frac{\Delta, x:A \mid \varphi[x] : \Omega}{\Delta \mid \{x|\varphi[x]\} : PA}$$

$$\frac{\Delta \mid a : A \quad \Delta \mid b : B}{\Delta \mid (a, b) : A \times B}$$

$$\frac{\Delta \vdash a : A \quad \Delta \vdash M : PA}{\Delta \vdash a \in M : \Omega}$$

$$\frac{\Delta \vdash a : A \quad \Delta \vdash a' : A}{\Delta \vdash a = a' : \Omega}$$

$$\frac{\Delta \mid a : X}{\Delta \mid f(a) : Y} \quad f \in \mathcal{C}(X, Y)$$

Deduction rules:

$$\frac{}{\Delta \mid p_1, \dots, p_n \vdash p_i} \quad Ax \quad (i=1, \dots, n)$$

$$\frac{\Delta \mid \Gamma \vdash p \quad \Delta \mid \Gamma, p \vdash q}{\Delta \mid \Gamma \vdash q} \quad \text{Cut}$$

$$\frac{}{\Delta \mid \Gamma \vdash t = t} = R$$

$$\frac{\Delta, x:A \mid \Gamma \vdash \varphi[x, x]}{\Delta \mid \Gamma, s = t \vdash \varphi[s, t]} = L$$

$$\frac{\Delta, x:A \mid \Gamma \vdash p[x] = (x \in M)}{\Delta \mid \Gamma \vdash \{x|p[x]\} = M} \quad P-\eta$$

$$\frac{}{\Delta \mid \Gamma \vdash (a \in \{x|p[x]\}) = p[a]} \quad P-\beta$$

$$\frac{}{\Delta \mid \Gamma \vdash t = *} \quad 1-\eta$$

$$\frac{\Delta \mid \Gamma, p \vdash q \quad \Delta \mid \Gamma, q \vdash p}{\Delta \mid \Gamma \vdash p = q} \quad \text{Ext}$$

Q-toposes to toposes

To obtain the coarse reflection $\overline{\mathcal{C}}$ of an object \mathcal{C} of a q-topos \mathcal{C} , we take the epi / strong mono factorization of the canonical mono $\mathcal{C} \rightarrow \mathcal{P}\mathcal{C}$.

$$\mathcal{C} \twoheadrightarrow \overline{\mathcal{C}} \rightarrowtail \mathcal{P}\mathcal{C}$$

Since coarse objects are closed under finite limits, and the power objects are already coarse, it follows that the subcategory is a topos.

Triposes to q-toposes

left out

Part 3

Examples

Triposes from complete Heyting algebras

- ▶ For a **complete Heyting algebra A** , the functor

$$\mathcal{P}_A = \mathbf{Set}(-, A)$$

is a tripos if we equip the sets $\mathbf{Set}(I, A)$ with the pointwise ordering.

- ▶ For a meet preserving map $f : A \rightarrow A'$ between complete Heyting algebras, the induced natural transformation

$$\mathcal{P}_f = \mathbf{Set}(-, f) : \mathbf{Set}(-, A) \rightarrow \mathbf{Set}(-, A')$$

is a tripos morphism

- ▶ $F\mathcal{P}_A \simeq \mathbf{Sep}(A)$ (separated presheaves on A)
- ▶ $T\mathcal{P}_A \simeq \mathbf{Sh}(A)$ (sheaves on A)

Example

- ▶ \mathbb{B} is the 2-element Heyting algebra $\mathbb{B} = \{\text{true}, \text{false}\}$ with $\text{false} \leq \text{true}$.

▶

$$\mathbb{B} \xrightarrow{\delta} \mathbb{B} \times \mathbb{B} \xrightarrow{\wedge} \mathbb{B}$$

Example

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►

$$\mathbb{B} \xrightarrow{\delta} \mathbb{B} \times \mathbb{B} \xrightarrow{\wedge} \mathbb{B}$$

$$\mathcal{P}_{\mathbb{B}} \xrightarrow{\mathcal{P}_{\delta}} \mathcal{P}_{\mathbb{B} \times \mathbb{B}} \xrightarrow{\mathcal{P}_{\wedge}} \mathcal{P}_{\mathbb{B}}$$

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$$\mathcal{P}_{\mathbb{B}} \xrightarrow{\mathcal{P}_{\delta}} \mathcal{P}_{\mathbb{B} \times \mathbb{B}} \xrightarrow{\mathcal{P}_{\wedge}} \mathcal{P}_{\mathbb{B}}$$

$$\mathbf{Sep}(\mathbb{B}) \longrightarrow \mathbf{Sep}(\mathbb{B} \times \mathbb{B}) \longrightarrow \mathbf{Sep}(\mathbb{B})$$

Example

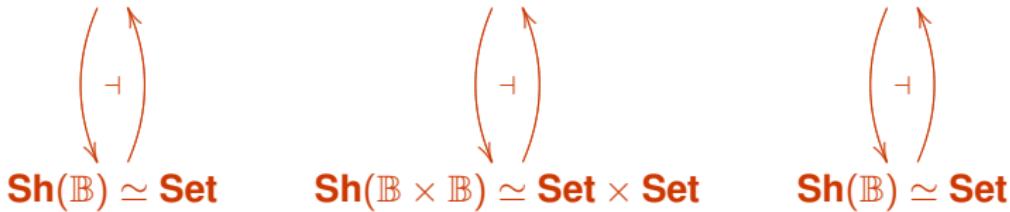
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$$\mathbb{B} \xrightarrow{\delta} \mathbb{B} \times \mathbb{B} \xrightarrow{\wedge} \mathbb{B}$$

$$\mathcal{P}_{\mathbb{B}} \xrightarrow{\mathcal{P}_{\delta}} \mathcal{P}_{\mathbb{B} \times \mathbb{B}} \xrightarrow{\mathcal{P}_{\wedge}} \mathcal{P}_{\mathbb{B}}$$

$$\mathbf{Sep}(\mathbb{B}) \longrightarrow \mathbf{Sep}(\mathbb{B} \times \mathbb{B}) \longrightarrow \mathbf{Sep}(\mathbb{B})$$



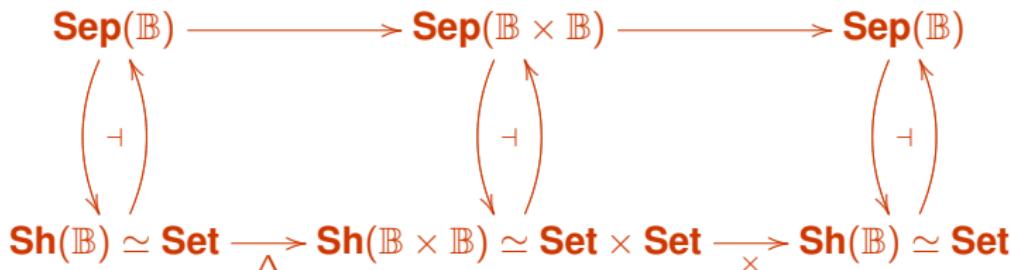
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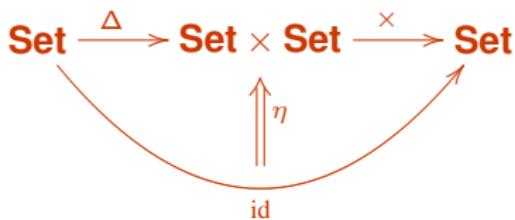
$$\mathbb{B} \xrightarrow{\delta} \mathbb{B} \times \mathbb{B} \xrightarrow{\wedge} \mathbb{B}$$

$$\mathcal{P}_{\mathbb{B}} \xrightarrow{\mathcal{P}_{\delta}} \mathcal{P}_{\mathbb{B} \times \mathbb{B}} \xrightarrow{\mathcal{P}_{\wedge}} \mathcal{P}_{\mathbb{B}}$$



Example

- ▶ Comparing the composition of the images of the tripos transformations with the image of the composition we get



- ▶ This shows that the tripos-to-topos construction is only oplax functorial, as claimed earlier.

Analyzing the unit of $T \dashv S$

The unit of $T \dashv S : \mathbf{Top} \rightarrow \mathbf{Trip}$ gives rise to 1-cells $(D, \Delta) : \mathcal{P} \rightarrow ST\mathcal{P}$

and to 2-cells

$$\begin{array}{ccc} \mathcal{P} & \xrightarrow{(F,\Phi)} & \mathcal{R} \\ \downarrow & \Downarrow & \downarrow \\ ST\mathcal{P} & \rightarrow & ST\mathcal{R} \end{array}$$

which decompose into

$$\begin{array}{ccc} \mathcal{P} & \xrightarrow{(F,\Phi)} & \mathcal{R} \\ \downarrow & \Downarrow \alpha & \downarrow \\ SF\mathcal{P} & \rightarrow & SF\mathcal{R} \\ \downarrow & \Downarrow \beta & \downarrow \\ ST\mathcal{P} & \rightarrow & ST\mathcal{R} \end{array}.$$

Lemma

α is an isomorphism whenever Φ commutes with \exists along diagonal mappings $\delta : A \rightarrow A \times A$, and β is an isomorphism whenever Φ commutes with \exists along projections. Furthermore, α is always an epimorphism and β is always a monomorphism.

Example

The tripos transformation $\mathcal{P}_\wedge : \mathcal{P}_{\mathbb{B} \times \mathbb{B}} \rightarrow \mathcal{P}_{\mathbb{B}}$ commutes with \exists along δ . Therefore we have

$$\begin{array}{ccc} \mathbf{Set} & \xrightarrow{\text{id}} & \mathbf{Set} \\ \downarrow & \cong & \downarrow \\ \mathbf{Sep}(\mathbb{B} \times \mathbb{B}) & \longrightarrow & \mathbf{Sep}(\mathbb{B}) . \\ \downarrow & \Downarrow \beta & \downarrow \\ \mathbf{Set} \times \mathbf{Set} & \longrightarrow & \mathbf{Set} \end{array}$$

Example: Modified realizability

The embedding

$$\nabla = (\dashv \circ \Delta) : \mathcal{P}_{\mathbb{B}} \rightarrow \mathbf{mr}$$

of the classical predicates into the modified realizability tripos **mr** commutes with \exists along projections. This gives

$$\begin{array}{ccc} \mathbf{Set} & \xrightarrow{\text{id}} & \mathbf{Set} \\ \downarrow & \Downarrow \alpha & \downarrow \\ \mathbf{F}(\mathcal{P}_{\mathbb{B}}) & \longrightarrow & \mathbf{F}(\mathbf{mr}) . \\ \downarrow & \cong & \downarrow \\ \mathbf{Set} & \longrightarrow & \mathbf{T}(\mathbf{mr}) \end{array}$$