# Building an Automated ML Pipeline — Introduction and Ethical Foundations

Al Masters Capstone Project

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# **Setting the Stage**

# A New Paradigm: GenAl as the Next Internet

- GenAl parallels the early internet's transformative journey.
- Success depends on trust, distribution, and integration, not just model strength.
- Ethics and fairness are vital pillars for sustainable growth.

#### Lessons from the Past Applied to GenAl

- Move beyond internal pilots—user-facing solutions drive true impact.
- Non-tech firms with trusted brands can outshine tech giants.
- Ethics, fairness, and scale must intertwine for long-term success.

# **Ethical Imperatives and Legal**

**Accountability** 

# **Ethical AI Development is Not Optional**

- Al is formative; errors at scale can harm millions.
- Developers share ethical and legal responsibility.
- United States v. Liang: Engineer held liable for unethical code despite following instructions.

(Ref: DOJ Press Release)

# Mobley v. Workday: Al Accountability in Hiring

- Mobley v. Workday (2024): All providers can be liable for bias in hiring algorithms.
- Automated decisions are legally equivalent to human-made ones.
- Technical design can create systemic discrimination if not checked.

# **Personal Responsibility**

- "Following orders" is not a legal shield.
- Engineers must question potentially harmful directives.
- Uphold fairness and transparency to safeguard all stakeholders.

# Automated ML Pipelines: Vision and Tools

#### From Notebooks to Production

- Transitioning from prototypes to production demands stable pipelines.
- Preprocessing, model versioning, and compliance are crucial.

# **Addressing Complexity and Regulation**

- Security, compliance, and auditability are must-haves.
- Regulated environments add layers of complexity.

# Building a Comprehensive, Ethical Pipeline

- Automation: consistent preprocessing, reproducible training, smooth deployment.
- Integrate bias detection and fairness checks, not as afterthoughts, but core components.

# **Key Technologies**

- GitHub Actions: CI/CD for seamless integration and testing.
- **Docker**: Consistent environments from dev to production.
- Terraform: Infrastructure as code on AWS for scalability.
- **Hugging Face Spaces**: Effortless model deployment and interfaces.

# **Real-World Compliance Examples**

#### FTC & IntelliVision: Compliance Matters

- IntelliVision claimed unmatched accuracy and zero bias without proper evidence.
- FTC scrutiny shows claims must be substantiated.

# Operation AI Comply: The FTC's Crackdown

# **Operation AI Comply: FTC's Enforcement Sweep**

- FTC's Operation Al Comply targets deceptive Al claims and schemes.
- Multiple enforcement actions highlight that AI is no loophole for illegal practices.

# Key Cases Highlighted by the FTC

- DoNotPay: Claimed an "Al Lawyer," settled with FTC, must warn consumers.
- Ascend Ecom, Ecommerce Empire Builders, FBA Machine: Promised easy earnings via Al but delivered little.
- **Rytr**: Al-generated fake reviews, violating consumer trust.

# Implications of Operation AI Comply

- Deceptive AI claims = illegal.
- Must have evidence for performance, fairness, and accuracy claims.
- Ensures honest businesses thrive and consumers stay protected.

# What This Means for Developers and Businesses

- Transparency: Document testing and limitations.
- Accuracy: Substantiate claims, especially about bias or performance.
- Compliance: Integrate legal awareness into the pipeline workflow.

# Roadmap of the Series

### **Our Series Roadmap**

- Presentation 1: Automated ML Pipeline, Ethical Foundations (Today)
- Presentation 2: Data Preparation & Ethical Handling
- Presentation 3: Automating Model Training & Fairness Checks
- Presentation 4: Deployment Automation & Compliance
- Presentation 5: Code Quality, Security & Ethical Governance

# **Next Steps**

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- Next: Ethical data preparation—ensuring trustworthy, representative datasets.
- Building pipelines that are technically sound, ethically robust, and legally compliant.

#### **Additional Resources and References**

- FTC Press Releases and Notices: Learn from real enforcement actions.
- Explore FTC.gov for guidelines and updates.
- Operation Al Comply: Critical lessons on accountability.

# **Closing Thoughts**

- Ethical Al isn't optional—it's essential.
- Responsible innovation safeguards users, developers, and businesses.
- Let's build pipelines that uphold these values at every step.