

# Spherical varieties, $L$ -functions, and crystal bases

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Notes available at: [http://jonathanpwang.com/notes/sphL\\_RT\\_slides\\_handout.pdf](http://jonathanpwang.com/notes/sphL_RT_slides_handout.pdf)

# Outline

1 What is a spherical variety?

2 Local geometric duality

3 Function-theoretic results

4 Geometry

- $F = \mathbb{F}_q((t))$ ,  $O = \mathbb{F}_q[[t]]$
- $k = \overline{\mathbb{F}}_q$
- $G$  connected split reductive group  $/\mathbb{F}_q$

# What is a spherical variety?

## Definition

A  $G$ -variety  $X_{/\mathbb{F}_q}$  is called **spherical** if  $X_k$  is a normal variety with finitely many  $B_k$  orbits.

Finiteness condition gives good combinatorics (spherical root datum, rational cones, fans)

Examples:

- Toric varieties  $G = T$
- Symmetric spaces  $K \backslash G$ 
  - Group  $X = G' \circ G' \times G' = G$

# Why are they relevant

## Conjecture (Sakellaridis, Sakellaridis–Venkatesh)

*Representation theory (harmonic analysis) of functions on an **affine** spherical variety  $X$ , in particular involving the “IC function” of  $X(O)$ , is related to an  $L$ -function*

$$L(s, \pi, \rho_X)$$

*where  $\rho_X : \check{G}_X \rightarrow \mathrm{GL}(V_X)$  is a  $\check{G}_X$ -representation of a possibly different group  $\check{G}_X$*

There is a map  $\check{G}_X \rightarrow \check{G}$ , constructed (in most cases) by Gaitsgory–Nadler, Sakellaridis–Venkatesh, Knop–Schalke.

# Relation to physics

- $T^*X \rightarrow \mathfrak{g}^*$  is a Hamiltonian  $G$ -space
- (Gaiotto–Witten) Hamiltonian  $G$ -space  $\rightsquigarrow$  boundary theory for super Yang–Mills TFT for  $G$
- $S$ -duality for boundary theories predicts:

$$\boxed{G \curvearrowright T^*X \rightarrow \mathfrak{g}^*} \longleftrightarrow \boxed{\check{G} \curvearrowright M^\vee \rightarrow \check{\mathfrak{g}}^*}$$

## Prediction (Ben-Zvi–Sakellaridis–Venkatesh)

When  $X$  is a spherical variety, there exists  $V_X \in \text{Rep}(\check{G}_X)$  such that

$$M^\vee = V_X \times^{\check{G}_X} \check{G} := (V_X \times \check{G}) / \check{G}_X$$

is a Hamiltonian  $\check{G}$ -space.

	$X \circlearrowleft G$	$\check{G}_X$	$V_X$
Usual Langlands	$G' \circlearrowleft G' \times G'$	$\check{G}'$	$\check{\mathfrak{g}}'$
Whittaker normalization	$(N, \psi) \backslash G$	$\check{G}$	0
Hecke	$\mathbb{G}_m \backslash \mathrm{PGL}_2$	$\check{G} = \mathrm{SL}_2$	$T^* \mathrm{std}$
Rankin–Selberg, Jacquet–Piatetski-Shapiro–Shalika	$\overline{H \backslash \mathrm{GL}_n \times \mathrm{GL}_n} = \mathrm{GL}_n \times \mathbb{A}^n$	$\check{G}$	$T^*(\mathrm{std} \otimes \mathrm{std})$
Gan–Gross–Prasad	$\mathrm{SO}_{2n} \backslash \mathrm{SO}_{2n+1} \times \mathrm{SO}_{2n}$	$\check{G} = \mathrm{SO}_{2n} \times \mathrm{Sp}_{2n}$	$\mathrm{std} \otimes \mathrm{std}$

# Local geometric duality

- $k = \overline{\mathbb{F}}_q$
- $X_F(k) = X(k((t)))$  formal loop space of  $X$  – this is an ind-scheme
- Let  $X^\bullet$  denote the open  $G$ -orbit of  $X$ .
- $X_F^\bullet = X_F - (X - X^\bullet)_F$

We quantize the previous duality:

## Conjecture (Ben-Zvi–Sakellaridis–Venkatesh)

*There exists a monoidal equivalence*

$$D_{G_O}^b(X_F^\bullet) \cong D_{\text{perf}}^b(\mathbb{V}_X / \check{G}_X)$$

*where  $\mathbb{V}_X$  is a  $\mathbb{Z}$ -graded, super  $\check{G}_X$ -representation.*

This is a generalization of derived Satake equivalence ( $X = G \circlearrowright G \times G$ )

$$D_{G_O}^b(G_F/G_O) \cong D_{\text{perf}}^b(\check{\mathfrak{g}}^*[2]/\check{G})$$

$$\check{G}_X = \check{G}$$

For this talk, assume  $\check{G}_X = \check{G}$  (and  $X$  has no type N roots). ['N' is for normalizer]

Equivalent to:

(Base change to  $k$ )

- $X$  has open  $B$ -orbit  $X^\circ \cong B$
- $X^\circ P_\alpha / \mathcal{R}(P_\alpha) \cong \mathbb{G}_m \backslash \mathrm{PGL}_2$  for every simple  $\alpha$ ,  $P_\alpha \supset B$



# Plancherel formula

By functions-sheaves analogy, previous conjecture can be viewed as geometric realization of Plancherel formula for  $L^2(X^\bullet(F))$ :

$$L^2(X^\bullet(F))^{G(O)} = \int_{\chi \in \widehat{T}_X/W_X} \pi_\chi^{G(O)} d\chi$$

where  $\widehat{T}_X$  is maximal compact in  $\check{T}_X$  and  $\pi_\chi$  is principal series.

In particular, we have a spectral decomposition

$$\|IC_{X(O)}\|^2 = \int_{\widehat{T}_X/W_X} \|IC_{X(O)}\|_\chi^2 d\chi$$

and conjecture predicts that

$$\|IC_{X(O)}\|_\chi^2 = \frac{L(s_0, \pi_\chi, V_X)}{L(1, \pi_\chi, \check{\mathfrak{g}}_X)}$$

up to known constant and zeta factors.

## Theorem (Sakellaridis–Venkatesh á la Bernstein)

*There exists a  $G(F)$ -equivariant map*

$$\mathrm{Asymp} : C^\infty(X^\bullet(F)) \rightarrow C^\infty(X_0^\bullet(F))$$

*where  $X_0^\bullet$  “looks like”  $N^- \backslash G$ , such that*

$$\|\Phi\|_\chi^2 = \|\mathrm{Asymp}(\Phi)\|_\chi^2.$$

So function-theoretically, the problem amounts to computing  $\mathrm{Asymp}(IC_{X(O)})$ .

## Theorem (Sakellaridis–W)

*Assume that the open  $B$ -orbit  $X^\circ = B$ .*

*Then,  $\text{Asymp}$  is realized via the functions-sheaves dictionary as a nearby cycles functor on finite type models of  $X_F^\bullet \rightsquigarrow (X_0^\bullet)_F$ .*

In this situation,  $X_0^\bullet = N^- \backslash G$  so

$$\text{Asymp}(IC_{X(O)}) \in C^\infty(N^-(F) \backslash G(F) / G(O)) = \text{Fn}(\check{\Lambda}).$$

## Conjecture 1 (Sakellaridis–Venkatesh)

Assume  $X$  affine spherical,  $\check{G}_X = \check{G}$  and  $X$  has no type  $N$  roots.

- There exists  $M^\vee = V_X$  a symplectic  $\check{G}$ -representation with Hamiltonian structure, and  $\mathbb{V}_X = V_X^{\text{odd}}[1]$ .

There exists a  $\check{T}$  polarization  $V_X = V_X^+ \oplus (V_X^+)^*$  such that

$$\text{Asymp}(IC_{X(O)}) = \frac{\prod_{\check{\alpha} \in \check{\Phi}_G^+} (1 - q^{-1} e^{\check{\alpha}})}{\prod_{\check{\lambda} \in \text{wt}(V_X^+)} (1 - q^{-\frac{1}{2}} e^{\check{\lambda}})} \in \text{Fn}(\check{\Lambda})$$

where  $e^{\check{\lambda}}$  is the indicator function of  $\check{\lambda}$ ,  $e^{\check{\lambda}} e^{\check{\mu}} = e^{\check{\lambda} + \check{\mu}}$

**Mellin transform** (= spectral decomposition) gives

$$(\text{Asymp}(IC_{X(O)}))_\chi = \frac{L(\frac{1}{2}, \chi, V_X^+)}{L(1, \chi, \check{n})}, \text{ this is "half" of } \frac{L(\frac{1}{2}, \chi, V_X)}{L(1, \chi, \check{\mathfrak{g}}/\check{\mathfrak{t}})}$$

## Theorem (Sakellaridis–W)

Assume  $X$  affine spherical,  $\check{G}_X = \check{G}$  and  $X$  has no type  $N$  roots. Then the Mellin transform

$$(\text{Asymp}(\text{IC}_{X(o)}))_X = \frac{L(\frac{1}{2}, \chi, V_X^+)}{L(1, \chi, \check{\mathfrak{n}})}$$

for some  $V_X^+ \in \text{Rep}(\check{T})$  such that:

- ①  $V'_X := V_X^+ \oplus (V_X^+)^*$  has action of  $(\text{SL}_2)_\alpha$  for every simple root  $\alpha$ 
  - We do not check Serre relations
- ② Assuming  $V'_X$  satisfies Serre relations (so it is a  $\check{G}$ -representation), we determine its highest weights with multiplicities (in terms of  $X$ )

- (2) gives recipe for conjectural  $(\rho_X, V_X)$  in terms of  $X$
- If  $V_X$  is minuscule, then  $V_X = V'_X$ .
- We show  $H$  reductive implies minuscule assumption.

$\text{Asymp}(IC_{X(O)})$  was previously considered by:

- Sakellaridis ('08, '13):
  - $X = H \backslash G$  and  $H$  is reductive (iff  $H \backslash G$  is affine), no assumption on  $\check{G}_X$
  - doesn't consider  $X \supsetneq H \backslash G$
- Braverman–Finkelberg–Gaitsgory–Mirković [BFGM] '02:
  - $X = \overline{N^- \backslash G}$ ,  $\check{G}_X = \check{T}$ ,  $V_X = \check{\mathfrak{n}}$
- S. Schieder '16:
  - $X = G'$  group case,  $G = G' \times G'$ ,  $V_X = \check{\mathfrak{g}}'$
- Bouthier–Ngô–Sakellaridis [BNS] '16:
  - $X \supset G'$  is  $L$ -monoid,  $G = G' \times G'$ ,  $\check{G}_X = \check{G}'$ ,  $V_X = \check{\mathfrak{g}}' \oplus T^*V^{\check{\lambda}}$
- J. Campbell '17:
  - $X = (N, \psi) \backslash G$  Whittaker

- Base change to  $k = \overline{\mathbb{F}}_q$  (or  $k = \mathbb{C}$ )
- $X_O(k) = X(k[[t]])$
- Problem:  $X_O$  is an infinite type scheme
- Bouthier–Ngô–Sakellaridis: IC function still makes sense by  
Grinberg–Kazhdan theorem – use finite type schemes to model  $X_O$

# Zastava space

Drinfeld's proof of Grinberg–Kazhdan theorem gives an explicit finite type model for  $X_O$ :

## Definition

Let  $C = \mathbb{A}^1$  the affine line. Define

$$\mathcal{Y} = \text{Maps}_{\text{gen}}(C, X/B \supset X^\circ/B)$$

Following Finkelberg–Mirković, we call this the **Zastava space** of  $X$ .

Fact:  $\mathcal{Y}$  is an infinite disjoint union of finite type schemes.

$$\begin{array}{c} \mathcal{Y} \\ \downarrow \pi \\ \mathcal{A} \\ \cap \end{array}$$

$\{\check{\Lambda}\text{-valued divisors on } C\}$



Define the **central fiber**  $\mathbb{Y}^{\check{\lambda}} = \pi^{-1}(\check{\lambda} \cdot v)$  for a single point  $v \in C(k)$ .

$$\begin{array}{ccc} \mathcal{Y} & \longleftarrow & \mathbb{Y}^{\check{\lambda}} \\ \downarrow & & \downarrow \\ \mathcal{A} & \longleftarrow & \check{\lambda} \cdot v \end{array}$$

## Graded factorization property

The fiber  $\pi^{-1}(\check{\lambda}_1 v_1 + \check{\lambda}_2 v_2)$  for distinct  $v_1, v_2$  is isomorphic to  $\mathbb{Y}^{\check{\lambda}_1} \times \mathbb{Y}^{\check{\lambda}_2}$ .

## Upshot

By Braden's contraction principle, computation of Asymp / nearby cycles amounts to computing  $\pi_! IC_{\mathcal{Y}}$ .

# Semi-small map

Can compactify  $\pi$  to proper map  $\bar{\pi} : \bar{\mathcal{Y}} \rightarrow \mathcal{A}$ .

## Theorem (Sakellaridis–W)

*Under previous assumptions,  $\bar{\pi} : \bar{\mathcal{Y}} \rightarrow \mathcal{A}$  is stratified semi-small. In particular,  $\bar{\pi}_! IC_{\bar{\mathcal{Y}}}$  is perverse.*

If  $\bar{\mathcal{Y}}$  is smooth, then semi-smallness amounts to the inequality

$$\dim \bar{\mathbb{Y}}^{\check{\lambda}} \leq \text{crit}(\check{\lambda})$$

Decomposition theorem + factorization property imply

## Euler product

$$\text{tr}(\text{Fr}, (\bar{\pi}_! IC_{\bar{\mathcal{Y}}})|_{? \cdot v}^*) = \frac{1}{\prod_{\check{\lambda} \in \mathfrak{B}^+} (1 - q^{-\frac{1}{2}} e^{\check{\lambda}})}$$

$\mathfrak{B}^+ = \text{irred. components of } \bar{\mathbb{Y}}^{\check{\lambda}} \text{ of } \dim = \text{crit}(\check{\lambda}) \text{ as } \check{\lambda} \text{ varies}$

- $\mathfrak{B}^+ = \text{irred. components of } \overline{\mathbb{Y}}^{\check{\lambda}} \text{ of dim} = \text{crit}(\check{\lambda}) \text{ as } \check{\lambda} \text{ varies}$
- Define  $V_X^+$  to have basis  $\mathfrak{B}^+$
- Formally set  $\mathfrak{B} = \mathfrak{B}^+ \sqcup (\mathfrak{B}^+)^*$ , so  $(\mathfrak{B}^+)^*$  is a basis of  $(V_X^+)^*$

### Theorem (Sakellaridis–W)

$\mathfrak{B}$  has the structure of a (Kashiwara) crystal, i.e., graph with weighted vertices and edges  $\leftrightarrow$  raising/lowering operators  $\tilde{e}_\alpha, \tilde{f}_\alpha$

Crystal basis is the (Lusztig) **canonical basis** at  $q = 0$  of a f.d.  $U_q(\check{\mathfrak{g}})$ -module.

f.d.  $\check{G}$ -representation  $\rightsquigarrow$  crystal basis  $\in \{\text{crystals}\}$

## Conjecture 1

$\mathfrak{B}$  is the crystal basis for a finite dimensional  $\check{G}$ -representation  $V_X$ .

- Conjecture 1 implies  $V'_X = V_X$  is a  $\check{G}$ -representation ( $\mathfrak{B} \leftrightarrow V'_X$ ).
- Conjecture 1 resembles geometric constructions of crystal bases by Braverman–Gaitsgory using Mirković–Vilonen cycles
- $\mathbb{Y}^{\check{\lambda}}, \overline{\mathbb{Y}}^{\check{\lambda}} \subset \mathrm{Gr}_G$
- $\mathbb{Y}^{\check{\lambda},0} = H_F G_O \cap N_F t^{\check{\lambda}} G_O \subset \mathrm{Gr}_G$

