

Chapter 1

Numerical Simulation

1.1 Finite-Difference Time-Domain (FDTD) for sound-field simulation

According to the project requirements for the mini-project, the students are supposed to choose a computational aspect related to their semester project. This, in case of the mini-project at hand, is FDTD-simulation.

When in acoustics the behaviour of sound sources in a room is to be investigated, there are basically for different approaches. The simplest approach is considering only the amount of energy, that is brought into the room and how it is absorbed. The most prominent example is Sabine's formula that can be used to estimate the reverberation time RT_{60} . This very simplistic method only has limited uses. More elaborate methods are based on raytracing, but because of physical limitations they can only represent the higher part of the human hearing frequency range accurately. The very low end of the frequency range can be covered by modal models, that are based on the room geometry and which are not feasible to use towards the mid frequencies. FDTD-simulation follows the fourth approach, which is based on simulating wave behaviour and is most suited to the mid frequency range. [Botteldoore, 1995]

Because the subject of the main project in the current semester is *low-mid frequency acoustical beamforming*, an FDTD-simulation can serve as helpful tool in order to estimate the behaviour of a speaker array under different acoustical conditions. As with most simulations, the goal is, to be able to evaluate the performance of different configurations of loudspeakers and signal processing parameters relatively quickly and accurately. This can aid in the overall development process and keeps the number of real world measurements to a minimum, saving time and resources.

1.2 The FDTD

The goal of this section is to outline the basics of numerical sound field simulation by using the FDTD method. The principles this kind of numerical simulation will be described, so that the method can be adapted to investigate the behaviour of one or more loudspeakers in a sound field. The approach of FDTD is to solve the wave equation by a finite-difference approximation for both time and space derivatives. This makes it possible to easily simulate the sound pressure and particle velocity of a speaker at any time step. For using FDTD with a specific loudspeaker, all simulations have to be done in a relatively narrow frequency band, in order for the simulation to give a good approximation to the real world behaviour. An FDTD cannot cover the whole human hearing range accurately. The scope of the semester project is a frequency range from 60 Hz to 300 Hz. An important property of FDTD-simulations is, that the calculations are performed in time domain, which means, that the pressure and the particle velocity at any specified time step can be analyzed directly by solving two coupled equations.[C. Kleinhennrich and Karhe, 2009]. This section will end out with a FDTD model of a 3 dimensional space.

1.2.1 FDTD wave equation

In FDTD-simulation, there typically are two equations, which need to be solved. When simulating a sound field, the first formula is the Euler Equation 1.1, which describes the relation between the gradient of the pressure p and the derivative of the particle velocity \vec{v} with respect to time.

$$\frac{\partial \vec{v}}{\partial t} = -\frac{1}{\rho} \vec{\nabla} p \quad (1.1)$$

Where:

ρ is the density of the medium	[kg/m ³]
∂t is an infinitesimal time step	[s]
p is the pressure	[Pa]
\vec{v} is the particle velocity	[m/s]

Equation 1.1 is only valid with small variation in pressure. The second Equation 1.2 is the linear continuity equation. The equation describes the relation between the derivative of the pressure p with respect of time and the velocity gradient $\vec{\nabla} \vec{v}$. They are related through the density of the medium and the speed of sound.

$$\frac{\partial p}{\partial t} = -\rho c^2 \vec{\nabla} \vec{v} \quad (1.2)$$

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Where:

ρ is the density of the medium	[kg/m ³]
∂t is an infinitesimal time step	[s]
p is the pressure	[Pa]
c is the speed of sound	[m/s]
\vec{v} is the particle velocity	[m/s]

By use of the derivation, both equations are approximated linearly at every point in a three dimensional cartesian grid. This is done with discrete time steps.

1.2.2 FDTD using Cartesian grid

Using a Cartesian grid for FDTD approximation is a well known technique (see [Botteldoore, 1995]) and will also be employed in this project. The Cartesian grid is set up using the sound pressure Equation 1.2 and the particle velocity Equation 1.2 as the unknown quantities, which have to be solved for in every point in space. A small grid is visualized in Figure 1.4

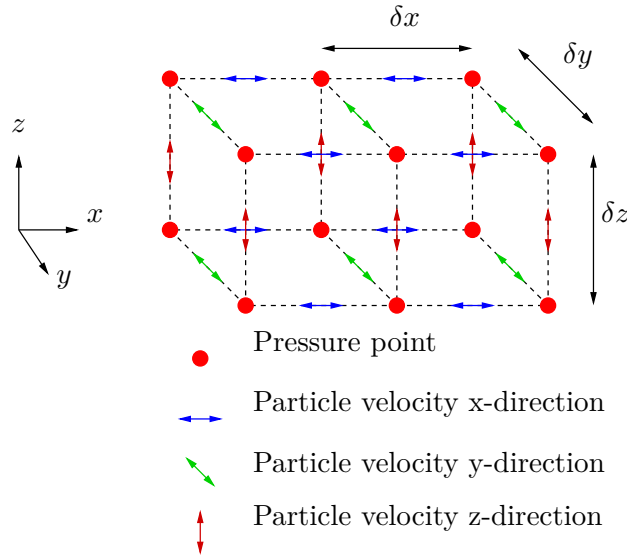


Figure 1.1: A 3 dimensional example of a Cartesian grid.

The grid points are built of positions that are described as $(i \delta x, j \delta y, k \delta z)$ at a time $t = [l] \delta t$. The time step is visualized in Figure 1.2.

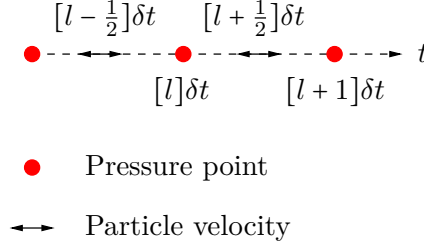


Figure 1.2: Transient definition points of sound p pressure and particle velocity \vec{v}

$\delta x, \delta y, \delta z$ are the spatial discretization steps as shown in Figure 1.4 and δt is the time spatial discretization step as shown in Figure 1.2. i, j, k are the discrete indices for the points in the grid and l is the discrete time index. For every axis, the corresponding particle velocity has to be determined at a position in Equation 1.3 at an intermediate time $t = [l \pm \frac{1}{2}]$.

$$\vec{v} = \begin{bmatrix} v_x[(i \pm \frac{1}{2})\delta x, j\delta y, k\delta z] \\ v_y[i\delta x, (j \pm \frac{1}{2})\delta y, k\delta z] \\ v_z[i\delta x, j\delta y, (k \pm \frac{1}{2})\delta z] \end{bmatrix} \quad (1.3)$$

The pressure is determined at position $p_{(i,j,k)}^{[l+1]}$. It can be chosen to start with either pressure or velocity arbitrarily. The time step δt can be regarded as a scaling factor for time, because Python only works with integer indices. This means δt is implemented in the formulas and not in the iteration step. The time $\pm \frac{1}{2}$ is also changed to a integer with adding $\frac{1}{2}$. This scalar is only relevant in the implementation and is disregarded in the rest of this section. The same applies for the step sizes $\delta x, \delta y$ and δz .

Solving for $(v_x)_{(i+\frac{1}{2},j,k)}^{[l+\frac{1}{2}]}$ leads to following three Equations 1.4:

$$(v_x)_{(i+\frac{1}{2},j,k)}^{[l+\frac{1}{2}]} = (v_x)_{(i+\frac{1}{2},j,k)}^{[l-\frac{1}{2}]} - \frac{\delta t}{\rho_0 \delta x} \left(p_{(i+1,j,k)}^{[l]} - p_{(i,j,k)}^{[l]} \right) \quad (1.4a)$$

$$(v_y)_{(i,j+\frac{1}{2},k)}^{[l+\frac{1}{2}]} = (v_y)_{(i,j+\frac{1}{2},k)}^{[l-\frac{1}{2}]} - \frac{\delta t}{\rho_0 \delta y} \left(p_{(i,j+1,k)}^{[l]} - p_{(i,j,k)}^{[l]} \right) \quad (1.4b)$$

$$(v_z)_{(i,j,k+\frac{1}{2})}^{[l+\frac{1}{2}]} = (v_z)_{(i,j,k+\frac{1}{2})}^{[l-\frac{1}{2}]} - \frac{\delta t}{\rho_0 \delta z} \left(p_{(i,j,k+1)}^{[l]} - p_{(i,j,k)}^{[l]} \right) \quad (1.4c)$$

Solving for $p_{(i,j,k)}^{[l+1]}$ leads to Equation 1.5.

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$$p_{(i,j,k)}^{[l+1]} = p_{(i,j,k)}^{[l]} - \rho_0 c^2 \delta t \left(\frac{(v_x)_{(i+\frac{1}{2},j,k)}^{[l+\frac{1}{2}]} - (v_x)_{(i-\frac{1}{2},j,k)}^{[l+\frac{1}{2}]}}{\delta x} + \frac{(v_y)_{(i,j+\frac{1}{2},k)}^{[l+\frac{1}{2}]} - (v_y)_{(i,j-\frac{1}{2},k)}^{[l+\frac{1}{2}]}}{\delta y} + \frac{(v_z)_{(i,j,k+\frac{1}{2})}^{[l+\frac{1}{2}]} - (v_z)_{(i,j,k-\frac{1}{2})}^{[l+\frac{1}{2}]}}{\delta z} \right) \quad (1.5)$$

1.2.3 FDTD grid boundary conditions

The meaning of boundary conditions in the given context is the behaviour of the simulation near and at the boundary surfaces (walls), that occur at the ends of the grid. The sound waves react differently on the walls opposed to propagation in free field conditions. Walls will act like either a reflecting surface, an absorbing surface or both. This boundary behaviour from the wall is described as an frequency dependent impedance. It is necessary to analyse and implement this in the simulation because the sound field will show a different behaviour compared to sound field without any boundaries. Within this project, the frequency dependent boundary conditions will only be a good approximation and not accurately represent free field conditions. An accurate frequency dependent model would require heavy calculations with convolution at each boundary point and at each time step [Botteldoore, 1995]. This kind of calculation has a high time consumption and therefore an approximation will be used.

The approximation in this project will be based on the impedance approach (see [Jeong and Lam, 2000]) as described above. The impedance approach can be used when walls is present in simulation and does not contain a perfect matched layer. Therefore the impedance approach can not be used for free field simulation unless the simulation is stopped just before the wave hits the boundary. Because of the way the pressure spreads along the grid, the stopped simulation will lead to some areas, typically every corner, to which the wave has not yet spread. This results in a circular shape on the simulation grid if the sound sources are placed in the middle. In this project a large room is used and the simulation will be stopped just before the boundary to simulate free field condition. Afterwards the data are cropped such that only simulating data within the area to which the sound had already spread are used.

The impedance approach is usable at low frequency, meaning in a frequency range, in which the sound sources behave approximately omnidirectional [Jeong and Lam, 2000]. Two kinds of absorbing boundaries are common in real life and therefore also in simulation. These boundaries are as follows:

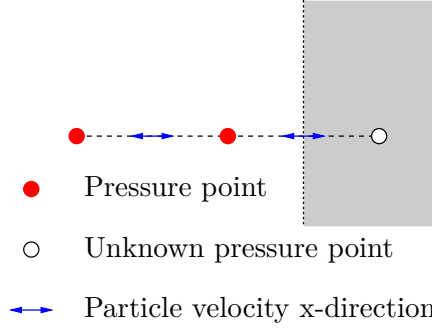


Figure 1.3: The figure visualized a boundary plan through particle velocity plan x in 1 dimension.

For solving the problem visualized in Figure 1.3, an asymmetric finite-difference approximation for the space derivative is used [Botteldoore, 1995]. ?? shows the asymmetric finite-difference approximation.

$$\frac{\partial p}{\partial x} \Big|_{(i_0+\frac{1}{2},j,k)}^{[l]} = \frac{2}{\delta x} \left(p_{(i_0+\frac{1}{2},j,k)}^{[l]} - p_{(i_0,j,k)}^{[l]} \right) \quad (1.6)$$

The advantage of Equation 1.6 is that it only requires knowledge of one nearest pressure point, but it is only valid within δx . Using the same procedure as in Equation 1.4 just with plugging in Equation 1.6 instead, the particle velocity at the boundary is approximated as Equation 1.7

$$(v_x)_{(i_0+\frac{1}{2},j,k)}^{[l+\frac{1}{2}]} = (v_x)_{(i_0+\frac{1}{2},j,k)}^{[l-\frac{1}{2}]} - \frac{2\delta t}{\rho_0 \delta x} \left(p_{(i_0+\frac{1}{2},j,k)}^{[l]} - p_{(i_0,j,k)}^{[l]} \right) \quad (1.7)$$

The only unknown in Equation 1.7 is $p_{(i_0+\frac{1}{2},j,k)}^{[l]}$, but it can be found by using ??, where v_n is changed with $(v_x)_{(i_0+\frac{1}{2},j,k)}^{[l]}$ and becomes Equation 1.8.

$$\begin{aligned} (v_x)_{(i_0+\frac{1}{2},j,k)}^{[l+\frac{1}{2}]} = (v_x)_{(i_0+\frac{1}{2},j,k)}^{[l-\frac{1}{2}]} - \frac{2\delta t}{\rho_0 \delta x} & \left(Z_0 (v_x)_{(i_0+\frac{1}{2},j,k)}^{[l]} \right. \\ & \left. + Z_1 \frac{\partial (v_x)_{(i_0+\frac{1}{2},j,k)}^{[l]}}{\partial t} + Z_{-1} \int_{-\infty}^t (v_x)_{(i_0+\frac{1}{2},j,k)}^{[l]}(\tau) d\tau - p_{(i_0,j,k)}^{[l]} \right) \end{aligned} \quad (1.8)$$

The integral in the Equation 1.8 is replaced with a sum from minus infinity to l in Equation 1.9.

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$$(v_x)_{(i_0+\frac{1}{2},j,k)}^{[l+\frac{1}{2}]} = (v_x)_{(i_0+\frac{1}{2},j,k)}^{[l-\frac{1}{2}]} - \frac{2\delta t}{\rho_0\delta x} \left(Z_0(v_x)_{(i_0+\frac{1}{2},j,k)}^{[l]} \right. \\ \left. + Z_1 \frac{(v_x)_{(i+\frac{1}{2},j,k)}^{[l+\frac{1}{2}]} - (v_x)_{(i+\frac{1}{2},j,k)}^{[l-\frac{1}{2}]}}{\delta t} + Z_{-1}\delta t \sum_{m=-\infty}^l \left((v_x)_{(i+\frac{1}{2},j,k)}^{[m+\frac{1}{2}]} \right) - p_{(i,j,k)}^{[l]} \right) \quad (1.9)$$

The last unknown variable is the particle velocity v_x at time $t = [l]$. To find a solution for v_x at time $t = [l]$, a linear interpolation between v_x at time $t = [l \pm \frac{1}{2}]$ is used [Botteldoore, 1995]. The resulting particle velocity will be expressed as Equation 1.10

$$(v_x)_{(i_0+\frac{1}{2},j,k)}^{[l+\frac{1}{2}]} = \alpha(v_x)_{(i_0+\frac{1}{2},j,k)}^{[l-\frac{1}{2}]} + \beta \frac{2\delta t}{\rho_0\delta x} \left(Z_0(v_x)_{(i_0+\frac{1}{2},j,k)}^{[l]} \right. \\ \left. - Z_{-1}\delta t \sum_{m=-\infty}^l \left((v_x)_{(i+\frac{1}{2},j,k)}^{[m+\frac{1}{2}]} \right) - p_{(i,j,k)}^{[l]} \right) \quad (1.10)$$

Where:

$$\alpha = \frac{1 - \frac{Z_0}{Z_{\text{FDTD}}} \frac{2Z_1}{Z_{\text{FDTD}}} \delta t}{1 + \frac{Z_0}{Z_{\text{FDTD}}} \frac{2Z_1}{Z_{\text{FDTD}}} \delta t} \quad [1]$$

$$\beta = \frac{1}{1 - \frac{Z_0}{Z_{\text{FDTD}}} \frac{2Z_1}{Z_{\text{FDTD}}} \delta t} \quad [1]$$

$$Z_{\text{FDTD}} = \frac{\rho_0\delta x}{\delta t} \quad [\text{Nsm}^{-3}]$$

1.2.4 FDTD grid cell size

The choice of grid cell size for FDTD is a critical parameter, which must fulfill some problem specific constraint [Kunz and Luebbers, 1993]. The grid cell size has to be small enough to contain data for all specified simulated frequencies, which means that the grid cell size has to be smaller than the smallest wavelength λ . As the frequency rises the wave length is decreasing. This means the grid cell size constrain is determined by the highest frequency of interest in the FDTD simulation. Opposed to that, the grid cell size should be relatively large, in order to keep the computational power down, that is required to run the simulation. The grid cell size therefore has to be chosen intelligently, for which [Kunz and Luebbers, 1993] displays a solution. After the grid cell size is chosen the Courant stability condition determines the maximum time step. The maximum time step size, which will be calculated based on the grid cell size, will be the used. A smaller time step size does not improve the

accuracy in general.

The boundary for the smallest grid cell size is the Nyquist rate, which states that the wavelength shall at least be twice as big as the grid cell size δ . Since δx , δy and δz have the same size only δ will be used to represent the grid step size. The Nyquist rate is the lower boundary, but since the simulation is an approximation and is not exact and the smallest wavelength is not precise, δ has to be more than two samples per wavelength. To find a optimal grid size the grid dispersion error which relates to the wave propagation speed through the grid will be taken into account. The error occurs because the wave propagates with different slightly speeds through the grid. This error is depending on the relative direction of the wave. The grid dispersion error is proportional to the grid cell size, which means that the error is decreased with smaller δ [Kunz and Luebbers, 1993]. Often if $\delta \leq \frac{1}{10} \lambda_{\min}$ the formerly mentioned constraint is met. The solution therefore a good compromise between computation resource and approximation error. The grid cell size in this project is therefore set according to Equation 1.11.

$$\delta x = \delta y = \delta z \leq \frac{1}{10} \frac{c}{f_{\max}} \quad (1.11)$$

Where:

δ is the grid cell size	[1]
x, y and z is the direction	[1]
c is the speed of sound	[m/s]
f_{\max} is the maximum frequency in the simulation	[Hz]

1.2.5 FDTD time step size and stability

The time step size for FDTD follows from the Courant condition [Kunz and Luebbers, 1993]. The aim of the project is not to analyse the condition of Courant. This section will therefore only give a short overview on the most important aspects of the condition and on how to use the condition to calculate the time step size. Considering a plane wave, the Courant condition states that, in one time step, any point on the wave must not pass through more than one cell. During one time step the wave can propagate only from one cell to its nearest neighbors [Kunz and Luebbers, 1993]. To determine the time step size the time step δt can therefore be determined by the speed of sound and the grid cell size as in Equation 1.12.

$$\delta t \leq \frac{1}{\sqrt{\frac{1}{(\delta x)^2} + \frac{1}{(\delta y)^2} + \frac{1}{(\delta z)^2}} \cdot c} \quad (1.12)$$

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Where:

δ is the step size	[1]
t is the time indicator	[s]
c is the speed of sound	[m/s]

Making the time step size smaller than stated by Equation 1.12 will not improve the result, in fact the equation calculates the time step size where the grid dispersion error is minimal [Kunz and Luebbers, 1993]. Unless the dispersion error is minimized, the time step might even be smaller because of the stability condition. A stable simulation is only guaranteed under certain conditions. Because Equation 1.10 is applied to different conditions e.g. in corner or flat walls, a stable simulation is not possible in general, only under certain conditions which depend on time and grid cell size. It has been shown, that the simulation is stable if Z_0 and Z_1 are positive for all simulation regions and if Equation 1.13 is satisfied [Botteldoore, 1995].

$$\delta t \leq \sqrt{\frac{2}{3}} \left(\frac{1}{\sqrt{\frac{1}{(\delta x)^2} + \frac{1}{(\delta x)^2} + \frac{1}{(\delta x)^2} \cdot c}} \right) \quad (1.13)$$

If Z_{-1} is nonzero the time step shall furthermore satisfy Equation 1.14

$$c\delta t \leq \delta x \left(\frac{1 + \frac{2Z_1}{\rho_0\delta x}}{1 + \frac{2Z_{-1}\delta x}{\rho_0c^2}} \right)^{\frac{1}{2}} \quad (1.14)$$

1.2.6 FDTD sound source

The so called acoustical center of the loudspeaker used in the main project is about 17 cm in the front of the speaker cabinet. It also has to be noted that the FDTD sound source for the simulation is at the acoustical center and not at the position of the loudspeaker itself. Therefore the FDTD sound source is modelled as a transparent source. The speaker cabinet may have a different effect when building a speaker array in the real world, but not at the position of the sound source in FDTD. Therefore the speaker cabinet will not be incorporated into the simulation. The following section will briefly explain the three most common way of implementing sources as described in [Saarelma, 2013].

There are two simple methods to implement a FDTD sound source and one more advanced way to implement a FDTD sound source. The simple ways of implementing at sound source are the hard- and the soft sources. The problem with implementing a hard source is, that the hard source overwrites the update step in the source point and therefore effectively scatters any incident field. This might correspond to a real scenario if the speaker cabinet was at the acoustical center and very reflective, but it

is not and therefore this kind of source is not suitable in the given context. Secondly, the soft source is set up in a way, that the pressure from the source is added to the pressure source point, which means, that this source does not scatter. The problem with this method is that the actual excitation does not match the time function of the source. To make a source that acts like a hard source but does not scatter, the transparent source is used according to [John B. Schneider and Broschat, 1997]. The explaining the implementation of transparent source is quite lengthy and has only little benefit for understanding the computational issues that are the subject of this mini-project. It will therefore be skipped.

1.3 FDTD implementation

The goal of this section is to show the parameters for setting up a FDTD-simulation with one omnidirectional sound source placed in the middle of the room.

1.3.1 FDTD step size

In this section, the step size for both grid- and the time step will be determined. Because the time step size is depending on the grid step size, the grid step size has to be determined first. Equation 1.11 states, that all three dimensions will have the same step size in this project. The calculation is featured in Equation 1.15.

$$\delta d = \delta x = \delta y = \delta z \leq \frac{1}{10} \frac{c}{f_{\max}} \quad (1.15a)$$

$$\delta d = \frac{1}{10} \frac{343 \text{ m/s}}{300 \text{ Hz}} \quad (1.15b)$$

$$\delta d = 0.11 \text{ m} \quad (1.15c)$$

Where:

δd is the maximum grid cell size	[m]
c is the speed of sound at a temperature of 20 °C	[m/s]
f_{\max} is the maximum frequency in the simulation	[Hz]

Since the maximum grid cell size is 11 cm, the grid cell size in this project will be less or equal to 10 cm, to be sure that a simulation does not suffer from problems due to grid cell size.

In a complicated optimization process in the main project it has been determined, that for the intended function as a loudspeaker array, the optimal distance between the acoustical centers of the two side loudspeakers is 40 cm and the distance to the center of the front speaker is 40 cm as well. When arranging the sources in a triangular shape, the greatest common divisor for putting them into a grid is 20 cm.

1.3. FDTD implementation

However this is bigger then than the formerly stated 11 cm, which makes it unsuitable for simulation. Instead, it has been decided to set the grid step size at 5 cm in all simulations, to ensure flexibility during development. Next the time step has to be determined. The condition for the time step is stated in Equation 1.13 and dictates the following Equation 1.16.

$$\delta t \leq \sqrt{\frac{2}{3}} \left(\frac{1}{\sqrt{\frac{1}{(\delta x)^2} + \frac{1}{(\delta x)^2} + \frac{1}{(\delta x)^2} \cdot c}} \right) \quad (1.16a)$$

$$\delta t \leq \sqrt{\frac{2}{3}} \left(\frac{1}{\sqrt{\frac{1}{(0.05 \text{ m})^2} + \frac{1}{(0.05 \text{ m})^2} + \frac{1}{(0.05 \text{ m})^2} \cdot 343 \text{ m/s}}} \right) \quad (1.16b)$$

$$\delta t \leq 687 \mu\text{s} \quad (1.16c)$$

This corresponds to a sampling frequency of 14 552 Hz. To be sure that a simulation does not suffer from a limiting time step size, the sampling frequency will be rounded up to 14 560 Hz.

1.3.2 FDTD implementation

The aim of this section is to convert the grid to arrays. Since the grid is in three dimensions, where the time is a fourth dimension, the whole array system for pressure and all of the particle velocity grids will build on four dimensional arrays. The following Figure 1.4 shows a simple grid in a corner with entry notation of h as the height of the room, w as the width of the room and l as the length of the room.

1.4. Numerical Scientific Computing (NSC)-aspects to be investigated

1.3.4 FDTD plot

The speakers will always be feeded with a gain of one, which correspond to a pressure of ± 1 Pa and zero phase. The number of simulation run steps is the smallest room size divided by the grid size, such that the waves do not reflect from the wall.

A wave will expand in a circular form and since the simulation stops just before the it hits the first wall, the simulation result will be a circular shape. Some of the area is not simulated and is therefore without a valid pressure. Because of this, the simulation is made so large that the polar plot with a radius of 10 m can still be calculated, where the used simulation data is only a squared area inside the circular shape and the rest data is discarded.

The polar plot from the analytical model is based on the Root Mean Square (RMS) pressure, therefore the RMS pressure of the FDTD has to be calculated. The RMS of the FDTD simulation is calculated as following in Equation 1.17.

$$P_{\text{rms}}(i, j, k) = \sqrt{\frac{\left(p_{(i,j,k)}^{[l=\delta t]}\right)^2 + \left(p_{(i,j,k)}^{[l=2\delta t]}\right)^2 + \dots + \left(p_{(i,j,k)}^{[l=n\delta t]}\right)^2}{n}} \quad (1.17)$$

1.4 Numerical Scientific Computing (NSC)-aspects to be investigated

Because the reason for doing the mini-project at hand is learning about NSC, some aspects of the latter have to be approached. One rather obvious point is the performance of the algorithm, where relative changes in computation time between different implementations can be measured and discussed. When talking about performance, one thing, that comes to mind is parallelization. It is advantageous, when a solution to a computational problem can be computed in parallel. However, for FDTD the fact, that the algorithm relies on information that is computed in previous iterations of a loop, makes parallelization rather difficult. Operations like the multiplication of large matrices can still be implemented as parallel computation, but it is not possible to run iterations of the calculation in the pressure grid in parallel. However, for particle velocity matrices, which are directional, it might be possible to parallelize computations and also for a three dimensional case, further parallelization might be possible.

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