

OLD MUTUAL UNIT TRUSTS **WITHHOLDING TAX ON INTEREST DECLARATION**

Return form by scanning and emailing it to groupultracorporates@oldmutual.com or faxing it to +27 (21) 509 0670. Contact our Service Centre at 0860 234 234 if you have any queries.

WHO SHOULD COMPLETE THIS FORM?

- Please complete and sign this form if you are an investor subject to a different rate of tax on local interest as a result of a Double Tax Agreement (DTA) or if you represent a legal entity that is exempt from Withholding Tax on Interest (WTI).
- The owner of the interest must complete and sign all the relevant sections.
- Individuals who are tax resident in South Africa are not subject to the normal rate of WTI and do not have to complete this form. Where tax is applied, the WTI will automatically be withheld and interest paid net of tax.

PLEASE NOTE:

- This declaration is made in respect of all the unit trust funds held within the investor's standard unit trust portfolio, in terms of the Income Tax Act (No. 58 of 1962) (the Act). It applies to all Collective Investment Scheme (CIS) unit trust fund portfolios as managed by Old Mutual Unit Trust Managers (RF) (Pty) Ltd. These unit trust fund portfolios are listed on the last page of this form.
- If you don't complete, sign and return this form to Old Mutual Unit Trusts (OMUT), we are obliged to withhold tax on local interest at the applicable rate stipulated in legislation.
- Duly completed and signed forms that reach us by 15h00 will be processed on the same day.

Please complete this form using block letters and tick (✓) where appropriate.

INSTRUCTIONS

Please complete section 1, and then select the applicable option below.

- A. ☐ I/We hereby apply for exemption. Complete and sign section 2.
- OR
- B. ☐ I/We hereby apply for a reduced rate of tax. Complete and sign section 3.

SECTION 1: PARTICULARS OF THE FOREIGN PERSON (INVESTOR)

Unit trust number

INDIVIDUAL IN WHOSE NAME INVESTMENT IS HELD

Surname and initials

[illegible]

Gender: Male ☐ Female ☐ Marital status: Married ☐ Single ☐

ID/Passport number Date of birth ^D^D^M^M^C^C^Y^Y

South African income tax number Country of residence

Country of tax residence If passport, country of issue

OR FULL NAME(S) OF INSTITUTIONAL INVESTOR IN WHICH NAME THE INVESTMENT IS HELD

Company/entity/
registered name (if
applicable)

Full name of authorised representative

South African income tax number

Company registration number

D D M M C C Y Y

Founding/registration/
inception date

Country of tax residence

OLD MUTUAL UNIT TRUSTS WITHHOLDING TAX ON INTEREST DECLARATION

CONTINUED

SECTION 1: PARTICULARS OF THE FOREIGN PERSON (INVESTOR) CONTINUED

PROVIDE APPLICABLE CONTACT INFORMATION

Tel. (Code)	<input type="text"/>	(Home)	<input type="text"/>	(Code)	<input type="text"/>	(Work)	<input type="text"/>
Cellphone	<input type="text"/>		<input type="text"/>	Fax	<input type="text"/>		<input type="text"/>
Language preference	Eng <input type="checkbox"/>	Afr <input type="checkbox"/>	E-mail address	<input type="text"/>			
Postal address	<input type="text"/>			Physical address	<input type="text"/>		
	<input type="text"/>				<input type="text"/>		
	<input type="text"/>				<input type="text"/>		
Postcode	<input type="text"/>			Postcode	<input type="text"/>		

Old Mutual Unit Trusts' preferred method of communication is e-mail

Nature of Person:

- ☐ Individual
- ☐ Company
- ☐ Trust
- ☐ Government (political subdivision, state, province or local authority)
- ☐ Other (if selected please provide a description/explanation of nature of the entity/person):

SECTION 2: DECLARATION IN RESPECT OF EXEMPTION FROM TAX

Please indicate the reason why the foreign person referred to in Section 1 above is exempt from the withholding tax on interest:

- ☐ The foreign person is a natural person who was physically present in the Republic for a period exceeding 183 days in aggregate during the twelve-month period preceding the date on which the interest is paid.
- ☐ The debt claim in respect of which the interest is paid is effectively connected with a permanent establishment of that foreign person in the Republic if that foreign person is registered as a taxpayer in terms of Chapter 3 of the Tax Administration Act, 2011.
- ☐ Exempt/Not taxable in terms of an Agreement for the avoidance of Double Taxation and Prevention of Fiscal Evasion in force of the relevant date between the Republic of South Africa and the country of residence of the foreign person.
- ☐ Exempt/Not taxable in terms of any other international agreement applicable to the foreign person. If this option is selected please provide an explanation of the reason and description of the said agreement:

- ☐ Other. If this option is selected please provide a detailed description/explanation of the reason the foreign person is considered to be exempt:

OLD MUTUAL UNIT TRUSTS WITHHOLDING TAX ON INTEREST DECLARATION CONTINUED

SECTION 2: DECLARATION IN RESPECT OF EXEMPTION FROM TAX CONTINUED

Declaration in terms of section 50E(2) of the Act:

I (full names in print please), the undersigned hereby declare that the foreign person referred to in Section 1 to or for the benefit of which the interest is paid is exempt from the withholding tax on interest in terms of section 50D(3) of the Act, or otherwise, as indicated above. I also undertake to forthwith inform the person paying the interest in writing should the circumstances of the foreign person referred to in this declaration change.

The date from which this declaration is effective is

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Signature
(Duly authorised to do so)

Date

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Capacity of Signatory*:

* If not the foreign person to or for the benefit of which the interest is paid.

SECTION 3: DECLARATION FOR REDUCED RATE OF TAX

Declaration in terms of section 50E(3) of the Act:

I (full names in print please), the undersigned declare that all the relevant requirements in terms of Article of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force on the relevant date between the Republic of South Africa and the country of residence of the foreign person referred to in Section 1 above have been met, and that the interest is therefore subject to a reduced rate of %. I also undertake to forthwith inform the person paying the interest in writing should the circumstances of the foreign person referred to in this declaration change.

The date from which this declaration is effective is

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Signature
(Duly authorised to do so)

Date

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Capacity of Signatory*:

* If not the foreign person to or for the benefit of which the interest is paid.

PROTECTION OF PERSONAL INFORMATION

The Old Mutual Group would like to offer you ongoing financial services and may use your personal information to provide you with information about products or services that may be suitable to meet your financial needs. Please SMS your ID number to 45600 if you would prefer not to receive such financial services.

You may access the personal information that we hold and request us to correct any errors or to delete this information. To view our full privacy notice and to exercise preferences, visit our website at www.oldmutual.co.za.

STATUTORY INFORMATION

- Unit trusts are generally medium- to long-term investments. Past performance is no indication of future performance. Shorter term fluctuations can occur as your investment moves in line with the markets. Fluctuations or movements in exchange rates may cause the value of underlying international investments to go up or down.
- Unit trusts can engage in borrowing and scrip lending.
- The fund's total expense ratio (TER) reflects the percentage of the average Net Asset Value of the portfolio that was incurred as charges, levies and fees related to the management of the portfolio. A higher TER does not necessarily imply a poor return, nor does a low TER imply a good return. The current TER cannot be regarded as an indication of future TERs. A schedule of fees, charges and maximum commissions is available from Old Mutual Unit Trust Managers (RF) (Pty) Ltd.
- You may sell your investment at the ruling price of the day (calculated at 15h00 on a forward pricing basis and 17h00 at month-end for Old Mutual RAFI® 40 Tracker Fund, Old Mutual Top 40 Fund and Old Mutual Multi-Managers Equity Fund of Funds). The Old Mutual Money Market Fund unit price aims to be static but investment capital is not guaranteed. The total return is primarily made up of interest (declared daily at 13h00), but may also include any gain/loss on any particular instrument. In most cases this will merely have the effect of increasing or decreasing the daily yield, but in an extreme case it can have the effect of reducing the capital value of the fund. Specialist equity funds may hold a greater risk as exposure limits to a single security may be higher. A feeder fund is a portfolio that, apart from assets in liquid form, consists solely of participatory interests in a single portfolio of a collective investment scheme. A fund of funds unit trust invests only in other collective investment schemes, which may levy their own charges. Certain funds may be capped to be managed in accordance with their mandates. Different classes of units apply to these portfolios and are subject to different fees and charges.
- Old Mutual is a member of the Association for Savings and Investment South Africa (ASISA).

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OLD MUTUAL UNIT TRUSTS WITHHOLDING TAX ON INTEREST DECLARATION CONTINUED

FUND NAME	REFERENCE NUMBER
Old Mutual Albaraka Balanced Fund	9242/164/18/5
Old Mutual Albaraka Equity Fund	9217/086/18/1
Old Mutual Balanced Fund	9440/007/60/8
Old Mutual Bond Fund	9002/001/60/1
Old Mutual Capital Builder Fund	9076/017/18/6
Old Mutual Core Diversified	275
Old Mutual Dynamic Floor Fund	9131/529/15/9
Old Mutual Enhanced Income Fund	903/4707/17/5
Old Mutual Financial Services Fund	9008/028/60/8
Old Mutual Flexible Fund	9301/002/60/7
Old Mutual Global Bond Feeder Fund	9299/536/14/5
Old Mutual Global Currency Fund	9245/117/18/0
Old Mutual Global Emerging Market Fund	9244/124/18/7
Old Mutual Global Equity Fund	9000/041/60/9
Old Mutual Global FTSE RAFI Index Feeder Fund	9430/174/17/8
Old Mutual Gold Fund	9000/032/60/8
Old Mutual Growth Fund	9000/056/60/7
Old Mutual High Yield Opportunity Fund	9687/006/60/2
Old Mutual Income Fund	9430/004/60/7
Old Mutual Industrial Fund	9067/010/60/4
Old Mutual Institutional Money Market Fund	9344/429/15/5
Old Mutual Interest Plus Fund	928/4966/17/4
Old Mutual International Growth Fund of Funds	9068/053/15/7
Old Mutual Investors' Fund	9430/021/60/1
Old Mutual Managed Alpha Equity Fund	9218/095/18/1
Old Mutual Maximum Return Fund of Funds	9543/989/16/5
Old Mutual Mining and Resources Fund	9430/003/60/9
Old Mutual Moderate Balanced Fund	260
Old Mutual Money Market Fund	9007/027/60/1
Old Mutual Premium Equity Fund	9534/012/17/5
Old Mutual RAFI® 40 Tracker Fund	9154/637/17/8
Old Mutual Real Income Fund	9004/554/17/7

FUND NAME	REFERENCE NUMBER
Old Mutual SA Quoted Property Fund	9950/502/14/7
Old Mutual Small Companies Fund	9318/002/60/8
Old Mutual Stable Growth Fund	9153/631/17/2
Old Mutual Top 40 Fund	9511/398/14/2
Old Mutual Top Companies Fund	9000/033/60/6
Old Mutual Multi-Managers Aggressive Balanced Fund of Funds	TBA
Old Mutual Multi-Managers Balanced Fund of Funds	9405/415/15/0
Old Mutual Multi-Managers Cautious Fund of Funds	9246/132/18/8
Old Mutual Multi-Managers Defensive Fund of Funds	9094/595/15/5
Old Mutual Multi-Managers Equity Fund of Funds	9670/702/14/2
Old Mutual Multi-Managers Fixed Interest Fund of Funds	9053/009/17/2
Old Mutual Multi-Managers Global Income Fund of Funds	264
Old Mutual Multi-Managers Global Property Fund of Funds	265
Old Mutual Multi-Managers Income Fund No. 1	9171/886/15/4
Old Mutual Multi-Managers Income Fund No. 2	9038/780/17/8
Old Mutual Multi-Managers Income Fund No. 3	261
Old Mutual Multi-Managers Income Fund No. 4	262
Old Mutual Multi-Managers Income Fund No. 5	263
Old Mutual Multi-Managers Inflation Plus Fund No. 1	9096/906/15/2
Old Mutual Multi-Managers Inflation Plus Fund No. 2	9292/661/15/5
Old Mutual Multi-Managers Inflation Plus Fund No. 3	9078/846/16/0
Old Mutual Multi-Managers Inflation Plus Fund No. 4	9076/043/17/4
Old Mutual Multi-Managers Inflation Plus Fund No. 5	9077/844/16/6
Old Mutual Multi-Managers Inflation Plus Fund No. 6	9884/066/15/1
Old Mutual Multi-Managers Inflation Plus Fund No. 7	9247/122/18/8
Old Mutual Multi-Managers Maximum Return Fund of Funds	TBA
Old Mutual Multi-Managers Money Market Fund	9696/687/14/5
Old Mutual Multi-Managers Property Equity Fund No. 1	266
Old Mutual Multi-Managers Satellite Equity Fund No. 1	9166/715/15/2
Old Mutual Multi-Managers Satellite Equity Fund No. 2	9074/688/16/0
Old Mutual Multi-Managers Satellite Equity Fund No. 3	9075/693/17/7
Old Mutual Multi-Managers Satellite Equity Fund No. 4	9248/118/18/5

CONTACT DETAILS

Old Mutual Unit Trust Managers (RF) (Pty) Ltd

(Registration no. 65/08471/07)
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa
PO Box 207, Cape Town 8000, South Africa
Telephone no.: +27(0)21 503 1770
Helpline: 0860 234 234
Fax no.: +27(0)21 509 7100
Internet address: www.omut.co.za
Email: unittrusts@oldmutual.com

Complaints: Write to our Client Services Manager at the above address or call 0860 234 234.

Compliance Department: +27(0)21 503 1770

Old Mutual Investment Administrators (Pty) Ltd

(Registration no. 1988/003478/07)
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa
PO Box 5408, Cape Town 8000, South Africa
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Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Ltd and is a licensed financial services provider.