

## 1. DOUBLE AND TOTAL COMPLEXES

**Definition 1.1** (Double complex). A *double complex* (or *bicomplex*) in an abelian category  $\mathcal{A}$  is a family  $\{C_{p,q}\}$  of objects of  $\mathcal{A}$ , together with maps

$$d^h: C_{p,q} \rightarrow C_{p-1,q} \quad \text{and} \quad d^v: C_{p,q} \rightarrow C_{p,q-1}$$

such that  $d^h \circ d^h = d^v \circ d^v = d^v d^h + d^h d^v = 0$ .

It is useful to picture the double complex as a lattice in which the maps  $d^h$  go horizontally, the maps  $d^v$  go vertically, and each square anticommutes.

Each row  $C_{*,q}$  and each columns  $C_{p,*}$  is a chain complex.

We say that the double complex  $C$  is *bounded* if  $C$  has only finitely many nonzero terms along each diagonal line  $p + q = n$ . For example, if  $C$  is concentrated in the first quadrant of the plane (a *first quadrant double complex*).

1.0.1. *Sign Trick*. Are the maps  $d^v$  and  $d^h$  maps in  $\text{Ch}$ ?

Because of anticommutativity, the chain map conditions fail, but we can construct chain maps  $f_{*,q}$  from  $C_{*,q}$  to  $C_{*,q-1}$  by introducing signs:

$$f_{p,q} = (-1)^p d_{p,q}^v: C_{p,q} \rightarrow C_{p,q-1}.$$

Using this sign trick, we can identify the category of double complexes with the category  $\text{Ch}(\text{Ch})$ .

1.0.2. *Total Complexes*. To see why the anticommutativity condition  $d^v d^h + d^h d^v = 0$  is useful, we define the *total complexes*  $\text{Tot}(C) = \text{Tot}^\Pi(C)$  and  $\text{Tot}^\oplus(C)$  as follows:

**Definition 1.2** (Total complexes). We define

$$\text{Tot}^\Pi(C)_n = \prod_{p+q=n} C_{p,q} \quad \text{and} \quad \text{Tot}^\oplus(C)_n = \bigoplus_{p+q=n} C_{p,q}.$$

The formula  $d = d^h + d^v$  define maps

$$d: \text{Tot}^\Pi(C)_n = \prod_{p+q=n} C_{p,q} \quad \text{and} \quad d: \text{Tot}^\oplus(C)_n \rightarrow \text{Tot}^\oplus(C)_{n-1}$$

such that  $d \circ d = 0$ , making  $\text{Tot}^\Pi(C)$  and  $\text{Tot}^\oplus(C)$  into chain complexes.

**Exercise 1.3.** Check that  $d = d^h + d^v$  define maps as claimed.

*Solution.* Let  $(\alpha_{p,q}) \in \text{Tot}^\Pi(C)_n$ , so  $p + q = n$ . Then  $d((\alpha_{p,q})) = d^h((\alpha_{p,q})) + d^v((\alpha_{p,q})) = (\alpha_{p-1,q}) + (\alpha_{p,q-1}) \in \prod_{p+q=n-1} C_{p,q}$ . Clearly, this also works for direct products since the number of non-zero terms under  $d$  just multiplies by 2, hence is still finite. We also want to show that  $d \circ d = 0$ . For this, note that

$$\begin{aligned} d \circ d(\alpha) &= d(d^h(\alpha) + d^v(\alpha)) = d^h(d^h(\alpha) + d^v(\alpha)) + d^v(d^h(\alpha) + d^v(\alpha)) \\ &= d^h d^h(\alpha) + d^h d^v(\alpha) + d^v d^h(\alpha) + d^v d^v(\alpha) \\ &= 0. \end{aligned}$$

### 1.1. Exact Couples.

**Definition 1.4** (Exact Couple). An *exact couple* is an exact sequence of abelian groups of the form

$$\begin{array}{ccc} A & \xrightarrow{i} & A \\ & \nwarrow k \quad \nearrow j & \\ & B & \end{array}$$

where  $i, j$  and  $k$  are group homomorphisms. Define  $d: B \rightarrow B$  by  $d = j \circ k$ . Then  $d^2 = j(kj)k = 0$ , so  $H(B) := \ker d / \operatorname{im} d$  is defined - in particular, since  $A$  and  $B$  are abelian, the quotient  $H(B)$  is well-defined and a group.

**Definition 1.5** (Derived Couple). Out of a given exact couple, we can construct a new exact couple, called the *derived couple*:

$$\begin{array}{ccc} A' & \xrightarrow{i'} & A' \\ & \nwarrow k' \quad \nearrow j' & \\ & B' & \end{array}$$

where we define

- (1)  $A' = i(A)$  and  $B' = H(B)$ .
- (2)  $i'$  is the induced map  $i' := i|_{A'}: A' \rightarrow A'$  by  $i'(ia) = i(ia)$
- (3) We define  $j'$  by  $j'a' = [ja]$  where  $a' = ia$  for some  $a$  in  $A$ .
- (4)  $k'$  is defined by  $k'[b] = kb \in i(A)$ .

With these definitions, the derived couple is an exact couple.

**Exercise 1.6.** Check that the maps are well-defined and that the derived sequence is exact.

*Proof.* We must check that  $j'$  and  $k'$  are well-defined maps.

Suppose  $a' = ia = i\tilde{a}$ . Then  $a - \tilde{a} \in \ker i = \operatorname{im} k$  so  $a - \tilde{a} = k[b]$ . Hence  $ja - j\tilde{a} = jk[b] = d[b] \in \operatorname{im} d$ , so  $[ja] = [j\tilde{a}]$ .

Next, suppose  $[b] = [\tilde{b}]$ , so  $b - \tilde{b} \in \operatorname{im} d$ , i.e.,  $b - \tilde{b} = jk(\bar{b})$ . Then  $kb - k\tilde{b} = kjk(\bar{b}) = 0$ , so  $k'[b] = k'[\tilde{b}]$ .

Lastly, exactness at  $B'$ : suppose  $k'[b] = 0$ . Then  $kb = 0$ , so by exactness of the original exact couple, there exists some  $a \in A$  such that  $j(a) = b$ . Then let  $a' = i(a)$ , so  $j'(a') = [j(a)] = [b]$ , hence  $\ker k' \subset \operatorname{im} j'$ .

Conversely,  $k'j'(a') = k'[ja] = kja = 0$ , by exactness at  $B$  of the original couple.  $\square$

### 1.2. The Spectral Sequence of a Filtered Complex.

**Definition 1.7** (Differential Complex). A differential complex  $K$  with differential operator  $D$  is an abelian group  $K$  together with a group homomorphism  $D: K \rightarrow K$  such that  $D^2 = 0$ .

Let  $K$  be a differential complex with differential operator  $D$ . Usually  $K$  comes with a grading  $K = \bigoplus_{k \in \mathbb{Z}} C^k$  and  $D: C^k \rightarrow C^{k+1}$  increases the degree by 1, but the grading is not absolutely necessary.

**Definition 1.8** (Subcomplex). A *subcomplex*  $K'$  of  $K$  is a graded subgroup such that  $DK' \subset K'$ .

**Definition 1.9** (Filtration, Associated Graded Complex). A sequence of subcomplexes

$$K = K_0 \supset K_1 \supset K_2 \supset K_3 \supset \dots$$

is called a *filtration* on  $K$ . This makes  $K$  into a *filtered complex*, with *associated graded complex*

$$GK = \bigoplus_{p=0}^{\infty} K_p / K_{p+1}.$$

For notational reasons, we usually extend the filtration to negative indices by defining  $K_p = K$  for  $p < 0$ .

**Example 1.10.** If  $K = \bigoplus K^{p,q}$  is a double complex with horizontal operator  $\delta$  and vertical operator  $d$  (which we assume to commute), we can form a single complex out of it by setting  $C^k = \bigoplus_{p+q=k} K^{p,q}$  and then letting  $K = \bigoplus C^k$  and the differential operator  $D: C^k \rightarrow C^{k+1}$  to be  $D = \delta + (-1)^p d$ . Then letting

$$K_p = \bigoplus_{i \geq p} \bigoplus_{q \geq 0} K^{i,q}$$

we obtain a filtration on  $K$ .

Suppose now that we have a general filtered complex  $K = K_0 \supset K_1 \supset \dots$ , and let  $A$  be the group defined by

$$A = \bigoplus_{p \in \mathbb{Z}} K_p.$$

Then  $A$  is again a differential complex with operator  $D$ . Let  $i: A \rightarrow A$  be the inclusion  $K_{p+1} \hookrightarrow K_p$  on each  $p$ . Let  $B$  be the cokernel of  $i: A \rightarrow A$ . Then  $B = GK = \bigoplus_{p=0}^{\infty} K_p / K_{p+1}$ , and we have an exact sequence

$$0 \rightarrow A \xrightarrow{i} A \xrightarrow{j} GK \rightarrow 0.$$

## 2. INTRODUCTION TO SPECTRAL SEQUENCES

Consider the problem of computing the homology of the total chain complex  $T_* = \text{Tot}(E_{**})$  where  $E_{**}$  is a first quadrant double complex.

Firstly, it is convenient to forget the horizontal differentials and add a superscript zero, retaining only the vertical differentials  $d^v$  along the columns  $E_{p*}^0$ .

Let  $E_{pq}^1$  be the vertical homology  $H_q(E_{p*}^0)$  at the  $(p, q)$  spot.

## 3. FILTRATIONS

**Definition 3.1** (Filtered  $R$ -module). A *filtered  $R$ -module* is an  $R$ -module  $A$  with an increasing sequence of submodules  $\{F_p\}_{p \in \mathbb{Z}}$  such that  $F_p A \subset F_{p+1} A$  for all  $p$  and such that  $\bigcup_p F_p A = A$  and  $\bigcap_p F_p A = \{0\}$ .

A filtration is said to be *bounded* if  $F_p A = \{0\}$  for  $p$  sufficiently small and  $F_p A = A$  for  $p$  sufficiently larger.

**Definition 3.2** (Associated graded module). The *associated graded module* is defined by  $G_p A = F_p A / F_{p-1} A$ .

**Definition 3.3** (Filtered chain complex). A *filtered chain complex* is a chain complex  $(C_*, \partial)$  together with a filtration  $\{F_p C_i\}_{p \in \mathbb{Z}}$  of each  $C_i$  such that the differential preserves the filtration, i.e., s.t.  $\partial(F_p C_i) \subset F_p C_{i-1}$ .

Note that we, in particular, obtain an induced differential  $\partial: G_p C_i \rightarrow G_p C_{i-1}$  by the universal property of cokernels

$$\begin{array}{ccc} F_p C_i & \xrightarrow{\partial} & F_p C_{i-1} \\ \downarrow & & \downarrow \\ F_{p-1} C_i & \xrightarrow{\partial} & F_{p-1} C_{i-1} \\ \downarrow \text{coker} & & \downarrow \text{coker} \\ G_p C_i & \xrightarrow{\partial} & G_p C_{i-1} \end{array}$$

so we obtain an associated graded chain complex  $G_p C_*$ .

The filtration on  $C_*$  also induces a filtration on the homology of  $C_*$  by

$$F_p H_i(C_*) = \{\alpha \in H_i(C_*) \mid (\exists x \in F_p C_i) : \alpha = [x]\}.$$

This filtration has associated graded pieces  $G_p H_i(C_*)$  which, in favorable cases, determine  $H_i(C_*)$ .

**3.1. Example.** Suppose we have a chain complex  $C_*$  and a filtration consisting of a single  $F_0 C_*$ , so  $F_n C_* = 0$  if  $n < 0$  and  $F_n C_* = F_0 C_*$  if  $n \geq 0$ .

Then  $G_n C_* = 0$  for  $n \neq 0$  and  $G_0 C_* = F_0 C_*$  and