

# 1. THEORY

Recall that

**Definition 1.1** (Dirichlet Series). Let  $f$  be an arithmetic function. Then the corresponding Dirichlet series is defined, for  $s \in \mathbb{C}$ , by

$$D_f(s) = \sum_{n=1}^{\infty} \frac{f(n)}{n^s}.$$

**Lemma 1.2.**

$$0 \leq 3 + 4 \cos \theta + \cos 2\theta = 2(1 + \cos \theta)^2$$

**Lemma 1.3.** Let  $\sigma > 1$ . Then

$$\Re \left( -3 \frac{\zeta'}{\zeta}(\sigma) - 4 \frac{\zeta'}{\zeta}(\sigma + it) - \frac{\zeta'}{\zeta}(\sigma + 2it) \right) \geq 0$$

For the proof of the lemma, one shows that

$$\Re \left( \frac{1}{n^s} \right) = \frac{1}{n^\sigma} \cos(t \log n), \quad s = \sigma + it \quad (A_1)$$

*Proof.*

$$\Re \left( -\frac{\zeta'}{\zeta}(s) \right) = \Re \sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^s} = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^\sigma} \cos(t \log n).$$

Hence

$$\Re \left( -3 \frac{\zeta'}{\zeta}(\sigma) - 4 \frac{\zeta'}{\zeta}(\sigma + it) - \frac{\zeta'}{\zeta}(\sigma + 2it) \right) = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^\sigma} [3 + 4 \cos(t \log n) + \cos(2t \log n)] \stackrel{(1.2)}{\geq} 0$$

□

## 2. WEEK 1

**Exercise 2.1** (E1.1. Abel summation). Let  $\{a_n\}_{n \in \mathbb{N}} \subset \mathbb{C}$  and  $f: [1, x] \rightarrow \mathbb{C}$  be  $C^1$ . Define  $A(t) = \sum_{n \leq t} a_n$ . Then for  $x > 1$ , we have

$$\sum_{n \leq x} a_n f(n) = A(x)f(x) - \int_1^x A(t)f'(t)dt.$$

## 3. WEEK 2

Let  $\psi(x) := \sum_{n \leq x} \Lambda(n)$ .

**Exercise 3.1** (E2.6). Show that

$$\theta(x) := \sum_{p \leq x} \log p = \psi(x) + O\left(x^{\frac{1}{2}} \log^2 x\right)$$

**Exercise 3.2** (E2.7). Show that

$$\pi(x) = \frac{\psi(x)}{\log x} + \int_2^x \frac{\psi(t)}{t \log^2 t} dt + O\left(x^{\frac{1}{2}} \log x\right).$$

*Proof.* By Abel summation, we first find that

$$\theta(x) := \sum_{p \leq x} \log p = \pi(x) \log x - \int_2^x \frac{\pi(t)}{t} dt$$

and from the previous exercise, we now find that

$$\pi(x) = \frac{\psi(x)}{\log x} + \frac{1}{\log x} \int_2^x \frac{\pi(t)}{t} dt + O\left(x^{\frac{1}{2}} \log x\right)$$

The result follows if we can show that

$$\frac{1}{\log x} \int_2^x \frac{\pi(t)}{t} dt = \int_2^x \frac{\psi(t)}{t \log^2 t} dt + O\left(x^{\frac{1}{2}} \log x\right).$$

Now  $\psi(t) \leq \pi(t) \log t$ , so

$$\begin{aligned} \left| \int_2^x \frac{\psi(t)}{t \log^2 t} - \frac{\pi(t)}{t \log x} dt \right| &\leq \left| \int_2^x \frac{\pi(t)}{t \log t} - \frac{\pi(t)}{t \log x} dt \right| \\ &= \left| \int_2^x \frac{\pi(t)}{t} \frac{\log\left(\frac{x}{t}\right)}{\log x \log t} dt \right| \end{aligned}$$

□

## 4. WEEK 3

**Exercise 4.1** (E3.1). Let  $m \geq 0$ . Show that

$$\sum_{n \leq x} \log^m n = x \log^m x + O\left(x \log^{m-1} x\right).$$

*Proof.* Let  $a_n = 1$  for all  $n$ . Then  $A(x) = \lfloor x \rfloor$ , so

$$\begin{aligned} \sum_{n \leq x} \log^m n &= \lfloor x \rfloor \log^m x - \int_1^x m \lfloor t \rfloor \frac{1}{t} \log^{m-1} t dt \\ &= x \log^m x - (x - \lfloor x \rfloor) \log^m x - m \int_1^x \frac{\lfloor t \rfloor}{t} \log^{m-1}(t) dt \end{aligned}$$

Thus we must show that

$$\left| (x - \lfloor x \rfloor) \log^m x + m \int_1^x \frac{\lfloor t \rfloor}{t} \log^{m-1}(t) dt \right| \leq C x \log^{m-1} x$$

But  $\frac{\lfloor t \rfloor}{t} \log^{m-1}(t) \leq \log^{m-1}(x)$  giving that the right hand term is  $O(x \log^{m-1} x)$ . For the left hand term, it suffices to show that  $(x - \lfloor x \rfloor) \log x \leq x$ , but this is clear since  $x - \lfloor x \rfloor \leq 1$  and  $\log x \leq x$ .  $\square$

**Exercise 4.2** (E3.2). Let  $d(n) = \sum_{d|n} 1$ . Show  $d(n) \leq 2\sqrt{n}$ . If we consider the set  $D \subset \mathbb{N}$  of positive divisors of  $n$ , then we can define a bijection  $D \rightarrow D$  by  $k \mapsto \frac{n}{k}$ . Suppose now that  $d(n) > 2\sqrt{n}$ . Suppose  $d | n$  and  $d \geq \sqrt{n}$ . Then since  $\frac{d}{n} \cdot d = n$ , we must have  $\frac{d}{n} \leq \sqrt{n}$ . This implies that under this bijection, either the source or target lies in  $\{1, \dots, \lfloor \sqrt{n} \rfloor\}$ . Hence  $d(n) = |D| \leq 2|\{1, \dots, \lfloor \sqrt{n} \rfloor\}| \leq 2\sqrt{n}$ .

**Exercise 4.3** (E3.3). Prove that for every  $\varepsilon > 0$ , there exists a constant  $C_\varepsilon$  such that  $d(n) \leq C_\varepsilon n^\varepsilon$ .

Hint:

- (1) Show that  $d(n_1 n_2) = d(n_1) d(n_2)$  if  $(n_1, n_2) = 1$ .
- (2) Show that

$$\frac{d(n)}{n^\varepsilon} = \prod_{p^\alpha || n} \frac{\alpha + 1}{p^{\alpha \varepsilon}}$$

where  $p^\alpha || n$  means that  $\alpha$  is a positive integer,  $p^\alpha | n$  and  $p^{\alpha+1} \nmid n$ .

- (3) Split the product in 2. Into the product over those primes  $p < 2^{\frac{1}{\varepsilon}}$  and the product over the rest. Show that the second product is bounded by 1.
- (4) Show that the factors in the first product are less than  $1 + (\varepsilon \log 2)^{-1}$ .

*Proof.* We follow the hint:

(1) Suppose  $(n_1, n_2) = 1$ . Let  $D$  be the set of divisors of  $n_1 n_2$ ,  $D_1$  the set of divisors of  $n_1$  and  $D_2$  the set of divisors of  $n_2$ . Suppose  $d_1 \in D_1, d_2 \in D_2$ . Then  $d_1 a = n_1, d_2 b = n_2$ , so  $d_1 d_2 ab = n_1 n_2$ , hence  $d_1 d_2 \in D$ . We thus obtain a map  $D_1 \times D_2 \rightarrow D$  sending  $(d_1, d_2) \mapsto d_1 d_2$ . We claim this is a bijection. Suppose  $d_1 d_2 = d'_1 d'_2$ . If  $d_1 | d'_2$ , then  $d_1 = 1$ , in which case,  $d'_1 = 1$ , and thus  $d_2 = d'_2$ . Suppose thus that  $d_1 \nmid d'_2$ . Then since  $(d'_1, d'_2) = 1$ , we have  $d_1 | d'_1$ . Similarly,  $d'_1 | d_1$ . So  $d_1 = d'_1$ . And again  $d_2 = d'_2$ . This gives injectivity. For surjectivity, if  $d | n_1 n_2$ , then consider  $d_1 := \frac{d}{(n_2, d)}$  and  $d_2 := \frac{d}{(n_1, d)}$ . Then  $d_1 d_2 = d$  and  $d_1 \in D_1, d_2 \in D_2$ .

(2) Clearly,  $n^\varepsilon = \prod_{p^\alpha || n} p^{\alpha \varepsilon}$ . It thus suffices to show that  $\prod_{p^\alpha || n} (\alpha + 1) = d(n)$ . But if we factorize  $n$  as  $n = p_1^{\alpha_1} \cdots p_m^{\alpha_m}$ , then it is clear that the divisors corresponds precisely to tuples  $(a_1, \dots, a_m)$  with  $0 \leq a_i \leq \alpha_i$ . There are precisely  $\alpha_i + 1$  choices for each  $a_i$ , giving  $(\alpha_1 + 1) \cdots (\alpha_m + 1) = d(n)$  which indeed is what we wanted to show.

(3) We can split the product as

$$\frac{d(n)}{n^\varepsilon} = \underbrace{\prod_{\substack{p^\alpha || n \\ p < 2^{\frac{1}{\varepsilon}}}} \frac{\alpha+1}{p^{\alpha\varepsilon}} \cdot \underbrace{\prod_{\substack{p^\alpha || n \\ p \geq 2^{\frac{1}{\varepsilon}}}} \frac{\alpha+1}{p^{\alpha\varepsilon}}$$

$A \qquad\qquad\qquad B$

We claim that  $B \leq 1$ . Indeed

$$\prod_{\substack{p^\alpha || n \\ p \geq 2^{\frac{1}{\varepsilon}}}} \frac{\alpha+1}{p^{\alpha\varepsilon}} \leq \prod_{\substack{p^\alpha || n \\ p \geq 2^{\frac{1}{\varepsilon}}}} \underbrace{\frac{\alpha+1}{2^\alpha}}_{\leq 1} \leq 1$$

(4) For the factors in the first product, we have  $\alpha = \left\lfloor \frac{\log n}{\log p} \right\rfloor$  and  $\log p < \frac{1}{\varepsilon} \log 2$ , and  $\alpha \leq \frac{\log n}{\log p}$ , so  $\frac{\log p}{\log n} \leq \frac{1}{\alpha}$

$$\varepsilon^2 \log p < \varepsilon \log 2$$

$$\frac{\alpha+1}{p^{\alpha\varepsilon}} \leq \frac{\log n + \log p}{p^{\alpha\varepsilon} \log p} \leq 1 + \frac{1}{\varepsilon \log 2} = \frac{\varepsilon \log 2 + 1}{\varepsilon \log 2}$$

What we want to bound is

$$\prod_{\substack{p^\alpha || n \\ p < 2^{\frac{1}{\varepsilon}}}} \frac{\alpha+1}{p^{\alpha\varepsilon}}$$

Note here that  $p$  is bounded and as  $\alpha$  increases, we should expect the denominator to take over. However, while  $\alpha$  is small, we might have some large terms since  $p^\varepsilon$  might be large. All our terms are however bounded by  $p^\varepsilon$  by the looks of it? Then we would get that the product is the product is bounded by  $\prod_{p < 2^{\frac{1}{\varepsilon}}} \frac{\log n}{\log p} \frac{1}{p^\varepsilon}$  □

**Exercise 4.4** (E3.4). Show that

$$\sum_{n=1}^{\infty} \frac{d(n)}{n^s}$$

is absolutely convergent in  $\Re(s) > 1$ .

*Proof.* Fix some  $s = \sigma + it \in \mathbb{C}$  with  $\sigma > 1$ . Then choosing an  $\varepsilon > 0$  with  $1 + \varepsilon < \sigma$ , we have that  $d(n) \leq C_\varepsilon n^\varepsilon$ , so

$$\sum \left| \frac{d(n)}{n^s} \right| \leq \sum C_\varepsilon \frac{n^\varepsilon}{n^\sigma} \leq C_\varepsilon \sum \frac{1}{n^{\sigma-\varepsilon}} < \infty.$$

□

**Exercise 4.5** (E3.5). Show that the average order of  $d(n)$  is  $\log n$ , i.e., that

$$\frac{1}{x} \sum_{n \leq x} d(n) = \log x + o(\log x).$$

Hint: Show that

$$\sum_{n \leq x} d(n) = \sum_{a \leq x} \left[ \frac{x}{a} \right]$$

where  $[b]$  is the integer part of  $b$ .

*Proof.* We follow the hint. For each  $n \in \mathbb{N}$ , let  $D_n$  denote the set of positive divisors of  $n$ . Then we want to find  $|D_1 \cup \dots \cup D_{[x]}|$ . Now,  $\left[\frac{x}{a}\right]$  is precisely the amount of multiples of  $a$  smaller than or equal to  $x$ , i.e., the amount of numbers in between 1 and  $x$  which have  $a$  as a divisor. Hence the right hand side indeed counts the number of divisors of the numbers less than or equal to  $x$  which is precisely the left hand side. Now, recall also the bound

$$\log x + \frac{1}{x} \leq \sum_{a \leq x} \frac{1}{a} \leq \log x + 1$$

so

$$1 + \frac{1}{x \log x} \leq \frac{1}{\log x} \sum_{a \leq x} \frac{1}{a} \leq 1 + \frac{1}{\log x}.$$

In particular, taking the limit as  $x \mapsto \infty$ , the outer functions tend to 1, so

$$\lim_{x \rightarrow \infty} \frac{1}{\log x} \sum_{a \leq x} \frac{1}{a} = 1.$$

In particular,

$$\frac{1}{x \log x} \sum_{n \leq x} d(n) \leq \frac{1}{\log x} \sum_{a \leq x} \frac{1}{a} \rightarrow 1, \quad x \rightarrow \infty.$$

For a lower bound, we have

$$\frac{1}{\log x} \sum_{a \leq x} \frac{1}{a} - \frac{1}{x \log x} \sum_{a \leq x} \frac{1}{a} = \frac{1}{\log x} \sum_{a \leq x} \frac{x-1}{ax} \leq \frac{1}{\log x} \sum_{a \leq x} \left[\frac{x}{a}\right]$$

But

$$\frac{1}{x} + \frac{1}{x^2 \log x} \leq \frac{1}{x \log x} \sum_{a \leq x} \frac{1}{a} \leq \frac{1}{x} + \frac{1}{x \log x}$$

so letting  $x \rightarrow \infty$ ,

$$\lim_{x \rightarrow \infty} \frac{1}{x \log x} \sum_{a \leq x} \frac{1}{a} = 0$$

Hence also

$$1 \leq \lim_{x \rightarrow \infty} \frac{1}{x \log x} \sum_{n \leq x} d(n) \leq 1$$

giving the desired result.  $\square$

**Exercise 4.6** (E3.6). Let

$$\chi_4(n) = \begin{cases} (-1)^{\frac{n-1}{2}}, & n \text{ odd} \\ 0, & n \text{ even} \end{cases}.$$

Show that  $\chi_4$  is a Dirichlet character modulo 4 and find  $L(1, \chi_4)$ . Use the value to give (yet another) proof- based on the irrationality of  $\pi$  - that there are infinitely many primes. Hint: Remember (or prove by playing around with  $\arctan(1)$ ) that

$$\pi = 4 \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{2n-1}.$$

*Proof.* We must check 3 criteria for  $\chi_4$  to be a Dirichlet character mod 4.

(i) It must be 4-periodic. Now if  $n$  is even, then  $n+4$  is even, so then  $\chi_4(n+4) = 0 = \chi_4(n)$ .

If  $n$  is odd, then so is  $n+4$ , so

$$\chi_4(n+4) = (-1)^{\frac{n+4-1}{2}} = (-1)^{\frac{n-1}{2}+2} = (-1)^{\frac{n-1}{2}} = \chi_4(n).$$

So  $\chi_4$  is 4-periodic.

(ii) We must check that  $\chi_4(n) = 0$  if and only if  $(n, 4) \neq 1$ . Now,  $\chi_4(n) = 0$  if and only if  $n$  is even if and only if  $(n, 4) \in \{2, 4\}$  if and only if  $(n, 4) \neq 1$ .

(iii) We must check that  $\chi_4$  is multiplicative. Indeed, if either  $n$  or  $m$  is even, then

$$\chi_4(nm) = 0 = \chi(n)\chi(m).$$

If both  $n, m$  are odd, then

$$\chi_4(nm) = (-1)^{\frac{nm-1}{2}} = \begin{cases} -1, & nm \equiv 3 \pmod{4} \\ 1, & nm \equiv 1 \pmod{4} \end{cases}$$

Now, if  $n$  and  $m$  are both equivalent to 3 mod 4, then their product is equivalent to 1 mod 4, which works out. If only one is equivalent to 3 mod 4, then  $nm$  is also, so it checks out, and similarly, if both are equivalent to 1 mod 4, then so is their product. Now, by definition,

$$L(1, \chi_4) := \sum_{n=1}^{\infty} \frac{\chi_4(n)}{n} = \sum_{n=0}^{\infty} \frac{(-1)^n}{2n+1} = \arctan(1) = \frac{\pi}{4}$$

Now, since  $\chi_4 \neq \chi_0^4$ , we know that  $L(s, \chi_4)$  is convergent and analytic for  $\Re(s) > 0$ . In particular, it is continuous at  $s = 1$ . But for  $\Re(s) > 1$ , we know that  $L(s, \chi_4) = \prod_p (1 - \chi_4(p)p^{-s})^{-1}$ , so by continuity,

$$\frac{\pi}{4} = L(1, \chi_4) = \prod_p (1 - \chi_4(p)p^{-1})^{-1}$$

Now, all the terms in the product are rational, so by irrationality of  $\pi$ , this forces there to be infinitely many primes.  $\square$

**Exercise 4.7** (E3.7). Let  $\{a_n\}$  be a sequence of complex numbers satisfying that  $\sum_{n \leq x} a_n = O(x^\delta)$  for some  $\delta > 0$ . Prove that

$$\sum_{n=1}^{\infty} \frac{a_n}{n^s} = s \int_1^{\infty} \sum_{n \leq t} a_n \frac{1}{t^{s+1}} dt$$

for  $\Re(s) > \delta$ , and that the sum converges to an analytic function in this region.

*Proof.* Let  $f(x) = x^s$ . Then

$$\sum_{n \leq x} \frac{a_n}{n^s} = \sum_{n \leq x} a_n \frac{1}{x^s} + s \int_1^x \sum_{n \leq t} a_n \frac{1}{t^{s-1}} dt$$

when  $s \neq 1$ . But  $\left| \sum_{n \leq x} a_n \right| \leq Cx^\delta$ , so

$$\left| \sum_{n \leq x} a_n \frac{1}{x^s} \right| \leq Cx^{\delta-s} \rightarrow 0, \quad x \rightarrow \infty$$

as  $\delta - \sigma < 0$ . Thus

$$\sum_{n=1}^{\infty} \frac{a_n}{n^s} = s \int_1^{\infty} \sum_{n \leq t} a_n \frac{1}{t^{s+1}} dt.$$

□

## 5. WEEK 4

**Exercise 5.1** (E4.1). Let  $K \geq 0$ . Prove that

$$\log(K|t| + 4) = O(\log(|t| + 4))$$

for  $t \in \mathbb{R}$ . Let  $c_1, c_2, c_3 > 0$ . Prove that there exists a constant  $c_4$  such that for all  $t \in \mathbb{R}$ ,

$$c_1 + c_2 \log(|t| + 4) + c_3 \log(|2t| + 4) \leq c_4 \log(|t| + 4).$$

*Proof.* If  $0 \leq K \leq 1$ , then  $\log(K|t| + 4) \leq \log(|t| + 4)$  by monotonicity of  $\log$ . So assume  $K > 1$ . Then  $\log(K|t| + 4) = \log K + \log(|t| + \frac{4}{K}) \leq \log K + \log(|t| + 4)$ . Now  $\log(|t| + 4) > 1$ , so there exists some  $C$  such that  $C \log(|t| + 4) \geq \log K$ . Hence  $\log(K|t| + 4) = O(\log(|t| + 4))$ . Since  $c_1 + c_2 \log(|t| + 4) + c_3 \log(|2t| + 4)$  is a sum of terms that are all  $O(\log(|t| + 4))$ , so is their sum, so the conclusion holds. □

**Exercise 5.2** (E4.2). Let  $f(s)$  be a complex polynomial of degree  $n$  with complex zeroes  $z_1, z_2, \dots, z_n$ . Show that

$$\frac{f'}{f}(z) = \sum_{i=1}^n \frac{1}{z - z_i}.$$

Consider how Lemma 6.3 is a generalization of this.

*Proof.* Firstly,  $f'$  is entire, so  $\frac{f'}{f}$  is holomorphic on  $\mathbb{C} - \{z_1, \dots, z_n\}$ . Now, by Theorem 6.1 in KomAn, there exist unique functions  $g_i$  holomorphic on  $\mathbb{C} - \{z_1, \dots, z_n\}$  such that  $g_i(z_i) \neq 0$  and

$$f(z) = (z - z_i)^{n_i} g_i(z)$$

where  $n_i$  is the multiplicity of  $z_i$ . In particular,  $f'(z) = n_i(z - z_i)^{n_i-1} g_i(z) + (z - z_i)^{n_i} g'_i(z)$  which has  $z_i$  a zero of order  $n_i - 1$ . Hence  $\frac{f'}{f}$  has  $z_i$  as a simple pole.

Applying the partial fraction decomposition to  $\frac{f'}{f}$  (theorem 6.12 in KomAn), we get that

$$\frac{f'}{f}(z) = \sum_{i=1}^n \frac{c_i}{z - z_i}$$

for certain constants  $c_i$ . Now  $\lim_{z \rightarrow z_i} (z - z_i) \frac{f'}{f}(z) = c_i$ . Now,  $f$  is of degree  $n$  with  $n$  distinct zeroes, so  $n_i$  must be 1 for each  $i$ .

Now let us recall Lemma 6.3:

**Lemma 5.3** (6.3). Let  $f: B \rightarrow \mathbb{C}$  be analytic,  $B \subset \mathbb{C}$  open, and assume

- (1)  $\{z \mid |z| \leq 1\} \subset B$
- (2)  $|f(z)| \leq M$  when  $|z| \leq 1$
- (3)  $f(0) \neq 0$ .

Let  $0 < r < R < 1$ . Then for  $|z| < r$ ,

$$\frac{f'}{f}(z) = \sum_{\substack{f(z_k)=0 \\ |z_k| \leq R}} \frac{1}{z - z_k} + O\left(\log \frac{M}{|f(0)|}\right)$$

Note here that  $f$  is not required to be a polynomial. However, since  $f$  is holomorphic in  $B$ , it has an analytic representation on  $B$ , so essentially, Lemma 6.3 generalizes the representation to analytic functions.  $\square$

**Exercise 5.4** (E4.3). Show that the Riemann zeta function  $\zeta(s)$  has no zeroes for  $\frac{1}{2} \leq s < 1$ .

*Proof.* Recall that for  $\sigma > 0$  and  $s \neq 1$ , we have

$$\zeta(s) = \frac{s}{s-1} - s \int_1^\infty (u - [u]) u^{-s-1} du.$$

For  $s \in [\frac{1}{2}, 1)$ ,  $\frac{s}{s-1} \leq -1$ . So we wish to show that

$$s \int_1^\infty (u - [u]) u^{-s-1} du > -1$$

But

$$s \int_1^\infty (u - [u]) u^{-s-1} du$$

is positive since the inner function and  $s$  are both positive on  $[1, \infty)$ .  $\square$

**Exercise 5.5** (E4.4). Let  $\chi$  be a Dirichlet character modulo  $q$ . Find the Dirichlet series representation for  $L'(s, \chi)/L(s, \chi)$ . Let  $\chi_0$  be the trivial Dirichlet character modulo  $q$ . Prove that for  $\sigma > 1, t \in \mathbb{R}$ ,

$$R := \Re \left( -3 \frac{L'(\sigma, \chi_0)}{L(\sigma, \chi_0)} - 4 \frac{L'(\sigma + it, \chi)}{L(\sigma + it, \chi)} - \frac{L'(\sigma + i2t, \chi^2)}{L(\sigma + i2t, \chi^2)} \right) \geq 0.$$

*Proof.* We want to represent  $\frac{L'(s, \chi)}{L(s, \chi)}$  as a Dirichlet series. We imitate the idea for  $\frac{\zeta'}{\zeta}$ .



$$\begin{aligned}
\frac{L'(s, \chi)}{L(s, \chi)} &= \frac{d}{ds} \log(L(s, \chi)) \\
&= - \sum_p \frac{d}{ds} \log \left( 1 - \frac{\chi(p)}{p^s} \right) \\
&= - \sum_p \frac{d}{ds} \sum_{k=1}^{\infty} (-1)^{k+1} \left( -\frac{\chi(p)}{p^s} \right)^k \\
&= \sum_p \sum_{k=1}^{\infty} \frac{d}{ds} \left( \frac{\chi(p)}{p^s} \right)^k \\
&= \sum_p \sum_{k=1}^{\infty} \chi(p)^k (-k \log p) p^{-sk} \\
&= - \sum_p \sum_{k=1}^{\infty} k \log p \left( \frac{\chi(p)}{p^s} \right)^k
\end{aligned}$$

Thus We want to find  $\Re \left( \left( \frac{\chi(p)}{p^s} \right)^k \right)$ . We have

$$\begin{aligned}
\Re \left( \left( \frac{\chi(p)}{p^s} \right)^k \right) &= \frac{1}{2} \left[ \left( \frac{\chi(p)}{p^s} \right)^k + \left( \overline{\frac{\chi(p)}{p^s}} \right)^k \right] \\
&=
\end{aligned}$$

$$\Re \left( -\frac{L'(s, \chi)}{L(s, \chi)} \right) = \sum_p \sum_{k=1}^{\infty} k \log p \cos(tk \log p).$$

So

□

**Exercise 5.6** (E4.5). Let  $\zeta(s)$  be the Riemann zeta function. Let  $K$  be a compact subset of  $\{s \in \mathbb{C} \mid \Re(s) > 0\}$ . Assume that  $1 \in K$  and that  $K$  does not contain any zeroes of  $\zeta$ . Show that

$$-\frac{\zeta'}{\zeta}(s) = \frac{1}{s-1} + O(1)$$

for  $s \in K - \{1\}$ . Show that there exists a constant  $c > 0$  such that for  $0 < \delta < 1$ ,

$$-\frac{\zeta'}{\zeta}(1+\delta) < \frac{1}{\delta} + c.$$

*Proof.* Since 1 is a simple pole of  $\frac{\zeta'}{\zeta}$  and  $K$  has no other zeroes of  $\zeta$  and hence neither of  $\zeta'$ , we have that

$$-(s-1) \frac{\zeta'}{\zeta}(s)$$

is holomorphic on  $K$ , hence bounded as  $K$  is compact. Thus

$$-\frac{\zeta'}{\zeta}(s) = \frac{1}{s-1} + O(1)$$

for  $s \in K - \{1\}$ . Thus for small  $0 < \delta < 1$  such that  $1 + \delta \in K - \{1\}$ ,

$$-\frac{\zeta'}{\zeta}(1 + \delta) < \frac{1}{\delta} + c$$

for some  $c > 0$ . □

**Exercise 5.7** (E4.6). Use partial summation (Abel summation) to show that for  $\sigma > 1$ ,

$$-\frac{\zeta'}{\zeta}(s) = s \int_1^\infty \frac{\psi(x)}{x^{s+1}} dx$$

where  $\psi(x) = \sum_{n \leq x} \Lambda(n)$ , and  $\Lambda$  is the von Mangoldt function.

*Proof.* Recall that

$$-\frac{\zeta'}{\zeta}(s) = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^s}$$

for  $\sigma = \Re(s) > 1$ .

Let  $f(x) = \frac{1}{x^s}$  and  $a_n = \Lambda(n)$ . Partial summation gives

$$\sum_{n \leq x} \frac{\Lambda(n)}{n^s} = \underbrace{\sum_{n \leq x} \Lambda(n)}_{\psi(x)} \frac{1}{x^s} + s \int_1^x \underbrace{\sum_{n \leq t} \Lambda(n)}_{\psi(t)} \frac{1}{t^{s+1}} dt$$

By the prime number theorem,

$$\psi(x) = x + O\left(\frac{x}{e^{c'\sqrt{\log x}}}\right)$$

so

$$\frac{\psi(x)}{x^s} \rightarrow 0, \quad x \rightarrow \infty$$

Thus

$$-\frac{\zeta'}{\zeta}(s) = s \int_1^\infty \frac{\psi(t)}{t^{s+1}} dt$$

for  $\sigma > 1$ . □

## 6. WEEK 5

**Exercise 6.1** (E5.1). Show that

$$x \exp\left(-c\sqrt{\log x}\right) = O_m\left(\frac{x}{\log^m x}\right)$$

for every  $m$ , and that

$$x^{1-\varepsilon} = O_\varepsilon\left(x \exp\left(-c\sqrt{\log x}\right)\right)$$

for every  $\varepsilon > 0$ . Discuss what this means for the quality of the error-term in the prime number theorem.

*Proof.*

$$\frac{\log^m x}{e^{c\sqrt{\log x}}} = \frac{\sqrt{\log x}^{-2m}}{e^{c\sqrt{\log x}}}$$

Now

**Lemma 6.2.** *For any  $a > 0$  and any  $b > 1$ ,*

$$\frac{x^a}{b^x} \rightarrow 0, \quad x \rightarrow \infty.$$

Let  $v = \sqrt{\log x}$ . Then the above reads  $\frac{v^{2m}}{e^{cv}}$ . Assuming  $c > 0$ , we find that for  $v \rightarrow \infty$ ,  $\frac{v^{2m}}{e^{cv}} \rightarrow 0$ . So in fact,

$$x \exp(-c\sqrt{\log x}) = o\left(\frac{x}{\log^m x}\right)$$

Now

$$x^{1-\varepsilon} = xx^{-\varepsilon} = xe^{-\log(x)\varepsilon} \leq xe^{-c\sqrt{\log x}}.$$

Recall that we proved the following version of the prime number theorem:

**Theorem 6.3** (Prime number theorem). *There exists a  $c' > 0$  such that*

$$\psi(x) = x + O\left(x \exp(-c'\sqrt{\log x})\right)$$

So by the above,

$$\psi(x) = x + O_m\left(\frac{x}{\log^m(x)}\right)$$

So essentially, the error term is smaller than  $\frac{x}{\log^m(x)}$  for any  $x$  but still larger than  $x^{1-\varepsilon}$  for any  $\varepsilon > 0$ .  $\square$

**Exercise 6.4** (E5.2). Prove that the following two statements are equivalent:

- (1) There exists a  $c > 0$  such that

$$\psi(x) = x + O\left(x \exp(-c\sqrt{\log x})\right)$$

- (2) There exists a  $c > 0$  such that

$$\pi(x) = li(x) + O\left(x \exp(-c\sqrt{\log x})\right)$$

$$\text{where } li(x) = \int_2^x \frac{1}{\log t} dt.$$

*Proof.* Suppose (1) is true. Then

$$\begin{aligned} \pi(x) &= \frac{\psi(x)}{\log x} + \int_2^x \frac{\psi(t)}{t \log^2 t} dt + O\left(x^{\frac{1}{2}} \log x\right) \\ &= \frac{x}{\log x} + O\left(\frac{x}{\log x} \exp(-c\sqrt{\log x})\right) + \int_2^x \frac{1}{\log^2 t} + O\left(\frac{1}{\log^2 t \exp(c\sqrt{\log t})}\right) dt + O\left(x^{\frac{1}{2}} \log x\right) \end{aligned}$$

Now

$$\int_2^x \frac{1}{\log^2 t} dt = -\frac{t}{\log t} \Big|_2^x + li(x)$$

giving

$$\pi(x) = li(x) + \frac{2}{\log 2} + O\left(\frac{x}{\log x} e^{-c\sqrt{\log x}}\right) + \int_2^x O\left(\frac{e^{-c\sqrt{\log t}}}{\log^2 t}\right) dt + O\left(x^{\frac{1}{2}} \log x\right)$$

All the middle terms apart from the last two are clearly  $O\left(xe^{-c\sqrt{\log x}}\right)$ . To take care of the last term, we use the lemma:

**Lemma 6.5.** For any  $a > 0$ ,

$$\frac{\log x}{x^a} \rightarrow 0, \quad x \rightarrow \infty$$

Hence  $x^{\frac{1}{2}} \log x = O\left(x^{\frac{3}{4}}\right) = O\left(xe^{-c'\sqrt{\log x}}\right)$ .

For the last part

$$\int_2^x O\left(\frac{e^{-c\sqrt{\log t}}}{\log^2 t}\right) dt \leq$$

Note that the derivative of  $xe^{-c\sqrt{\log x}}$  is

$$e^{-c\sqrt{\log x}} - c \frac{d}{dx} \left[ \sqrt{\log x} \right] e^{-c\sqrt{\log x}} = e^{-c\sqrt{\log x}} - c \frac{1}{2} \frac{1}{x} \frac{1}{\sqrt{\log x}} e^{-c\sqrt{\log x}}$$

But as  $x \rightarrow \infty$ , this grows faster than  $\frac{e^{-c\sqrt{\log x}}}{\log^2 x}$ , which is what we wanted.

Now we want to show that (2) implies (1). So assume there exists a  $c > 0$  such that

$$\pi(x) = li(x) + O\left(x \exp\left(-c\sqrt{\log x}\right)\right).$$

Then recall that

$$\psi(x) = \pi(x) \log x - \int_2^x \frac{\pi(t)}{t} dt - O\left(x^{\frac{1}{2}} \log^2 x\right)$$

So

$$\psi(x) = li(x) \log x + \log x O\left(xe^{-c\sqrt{\log x}}\right) - \int_2^x \frac{li(t)}{t} dt - \int_2^x O\left(e^{-c\sqrt{\log t}}\right) dt - O\left(x^{\frac{1}{2}} \log^2 x\right)$$

Now, by repeated integration by parts, we get

$$\begin{aligned} li(x) &= \frac{t}{\log t} \Big|_2^x + \int_2^x \frac{1}{\log^2 t} dt \\ &= \frac{t}{\log t} \Big|_2^x + \left[ \frac{t}{\log^2 t} \Big|_2^x + 2 \int_2^x \frac{1}{\log^3 t} dt \right] \\ &= \frac{t}{\log t} + \frac{t}{\log^2 t} \Big|_2^x + 2 \left[ \frac{t}{\log^3 t} \Big|_2^x + 3 \int_2^x \frac{1}{\log^4 t} dt \right] \\ &= x \sum_{r=1}^{k-1} \frac{(r-1)!}{\log^r x} + (k-1)! \int_2^x \frac{1}{\log^k t} dt \end{aligned}$$

□

**Exercise 6.6** (E5.3). Let  $f$  be a Schwartz function on the real line, and let  $\hat{f}$  be its Fourier transform. Show that

$$\sum_{n \in \mathbb{Z}} f\left(\frac{v+n}{t}\right) = \sum_{n \in \mathbb{Z}} |t| \hat{f}(nt) e^{2\pi i n v}.$$

*Proof.* For a Schwartz function  $f$ , we know from the Poisson summation formula that

$$\sum_{n \in \mathbb{Z}} f(n) = \sum_{n \in \mathbb{Z}} \hat{f}(n),$$

where

$$\hat{f}(y) = \int_{-\infty}^{\infty} e^{-2\pi i x y} f(x) dx$$

Define  $g(x) = f\left(\frac{v+x}{t}\right)$ . Then  $g$  is also a Schwartz function, so

$$\sum_{n \in \mathbb{Z}} g(n) = \sum_{n \in \mathbb{Z}} \int_{-\infty}^{\infty} e^{-2\pi i n x} f\left(\frac{v+x}{t}\right) dx$$

Let  $z = \frac{v+x}{t}$ . Then  $dz = \frac{1}{|t|} dx$ , so

$$\sum_{n \in \mathbb{Z}} f\left(\frac{v+n}{t}\right) = \sum_{n \in \mathbb{Z}} g(n) = \sum_{n \in \mathbb{Z}} |t| e^{-2\pi i n(tz-v)} f(z) dz = \sum_{n \in \mathbb{Z}} |t| \hat{f}(nt) e^{2\pi i n v}$$

□

**Exercise 6.7** (E5.4). Let  $\theta > \frac{1}{2}$ . Prove that if for every  $\varepsilon > 0$ ,  $\psi(x) = x + O(x^{\theta+\varepsilon})$ , then the Riemann zeta function has no zeroes in  $\Re(s) > \theta$ . (It turns out that this is in fact an 'if and only if statement'). Think about what this implies for the Riemann hypothesis. Compare with the zero-free region provided by Theorem 6.6.

*Proof.* By the explicit formula, if we simply let  $x$  range among  $\mathbb{R} - \mathbb{Z}$ , then we have

$$O(x^{\theta+\varepsilon}) = \lim_{T \rightarrow \infty} \sum_{\substack{\zeta(\rho)=0 \\ |\operatorname{Im} \rho| \leq T}} \frac{x^\rho}{\rho} + \frac{\zeta'}{\zeta}(0) + \frac{1}{2} \log \left(1 - \frac{1}{x^2}\right),$$

however, if there is a  $\rho$  with  $\Re(\rho) > \theta$ , then choosing  $\varepsilon$  such that  $\theta < \varepsilon < \Re(\rho)$ , we get that the right hand side grows faster, giving a contradiction.

Hence the Riemann hypothesis can be reformulated as saying that for any  $\varepsilon > 0$ ,

$$\psi(x) = x + O(x^{\frac{1}{2}+\varepsilon}).$$

Now, any  $\theta$  would, of course, be a very strong improvement combined with the zero-free region. This is because the zero-free region tapers off as the imaginary part grows in size, while finding a  $\theta$  such that the above holds would imply, as shown, that we can shrink the critical strip to a narrower strip. □

**Exercise 6.8** (E5.5). Let  $p_n$  be the  $n$  th prime. Show that

$$\frac{1}{N} \sum_{n=1}^N \frac{p_{n+1} - p_n}{\log p_n} \rightarrow 1$$

as  $N \rightarrow \infty$ , and discuss how to interpret this as a statement about the average spacing between adjacent primes.

*Proof.* By Abel summation, we have

$$\sum_{n \leq x} \frac{p_{n+1} - p_n}{\log p_n} = \frac{p_{[x]+1} - 2}{\log x} - \int_1^x \frac{p_{[t]+1} - 2}{\log t} dt$$

$$\sum_{n \leq x} \frac{p_n}{\log p_n} = \sum_{n \leq x} p_n \frac{1}{\log x} - \int_1^x \sum_{n \leq t} p_n \frac{1}{\log t} dt$$

And similarly

$$\sum_{n \leq x} \frac{p_{n+1}}{\log p_n} = \sum_{n \leq x} p_{n+1} \frac{1}{\log x} - \int_1^x \sum_{n \leq t} p_{n+1} \frac{1}{\log t} dt$$

Hence

$$\begin{aligned} \sum_{n \leq x} \frac{p_{n+1} - p_n}{\log p_n} &= \frac{1}{\log x} \sum_{n \leq x} p_{n+1} - p_n - \int_1^x \frac{1}{\log t} \sum_{n \leq t} (p_{n+1} - p_n) dt \\ &= \frac{p_{[x]+1} - 2}{\log x} - \int_1^x \frac{p_{[t]+1} - 2}{\log t} dt \end{aligned}$$

Now  $\frac{p_n}{n \log n} \rightarrow 1$  as  $n \rightarrow \infty$ , so  $\frac{p_{n+1}}{n \log n} = \frac{p_{n+1}}{(n+1) \log(n+1)} \frac{(n+1) \log(n+1)}{n \log n} \rightarrow 1$  as  $n \rightarrow \infty$ . So we will get the result if we can show that

$$\lim_{n \rightarrow \infty} \frac{1}{n} \int_1^n \frac{p_{[t]+1} - 2}{\log t} dt = 0.$$

By the PNT, we have

$$p_n \sim n \log n.$$

So

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n \geq N} \frac{p_{n+1} - p_n}{\log p_n} = \lim_{N \rightarrow \infty} \sum_{n \geq N} \frac{(1 + \frac{1}{n}) \log(n+1) - \log n}{\log n + \log \log n} =$$

□

## 7. WEEK 6

**Exercise 7.1** (E6.1). Show, using Corollary 10.3, that  $\zeta(s)$  admits meromorphic continuation to  $s \in \mathbb{C}$ . Show that  $\zeta(-2n) = 0$  for  $n \in \mathbb{N}$  (we call these zeroes *trivial*), and that all the non-trivial zeroes of  $\zeta(s)$  lie in  $0 < \Re(s) < 1$ .

*Proof.* Here is Corollary 10.3:

**Corollary 7.2** (10.3). *The function*

$$\xi(s) = \frac{1}{2} s(s-1) \zeta(s) \Gamma\left(\frac{s}{2}\right) \pi^{-\frac{s}{2}}$$

*is entire, and  $\xi(s) = \xi(1-s)$  for all  $s$ .*

Hence we can define  $\zeta$  as

$$\zeta(s) = \frac{2\pi^{\frac{s}{2}} \xi(s)}{s(s-1) \Gamma(\frac{s}{2})}$$

But using this, we see that as a product and quotient of meromorphic functions,  $\zeta$  is also meromorphic. In particular, we know that  $\Gamma(s)$  has poles at  $0, -1, -2, -3, \dots$ , which implies that  $\zeta$  has zeroes at  $-2, -4, -6, \dots$  (to see this formally, use Theorem 6.1 in KomAn). These are the trivial zeroes. Now, by the Euler product of  $\zeta$ , it has no zeroes in  $\Re(s) > 1$ . We also claim that  $\zeta$  has no other zeroes apart from the nontrivial zeroes on  $\Re(s) < 0$ . Indeed, the poles from  $\Gamma$  has already been accounted for, and note that  $\xi$  has no zeroes in  $\Re(s) < 0$  since then it would, by its functional equation, have zeroes in  $\Re(s) > 0$ , thus giving a zero in  $\Re(s) > 0$  to

$\zeta$  also. Furthermore, it has no zeroes on  $\Re(s) = 1$  by the zero-free region, and by symmetry of the functional equation stemming from the symmetry of  $\xi$ , it also has no zeroes on  $\Re(s) = 0$ .  $\square$

**Exercise 7.3** (E6.2). Find all poles of  $\zeta(s)$ , and show that if  $\rho$  is a non-trivial zero of  $\zeta(s)$  then  $1 - \rho$  and  $\bar{\rho}$  are zeros of  $\zeta(s)$ .

*Proof.* Since  $\xi$  is analytic, all poles must stem from zeroes of  $s(s-1)\Gamma(\frac{s}{2})$ . Now,  $\Gamma$  has no zeroes by a theorem, hence it does not give rise to any poles, so the only possible poles are 0 and 1. We know that it has a pole at 1 by its series representation/Landau's lemma, and thus by the functional equation of  $\xi$ , since  $\xi(1) = \xi(0)$  and  $\xi(1) \neq 0$  since  $(s-1)$  cancels with the pole from  $\xi$ , then also  $\xi(0) \neq 0$ , so  $s$  must cancel. Now,  $\Gamma$  has a simple pole at 0, hence this cancels with  $s$ . Since  $\xi$  has no zeroes,  $\zeta$  can therefore not have a pole at 0. Concluding,  $\zeta$  only has a single pole at 1 which is simple.

Now, if  $\rho$  is a non-trivial zero, then it lies in  $0 < \Re(s) < 1$ , so also  $1 - \rho \in 0 < \Re(s) < 1$ . In particular, since  $\rho$  lies in the critical strip, it does not arise from a pole of  $\Gamma$ , hence it must arise from a zero of  $\xi(s)$ , and thus also  $\xi(1 - \rho) = \xi(\rho) = 0$  by the functional equation. This shows that  $1 - \rho$  is then also a zero. Now, note that on  $\zeta$  is at least real valued on  $(0, 1)$  since on here,  $\zeta$  has the representation

$$\zeta(s) = \frac{s}{s-1} + s \int_1^\infty ([t] - t) t^{-s-1} dt$$

which in particular, is real valued on  $(0, 1)$ . Thus  $\zeta$  is reflection invariant everywhere by exercise 6.3 in KomAn. I.e.,  $\overline{\zeta(s)} = \zeta(\bar{s})$ . So if  $\rho$  is a zero, then so is  $\bar{\rho}$ .  $\square$

Recall that  $\theta(u) = \sum_{n \in \mathbb{Z}} e^{-\pi n^2 u}$  for  $\Re(u) > 0$ .

**Exercise 7.4** (E6.3). Show that  $\theta(u) = 1 + O(e^{-\pi u})$  for  $u \in (1, \infty)$ .

*Proof.* We have  $\theta(u) - 1 = 2 \sum_{n=1}^\infty e^{-\pi n^2 u} \leq 2 \sum_{n=1}^\infty \frac{1}{2^{n-1}} e^{-\pi u} = 4e^{-\pi u}$  since  $\frac{1}{e^{\pi u(n^2-1)}} \leq \frac{1}{2^{n-1}}$  for  $u > 1$  and  $n > 1$ .  $\square$

**Exercise 7.5** (E6.4). Show that for  $u$  real,  $\theta(u) \sim \sqrt{u}^{-1}$  when  $u \rightarrow 0$ .

*Proof.* Saying that  $\theta(u) \sim \sqrt{u}^{-1}$  as  $u \rightarrow 0$  is the statement that  $\sqrt{u}\theta(u) \rightarrow 1$  as  $u \rightarrow 0$ . But recall that  $\theta(\frac{1}{u}) = \sqrt{u}\theta(u)$ . So the statement is precisely that  $\theta(\frac{1}{u}) \rightarrow 1$  as  $u \rightarrow 0$ . Now

$$\theta\left(\frac{1}{u}\right) = 1 + 2 \sum_{n=1}^\infty e^{-\pi n^2 \frac{1}{u}}$$

and

$$\lim_{u \rightarrow 0} \theta\left(\frac{1}{u}\right) = 1 + 2 \sum_{n=1}^\infty \lim_{u \rightarrow 0} e^{-\pi n^2 \frac{1}{u}} = 1.$$

Interchange of limit with sum here is possible because of the dominated convergence theorem (note that sums are just integrals with respect to the counting measure on  $\mathbb{N}$ ).  $\square$

**Exercise 7.6** (E6.5). Show that if  $\Re(s) > 1$ , then  $\int_0^\infty (\theta(u) - 1) u^{\frac{s}{2}-1} du$  is convergent.

*Proof.* As a function of  $s$ ,  $(\theta(u) - 1)u^{\frac{s}{2}-1}$  is holomorphic for  $\Re(s) > 1$ , so by theorem 4.20 in KomAn,

$$\int_a^b (\theta(u) - 1) u^{\frac{s}{2}-1} du$$

is convergent and holomorphic for  $a, b \geq 1$ . Now, since  $\theta(\frac{1}{u}) = \sqrt{u}\theta(u)$ , it follows that  $\theta$  is also convergent on  $(0, 1]$ . It remains to show that the integral is convergent when we take  $a \rightarrow 0+$  and  $b \rightarrow \infty$ . Let  $a > 1$ . Then

$$\int_a^b (\theta(u) - 1) u^{\frac{s}{2}-1} du = \int_a^b O(e^{-\pi u} u^{\frac{s}{2}-1}) du$$

But  $O(e^{-\pi u} u^{\frac{s}{2}-1}) = O(\frac{1}{u^2})$ , so

$$\int_a^b O(e^{-\pi u} u^{\frac{s}{2}-1}) du \leq -\frac{1}{u} \Big|_a^b = \frac{1}{a} - \frac{1}{b} \rightarrow \frac{1}{a}, \quad \text{as } b \rightarrow \infty$$

Since the integral is also a monotone function, it converges. Secondly, we must show that

$$\lim_{a \rightarrow 0+} \int_a^\infty (\theta(u) - 1) u^{\frac{s}{2}-1} du$$

exists.

But  $\theta(u) \sim \sqrt{u}^{-1}$  as  $u \rightarrow 0$ , so for some  $\delta > 0$ , we have

$$\left| \int_0^\delta (\theta(u) - 1) u^{\frac{s}{2}-1} du \right| \leq \left| \int_0^\delta \left( \frac{1}{\sqrt{u}} - 1 \right) u^{\frac{s}{2}-1} du \right| + \varepsilon < \infty$$

because  $\Re(s) > 1$ . Hence the integral converges.  $\square$

**Exercise 7.7** (E6.6). Find the value  $\zeta(0)$ .

*Solution.* We have

$$\zeta(0) = \frac{\xi(s)}{-\frac{1}{2}s(1-s)\pi^{-\frac{s}{2}}\Gamma(\frac{s}{2})} \Big|_{s=0}$$

Now, the pole at 0 for  $\Gamma$  cancels with  $s$ , so since  $s\Gamma(s) = \Gamma(s+1)$ , we have  $\Gamma(\frac{s}{2}) = \Gamma(\frac{s}{2} + 1) \frac{2}{s}$ , so we find

$$\zeta(0) = \frac{\xi(0)}{-\frac{1}{2}\Gamma(1)2} = -\frac{\xi(0)}{\Gamma(1)} = -\xi(0) = -\xi(1) = \frac{1}{2}s(1-s)\pi^{-\frac{s}{2}}\Gamma(\frac{s}{2})\zeta(s) \Big|_{s=1}$$

Now in this case,  $(1-s)$  cancels with the pole of  $\zeta$  at 1. Now, around 1, we have

$$(1-s)\zeta(s) = -s + s(1-s) \int_1^\infty ([t] - t) t^{-s-1} dt$$

so  $(1-s)\zeta(s) \Big|_{s=1} = -1$ . Hence

$$\zeta(0) = -\frac{1}{2}\pi^{-\frac{1}{2}}\Gamma(\frac{1}{2})$$

But  $\Gamma(\frac{1}{2}) = \pi^{\frac{1}{2}}$ , so

$$\zeta(0) = -\frac{1}{2}.$$

$\square$

**Exercise 7.8** (E6.7). The table defines a Dirichlet character  $\chi \bmod 14$ . Find the conductor  $d$  of  $\chi$  and find a primitive  $\chi_1 \bmod d$  such that  $\chi = \chi_1 \chi_0^{14}$ .



TABLE 1. caption

n	1	2	3	4	5	6	7	8	9	10	11	12	13	14
$\chi(n)$	1	0	-1	0	-1	0	0	0	1	0	1	0	-1	0

*Solution.* The conductor is the smallest pseudo-period. Recall that the conductor must divide the period, so  $d \mid 14$ . Hence there are 4 possibilities for the value of  $d$ : 1, 2, 7, 14. However, since  $\chi$  takes both the values 1 and  $-1$ , it cannot be 1. Now, also  $1 \equiv 3 \pmod{2}$  and  $(3, 14) = 1$ , however,  $\chi(1) = 1 \neq -1 = \chi(3)$ , so also 2 is not a pseudo-period. Now, for any two numbers equivalent modulo 7 in the list, one is even while the other is odd, hence the product cannot be relatively prime to 14. This implies that the condition for being a pseudo-prime is trivially satisfied, so 7 is the conductor. Next, we must find a primitive  $\chi_1 \pmod{7}$  such that  $\chi = \chi_1 \chi_0^{14}$ . Recall that the definition of  $\chi_1$  is essentially forced to be  $\chi_1(n) = \chi(n)$  whenever  $(n, 14) = 1$  which is for 1, 3 and 5 modulo 7. For 0, 2, 4, 6 modulo 7, we let  $\chi_1(n) = \chi(n + 7k)$  such that  $(n + 7k, 14) = 1$ , so either  $k = 0$  or  $k = 1$ . Hence  $\chi_1(0) = 0, \chi_1(2) = \chi(9) = 1, \chi_1(4) = \chi(11) = 1, \chi_1(6) = \chi(13) = -1$ , and then extend this 7-periodically. Then  $\chi = \chi_1 \chi_0^{14}$ .  $\square$

**Exercise 7.9** (E6.9). Show that a character  $\chi \pmod{q}$  is real, i.e., has real values, if and only if  $\chi^2 = \chi_0^q$ . We call a character *quadratic* if  $\chi^2 = \chi_0^q$ , but  $\chi \neq \chi_0^q$ . Show that if  $\chi \pmod{q}$  is real and  $\chi(-1) = 1$ , then the Gauss symbol  $\tau(\chi)$  is also real. What happens if  $\chi(-1) = -1$ ?

*Solution.* We have  $\chi\bar{\chi} = \chi_0^q$  since  $\bar{\chi}$  forms the inverse of  $\chi$  in the group of Dirichlet characters modulo  $q$ . If  $\chi$  is real, then clearly  $\chi^2 = \chi\bar{\chi} = \chi_0^q$ . Conversely, if  $\chi^2 = \chi_0^q = \chi\bar{\chi}$ , then since these form a group, taking the inverse on both sides, we get  $\chi = \bar{\chi}$ , hence  $\chi$  is real-valued.

Now, recall that

$$\overline{\tau(\chi)} = \chi(-1)\tau(\bar{\chi}),$$

so if  $\chi$  is real and  $\chi(-1) = 1$ , then we simply get  $\overline{\tau(\chi)} = \tau(\chi)$ , so  $\tau(\chi)$  is real. If  $\chi(-1) = -1$ , we get  $\overline{\tau(\chi)} = -\tau(\chi)$  which means that  $\tau(\chi)$  is purely imaginary.

## 8. WEEK 7

**Exercise 8.1** (E7.1). Let  $\chi \pmod{q}$  be the Legendre symbol. I.e.,

$$\chi(m) = \begin{cases} 0, & \text{if } 5 \mid m \\ 1, & \text{if } m \equiv a^2 \pmod{5} \\ -1, & \text{if } m \not\equiv a^2 \pmod{5} \end{cases}$$

Show that  $\chi$  is primitive and calculate the Gauss sum  $\tau(\chi)$ .

*Solution.* A character is primitive if and only if its conductor is its period. Here the period of  $\chi$  is 5. Since the conductor is a divisor of the period, the conductor is either 1 or 5. Since  $\chi$  takes both values 1 and  $-1$ , its conductor must be 5, hence  $\chi$  is primitive.

To calculate the Gauss sum, we have

$$\begin{aligned}
 \tau(\chi) &= \sum_{a=1}^5 \chi(a) e\left(\frac{a}{5}\right) = e\left(\frac{1}{5}\right) - e\left(\frac{2}{5}\right) - e\left(\frac{3}{5}\right) + e\left(\frac{4}{5}\right) \\
 &= -1 - 2 \left[ e^{2\pi i \frac{2}{5}} + e^{2\pi i \frac{3}{5}} \right] \\
 &= -1 - 4 \cos\left(\frac{4\pi}{5}\right) \\
 &= \sqrt{5}
 \end{aligned}$$

**Exercise 8.2** (E7.2). Let  $\chi$  be a primitive Dirichlet character modulo  $q > 1$ . Show that

$$L\left(\frac{1}{2}, \chi\right) = O\left(q^{\frac{1}{4}} \sqrt{\log q}\right).$$

*Proof.* We have

$$\begin{aligned}
 L\left(\frac{1}{2}, \chi\right) &= \sum_{n=1}^{\infty} \frac{\chi(n)}{\sqrt{n}} \\
 &= \sum_{n \leq x} \frac{\chi(n)}{\sqrt{n}} + \sum_{n > x} \frac{\chi(n)}{\sqrt{n}}
 \end{aligned}$$

Firstly, we have

$$\sum_{n \leq x} \frac{\chi(n)}{\sqrt{n}} = \sum_{n \leq x} \chi(n) \frac{1}{\sqrt{x}} + \frac{1}{2} \int_1^x \sum_{n \leq t} \chi(n) t^{-\frac{3}{2}} dt$$

Now, using Polya-Vinogradov, we have

$$\sum_{n \leq x} \chi(n) = O(\sqrt{q} \log q)$$

so for  $x$  sufficiently large, we have  $\sum_{n \leq x} \chi(n) \frac{1}{\sqrt{x}} \leq q^{\frac{1}{4}} \sqrt{\log q}$ . Now

$$-\sqrt{q} \log q \frac{1}{\sqrt{t}} \Big|_1^x = \sqrt{q} \log q - \sqrt{q} \log q \frac{1}{\sqrt{x}}$$

Alternatively,

$$\begin{aligned}
 \sum_{n > x} \frac{\chi(n)}{\sqrt{n}} &= \sum_{n \leq x} \chi(n) \frac{1}{\sqrt{x}} \Big|_x^{\infty} + \frac{1}{2} \int_x^{\infty} \sum_{n \leq t} \chi(n) t^{-\frac{3}{2}} dt \\
 &= - \sum_{n \leq x} \chi(n) \frac{1}{\sqrt{x}} - O(\sqrt{q} \log q) \left[ \frac{1}{\sqrt{t}} \right]_x^{\infty} \\
 &= O\left(\sqrt{q} \log q \frac{1}{\sqrt{x}}\right) \\
 \sum_{n \leq x} \frac{\chi(n)}{\sqrt{n}} &\leq \int_1^x \frac{\chi([t])}{\sqrt{t-1}} dt
 \end{aligned}$$

□

**Exercise 8.3** (E7.3). Let  $f$  be a sufficiently nice real function on  $\mathbb{R}$ , e.g., a Schwartz function. Show that the Fourier transform of  $f'$  is  $2\pi iy\hat{f}(y)$ . Let  $u > 0$ . Show that the Fourier transform of  $xe^{-\pi u(qx)^2}$  is

$$-\frac{iy}{\left(u^{\frac{1}{2}}q\right)^3}e^{-\pi u^{-1}\left(\frac{y}{q}\right)^2}.$$

*Proof.*

$$\begin{aligned}\hat{f}'(y) &= \int_{-\infty}^{\infty} e^{-2\pi ixy} f'(x) dx \\ &= \underbrace{e^{-2\pi ixy} f(x)}_{\text{modulus 1}} \Big|_{-\infty}^{\infty} + (2\pi iy) \underbrace{\int_{-\infty}^{\infty} e^{-2\pi ixy} f(x) dx}_{=\hat{f}(y)}.\end{aligned}$$

Now, let  $f(x) = -\frac{1}{2\pi uq^2}e^{-\pi u(qx)^2}$ . Then  $f'(x) = xe^{-\pi u(qx)^2}$ . So by the above  $\hat{f}'(y) = 2\pi iy\hat{f}(y)$ . Now

$$\begin{aligned}\hat{f}'(y) &= -\frac{2\pi iy}{2\pi uq^2} \int_{-\infty}^{\infty} e^{-2\pi ixy} e^{-\pi u(qx)^2} dx \\ &= -\frac{iy}{uq^2} e^{-\pi\left(\frac{y}{q\sqrt{u}}\right)^2} \int_{-\infty}^{\infty} e^{-\pi\left[u(qx)^2 + 2ixy - \left(\frac{y}{q\sqrt{u}}\right)^2\right]} dx\end{aligned}$$

Let  $w = \left(\sqrt{u}qx + \frac{iy}{q\sqrt{u}}\right)$ . Then  $dw = \sqrt{u}qdx$ , so

$$\begin{aligned}-\frac{iy}{uq^2} e^{-\pi\left(\frac{y}{q\sqrt{u}}\right)^2} \int_{-\infty}^{\infty} e^{-\pi\left[u(qx)^2 + 2ixy - \left(\frac{y}{q\sqrt{u}}\right)^2\right]} dx &= -\frac{iy}{u^{\frac{3}{2}}q^3} e^{-\pi\left(\frac{y}{q\sqrt{u}}\right)^2} \underbrace{\int_{-\infty}^{\infty} e^{-\pi w^2} dw}_{=1} \\ &= \frac{-iy}{\left(u^{\frac{1}{2}}q\right)^3} e^{-\pi u^{-1}\left(\frac{y}{q}\right)^2}\end{aligned}$$

□

**Exercise 8.4** (E7.4). Let  $\chi$  be an odd primitive character (meaning  $\chi(-1) = -1$ ). Define

$$\vartheta_{\chi}(u) := \sum_{n \in \mathbb{Z}} n \chi(n) e^{-\pi n^2 u}.$$

Show that

$$\vartheta_{\chi}(u) = \frac{\tau(\chi)}{iq^2 u^{\frac{3}{2}}} \vartheta_{\bar{\chi}}\left(\frac{1}{q^2 u}\right)$$

*Proof.* Recall from Theorem 12.2 that

$$\sum_{n \in \mathbb{Z}} \chi(n) f\left(\frac{n}{q}\right) = \tau(\chi) \sum_{n \in \mathbb{Z}} \overline{\chi(n)} \hat{f}(n).$$

Letting  $f(x) = qx e^{-\pi(qx)^2 u}$ , we find that

$$\begin{aligned}\vartheta_\chi(u) &= \sum_{n \in \mathbb{Z}} \chi(n) f\left(\frac{n}{q}\right) = \tau(\chi) \sum_{n \in \mathbb{Z}} \overline{\chi(n)} \left( -\frac{inq}{\left(u^{\frac{1}{2}}q\right)^3} e^{-\pi u^{-1}\left(\frac{n}{q}\right)^2} \right) \\ &= \frac{\tau(\chi)}{iq^2 u^{\frac{3}{2}}} \sum_{n \in \mathbb{Z}} \overline{\chi(n)} n e^{-\pi u^{-1}\left(\frac{n}{q}\right)^2} \\ &= \frac{\tau(\chi)}{iq^2 u^{\frac{3}{2}}} \vartheta_{\overline{\chi}}\left(\frac{1}{q^2 u}\right)\end{aligned}$$

□

**Exercise 8.5** (E7.5). Show that for  $\Re(s) > 1$ , we have

$$2\pi^{\frac{-(s+1)}{2}} \Gamma\left(\frac{s+1}{2}\right) L(s, \chi) = \int_0^\infty \vartheta_\chi(u) u^{\frac{s+1}{2}} \frac{du}{u}.$$

*Proof.* a

□

## 9. ASSIGNMENT 1

**Exercise 9.1** (H1.1). *Proof.*

$$f * e(n) = \sum_{d|n} f(d) e\left(\frac{n}{d}\right) = \sum_{d|n} f(d) \delta_{\frac{n}{d}, 1} = f(n)$$

and since the sets  $\{d: d \mid n\}$  and  $\{\frac{n}{d}: d \mid n\}$  are equal, we have

$$g * f = \sum_{d|n} g(d) f\left(\frac{n}{d}\right) = \sum_{d|n} g\left(\frac{n}{d}\right) f\left(\frac{n}{\frac{n}{d}}\right) = f * g(n)$$

□

**Exercise 9.2** (H1.2). *Proof.*

$$\mu * 1(n) = \sum_{d|n} \mu(d) 1\left(\frac{n}{d}\right) = \sum_{d|n} \mu(d)$$

If  $n = p$  is a prime, we trivially have  $\{d: d \mid n\} = \{1, p\}$ , so  $\sum_{d|n} \mu(d) = 1 - 1 = 0 = e(p)$ , so it is true for  $n$  a prime.

Suppose now that  $n = p_1 \cdots p_s$ , so  $\mu(n) = (-1)^s$ . We need to find out how many elements the set  $D_k = \{d \mid n: d \text{ is a product of } k \text{ distinct primes}\}$  has. But this is simply the same as choosing an unordered set of  $k$  elements from a set of  $s$  elements. There are precisely  $\binom{s}{k}$  ways to do so. Since for each  $d \in D_k$ , we have  $\mu(d) = (-1)^k$ , we find that

$$\sum_{d|n} \mu(d) = \sum_{k=1}^s \binom{s}{k} (-1)^k = (1 - 1)^s = 0.$$

Then, in particular,

$$\sum_{d|n} \mu(d)$$

Lastly, for  $n = p_1^{\alpha_1} \cdots p_k^{\alpha_k}$ , it reduces to the previous case because  $\mu$  is only non-zero on squarefree integers, so

$$\mu * 1(n) = \sum_{d \mid \frac{n}{p_1^{\alpha_1-1} \cdots p_k^{\alpha_k-1}}} \mu(d) = 0$$

since the sets  $\left\{d: d \mid \frac{n}{p_1^{\alpha_1-1} \cdots p_k^{\alpha_k-1}}\right\}$  and  $\{d: d \mid p_1 \cdots p_k\}$  are equal.

Thus, indeed,  $\mu * 1 = e$ .  $\square$

**Exercise 9.3** (H1.3). We claim that the set of arithmetic functions with Dirichlet convolution as a binary operation is an abelian semigroup. For this, if  $f, g: \mathbb{N} \rightarrow \mathbb{C}$ , then clearly  $f * g: \mathbb{N} \rightarrow \mathbb{C}$  too. Also,  $f * g(n) = \sum_{ab=n} f(a)g(b) = \sum_{ba=n} g(b)f(a) = g * f(n)$  by commutativity of multiplication in  $\mathbb{C}$ . Lastly,

$$(f * g) * h(n) = \sum_{ab=n} f * g(a)h(b) = \sum_{ab=n} \sum_{cd=a} f(c)g(d)h(b) = \sum_{cdb=n} f(c)g(d)h(b)$$

and

$$f * (g * h)(n) = \sum_{ab=n} f(a)g * h(b) = \sum_{ab=n} \sum_{cd=b} f(a)g(c)h(d) = \sum_{acd=n} f(a)g(c)h(d)$$

(all of this is just Theorem 5.1.4 in the book for Introduction to Number Theory by Risager).

Now, if  $f = 1 * g$  then  $\mu * f = \mu * (1 * g) = (\mu * 1) * g = e * g = g * e = g$  by the above together with H1.1. Likewise, if  $g = \mu * f$ , then  $1 * g = 1 * (\mu * f) = (1 * \mu) * f = (\mu * 1) * f = e * f = f * e = f$  again.

**Exercise 9.4** (H1.4). We have

$$\begin{aligned} \sum_{n=1}^{\infty} \left| \frac{f(n)}{n^s} \right| &\leq \sum_{n=1}^{\infty} \frac{Cn^k}{n^{\sigma}} \\ &\leq \sum_{n=1}^{\infty} \frac{C}{n^{\sigma-k}} \\ &< \infty \end{aligned}$$

as  $\sigma - k > 1$ . Thus the series converges absolutely.

**Exercise 9.5** (H1.5). *Proof.* We know that  $L_f$  converges absolutely for  $\sigma > 1 + k_f$  and  $L_g$  converges absolutely for  $\sigma > 1 + k_g$ . Assume without loss of generality that  $k_g > k_f$ . Now,

$$\begin{aligned} \sum_{n=1}^{\infty} \left| \frac{\sum_{d|n} f(d)g\left(\frac{n}{d}\right)}{n^s} \right| &\leq \sum_{n=1}^{\infty} \sum_{d|n} \frac{C_f C_g d^{k_f} \left(\frac{n}{d}\right)^{k_g}}{n^{\sigma}} \\ &= \sum_{n=1}^{\infty} C_f C_g \sum_{d|n} d^{k_f - k_g} \frac{1}{n^{\sigma - k_g}} \end{aligned}$$

Now, by E3.2, we have  $d(n) \leq 2\sqrt{n}$ , so since  $\sum_{d|n} d^{k_f - k_g} \leq \sum_{d|n} 1 = d(n) \leq 2\sqrt{n}$ , we have

$$\begin{aligned} \sum_{n=1}^{\infty} C_f C_g \sum_{d|n} d^{k_f - k_g} \frac{1}{n^{\sigma - k_g}} &\leq \sum_{n=1}^{\infty} C_f C_g 2\sqrt{n} \frac{1}{n^{\sigma - k_g}} \\ &= 2C_f C_g \sum_{n=1}^{\infty} \frac{1}{n^{\sigma - (k_g + \frac{1}{2})}} \end{aligned}$$

Hence the sum defining  $L_{f*g}(s)$  is absolutely convergent for  $\sigma > k_g + \frac{3}{2}$ , and in this half-plane,

$$L_f(s)L_g(s) = \sum_{k=1}^{\infty} \sum_{t=1}^{\infty} \frac{f(k)}{k^s} \frac{g(t)}{t^s} = \sum_{r=1}^{\infty} \sum_{d|r} \frac{f(d)g(\frac{r}{d})}{r^s} = L_{f*g}(s)$$

□

**Exercise 9.6** (H1.6). We have that when  $L_1$  and  $L_\mu$  are absolutely convergent, and satisfy the bounds from H1.5, we can use Cauchy summation to get  $L_1(s)L_\mu(s) = L_{1*\mu}(s) = L_e(s) = 1$  which is absolutely convergent everywhere; but  $L_1(s) = \zeta(s)$  and  $L_\mu(s) = \sum_{n=1}^{\infty} \frac{\mu(n)}{n^s}$ , so the result follows in whenever all sums are absolutely convergent. Hence the desired equality extends (by the identity theorem) to all of  $\Re(s) > 1$  since  $\sum_{n=1}^{\infty} \frac{\mu(n)}{n^s}$  converges to a holomorphic function in this half-plane (being the uniform limit of a series of holomorphic functions).

**Exercise 9.7** (H 1.7). *Proof.* For  $f(n) = n^w$ , we have  $\sigma_w(n) = f * 1(n)$ . The abscissa of convergence for 1 is 1 and for  $f$  it is  $1 + \Re(w)$ . In some halfplane, we have  $\sum_{n=1}^{\infty} \frac{\sigma_w(n)}{n^s} = L_{\sigma_w}(s) = L_f(s)L_1(s)$ . Now  $L_1(s) = \zeta(s)$ , and

$$L_f(s) = \sum_{n=1}^{\infty} \frac{n^w}{n^s} = \sum_{n=1}^{\infty} \frac{1}{n^{s-w}} = \zeta(s-w).$$

Thus  $\sum_{n=1}^{\infty} \frac{\sigma_w(n)}{n^s} = \zeta(s-w)\zeta(s)$  in some right half-plane. □

## 10. ASSIGNMENT 2

**Exercise 10.1** (H2.1). Show that

$$\sum_{p \leq x} \frac{1}{p} = \log \log(x) + O(1),$$

where the sum is over primes less than  $x$ .

*Proof.* As is the custom, we of course start by Abel summation:

$$\sum_{p \leq x} \frac{1}{p} = \pi(x) \frac{1}{x} + \int_1^x \frac{\pi(t)}{t^2} dt$$

Now applying the PNT, we get

$$\pi(x) \frac{1}{x} + \int_1^x \frac{\pi(t)}{t^2} dt = \frac{1}{\log x} + O\left(e^{-c\sqrt{\log x}}\right) + \int_1^x \frac{1}{t \log t} dt + \int_1^x O\left(t^2 e^{-c\sqrt{\log t}}\right) dt$$

Since

$$\int_1^x \frac{1}{t \log t} dt = \log \log t \Big|_1^x$$

we have what we needed.  $\square$

**Exercise 10.2** (H2.2). This exercise gives a different proof that  $\zeta(s)$  has no zeros on  $\Re(s) = 1$ .

(1) Prove that for  $\sigma > 1, t \in \mathbb{R}$ ,

$$\Re := \Re(3 \log \zeta(\sigma) + 4 \log \zeta(\sigma + it) + \log \zeta(\sigma + 2it)) \geq 0.$$

(2) Prove that  $|\zeta(\sigma)^3 \zeta(\sigma + it)^4 \zeta(\sigma + 2it)| \geq 1$ .

(3) Prove that if  $\zeta(1 + it_0) = 0$ , then  $|\zeta(\sigma)^3 \zeta(\sigma + it_0)^4 \zeta(\sigma + 2it_0)| \rightarrow 0$  as  $\sigma \rightarrow 1$ .

(4) Conclude that  $\zeta(1 + it) \neq 0$  for every  $t \neq 0$ .

*Proof.* (1) We want to make use of Lemma 1.2 or Lemma 1.3.

We have

$$\log \zeta(s) = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{\log n} n^{-s}$$

for  $\sigma = \Re(s) > 1$ . Thus  $\Re(\log \zeta(s)) = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{\log n} \frac{1}{n^\sigma} \cos(t \log n)$  by (A<sub>1</sub>). Hence

$$\Re = \sum_{n=1}^{\infty} \frac{\Lambda(n)}{n^\sigma \log n} [3 + 4 \cos(t \log n) + \cos(2t \log n)] \geq 0$$

since all the terms are positive.

(2) Let

$$X := \zeta(\sigma)^3 \zeta(\sigma + it)^4 \zeta(\sigma + 2it)$$

Then  $\Re \log X \geq 0$ , hence  $|X| = |e^{\log X}| = |e^{\Re \log X}| \geq 1$ .

(3) Suppose  $\zeta(1 + it_0) = 0$ . Since  $X$  is continuous as a function of  $\sigma$  with fixed  $t_0$  for  $\sigma > 1$ , we find that if

$$X_{t_0}(\sigma) = \zeta(\sigma)^3 \zeta(\sigma + it_0)^4 \zeta(\sigma + 2it_0)$$

then the claim is  $\lim_{\sigma \rightarrow 1+} X_{t_0}(\sigma) = 0$ . Recall that  $\zeta$  is meromorphic on  $\mathbb{C}$  and has only one pole which is at 1 and is simple. So in particular,  $(s-1)\zeta(s)$  is holomorphic around 1, so let  $g(s) = (s-1)\zeta(s)$ . In particular then, if  $t_0 \neq 0$ , we then  $1 + it_0$  is not a pole, so if we write  $\zeta(s) = (s - (1 + it_0))h(s)$  near  $1 + it_0$ , then we get that for  $\sigma$  close to 1, we have

$$\begin{aligned} X_{t_0}(\sigma) &= \frac{g(\sigma)^3}{(\sigma-1)^3} ((\sigma + it_0) - (1 + it_0))^4 h(\sigma + it_0) \zeta(\sigma + 2it_0) \\ &= (\sigma-1) g(\sigma)^3 h(\sigma + it_0) \zeta(\sigma + 2it_0) \rightarrow 0, \quad \sigma \rightarrow 1+ \end{aligned}$$

(4) Since  $|X(\sigma + it)| \geq 1$  for all  $\sigma > 1$ , we thus cannot have that  $X(1 + it) = 0$  since continuity would break.  $\square$

## 11. ASSIGNMENT 3

**Definition 11.1** ( $k$ -almost prime). For a number  $n = p_1^{a_1} \cdots p_m^{a_m}$ , let  $\Omega(n) = \sum_{i=1}^m a_i$ . Then  $n$  is called a  $k$ -almost prime if  $\Omega(n) = k$ . Let  $P_k$  denote the set of  $k$ -almost primes. Then define  $\pi_k(x) = \#(\{1, \dots, [x]\} \cap P_k)$ , i.e., the number of  $k$ -almost primes less than or equal to  $x$ .

**Exercise 11.2** (H3.1). (1) Show that

$$\pi_2(x) = \sum_{p \leq \sqrt{x}} \pi\left(\frac{x}{p}\right) + O\left(\frac{x}{\log^2 x}\right)$$

(2) Show that the sum in (1) is

$$\sum_{p \leq \sqrt{x}} \frac{x}{p \log\left(\frac{x}{p}\right)} + O\left(\frac{x \log \log x}{\log^2 x}\right)$$

(3) Use PNT and summation by parts to show that the above sum is

$$x \int_2^{\sqrt{x}} \frac{1}{u \log\left(\frac{x}{u}\right) \log u} du + O\left(\frac{x}{\log x}\right).$$

(4) Show that

$$\pi_2(x) = x \frac{\log \log x}{\log x} + O\left(\frac{x}{\log x}\right).$$

*Proof.* (1) Suppose  $n \in \{1, \dots, [x]\} \cap P_2$ , so  $n = p_1 p_2$  where we don't necessarily have that  $p_1 \neq p_2$ . Suppose without loss of generality that  $p_2 \geq p_1$ . Then, in particular,  $\frac{n}{p_2} = p_1 \leq \sqrt{x}$ . For suppose for the contrary that  $p_1 > \sqrt{x}$ . Then  $p_2 > \sqrt{x}$ , so  $x < p_1 p_2 = n \leq x$ , contradiction.

Using this, we see that

$$\pi_2(x) = \sum_{p_1 \leq \sqrt{x}} \sum_{p_1 < p_2 \leq \frac{x}{p_1}} 1 = \sum_{p \leq \sqrt{x}} \pi\left(\frac{x}{p}\right) - \pi(p) \leq \sum_{p \leq \sqrt{x}} \pi\left(\frac{x}{p}\right) + O\left(\sum_{p \leq \sqrt{x}} \pi(p)\right)$$

And

$$\sum_{p \leq \sqrt{x}} \pi(p) \leq \pi(\sqrt{x})^2 = O\left(\frac{x}{\log^2(\sqrt{x})}\right) = O\left(\frac{x}{\log^2 x}\right)$$

since  $\log^2\left(x^{\frac{1}{2}}\right) = \frac{1}{4} \log^2 x$ , where we also used  $\pi(x) = O\left(\frac{x}{\log x}\right)$ .

(2) By the PNT,  $\pi(x) = \frac{x}{\log x} + O\left(\frac{x}{\log^2 x}\right)$ , so

$$\sum_{p \leq \sqrt{x}} \pi\left(\frac{x}{p}\right) = \sum_{p \leq \sqrt{x}} \frac{\frac{x}{p}}{\log\left(\frac{x}{p}\right)} + O\left(\frac{\frac{x}{p}}{\log^2\left(\frac{x}{p}\right)}\right)$$

Now

$$\sum_{p \leq \sqrt{x}} \frac{x}{p \log^2\left(\frac{x}{p}\right)} \leq \sum_{p \leq \sqrt{x}} \frac{x}{p \log^2(\sqrt{x})} \leq \frac{x}{4 \log^2 x} O(\log \log \sqrt{x}) = O\left(\frac{x \log \log x}{\log^2 x}\right),$$



giving

$$\sum_{p \leq \sqrt{x}} \pi\left(\frac{x}{p}\right) = \sum_{p \leq \sqrt{x}} \frac{x}{p \log\left(\frac{x}{p}\right)} + O\left(\frac{x \log \log x}{\log^2 x}\right).$$

(3) Let  $f(y) = \frac{1}{y \log(\frac{x}{y})}$  and  $a_n = \delta_{n, \text{prime}}$ . Then

$$f'(y) = -\frac{1}{y^2 \log\left(\frac{x}{y}\right)} - \frac{y}{xy \log^2\left(\frac{x}{y}\right)} = -\frac{1}{y^2 \log\left(\frac{x}{y}\right)} - \frac{1}{x \log^2\left(\frac{x}{y}\right)}.$$

$$\sum_{p \leq \sqrt{x}} \frac{x}{p \log\left(\frac{x}{p}\right)} = \frac{\pi(\sqrt{x})x}{\sqrt{x} \log(\sqrt{x})} - x \int_1^{\sqrt{x}} \pi(t) \left[ \frac{-1}{t^2 \log\left(\frac{x}{t}\right)} - \frac{1}{x \log^2\left(\frac{x}{t}\right)} \right] dt$$

Firstly,

$$\frac{\pi(\sqrt{x})x}{\sqrt{x} \log(\sqrt{x})} = \frac{x}{4 \log^2 x} + O\left(\frac{x}{\log^3 x}\right) = O\left(\frac{x}{\log x}\right).$$

Secondly,

$$x \int_1^{\sqrt{x}} \frac{\pi(t)}{t^2 \log\left(\frac{x}{t}\right)} dt = x \int_1^{\sqrt{x}} \frac{1}{t \log t \log\left(\frac{x}{t}\right)} dt$$

which is precisely the term we want. It only remains to show that

$$x \int_1^{\sqrt{x}} \frac{\pi(t)}{x \log^2\left(\frac{x}{t}\right)} dt = O\left(\frac{x}{\log x}\right).$$

I.e.

$$\int_1^{\sqrt{x}} \frac{\pi(t)}{x \log^2\left(\frac{x}{t}\right)} dt = O\left(\frac{1}{\log x}\right).$$

Now

$$\int_2^{\sqrt{x}} \frac{\pi(t)}{x \log^2\left(\frac{x}{t}\right)} dt = \int_2^{\sqrt{x}} \frac{t}{x \log t \log^2\left(\frac{x}{t}\right)} dt + \int_2^{\sqrt{x}} O\left(\frac{t}{x \log^2(t) \log^2\left(\frac{x}{t}\right)}\right) dt$$

The derivative of  $\frac{1}{\log x}$  is  $-\frac{1}{x \log^2 x}$ . Now

$$\left| \int_2^{\sqrt{x}} \frac{t}{x \log t \log^2\left(\frac{x}{t}\right)} dt \right| \leq \left| \int_2^{\sqrt{x}} \frac{1}{t \log^3 t} dt \right| \leq \left| \int_2^{\sqrt{x}} \frac{1}{t \log^2 t} dt \right| \leq \left| \frac{1}{\log t} \right|_2^{\sqrt{x}} = O\left(\frac{1}{\log x}\right)$$

Furthermore, the second term is even smaller in size, hence also  $O\left(\frac{1}{\log x}\right)$ .

(4) Putting the above together, we find that

$$\begin{aligned}
\pi_2(x) &= \sum_{p \leq \sqrt{x}} \pi\left(\frac{x}{p}\right) + O\left(\frac{x}{\log^2 x}\right) \\
&= \sum_{p \leq \sqrt{x}} \frac{x}{p \log\left(\frac{x}{p}\right)} + O\left(\frac{x \log \log x}{\log^2 x}\right) + O\left(\frac{x}{\log^2 x}\right) \\
&= x \int_2^{\sqrt{x}} \frac{1}{t \log\left(\frac{x}{t}\right) \log t} dt + O\left(\frac{x}{\log x}\right) + O\left(\frac{x \log \log x}{\log^2 x}\right) + O\left(\frac{x}{\log^2 x}\right) \\
&= x \int_2^{\sqrt{x}} \frac{1}{t \log\left(\frac{x}{t}\right) \log t} dt + O\left(\frac{x}{\log x}\right).
\end{aligned}$$

Now, setting  $\log t = v$ , we get  $dv = \frac{1}{t} dt$ , so

$$\begin{aligned}
x \int_2^{\sqrt{x}} \frac{1}{t \log\left(\frac{x}{t}\right) \log t} dt &= x \int_{\log 2}^{\log \sqrt{x}} \frac{1}{v (\log x - v)} dv \\
&= \frac{x}{\log x} \int_{\log 2}^{\log \sqrt{x}} \frac{1}{v} + \frac{1}{\log x - v} dv \\
&= \frac{x}{\log x} [\log \log \sqrt{x} - \log \log 2] - \frac{x}{\log x} [\log (\log x - \log \sqrt{x}) - \log (\log x - \log 2)] \\
&= \frac{x}{\log x} \left[ \log \log \frac{x}{2} - \log \log 2 \right] = x \frac{\log \log x}{\log x} + O\left(\frac{x}{\log x}\right).
\end{aligned}$$

This completes the proof.  $\square$

**Exercise 11.3** (H3.2). Show that for  $x$  sufficiently large, there are more primes in the interval  $(1, x]$  than in the interval  $(x, 2x]$ .

*Proof.* What this is saying is essentially that for  $x$  sufficiently large,  $\pi(x) > \pi(2x) - \pi(x)$ , i.e.,  $2\pi(x) > \pi(2x)$ . By the prime number theorem, we have

$$\pi(x) = li(x) + O\left(\frac{x}{e^{c\sqrt{\log x}}}\right)$$

So

$$2\pi(x) - \pi(2x) = 2li(x) - li(2x) + O\left(\frac{x}{e^{c'\sqrt{\log x}}}\right)$$

Now

$$2li(x) - li(2x) = 2 \int_2^x \frac{1}{\log t} dt - \int_2^{2x} \frac{1}{\log t} dt = \int_2^x \frac{1}{\log t} dt - \int_x^{2x} \frac{1}{\log t} dt$$

Now,  $\log t \leq t$ , so  $\int_2^x \frac{1}{\log t} dt \geq \int_2^x \frac{1}{t} dt = \log x - \log 2$ .

In a weaker form, the prime number theorem says that

$$\pi(x) = \frac{x}{\log x} + O\left(\frac{x}{\log^2 x}\right).$$

Using this, we obtain

$$\begin{aligned}
2\pi(x) - \pi(2x) &= 2x \left[ \frac{1}{\log x} - \frac{1}{\log 2x} \right] + 2x \left[ O\left(\frac{1}{\log^2 x}\right) - O\left(\frac{1}{\log^2 2x}\right) \right] \\
&= 2 \log 2 \frac{x}{\log 2x \log x} + \dots
\end{aligned}$$

Now

$$\frac{1}{\log x} - \frac{1}{\log 2x} = \frac{\log 2}{\log x \log 2x}$$

So  $\frac{1}{\log 2x} = \frac{1}{\log x} + O\left(\frac{1}{\log^2 x}\right)$ . Thus

$$2 \log 2 \frac{x}{\log 2x \log x} = 2 \log 2 \frac{x}{\log^2 x} + O\left(\frac{x}{\log^3 x}\right).$$

Now

$$\left| \frac{1}{\log^2 2x} \right| - \left| \frac{1}{\log^2 x} \right| \leq \left| \frac{1}{\log^2 x} - \frac{1}{\log^2 2x} \right| = \left| \frac{2 \log 2 \log x + \log^2 2}{\log^2 x \log^2 2x} \right| \leq \left| \frac{2 \log 2 \log x + \log^2 2}{\log^4 x} \right|$$

Hence also the last term is  $O\left(\frac{x}{\log^3 x}\right)$ , so we get

$$2\pi(x) - 2\pi(x) = 2 \log 2 \frac{x}{\log^2 x} + O\left(\frac{x}{\log^3 x}\right).$$

Now, both  $\frac{x}{\log^2 x}$  and  $\frac{x}{\log^3 x}$  go to  $\infty$  as  $x \rightarrow \infty$ , however,  $\frac{x}{\log^2 x}$  grows faster, so we can find a  $N \in \mathbb{N}$  such that  $2 \log 2 \frac{N}{\log^2 N} > \frac{N}{\log^3 N} + 1$ . In particular, this implies that there is at least one more prime in  $(x, 2x]$  than in  $(1, x]$ .  $\square$

## 12. ASSIGNMENT 4

**Exercise 12.1** (H4.1). Let  $f \in \mathcal{S}(\mathbb{R})$  be a Schwartz-function on the real axis and let  $\hat{f}(y) = \int_{\mathbb{R}} f(t) e^{-2\pi i t y} dt$  be its Fourier transform. Let  $F(x) = \sum_{m \in \mathbb{Z}} f(x + m)$ .

(1) Show that the sum defining  $F(x)$  is convergent and that

$$F(x) = \sum_{m \in \mathbb{Z}} \hat{f}(m) e^{2\pi i m x}.$$

Let now  $\chi$  be a primitive Dirichlet character modulo  $q$ .

(2) Show that

$$\sum_{m \in \mathbb{Z}} \chi(m) f\left(\frac{m}{q}\right) = \sum_{a=1}^q \chi(a) F\left(\frac{a}{q}\right).$$

(3) Show that

$$\sum_{a=1}^q \chi(a) F\left(\frac{a}{q}\right) = \tau(\chi) \sum_{m \in \mathbb{Z}} \overline{\chi(m)} \hat{f}(m).$$

(4) Wrap up 2) and 3) by stating in full a theorem that can be considered a character version of the Poisson summation formula.

Let  $\theta_{\chi}(u) = \sum_{n \in \mathbb{Z}} \chi(n) e^{-\pi n^2 u}$ .

(5) Show that

$$\theta_{\chi}(u) = \frac{\tau(\chi)}{qu^{\frac{1}{2}}} \theta_{\overline{\chi}}\left(\frac{1}{q^2 u}\right).$$

*Proof.* (1) If  $f \in \mathcal{S}(\mathbb{R})$ , then also  $f(x + m) \in \mathcal{S}(\mathbb{R})$ , so letting  $g(m) := f(x + m)$ , we get by Poisson's summation formula that

$$\sum_{m \in \mathbb{Z}} g(x) = \sum_{m \in \mathbb{Z}} \hat{g}(x)$$

where  $\hat{g}(y) = \int_{-\infty}^{\infty} e^{-2\pi ixy} g(x) dx$ . Thus

$$F(x) = \sum_{m \in \mathbb{Z}} f(x+m) = \sum_{m \in \mathbb{Z}} g(m) = \sum_{m \in \mathbb{Z}} \hat{g}(m) = \sum_{m \in \mathbb{Z}} \int_{-\infty}^{\infty} e^{-2\pi itm} f(x+t) dt$$

Let now  $w = x + t$ . Then  $dw = dt$ , so

$$\sum_{m \in \mathbb{Z}} \int_{-\infty}^{\infty} e^{-2\pi itm} f(x+t) dt = \sum_{m \in \mathbb{Z}} \int_{-\infty}^{\infty} e^{-2\pi i(w-x)m} f(w) dw = \sum_{m \in \mathbb{Z}} \hat{f}(m) e^{2\pi imx}.$$

(2) Since  $\chi$  is  $q$ -periodic, we have

$$\begin{aligned} \sum_{m \in \mathbb{Z}} \chi(m) f\left(\frac{m}{q}\right) &= \sum_{a=1}^q \chi(a) \sum_{k \in \mathbb{Z}} f\left(\frac{a}{q} + k\right) \\ &= \sum_{a=1}^q \chi(a) F\left(\frac{a}{q}\right). \end{aligned}$$

(3) Since  $\chi$  is primitive, we know that

$$\chi(n) \tau(\bar{\chi}) = c_{\bar{\chi}}(n) \quad (\mathcal{A}_1)$$

$$\begin{aligned} \sum_{a=1}^q \chi(a) F\left(\frac{a}{q}\right) &= \sum_{a=1}^q \chi(a) \sum_{m \in \mathbb{Z}} \hat{f}(m) e^{2\pi im \frac{a}{q}} \\ &= \sum_{m \in \mathbb{Z}} \hat{f}(m) \sum_{a=1}^q \chi(a) e^{2\pi im \frac{a}{q}} \\ &= \sum_{m \in \mathbb{Z}} \hat{f}(m) c_{\chi}(m) \\ &\stackrel{(\mathcal{A}_1)}{=} \tau(\chi) \sum_{m \in \mathbb{Z}} \bar{\chi}(m) \hat{f}(m) \end{aligned}$$

(4)

**Theorem 12.2** (Poisson summation formula, character version). *If  $f \in \mathcal{S}(\mathbb{R})$ , then*

$$\sum_{m \in \mathbb{Z}} \chi(m) f\left(\frac{m}{q}\right) = \tau(\chi) \sum_{m \in \mathbb{Z}} \bar{\chi}(m) \hat{f}(m).$$

(5) Let  $\theta_{\chi}(u) = \sum_{m \in \mathbb{Z}} \chi(m) e^{-\pi m^2 u}$ . Let  $f_u(x) = e^{-\pi u(qx)^2}$ , so  $\theta_{\chi}(u) = \sum_{m \in \mathbb{Z}} \chi(m) f_u(\frac{m}{q})$ .

Then

$$\begin{aligned} \theta_{\chi}(u) &= \tau(\chi) \sum_{m \in \mathbb{Z}} \bar{\chi}(m) \int_{-\infty}^{\infty} e^{-\pi u(qt)^2} e^{-2\pi itm} dt \\ &= \tau(\chi) \int_{-\infty}^{\infty} e^{-\pi u(qt)^2} \sum_{m \in \mathbb{Z}} \bar{\chi}(m) e^{-2\pi itm} dt \\ &= \tau(\chi) \int_{-\infty}^{\infty} e^{-\pi u(qt)^2} \sum_{a=1}^q \bar{\chi}(a) \sum_{m \in \mathbb{Z}} e^{-2\pi itq(\frac{a}{q} + m)} dt \end{aligned}$$

Let  $z = qt$ , so  $dz = qdt$ , then

$$\begin{aligned}\tau(\chi) \int_{-\infty}^{\infty} e^{-\pi u(qt)^2} \sum_{a=1}^q \bar{\chi}(a) \sum_{m \in \mathbb{Z}} e^{-2\pi itq(\frac{a}{q}+m)} dt &= \tau(\chi) \frac{1}{q} \int_{-\infty}^{\infty} e^{-\pi uz^2} \sum_{a=1}^q \bar{\chi}(a) \sum_{m \in \mathbb{Z}} e^{-2\pi iz(\frac{a}{q}+m)} dz \\ &= \frac{\tau(\chi)}{q} \int_{-\infty}^{\infty} e^{-\pi uz^2} \sum_{m \in \mathbb{Z}} \bar{\chi}(m) e^{-2\pi iz \frac{m}{q}} dz\end{aligned}$$

Now let  $w = \sqrt{u}z$ , so  $dw = \sqrt{u}dz$ . Then

$$\frac{\tau(\chi)}{q} \int_{-\infty}^{\infty} e^{-\pi uz^2} \sum_{m \in \mathbb{Z}} \bar{\chi}(m) e^{-2\pi iz \frac{m}{q}} dz = \frac{\tau(\chi)}{\sqrt{u}q} \int_{-\infty}^{\infty} e^{-\pi w^2} \sum_{m \in \mathbb{Z}} \bar{\chi}(m) e^{-2\pi i \frac{wm}{\sqrt{u}q}} dw$$

Let  $v = \frac{t}{\sqrt{u}}$ . Then  $dv = \frac{1}{\sqrt{u}} dt$ , so

$$\begin{aligned}\frac{1}{\sqrt{u}} \sum_{m \in \mathbb{Z}} \chi(m) \int_{-\infty}^{\infty} e^{-\frac{\pi}{u} t^2 - 2\pi itm} dt &= \sum_{m \in \mathbb{Z}} \chi(m) \int_{-\infty}^{\infty} e^{-\pi v^2 - 2\pi iv\sqrt{u}m} dv \\ &= \int_{-\infty}^{\infty} e^{-\pi v^2} \sum_{m \in \mathbb{Z}} \chi(m) e^{-2\pi iv\sqrt{u}m} dv \\ &= \int_{-\infty}^{\infty} e^{-\pi v^2}\end{aligned}$$

□