

# Relations and Their Properties

Slides by A. Bloomfield

# What is a relation

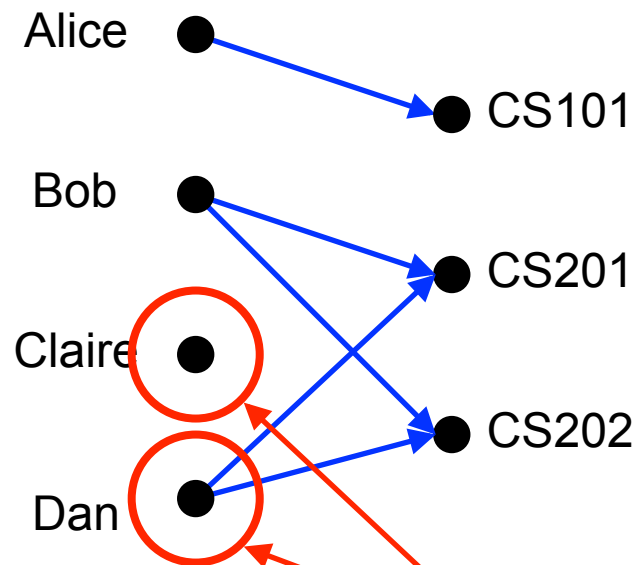
- Let  $A$  and  $B$  be sets. A binary relation  $R$  is a subset of  $A \times B$
- Example
  - Let  $A$  be the students in a the CS major
    - $A = \{\text{Alice, Bob, Claire, Dan}\}$
  - Let  $B$  be the courses the department offers
    - $B = \{\text{CS101, CS201, CS202}\}$
  - We specify relation  $R = A \times B$  as the set that lists all students  $a \in A$  enrolled in class  $b \in B$
  - $R = \{ (\text{Alice, CS101}), (\text{Bob, CS201}), (\text{Bob, CS202}), (\text{Dan, CS201}), (\text{Dan, CS202}) \}$

# More relation examples

- Another relation example:
  - Let  $A$  be the cities in the US
  - Let  $B$  be the states in the US
  - We define  $R$  to mean  $a$  is a city in state  $b$
  - Thus, the following are in our relation:
    - (C'ville, VA)
    - (Philadelphia, PA)
    - (Portland, MA)
    - (Portland, OR)
    - etc...
- Most relations we will see deal with ordered pairs of integers

# Representing relations

We can represent relations graphically:



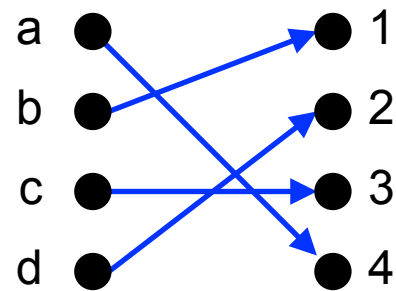
Not valid functions!

We can represent relations in a table:

	CS101	CS201	CS202
Alice	X		
Bob		X	X
Claire			
Dan		X	X

# Relations vs. functions

- Not all relations are functions
- But consider the following function:



- All functions are relations!

# When to use which?

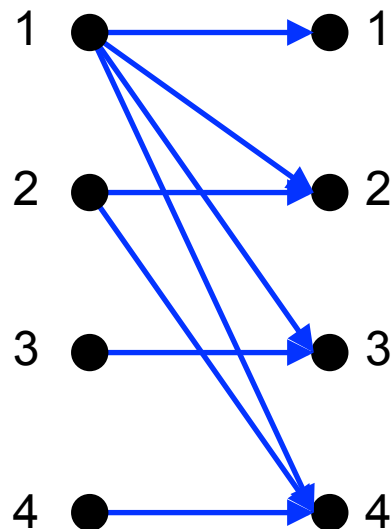
- A function is used when you need to obtain a SINGLE result for any element in the domain
  - Example: sin, cos, tan
- A relation is when there are multiple mappings between the domain and the co-domain
  - Example: students enrolled in multiple courses

# Relations on a set

- A relation on the set  $A$  is a relation from  $A$  to  $A$ 
  - In other words, the domain and co-domain are the same set
  - We will generally be studying relations of this type

# Relations on a set

- Let  $A$  be the set  $\{ 1, 2, 3, 4 \}$
- Which ordered pairs are in the relation  $R = \{ (a,b) \mid a \text{ divides } b \}$
- $R = \{ (1,1), (1,2), (1,3), (1,4), (2,2), (2,4), (3,3), (4,4) \}$



$R$	1	2	3	4
1	X	X	X	X
2		X		X
3			X	
4				X



# More examples

- Consider some relations on the set  $\mathbf{Z}$
- Are the following ordered pairs in the relation?

	(1,1)	(1,2)	(2,1)	(1,-1)	(2,2)
• $R_1 = \{ (a,b) \mid a \leq b \}$			X	X	
• $R_2 = \{ (a,b) \mid a > b \}$					X
• $R_3 = \{ (a,b) \mid a =  b  \}$	X				X
• $R_4 = \{ (a,b) \mid a = b \}$	X				X
• $R_5 = \{ (a,b) \mid a = b + 1 \}$				X	
• $R_6 = \{ (a,b) \mid a + b \leq 3 \}$	X	X	X	X	

# Relation properties

- Six properties of relations we will study:
  - Reflexive
  - Irreflexive
  - Symmetric
  - Asymmetric
  - Antisymmetric
  - Transitive

# Reflexivity

- A relation is reflexive if every element is related to itself
  - Or,  $(a,a) \in R$
- Examples of reflexive relations:
  - $=, \leq, \geq$
- Examples of relations that are not reflexive:
  - $<, >$

# Irreflexivity

- A relation is irreflexive if every element is *not* related to itself
  - Or,  $(a,a) \notin R$
  - Irreflexivity is the opposite of reflexivity
- Examples of irreflexive relations:
  - $<, >$
- Examples of relations that are not irreflexive:
  - $=, \leq, \geq$

# Reflexivity vs. Irreflexivity

- A relation can be neither reflexive nor irreflexive
  - Some elements are related to themselves, others are not
- We will see an example of this later on

# Symmetry

- A relation is symmetric if, for every  $(a,b) \in R$ , then  $(b,a) \in R$
- Examples of symmetric relations:
  - $=$ , `isTwinOf()`
- Examples of relations that are not symmetric:
  - $<$ ,  $>$ ,  $\leq$ ,  $\geq$

# Asymmetry

- A relation is asymmetric if, for every  $(a,b) \in R$ , then  $(b,a) \notin R$ 
  - Asymmetry is the opposite of symmetry
- Examples of asymmetric relations:
  - $<, >$
- Examples of relations that are not asymmetric:
  - $=, \text{isTwinOf}(), \leq, \geq$

# Antisymmetry

- A relation is antisymmetric if, for every  $(a,b) \in R$ , then  $(b,a) \in R$  is true only when  $a=b$ 
  - Antisymmetry is *not* the opposite of symmetry
- Examples of antisymmetric relations:
  - $=, \leq, \geq$
- Examples of relations that are not antisymmetric:
  - $<, >, \text{isTwinOf}()$



# Notes on \*symmetric relations

- A relation can be neither symmetric or asymmetric
  - $R = \{ (a,b) \mid a=|b| \}$
  - This is not symmetric
    - -4 is not related to itself
  - This is not asymmetric
    - 4 is related to itself
  - Note that it is antisymmetric

# Transitivity

- A relation is transitive if, for every  $(a,b) \in R$  and  $(b,c) \in R$ , then  $(a,c) \in R$
- If  $a < b$  and  $b < c$ , then  $a < c$ 
  - Thus,  $<$  is transitive
- If  $a = b$  and  $b = c$ , then  $a = c$ 
  - Thus,  $=$  is transitive

# Transitivity examples

- Consider isAncestorOf()
  - Let Alice be Bob's parent, and Bob be Claire's parent
  - Thus, Alice is an ancestor of Bob, and Bob is an ancestor of Claire
  - Thus, Alice is an ancestor of Claire
  - Thus, isAncestorOf() is a transitive relation
- Consider isParentOf()
  - Let Alice be Bob's parent, and Bob be Claire's parent
  - Thus, Alice is a parent of Bob, and Bob is a parent of Claire
  - However, Alice is *not* a parent of Claire
  - Thus, isParentOf() is *not* a transitive relation

# Relations of relations summary

	$=$	$<$	$>$	$\leq$	$\geq$
<b>Reflexive</b>	X			X	X
<b>Irreflexive</b>		X	X		
<b>Symmetric</b>	X				
<b>Asymmetric</b>		X	X		
<b>Antisymmetric</b>	X			X	X
<b>Transitive</b>	X	X	X	X	X

# Combining relations

- There are two ways to combine relations  $R_1$  and  $R_2$ 
  - Via Boolean operators
  - Via relation “composition”

# Combining relations via Boolean operators

- Consider two relations  $R_{\geq}$  and  $R_{\leq}$
- We can combine them as follows:
  - $R_{\geq} \cup R_{\leq} =$  all numbers  $\geq$  OR  $\leq$ 
    - That's all the numbers
  - $R_{\geq} \cap R_{\leq} =$  all numbers  $\geq$  AND  $\leq$ 
    - That's all numbers equal to
  - $R_{\geq} \oplus R_{\leq} =$  all numbers  $\geq$  or  $\leq$ , but not both
    - That's all numbers not equal to
  - $R_{\geq} - R_{\leq} =$  all numbers  $\geq$  that are not also  $\leq$ 
    - That's all numbers strictly greater than
  - $R_{\leq} - R_{\geq} =$  all numbers  $\leq$  that are not also  $\geq$ 
    - That's all numbers strictly less than
- Note that it's possible the result is the empty set

# Combining relations via relational composition

- Let  $R$  be a relation from  $A$  to  $B$ , and  $S$  be a relation from  $B$  to  $C$ 
  - Let  $a \in A$ ,  $b \in B$ , and  $c \in C$
  - Let  $(a,b) \in R$ , and  $(b,c) \in S$
  - Then the composite of  $R$  and  $S$  consists of the ordered pairs  $(a,c)$ 
    - We denote the relation by  $S \circ R$
    - Note that  $S$  comes first when writing the composition!

# Combining relations via relational composition

- Let  $M$  be the relation “is mother of”
- Let  $F$  be the relation “is father of”
- What is  $M \circ F$ ?
  - If  $(a,b) \in F$ , then  $a$  is the father of  $b$
  - If  $(b,c) \in M$ , then  $b$  is the mother of  $c$
  - Thus,  $M \circ F$  denotes the relation “maternal grandfather”
- What is  $F \circ M$ ?
  - If  $(a,b) \in M$ , then  $a$  is the mother of  $b$
  - If  $(b,c) \in F$ , then  $b$  is the father of  $c$
  - Thus,  $F \circ M$  denotes the relation “paternal grandmother”
- What is  $M \circ M$ ?
  - If  $(a,b) \in M$ , then  $a$  is the mother of  $b$
  - If  $(b,c) \in M$ , then  $b$  is the mother of  $c$
  - Thus,  $M \circ M$  denotes the relation “maternal grandmother”
- Note that  $M$  and  $F$  are not transitive relations!!!



# Combining relations via relational composition

- Given relation  $R$ 
  - $R \circ R$  can be denoted by  $R^2$
  - $R^2 \circ R = (R \circ R) \circ R = R^3$
  - Example:  $M^3$  is your mother's mother's mother

# Representing Relations

Slides by A. Bloomfield

# In this slide set...

- Matrix review
- Two ways to represent relations
  - Via matrices
  - Via directed graphs

# Matrix review

- This is from Rosen, page 201 and 202
- We will only be dealing with zero-one matrices
  - Each element in the matrix is either a 0 or a 1

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

- These matrices will be used for Boolean operations
  - 1 is true, 0 is false

# Matrix transposition

- Given a matrix  $\mathbf{M}$ , the transposition of  $\mathbf{M}$ , denoted  $\mathbf{M}^t$ , is the matrix obtained by switching the columns and rows of  $\mathbf{M}$

$$\mathbf{M} = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{bmatrix}$$

$$\mathbf{M}^t = \begin{bmatrix} 1 & 4 \\ 2 & 5 \\ 3 & 6 \end{bmatrix}$$

- In a “square” matrix, the main diagonal stays unchanged

$$\mathbf{M} = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 5 & 6 & 7 & 8 \\ 9 & 10 & 11 & 12 \\ 13 & 14 & 15 & 16 \end{bmatrix}$$

$$\mathbf{M}^t = \begin{bmatrix} 1 & 5 & 9 & 13 \\ 2 & 6 & 10 & 14 \\ 3 & 7 & 11 & 15 \\ 4 & 8 & 12 & 16 \end{bmatrix}$$

# Matrix join

- A *join* of two matrices performs a Boolean OR on each relative entry of the matrices
  - Matrices must be the same size
  - Denoted by the  $\vee$  symbol:  $\vee$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix} \vee \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$

# Matrix meet

- A *meet* of two matrices performs a Boolean AND on each relative entry of the matrices
  - Matrices must be the same size
  - Denoted by the  $\wedge$  symbol

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix} \wedge \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

# Matrix Boolean product

- A *Boolean product* of two matrices is similar to matrix multiplication

$$c_{1,1} = a_{1,1} * b_{1,1} + a_{1,2} * b_{2,1} + a_{1,3} * b_{3,1} + a_{1,4} * b_{4,1}$$

- Instead of the sum of the products, it's the conjunction (and) of the disjunctions (ors)

$$c_{1,1} = a_{1,1} \wedge b_{1,1} \vee a_{1,2} \wedge b_{2,1} \vee a_{1,3} \wedge b_{3,1} \vee a_{1,4} \wedge b_{4,1}$$

- Denoted by the  $\odot$  symbol:

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix} \odot \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix}$$



# Relations using matrices

- List the elements of sets  $A$  and  $B$  in a particular order
  - Order doesn't matter, but we'll generally use ascending order
- Create a matrix  $\mathbf{M}_R = [m_{ij}]$

$$m_{ij} = \begin{cases} 1 & \text{if } (a_i, b_j) \in R \\ 0 & \text{if } (a_i, b_j) \notin R \end{cases}$$

# Relations using matrices

- Consider the relation of who is enrolled in which class
  - Let  $A = \{ \text{Alice, Bob, Claire, Dan} \}$
  - Let  $B = \{ \text{CS101, CS201, CS202} \}$
  - $R = \{ (a,b) \mid \text{person } a \text{ is enrolled in course } b \}$

	CS101	CS201	CS202
Alice	X		
Bob		X	X
Claire			
Dan		X	X

$$\mathbf{M}_R = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

# Relations using matrices

- What is it good for?
  - It is how computers view relations
    - A 2-dimensional array
  - Very easy to view relationship properties
- We will generally consider relations on a single set
  - In other words, the domain and co-domain are the same set
  - And the matrix is square

# Reflexivity

- Consider a reflexive relation:  $\leq$ 
  - One which every element is related to itself
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$

$$\mathbf{M}_{\leq} = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

If the center (main) diagonal is all 1's, a relation is reflexive

# Irreflexivity

- Consider a reflexive relation: <
  - One which every element is *not* related to itself
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$

$$\mathbf{M}_{\leq} = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

If the center (main) diagonal is all 0's, a relation is irreflexive

# Symmetry

- Consider an symmetric relation  $R$ 
  - One which if  $a$  is related to  $b$  then  $b$  is related to  $a$  for all  $(a,b)$
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$

$$\mathbf{M}_{\leq} = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

- If, for every value, it is the equal to the value in its transposed position, then the relation is symmetric

# Asymmetry

- Consider an asymmetric relation:  $<$ 
  - One which if  $a$  is related to  $b$  then  $b$  is *not* related to  $a$  for all  $(a,b)$
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$

$$\mathbf{M}_{\leq} = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

- If, for every value and the value in its transposed position, if they are not both 1, then the relation is asymmetric
- An asymmetric relation must also be irreflexive
- Thus, the main diagonal must be all 0's

# Antisymmetry

- Consider an antisymmetric relation:  $\leq$ 
  - One which if  $a$  is related to  $b$  then  $b$  is *not* related to  $a$  unless  $a=b$  for all  $(a,b)$
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$

$$\mathbf{M}_{\leq} = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

- If, for every value and the value in its transposed position, if they are not both 1, then the relation is antisymmetric
- The center diagonal can have both 1's and 0's



# Transitivity

- Consider an transitive relation:  $\leq$ 
  - One which if  $a$  is related to  $b$  and  $b$  is related to  $c$  then  $a$  is related to  $c$  for all  $(a,b)$ ,  $(b,c)$  and  $(a,c)$
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$

$$\mathbf{M}_{\leq} = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

- If, for every spot  $(a,b)$  and  $(b,c)$  that each have a 1, there is a 1 at  $(a,c)$ , then the relation is transitive
- Matrices don't show this property easily

## Combining relations: via Boolean operators

- Example 4 from Rosen, section 7.3

- Let:  $\mathbf{M}_R = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$        $\mathbf{M}_S = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \end{bmatrix}$

- Join:  $\mathbf{M}_{R \cup S} = \mathbf{M}_R \vee \mathbf{M}_S = \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 0 \end{bmatrix}$

- Meet:  $\mathbf{M}_{R \cap S} = \mathbf{M}_R \wedge \mathbf{M}_S = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$

# Combining relations: via relation composition

- Example 4 from Rosen, section 7.3

• Let:

$$\mathbf{M}_R = \begin{matrix} & \begin{matrix} d & e & f \end{matrix} \\ \begin{matrix} a \\ b \\ c \end{matrix} & \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \end{matrix} \quad \mathbf{M}_S = \begin{matrix} & \begin{matrix} g & h & i \end{matrix} \\ \begin{matrix} d \\ e \\ f \end{matrix} & \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \end{bmatrix} \end{matrix}$$

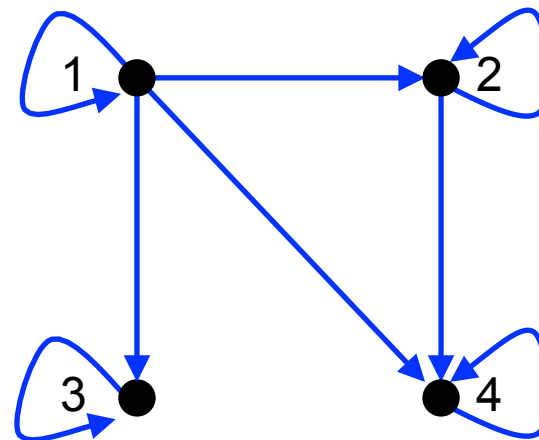
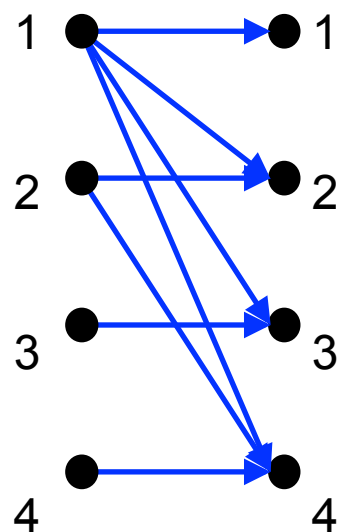
$$\mathbf{M}_{S \circ R} = \mathbf{M}_R \odot \mathbf{M}_S = \begin{matrix} & \begin{matrix} g & h & i \end{matrix} \\ \begin{matrix} a \\ b \\ c \end{matrix} & \begin{bmatrix} 1 & 0 & 1 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \end{matrix}$$

- But why is this the case?

# Representing relations using directed graphs

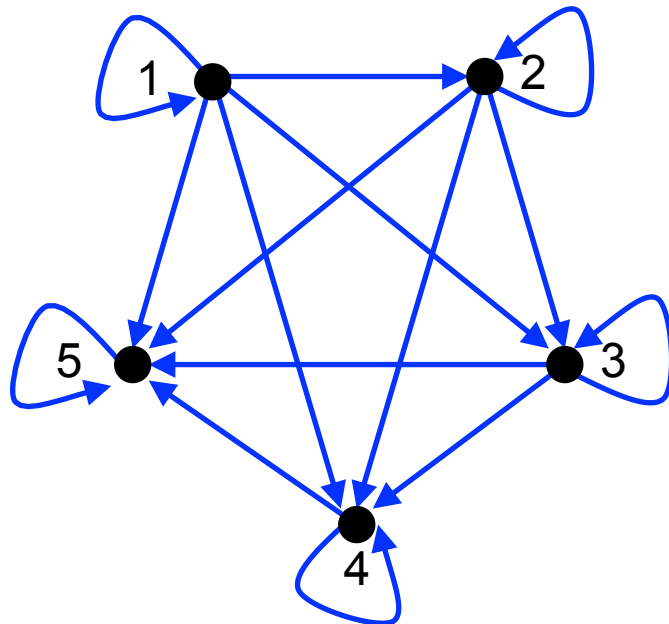
- A directed graph consists of:
  - A set  $V$  of vertices (or nodes)
  - A set  $E$  of edges (or arcs)
  - If  $(a, b)$  is in the relation, then there is an arrow from  $a$  to  $b$
- Will generally use relations on a single set
- Consider our relation  $R = \{ (a, b) \mid a \text{ divides } b \}$

- Old way:



# Reflexivity

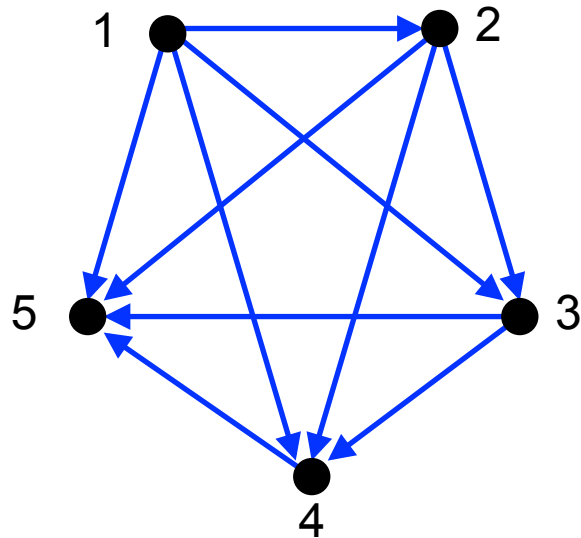
- Consider a reflexive relation:  $\leq$ 
  - One which every element is related to itself
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$



If every node has a loop, a relation is reflexive

# Irreflexivity

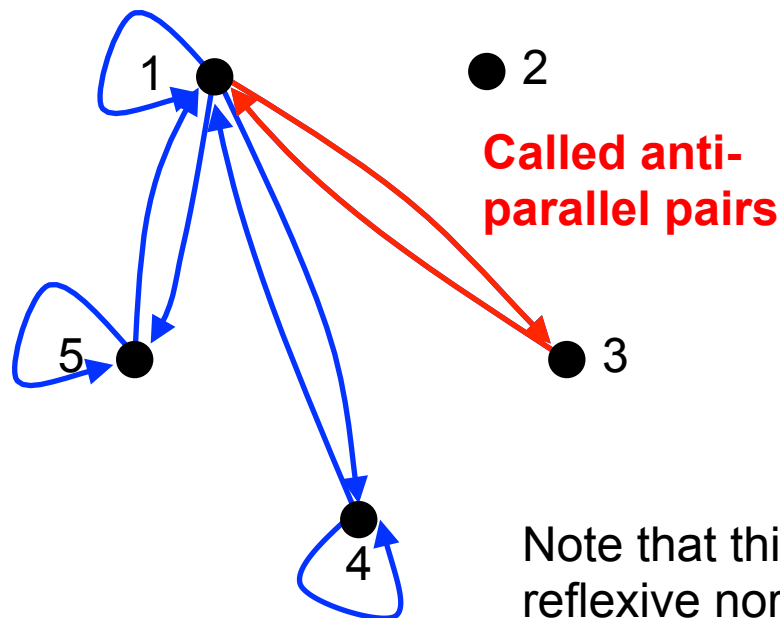
- Consider a reflexive relation: <
  - One which every element is *not* related to itself
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$



If every node does *not* have a loop, a relation is irreflexive

# Symmetry

- Consider an symmetric relation  $R$ 
  - One which if  $a$  is related to  $b$  then  $b$  is related to  $a$  for all  $(a,b)$
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$

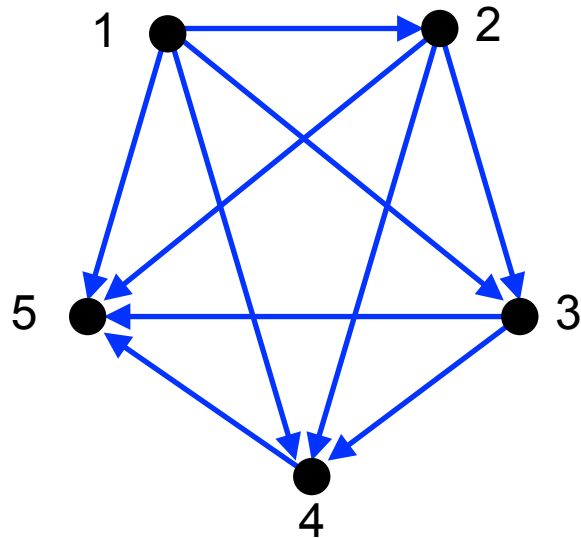


- If, for every edge, there is an edge in the other direction, then the relation is symmetric
- Loops are allowed, and do not need edges in the “other” direction

Note that this relation is neither reflexive nor irreflexive!

# Asymmetry

- Consider an asymmetric relation:  $<$ 
  - One which if  $a$  is related to  $b$  then  $b$  is *not* related to  $a$  for all  $(a,b)$
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$

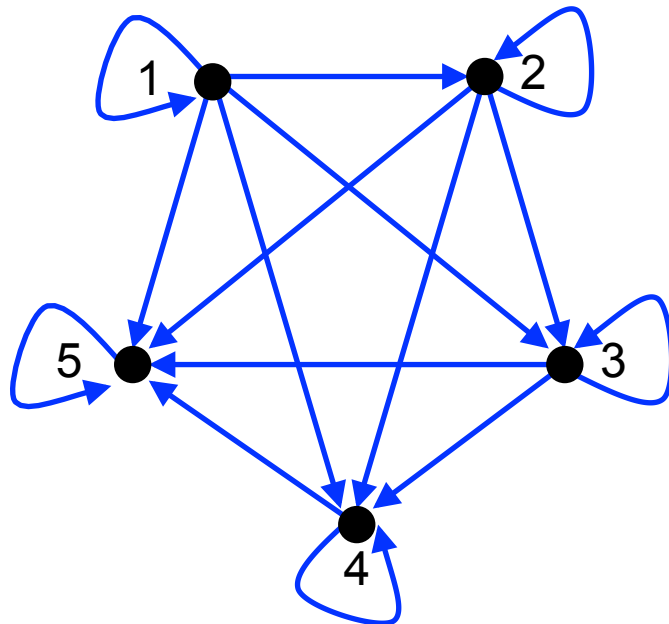


- A digraph is asymmetric if:
  1. If, for every edge, there is *not* an edge in the other direction, then the relation is asymmetric
  2. Loops are *not* allowed in an asymmetric digraph (recall it must be irreflexive)



# Antisymmetry

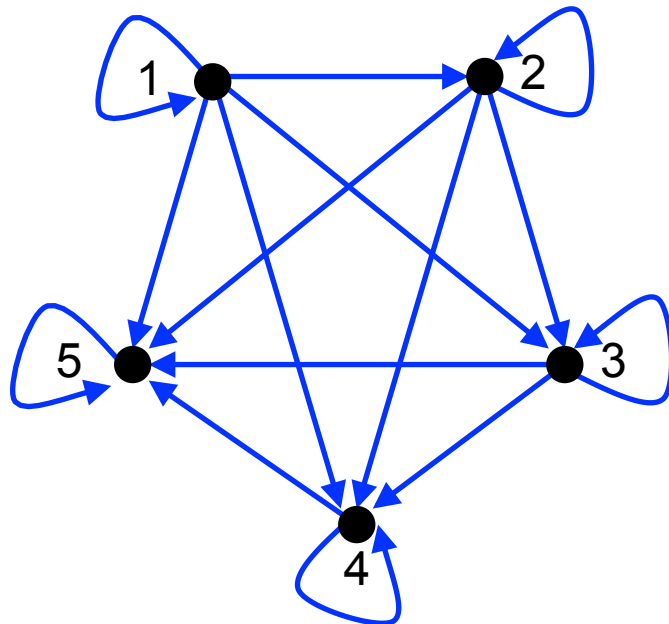
- Consider an antisymmetric relation:  $\leq$ 
  - One which if  $a$  is related to  $b$  then  $b$  is *not* related to  $a$  unless  $a=b$  for all  $(a,b)$
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$



- If, for every edge, there is *not* an edge in the other direction, then the relation is antisymmetric
- Loops are allowed in the digraph

# Transitivity

- Consider an transitive relation:  $\leq$ 
  - One which if  $a$  is related to  $b$  and  $b$  is related to  $c$  then  $a$  is related to  $c$  for all  $(a,b)$ ,  $(b,c)$  and  $(a,c)$
  - Let  $A = \{ 1, 2, 3, 4, 5 \}$



- A digraph is transitive if, for there is a edge from  $a$  to  $c$  when there is a edge from  $a$  to  $b$  and from  $b$  to  $c$

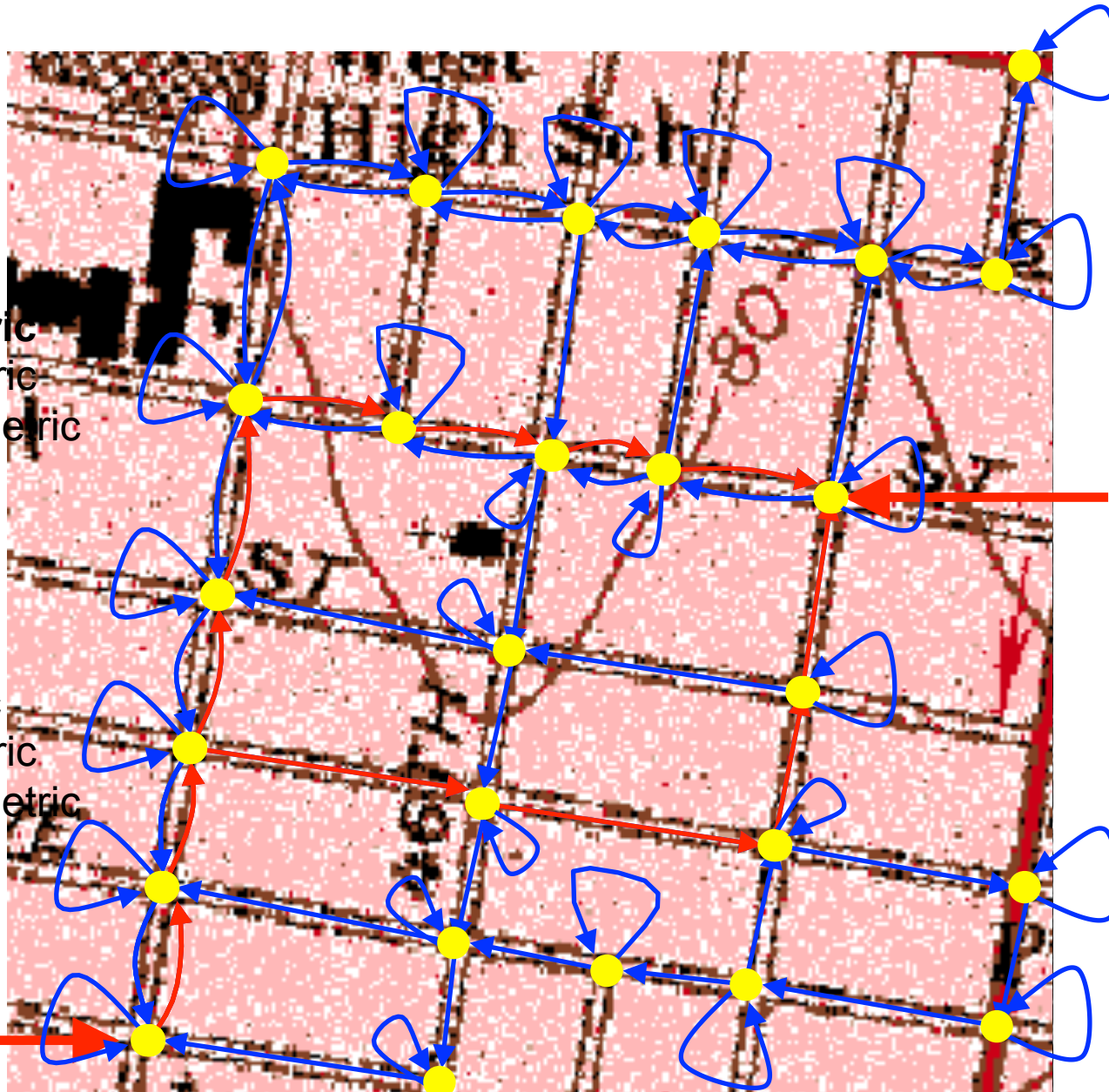
# Applications of digraphs: MapQuest

- Not reflexive
- Is irreflexive
- **Not symmetric**
- Not asymmetric
- Not antisymmetric
- Not transitive

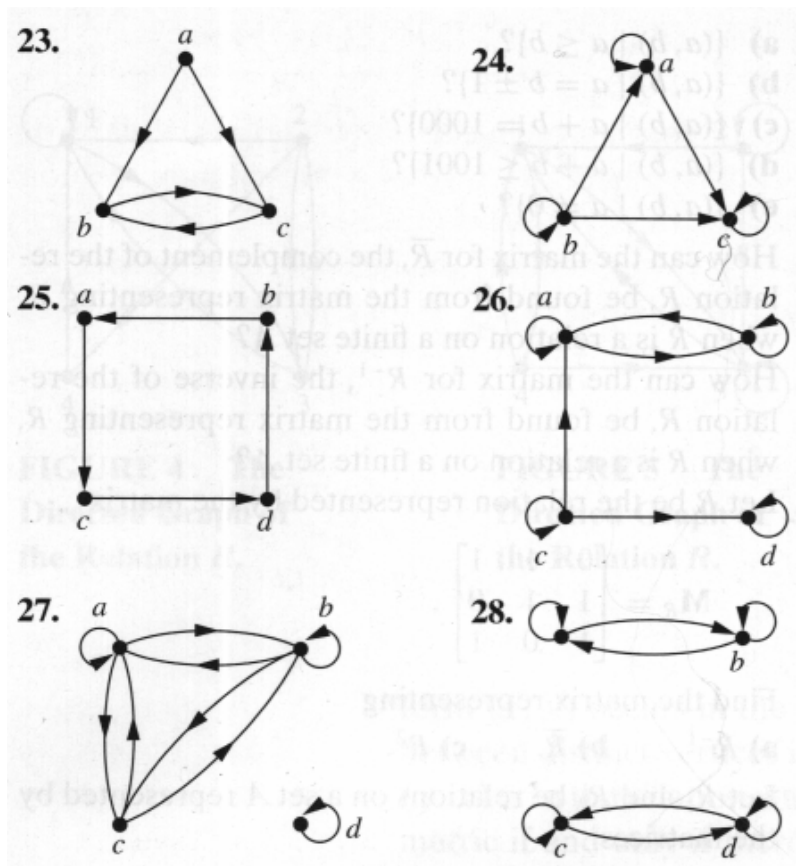
- Not reflexive
- Is irreflexive
- **Is symmetric**
- Not asymmetric
- Not antisymmetric
- Not transitive

Start

End



# Rosen, questions 31 & 32, section 7.3



Which of the graphs are reflexive, irreflexive, symmetric, asymmetric, antisymmetric, or transitive

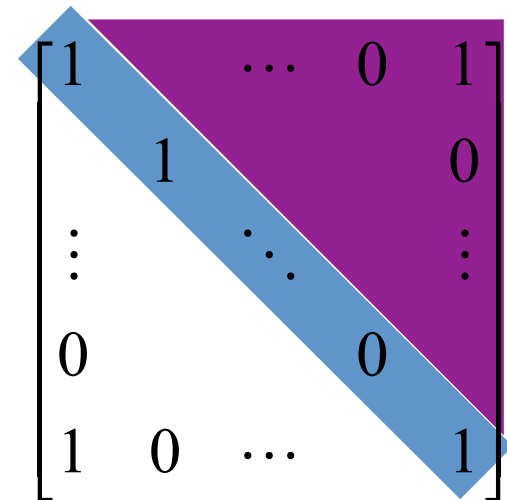
	23	24	25	26	27	28
Reflexive		Y		Y		Y
Irreflexive	Y		Y			
Symmetric					Y	Y
Asymmetric			Y			
Antisymmetric		Y	Y			
Transitive						Y

## Rosen, section 7.1 (sic) question 45 (a)

- How many symmetric relations are there on a set with  $n$  elements?
- Solution guide explanation is pretty poorly worded
- So instead we'll use matrices

# Rosen, section 7.1 (sic) question 45 (a)

- Consider the matrix representing symmetric relation  $R$  on a set with  $n$  elements:
- The center diagonal can have any values
- Once the “upper” triangle is determined, the “lower” triangle must be the transposed version of the “upper” one
- How many ways are there to fill in the center diagonal and the upper triangle?
- There are  $n^2$  elements in the matrix
- There are  $n$  elements in the center diagonal
  - Thus, there are  $2^n$  ways to fill in 0's and 1's in the diagonal
- Thus, there are  $(n^2-n)/2$  elements in each triangle
  - Thus, there are  $2^{(n^2-n)/2}$  ways to fill in 0's and 1's in the triangle



- Answer: there are  $2^n * 2^{(n^2-n)/2} = 2^{(n^2+n)/2}$  possible symmetric relations on a set with  $n$  elements

# Closures of Relations

Slides by A. Bloomfield

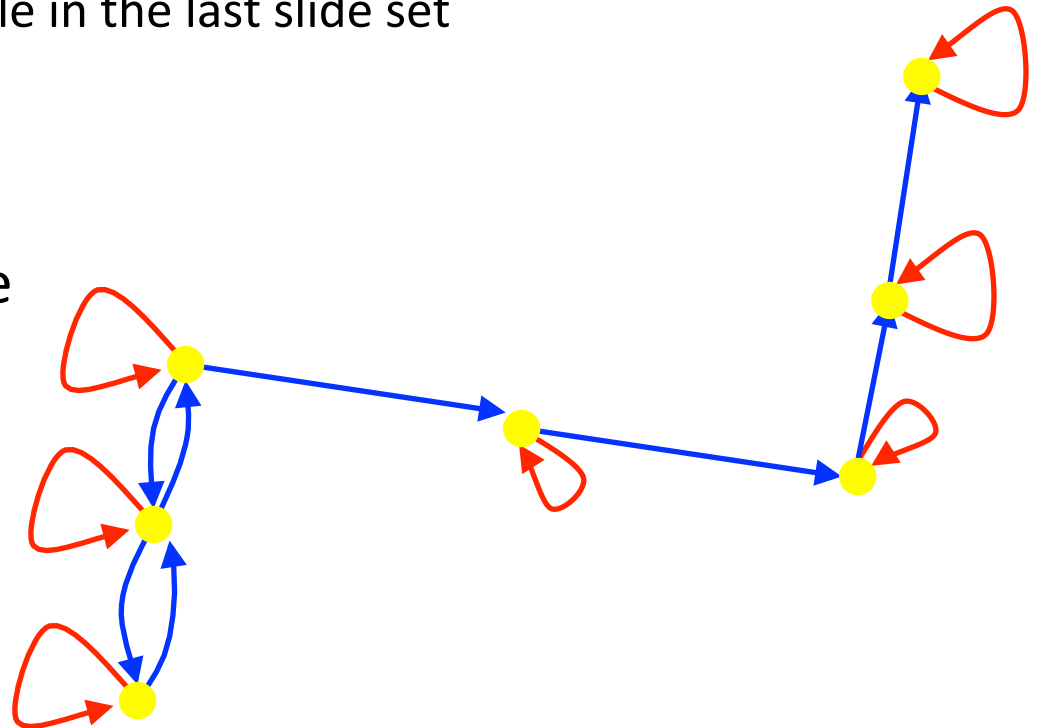
# Relational closures

- Three types we will study
  - Reflexive
    - Easy
  - Symmetric
    - Easy
  - Transitive
    - Hard



# Reflexive closure

- Consider a relation  $R$ :
  - From our MapQuest example in the last slide set
  - Note that it is not reflexive
- We want to add edges to make the relation reflexive
- By adding those edges, we have made a non-reflexive relation  $R$  into a reflexive relation
- This new relation is called the **reflexive closure** of  $R$

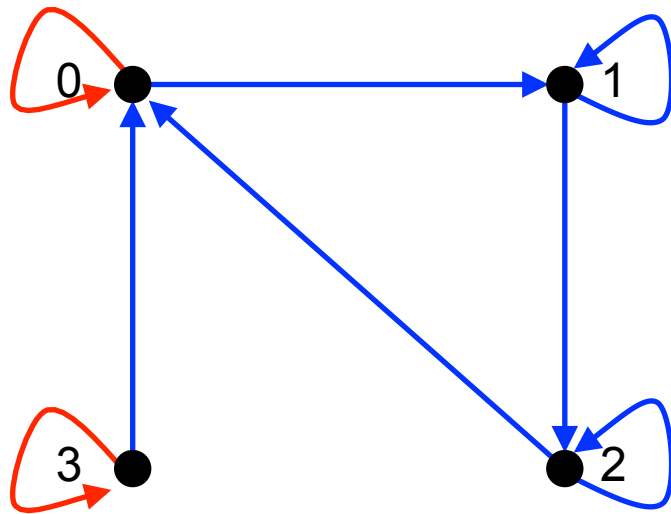


# Reflexive closure

- In order to find the reflexive closure of a relation  $R$ , we add a loop at each node that does not have one
- The reflexive closure of  $R$  is  $R \cup \Delta$ 
  - Where  $\Delta = \{ (a,a) \mid a \in R \}$ 
    - Called the “diagonal relation”
  - With matrices, we set the diagonal to all 1's

## Rosen, section 7.4, question 1(a)

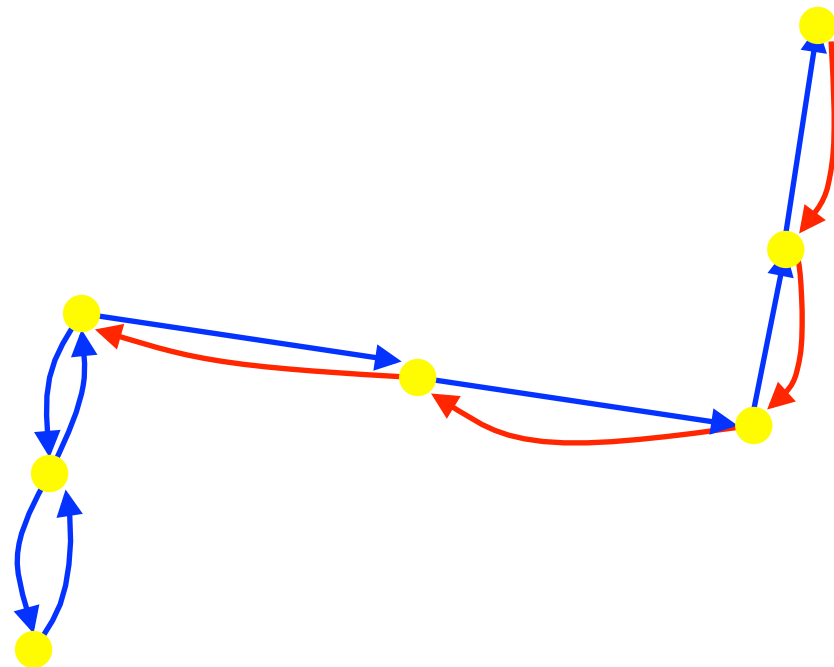
- Let  $R$  be a relation on the set  $\{0, 1, 2, 3\}$  containing the ordered pairs  $(0,1)$ ,  $(1,1)$ ,  $(1,2)$ ,  $(2,0)$ ,  $(2,2)$ , and  $(3,0)$
- What is the reflexive closure of  $R$ ?
- We add all pairs of edges  $(a,a)$  that do not already exist



We add edges:  
 $(0,0)$ ,  $(3,3)$

# Symmetric closure

- Consider a relation  $R$ :
  - From our MapQuest example in the last slide set
  - Note that it is not symmetric
- We want to add edges to make the relation symmetric
- By adding those edges, we have made a non-symmetric relation  $R$  into a symmetric relation
- This new relation is called the **symmetric closure** of  $R$

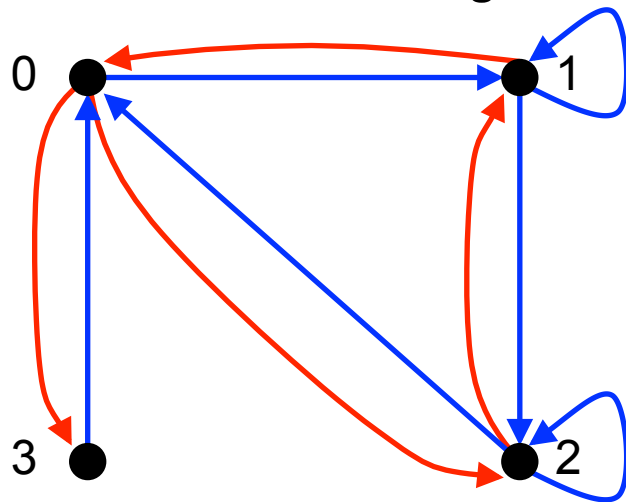


# Symmetric closure

- In order to find the symmetric closure of a relation  $R$ , we add an edge from  $a$  to  $b$ , where there is already an edge from  $b$  to  $a$
- The symmetric closure of  $R$  is  $R \cup R^{-1}$ 
  - If  $R = \{ (a,b) \mid \dots \}$
  - Then  $R^{-1} = \{ (b,a) \mid \dots \}$

## Rosen, section 7.4, question 1(b)

- Let  $R$  be a relation on the set  $\{0, 1, 2, 3\}$  containing the ordered pairs  $(0,1)$ ,  $(1,1)$ ,  $(1,2)$ ,  $(2,0)$ ,  $(2,2)$ , and  $(3,0)$
- What is the symmetric closure of  $R$ ?
- We add all pairs of edges  $(a,b)$  where  $(b,a)$  exists
  - We make all “single” edges into anti-parallel pairs



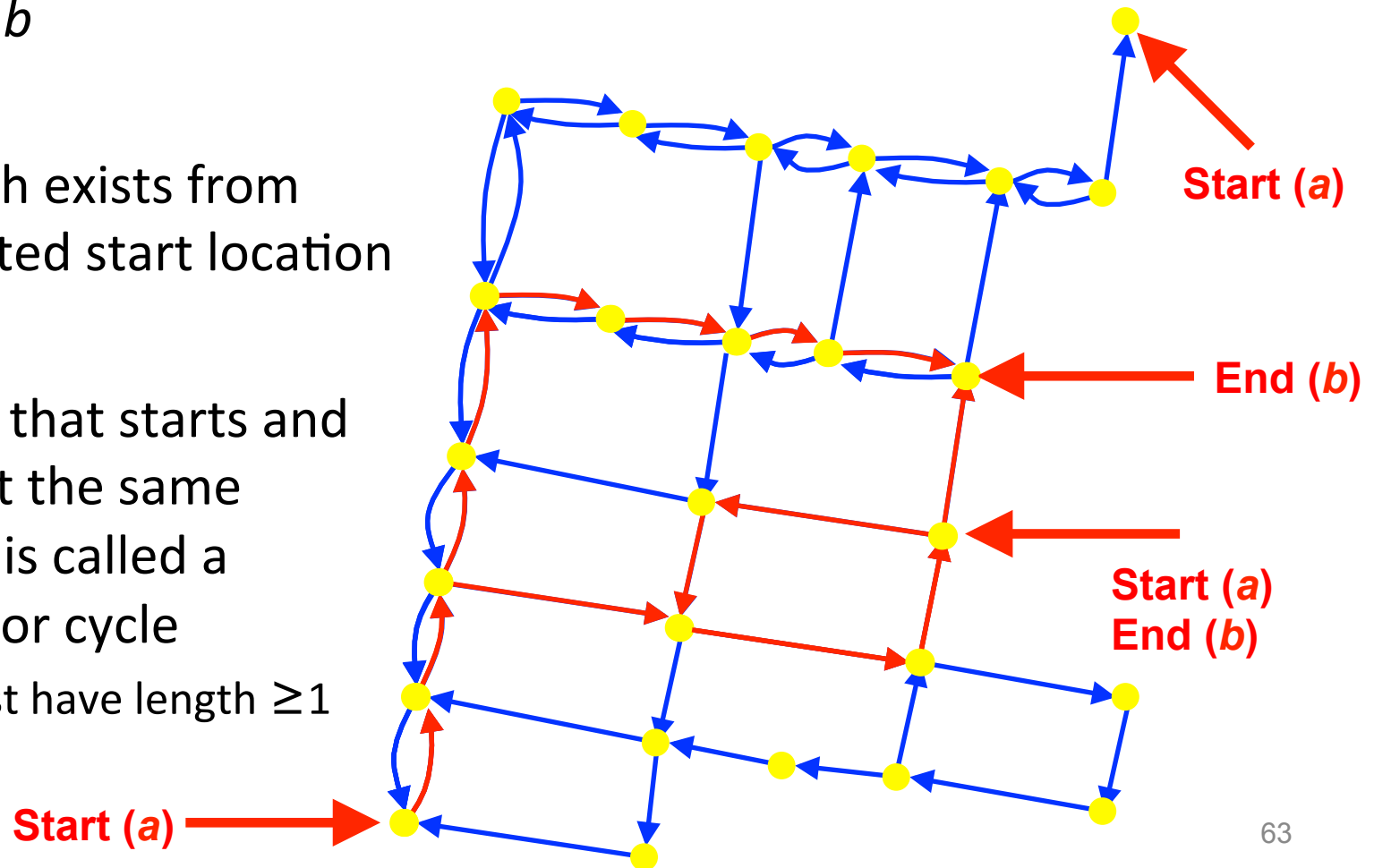
We add edges:  
 $(0,2)$ ,  $(0,3)$   
 $(1,0)$ ,  $(2,1)$

# Paths in directed graphs

- A *path* is a sequences of connected edges from vertex  $a$  to vertex  $b$

- No path exists from the noted start location

- A path that starts and ends at the same vertex is called a circuit or cycle
  - Must have length  $\geq 1$

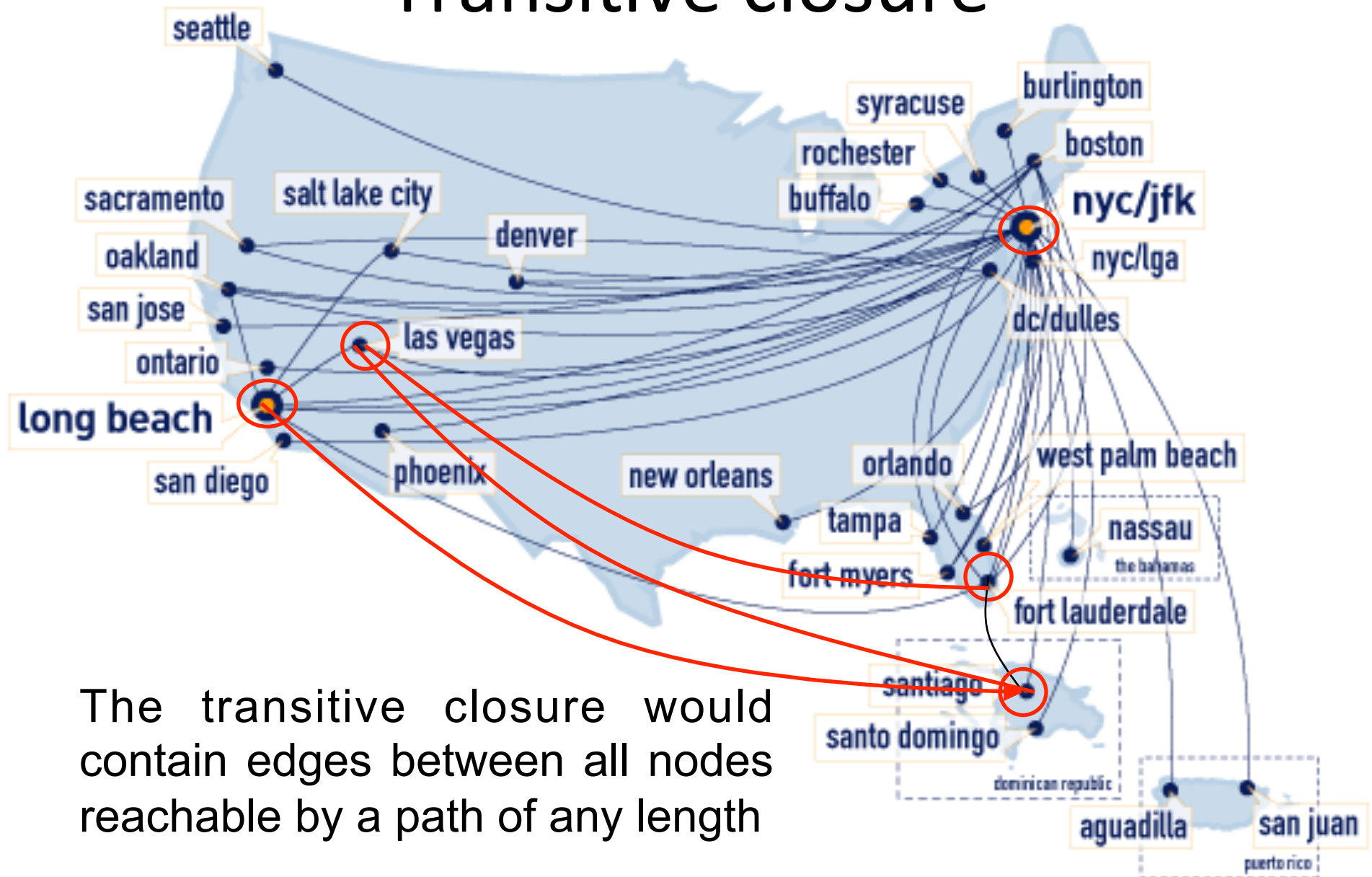


# More on paths...

- The length of a path is the number of **edges** in the path, not the number of nodes



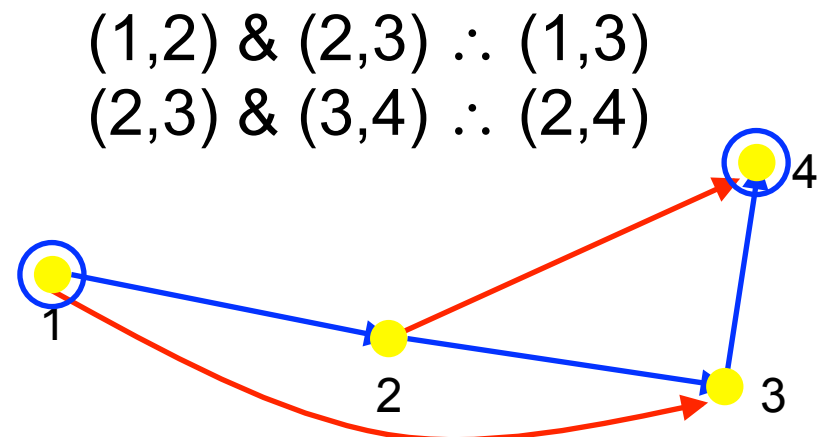
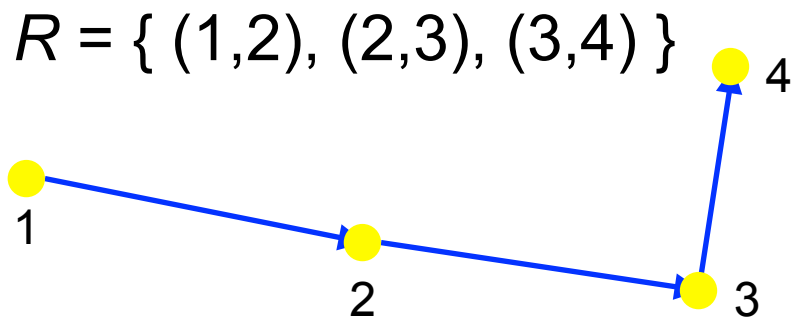
# Transitive closure



The transitive closure would contain edges between all nodes reachable by a path of any length

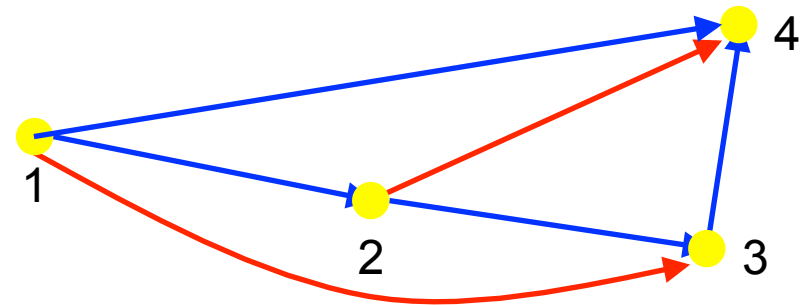
# Transitive closure

- Informal definition: If there is a path from  $a$  to  $b$ , then there should be an edge from  $a$  to  $b$  in the transitive closure
- First take of a definition:
  - In order to find the transitive closure of a relation  $R$ , we add an edge from  $a$  to  $c$ , when there are edges from  $a$  to  $b$  and  $b$  to  $c$
- But there is a path from 1 to 4 with no edge!



# Transitive closure

- Informal definition: If there is a path from  $a$  to  $b$ , then there should be an edge from  $a$  to  $b$  in the transitive closure
- Second take of a definition:
  - In order to find the transitive closure of a relation  $R$ , we add an edge from  $a$  to  $c$ , when there are edges from  $a$  to  $b$  and  $b$  to  $c$
  - Repeat this step until no new edges are added to the relation
- We will study different algorithms for determining the transitive closure
- **red** means added on the first repeat
- **teal** means added on the second repeat



# 6 degrees of separation

- The idea that everybody in the world is connected by six degrees of separation
  - Where 1 degree of separation means you know (or have met) somebody else
- Let  $R$  be a relation on the set of all people in the world
  - $(a,b) \in R$  if person  $a$  has met person  $b$
- So six degrees of separation for *any* two people  $a$  and  $g$  means:
  - $(a,b), (b,c), (c,d), (d,e), (e,f), (f,g)$  are all in  $R$
- Or,  $(a,g) \in R^6$

# Connectivity relation

- $R$  contains edges between all the nodes reachable via 1 edge
- $R \circ R = R^2$  contains edges between nodes that are reachable via 2 edges in  $R$
- $R^2 \circ R = R^3$  contains edges between nodes that are reachable via 3 edges in  $R$
- $R^n$  contains edges between nodes that are reachable via  $n$  edges in  $R$
- $R^*$  contains edges between nodes that are reachable via any number of edges (i.e. via any path) in  $R$ 
  - Rephrased:  $R^*$  contains all the edges between nodes  $a$  and  $b$  when is a path of length at least 1 between  $a$  and  $b$  in  $R$
- $R^*$  is the transitive closure of  $R$ 
  - The definition of a transitive closure is that there are edges between any nodes  $(a,b)$  that contain a path between them

# How long are the paths in a transitive closure?

- Let  $R$  be a relation on set  $A$ , and let  $A$  be a set with  $n$  elements
  - Rephrased: consider a graph  $G$  with  $n$  nodes and some number of edges
- Lemma 1: If there is a path (of length at least 1) from  $a$  to  $b$  in  $R$ , then there is a path between  $a$  and  $b$  of length not exceeding  $n$
- Proof preparation:
  - Suppose there is a path from  $a$  to  $b$  in  $R$
  - Let the length of that path be  $m$
  - Let the path be edges  $(x_0, x_1), (x_1, x_2), \dots, (x_{m-1}, x_m)$
  - That's nodes  $x_0, x_1, x_2, \dots, x_{m-1}, x_m$
  - If a node exists twice in our path, then it's not a shortest path
    - As we made no progress in our path between the two occurrences of the repeated node
  - Thus, each node may exist at most once in the path

# How long are the paths in a transitive closure?

- Proof by contradiction:
  - Assume there are more than  $n$  nodes in the path
    - Thus,  $m > n$
    - Let  $m = n+1$
  - By the pigeonhole principle, there are  $n+1$  nodes in the path (pigeons) and they have to fit into the  $n$  nodes in the graph (pigeonholes)
  - Thus, there must be at least one pigeonhole that has at least two pigeons
  - Rephrased: there must be at least one node in the graph that has two occurrences in the nodes of the path
    - Not possible, as the path would not be the shortest path
  - Thus, it cannot be the case that  $m > n$
- If there exists a path from  $a$  to  $b$ , then there is a path from  $a$  to  $b$  of at most length  $n$

# Finding the transitive closure

- Let  $\mathbf{M}_R$  be the zero-one matrix of the relation  $R$  on a set with  $n$  elements. Then the zero-one matrix of the transitive closure  $R^*$  is:

$$\mathbf{M}_{R^*} = \mathbf{M}_R \vee \mathbf{M}_R^{[2]} \vee \mathbf{M}_R^{[3]} \vee \dots \vee \mathbf{M}_R^{[n]}$$

Nodes reachable  
with one application  
of the relation

Nodes reachable  
with two applications  
of the relation

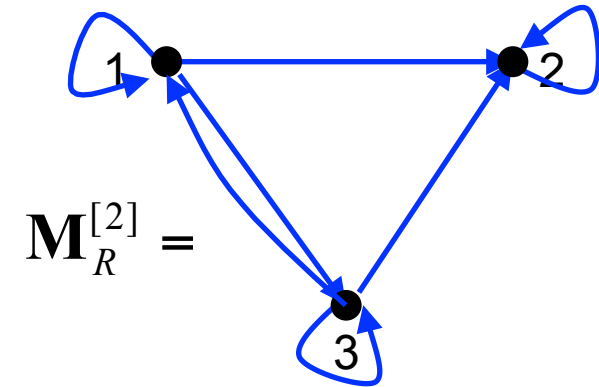
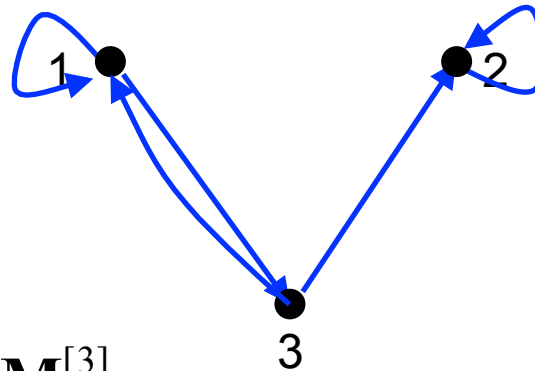
Nodes reachable  
with  $n$  applications  
of the relation



# Rosen, section 7.4, example 7

- Find the zero-one matrix of the transitive closure of the relation R given by:

$$\mathbf{M}_R = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix}$$



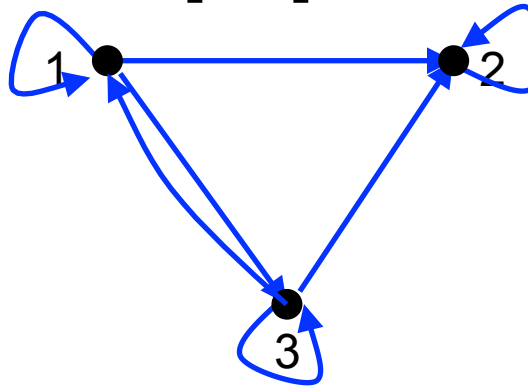
$$\mathbf{M}_R^{[2]} =$$

$$\mathbf{M}_{R^*} = \mathbf{M}_R \vee \mathbf{M}_R^{[2]} \vee \mathbf{M}_R^{[3]}$$

$$\mathbf{M}_R^{[2]} = \mathbf{M}_R \odot \mathbf{M}_R = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \odot \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

# Rosen, section 7.4, example 7

$$\mathbf{M}_R^{[3]} = \mathbf{M}_R^{[2]} \odot \mathbf{M}_R = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \odot \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$



$$\mathbf{M}_{R^*} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \vee \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \vee \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

# Transitive closure algorithm

- What we did (or rather, could have done):
  - Compute the next matrix  $\mathbf{M}_R^{[i]}$  where  $1 \leq i \leq n$
  - Do a Boolean join with the previously computed matrix
- For our example:
  - Compute
  - Join that with  $\mathbf{M}_R^{[2]} = \mathbf{M}_R \circ \mathbf{M}_R$  to yield
  - Compute  $\mathbf{M}_R \vee \mathbf{M}_R^{[2]}$
  - Join that with  $\mathbf{M}_R^{[3]} = \mathbf{M}_R^{[2]} \circ \mathbf{M}_R$  from above
  - Compute  $\mathbf{M}_R \vee \mathbf{M}_R^{[2]}$

# Transitive closure algorithm

**procedure** *transitive\_closure* ( $\mathbf{M}_R$ : zero-one  $n \times n$  matrix)

$\mathbf{A} := \mathbf{M}_R$

$\mathbf{B} := \mathbf{A}$

**for**  $i := 2$  **to**  $n$

**begin**

$\mathbf{A} := \mathbf{A} \quad \mathbf{M}_R \odot$

$\mathbf{B} := \mathbf{B} \vee \mathbf{A}$

**end** {  $\mathbf{B}$  is the zero-one matrix for  $R^*$  }

# More transitive closure algorithms

- More efficient algorithms exist, such as Warshall's algorithm
  - We won't be studying it in this class
  - Thus, the material on pages 503-506 won't be on the test

# Equivalence Relations

Slides by A. Bloomfield

# Introduction

- Certain combinations of relation properties are very useful
  - We won't have a chance to see many applications in this course
- In this set we will study equivalence relations
  - A relation that is reflexive, symmetric and transitive
- Next slide set we will study partial orderings
  - A relation that is reflexive, antisymmetric, and transitive
- The difference is whether the relation is symmetric or antisymmetric

# Outline

- What is an equivalence relation
- Equivalence relation examples
- Related items
  - Equivalence class
  - Partitions

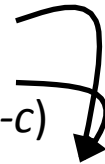


# Equivalence relations

- A relation on a set  $A$  is called an *equivalence relation* if it is reflexive, symmetric, and transitive
  - This is definition 1 in the textbook
- Consider relation  $R = \{ (a,b) \mid \text{len}(a) = \text{len}(b) \}$ 
  - Where  $\text{len}(a)$  means the length of string  $a$
  - It is reflexive:  $\text{len}(a) = \text{len}(a)$
  - It is symmetric: if  $\text{len}(a) = \text{len}(b)$ , then  $\text{len}(b) = \text{len}(a)$
  - It is transitive: if  $\text{len}(a) = \text{len}(b)$  and  $\text{len}(b) = \text{len}(c)$ , then  $\text{len}(a) = \text{len}(c)$
  - Thus,  $R$  is a equivalence relation

# Equivalence relation example

- Consider the relation  $R = \{ (a,b) \mid a \equiv b \pmod{m} \}$ 
  - Remember that this means that  $m \mid a-b$
  - Called “congruence modulo  $m$ ”
- Is it reflexive:  $(a,a) \in R$  means that  $m \mid a-a$ 
  - $a-a = 0$ , which is divisible by  $m$
- Is it symmetric: if  $(a,b) \in R$  then  $(b,a) \in R$ 
  - $(a,b)$  means that  $m \mid a-b$
  - Or that  $km = a-b$ . Negating that, we get  $b-a = -km$
  - Thus,  $m \mid b-a$ , so  $(b,a) \in R$
- Is it transitive: if  $(a,b) \in R$  and  $(b,c) \in R$  then  $(a,c) \in R$ 
  - $(a,b)$  means that  $m \mid a-b$ , or that  $km = a-b$
  - $(b,c)$  means that  $m \mid b-c$ , or that  $lm = b-c$
  - $(a,c)$  means that  $m \mid a-c$ , or that  $nm = a-c$
  - Adding these two, we get  $km+lm = (a-b) + (b-c)$
  - Or  $(k+l)m = a-c$
  - Thus,  $m$  divides  $a-c$ , where  $n = k+l$
- Thus, congruence modulo  $m$  is an equivalence relation



# Rosen, section 7.5, question 1

- Which of these relations on  $\{0, 1, 2, 3\}$  are equivalence relations? Determine the properties of an equivalence relation that the others lack
- a)  $\{ (0,0), (1,1), (2,2), (3,3) \}$ 
  - Has all the properties, thus, is an equivalence relation
- b)  $\{ (0,0), (0,2), (2,0), (2,2), (2,3), (3,2), (3,3) \}$ 
  - Not reflexive:  $(1,1)$  is missing
  - Not transitive:  $(0,2)$  and  $(2,3)$  are in the relation, but not  $(0,3)$
- c)  $\{ (0,0), (1,1), (1,2), (2,1), (2,2), (3,3) \}$ 
  - Has all the properties, thus, is an equivalence relation
- d)  $\{ (0,0), (1,1), (1,3), (2,2), (2,3), (3,1), (3,2), (3,3) \}$ 
  - Not transitive:  $(1,3)$  and  $(3,2)$  are in the relation, but not  $(1,2)$
- e)  $\{ (0,0), (0,1), (0,2), (1,0), (1,1), (1,2), (2,0), (2,2), (3,3) \}$ 
  - Not symmetric:  $(1,2)$  is present, but not  $(2,1)$
  - Not transitive:  $(2,0)$  and  $(0,1)$  are in the relation, but not  $(2,1)$

# Rosen, section 7.5, question 5

- Suppose that  $A$  is a non-empty set, and  $f$  is a function that has  $A$  as its domain. Let  $R$  be the relation on  $A$  consisting of all ordered pairs  $(x,y)$  where  $f(x) = f(y)$ 
  - Meaning that  $x$  and  $y$  are related if and only if  $f(x) = f(y)$
- Show that  $R$  is an equivalence relation on  $A$
- Reflexivity:  $f(x) = f(x)$ 
  - True, as given the same input, a function always produces the same output
- Symmetry: if  $f(x) = f(y)$  then  $f(y) = f(x)$ 
  - True, by the definition of equality
- Transitivity: if  $f(x) = f(y)$  and  $f(y) = f(z)$  then  $f(x) = f(z)$ 
  - True, by the definition of equality

# Rosen, section 7.5, question 8

- Show that the relation  $R$ , consisting of all pairs  $(x,y)$  where  $x$  and  $y$  are bit strings of length three or more that agree except perhaps in their first three bits, is an equivalence relation on the set of all bit strings
- Let  $f(x)$  = the bit string formed by the last  $n-3$  bits of the bit string  $x$  (where  $n$  is the length of the string)
- Thus, we want to show: let  $R$  be the relation on  $A$  consisting of all ordered pairs  $(x,y)$  where  $f(x) = f(y)$
- This has been shown in question 5 on the previous slide

# Equivalence classes

- Let  $R$  be an equivalence relation on a set  $A$ . The set of all elements that are related to an element  $a$  of  $A$  is called the *equivalence class* of  $a$ .
- The equivalence class of  $a$  with respect to  $R$  is denoted by  $[a]_R$
- When only one relation is under consideration, the subscript is often deleted, and  $[a]$  is used to denote the equivalence class
- Note that these classes are disjoint!
  - As the equivalence relation is symmetric
- This is definition 2 in the textbook

# More on equivalence classes

- Consider the relation  $R = \{ (a,b) \mid a \bmod 2 = b \bmod 2 \}$ 
  - Thus, all the even numbers are related to each other
  - As are the odd numbers
- The even numbers form an equivalence class
  - As do the odd numbers
- The equivalence class for the even numbers is denoted by  $[2]$  (or  $[4]$ , or  $[784]$ , etc.)
  - $[2] = \{ \dots, -4, -2, 0, 2, 4, \dots \}$
  - 2 is a *representative* of it's equivalence class
- There are only 2 equivalence classes formed by this equivalence relation

# More on equivalence classes

- Consider the relation  $R = \{ (a,b) \mid a = b \text{ or } a = -b \}$ 
  - Thus, every number is related to additive inverse
- The equivalence class for an integer  $a$ :
  - $[7] = \{ 7, -7 \}$
  - $[0] = \{ 0 \}$
  - $[a] = \{ a, -a \}$
- There are an infinite number of equivalence classes formed by this equivalence relation



# Partitions

- Consider the relation  $R = \{ (a,b) \mid a \bmod 2 = b \bmod 2 \}$
- This splits the integers into two equivalence classes: even numbers and odd numbers
- Those two sets together form a partition of the integers
- Formally, a partition of a set  $S$  is a collection of non-empty disjoint subsets of  $S$  whose union is  $S$
- In this example, the partition is  $\{ [0], [1] \}$ 
  - Or  $\{ \{ \dots, -3, -1, 1, 3, \dots \}, \{ \dots, -4, -2, 0, 2, 4, \dots \} \}$

# Rosen, section 7.5, question 32

- Which of the following are partitions of the set of integers?
  - a) The set of even integers and the set of odd integers
    - Yes, it's a valid partition
  - b) The set of positive integers and the set of negative integers
    - No: 0 is in neither set
  - c) The set of integers divisible by 3, the set of integers leaving a remainder of 1 when divided by 3, and the set of integers leaving a remainder of 2 when divided by 3
    - Yes, it's a valid partition
  - d) The set of integers less than -100, the set of integers with absolute value not exceeding 100, and the set of integers greater than 100
    - Yes, it's a valid partition
  - e) The set of integers not divisible by 3, the set of even integers, and the set of integers that leave a remainder of 3 when divided by 6
    - The first two sets are not disjoint (2 is in both), so it's not a valid partition

# Partial Orderings

Slides by A. Bloomfield

# Introduction

- An equivalence relation is a relation that is reflexive, symmetric, and transitive
- A partial ordering (or partial order) is a relation that is reflexive, *antisymmetric*, and transitive
  - Recall that antisymmetric means that if  $(a,b) \in R$ , then  $(b,a) \notin R$  unless  $b = a$
  - Thus,  $(a,a)$  is allowed to be in  $R$
  - But since it's reflexive, all possible  $(a,a)$  must be in  $R$
- A set  $S$  with a partial ordering  $R$  is called a *partially ordered set*, or *poset*
  - Denoted by  $(S,R)$

# Partial ordering examples

- Show that  $\geq$  is a partial order on the set of integers
  - It is reflexive:  $a \geq a$  for all  $a \in \mathbf{Z}$
  - It is antisymmetric: if  $a \geq b$  then the only way that  $b \geq a$  is when  $b = a$
  - It is transitive: if  $a \geq b$  and  $b \geq c$ , then  $a \geq c$
- Note that  $\geq$  is the partial ordering on the set of integers
- $(\mathbf{Z}, \geq)$  is the partially ordered set, or poset

# Symbol usage

- The symbol  $\preceq$  is used to represent *any* relation when discussing partial orders
  - Not just the less than or equals to relation
  - Can represent  $\leq$ ,  $\geq$ ,  $\subseteq$ , etc
  - Thus,  $a \preceq b$  denotes that  $(a,b) \in R$
  - The poset is  $(S, \preceq)$

# Comparability

- The elements  $a$  and  $b$  of a poset  $(S, \preceq)$  are called *comparable* if either  $a \preceq b$  or  $b \preceq a$ .
  - Meaning if  $(a,b) \in R$  or  $(b,a) \in R$
  - It can't be both because  $\preceq$  is antisymmetric
    - Unless  $a = b$ , of course
  - If neither  $a \preceq b$  nor  $b \preceq a$ , then  $a$  and  $b$  are *incomparable*
    - Meaning they are not related to each other
  - This is definition 2 in the text
- If all elements in  $S$  are comparable, the relation is a *total ordering*

# Comparability examples

- Let  $\preceq$  be the “divides” operator  $|$
- In the poset  $(\mathbf{Z}^+, |)$ , are the integers 3 and 9 comparable?
  - Yes, as  $3 | 9$
- Are 7 and 5 comparable?
  - No, as  $7 \nmid 5$  and  $5 \nmid 7$
- Thus, as there are pairs of elements in  $\mathbf{Z}^+$  that are not comparable, the poset  $(\mathbf{Z}^+, |)$  is a partial order



# Comparability examples

- Let  $\leq$  be the less than or equals operator  $\leq$
- In the poset  $(\mathbf{Z}^+, \leq)$ , are the integers 3 and 9 comparable?
  - Yes, as  $3 \leq 9$
- Are 7 and 5 comparable?
  - Yes, as  $5 \leq 7$
- **As all pairs of elements in  $\mathbf{Z}^+$  are comparable, the poset  $(\mathbf{Z}^+, \leq)$  is a **total order****
  - a.k.a. totally ordered poset, linear order, chain, etc.

# Well-ordered sets

- $(S, \preceq)$  is a well-ordered set if:
  - $(S, \preceq)$  is a totally ordered poset
  - Every non-empty subset of  $S$  has a least element
- Example:  $(\mathbb{Z}, \leq)$ 
  - Is a total ordered poset (every element is comparable to every other element)
  - It has no least element
  - Thus, it is not a well-ordered set
- Example:  $(S, \leq)$  where  $S = \{ 1, 2, 3, 4, 5 \}$ 
  - Is a total ordered poset (every element is comparable to every other element)
  - Has a least element (1)
  - Thus, it is a well-ordered set

# Lexicographic ordering

- Consider two posets:  $(S, \preceq_1)$  and  $(T, \preceq_2)$
- We can order Cartesian products of these two posets via lexicographic ordering
  - Let  $s_1 \in S$  and  $s_2 \in S$
  - Let  $t_1 \in T$  and  $t_2 \in T$
  - $(s_1, t_1) \preceq (s_2, t_2)$  if either:
    - $s_1 \preceq_1 s_2$
    - $s_1 = s_2$  and  $t_1 \preceq_2 t_2$
- Lexicographic ordering is used to order dictionaries

# Lexicographic ordering

- Let  $S$  be the set of word strings (i.e. no spaces)
- Let  $T$  be the set of strings with spaces
- Both the relations are alphabetic sorting
  - We will formalize alphabetic sorting later
- Thus, our posets are:  $(S, \preceq)$  and  $(T, \preceq)$
- Order (“run”, “noun: to...” ) and (“set”, “verb: to...” )
  - As “run”  $\preceq$  “set”, the “run” Cartesian product comes before the “set” one
- Order (“run”, “noun: to...” ) and (“run”, “verb: to...” )
  - Both the first part of the Cartesian products are equal
  - “noun” is first (alphabetically) than “verb”, so it is ordered first

# Lexicographic ordering

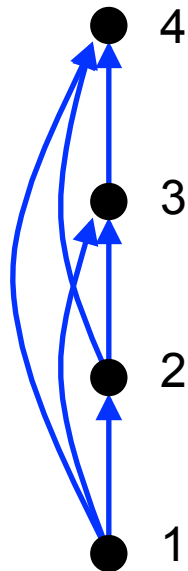
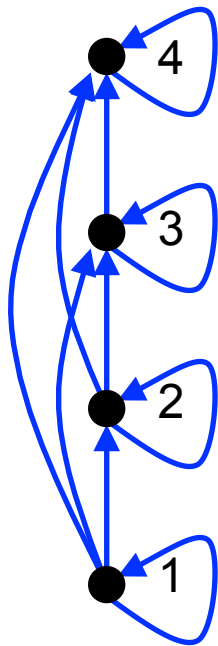
- We can do this on more than 2-tuples
- $(1,2,3,5) \preceq (1,2,4,3)$ 
  - When  $\preceq$  is  $\leq$

# Lexicographic ordering

- Consider the two strings  $a_1a_2a_3\dots a_m$ , and  $b_1b_2b_3\dots b_n$
- Here follows the formal definition for lexicographic ordering of strings
- If  $m = n$  (i.e. the strings are equal in length)
  - $(a_1, a_2, a_3, \dots, a_m) \preceq (b_1, b_2, b_3, \dots, b_n)$  using the comparisons just discussed
  - Example: “run”  $\preceq$  “set”
- If  $m \neq n$ , then let  $t$  be the minimum of  $m$  and  $n$ 
  - Then  $a_1a_2a_3\dots a_m$ , is less than  $b_1b_2b_3\dots b_n$  if and only if either of the following are true:
    - $(a_1, a_2, a_3, \dots, a_t) \preceq (b_1, b_2, b_3, \dots, b_t)$ 
      - Example: “run”  $\preceq$  “sets” ( $t = 3$ )
    - $(a_1, a_2, a_3, \dots, a_t) = (b_1, b_2, b_3, \dots, b_t)$  and  $m < n$ 
      - Example: “run”  $\preceq$  “running”

# Hasse Diagrams

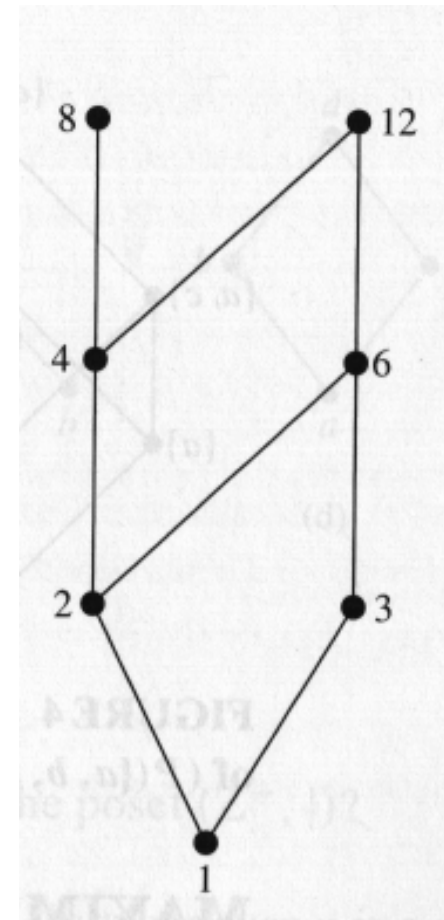
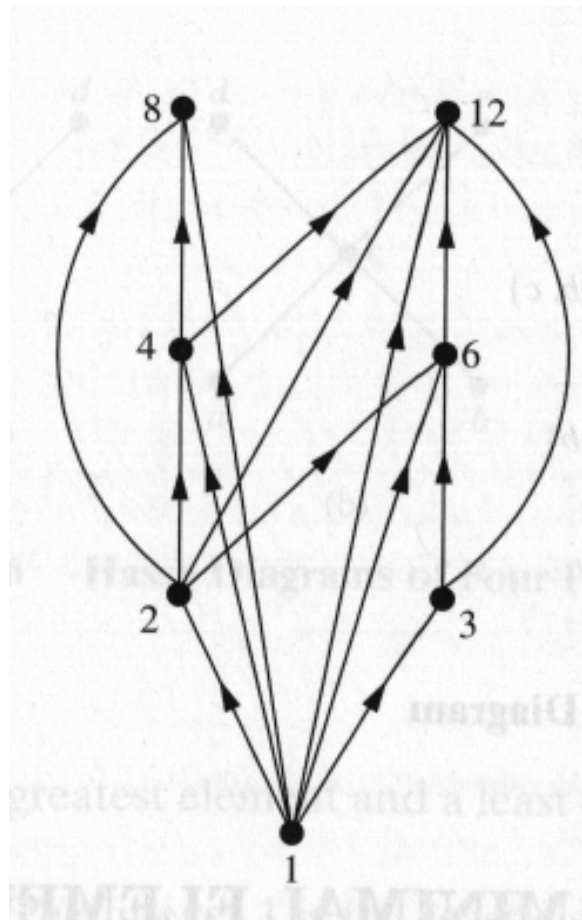
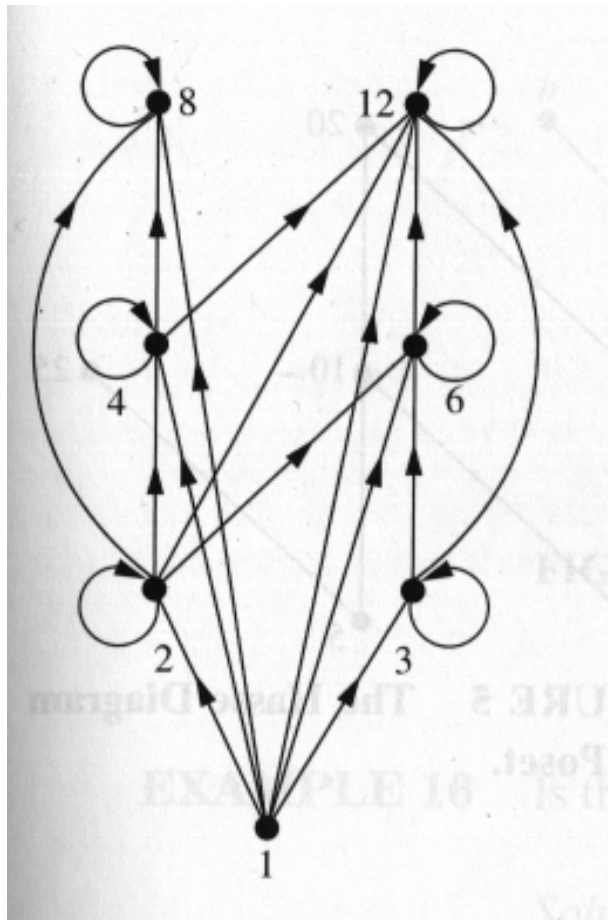
- Consider the graph for a finite poset  $(\{1,2,3,4\}, \leq)$
- When we KNOW it's a poset, we can simplify the graph



Called the  
Hasse  
diagram

# Hasse Diagram

- For the poset  $(\{1,2,3,4,6,8,12\}, |)$





# Maximal and Minimal elements in Posets

- Let  $(A, \mathcal{R})$  be a poset.
- $x \in A$  is a **maximal element** of  $A$  if  $(x, a) \notin \mathcal{R}$  for all  $a \in A$  and  $a \neq x$ .<sup>a</sup>
  - The poset  $(\{1, 2, 3, 4, 5, 6, 7, 8\}, |)$  on p. 332 has 4 maximal elements: 5, 6, 7, 8 as none of them divides a number in  $\{1, 2, 3, 4, 5, 6, 7, 8\}$ .
- $y \in A$  is a **minimal element** of  $A$  if  $(b, y) \notin \mathcal{R}$  for all  $b \in A$  and  $b \neq y$ .
  - The poset  $(\mathbb{Z}^+, \leq)$  has minimal element 1 but no maximal elements.

# Maximum and Minimum elements

## Least and Greatest Elements of Posets

- Let  $(A, \mathcal{R})$  be a poset.
- $x \in A$  is a **least element** if  $(x, a) \in \mathcal{R}$  for all  $a \in A$ .
- $y \in A$  is a **greatest element** if  $(b, y) \in \mathcal{R}$  for all  $b \in A$ .
- Least element and greatest element, if they exist, are unique.
  - For example, suppose  $x, y$  are both greatest elements.
  - Then  $(x, y) \in \mathcal{R}$  and  $(y, x) \in \mathcal{R}$ , which imply  $x = y$  because of antisymmetry (p. 303).

# Not being covered

- The remainder of 7.6 is not being covered due to lack of time
  - Lattices
  - Topological sorting