

# Vladimir Baranovsky

## Notes on Algebraic Semigroups.

### 1. GENERALITIES.

All our groups and varieties will be over an algebraically close field  $k$ . Unless stated otherwise, the characteristic of  $k$  is arbitrary.

(Definitions of a semigroup, identity element and zero element. Whenever we speak about the group of units we assume existence of the identity element).

#### Examples

- (i)  $Mat(n)$
- (ii)  $Mat^r(n) = \{x \in Mat(n) \mid \text{rank } x \leq r\}$
- (iii)  $k^n$  with coordinatewise multiplication.
- (iv) Let  $S$  be a semigroup,  $X, Y$  two arbitrary algebraic varieties and  $\phi : Y \times X \rightarrow S$  an arbitrary map of algebraic varieties. Then  $X \times S \times Y$  can be given a structure of a semigroup:  $(x_1, s_1, y_1) \cdot (x_2, s_2, y_2) = (x_1, s_1\phi(y_1, x_2)s_2, y_2)$ . Most often this semigroup has no zero and no identity element.

**Theorem 1.** (a) For every affine algebraic semigroup  $S$  there exists a natural number  $n$  and a closed embedding  $\psi : S \rightarrow Mat(n)$ .

(b) If  $S$  has a unit, the map  $\psi$  may be chosen in such a way that  $1_S = \psi^{-1}(1_n)$  and  $\psi^{-1}(GL(n))$  is equal to the group of units in  $S$ .

**Corollary 2.** (a) If  $S$  is irreducible and has an identity element then its group of units  $G(S)$  is open in  $S$ .

(b) the group of units  $G(S)$  is an algebraic group.

From now on we will assume that the semigroup  $S$  has an identity element  $1_S$ .

Consider the category  $Rep_k(S)$  of all finite dimensional representations of  $S$  over  $k$ . The objects of  $Rep_k(S)$  are pairs  $(V, \rho_V)$ , where  $V$  is a  $k$ -vector space and  $\rho_V : S \rightarrow End_k V$  is a semigroup homomorphism sending  $1_S$  to the identity. The morphisms in  $Rep_k(S)$  are given by linear maps commuting with the  $S$ -action.

One has the natural forgetful functor  $\mu_S : Rep_k(S) \rightarrow Vect_k$  to the category of  $k$ -vector spaces  $Vect_k$ , which sends  $(V, \rho_V)$  to  $V \in Vect_k$ .

Consider the endomorphisms of  $\mu_S$  as a tensor functor. Any such endomorphism is defined by giving an element  $\lambda_V \in End_k V$  for every

representation  $V$  of  $S$ . The collection  $\{\lambda_V\}$  should satisfy the following properties

- (1)  $\lambda_k = 1 \in k = \text{End}_k \mathbf{1}_k$  where  $\mathbf{1}_k$  is the trivial one-dimensional representation;
- (2)  $\lambda_{V_1 \otimes V_2} = \lambda_{V_1} \otimes \lambda_{V_2}$ ;
- (3) if  $\alpha : V_1 \rightarrow V_2$  is a linear map which commutes with the  $S$ -action then  $\lambda_{V_2} \circ \alpha = \alpha \circ \lambda_{V_1}$ .

The set  $S'$  of such endomorphisms has a semigroup structure with an identity element, and one can show, cf [??], that  $S'$  is an affine algebraic semigroup.

**Theorem 3.** *The natural homomorphism  $S \rightarrow S'$  which sends  $s \in S$  to the collection  $\{\rho_V(s) \in \text{End}_k V\}$ , is an isomorphism of affine algebraic semigroups.*

Finally, we consider an affine algebraic group  $G$  and the set of all semigroups  $G_+$  which contain  $G$  as the group of units.

**Theorem 4.** *There exists a bijection between*

- (i) *the set of affine algebraic semigroups  $G_+$  which contain  $G$  as the group of units, and*
- (ii) *the set of full subcategories  $\text{Rep}_+ \subset \text{Rep}_k(G)$  which satisfy*
  - (a)  $\mathbf{1}_k \in \text{Rep}_+$ ,
  - (b)  $\text{Rep}_+$  *is closed with respect to direct sums, tensor products and passing to a subquotient,*
  - (c)  $\text{Rep}_+$  *contains an exact representation of  $G$ .*

## 2. REDUCTIVE ALGEBRAIC SEMIGROUPS.

We consider the pair  $G \subset G_+$  consisting of a semigroup  $G$  and its group of units  $G$ . We impose the following conditions on  $(G, G_+)$ :

- $G$  is reductive
- $G_+$  is irreducible
- $G_+$  is normal

The last condition is not too restrictive, since the normalization of a semigroup has a unique semigroup structure which agrees with the normalization morphism (follows from the universal property of normalizations).

As usual, we choose a maximal torus  $T \subset G$  and a Borel subgroup  $B \supset T$ . Let  $W$  denote the Weyl group,  $\alpha_1, \dots, \alpha_l$  the simple roots,

$P = \text{Hom}(T, \mathbb{C}^*)$  the weight lattice and  $Q^\vee = \text{Hom}(\mathbb{C}^*, T)$  the dual coroot lattice.

Our goal is to prove the following result

**Theorem 5.** (*Classification of Reductive Semigroups*)

*There exists a bijection between*

- (i) *the set of normal affine irreducible semigroups  $G_+$  containing  $G$  as its group of units, and*
- (ii) *the set of  $W$ -invariant rational polyhedral convex cones  $K \subset P \otimes_{\mathbb{Z}} \mathbb{R}$  which contain zero and are non-degenerate, i.e. not contained in a hyperplane*

**Remark.** The above theorem implies that if  $G$  is semisimple then there is only one semigroup  $G_+$  containing  $G$  as the group of units, namely  $G$  itself.

*Comments on proof in characteristic zero:*

Note that the algebra  $k[G_+]$  of regular functions on  $G_+$  is naturally a subalgebra of  $k[G]$  of functions on the group  $G$ .

We have a natural  $G \times G$ -action on  $G_+$  (given by  $(g_1, g_2) \cdot s = g_1 s g_2^{-1}$ ) and  $k[G_+]$  is  $G \times G$ -invariant with respect to the induced action on  $k[G]$ .

In the case when  $\text{char } k = 0$  the algebra  $k[G]$  has the following description as a  $G \times G$ -module. Let  $V_\chi$  be the irreducible representation of  $G$  with highest weight  $\chi \in P_+$ . Since  $G$  is mapped into  $GL(V_\chi) \subset \text{End}_k V_\chi$ , any linear function on  $\text{End}_k V_\chi$  is naturally a function of  $G$ . We have the following decomposition

$$k[G] = \sum_{\chi \in P_+} \left[ \text{End}_k V_\chi \right]^*$$

into direct sum of irreducible  $G \times G$ -modules. The algebra structure on  $k[G]$  satisfies

$$\left[ \text{End}_k V_\chi \right]^* \cdot \left[ \text{End}_k V_\mu \right]^* = \bigoplus_{\psi \in \Lambda(\chi, \mu)} \left[ \text{End}_k V_\psi \right]^*$$

where  $\Lambda(\chi, \mu)$  is the set of dominant weight of the tensor product  $V_\chi \otimes V_\mu$ . Hence, giving a  $G \times G$ -invariant subalgebra in  $k[G]$  amounts to giving a subset  $K_+ \subset P_+$  which satisfies

$$\chi, \mu \in K_+ \text{ and } \psi \in \Lambda(\chi, \mu) \Rightarrow \psi \in K_+.$$

In particular, since  $\chi + \mu \in \Lambda(\chi, \mu)$ ,  $K_+$  is a subsemigroup of  $P_+$ . The semigroup algebra  $k[K_+]$  can be recovered from  $k[G_+]$  as the algebra of  $U_- \times U_+$ -invariants.

It is known then certain properties (finitely generated, has no nilpotents, normal, has no zero divisors) are satisfied for an algebra  $A$  ( $= k[G_+]$ ) with an action of a reductive group  $G'$  ( $= G \times G$ ) if and only if they are satisfied for the algebra  $A^{U'}$  of invariants with respect to the unipotent subgroup  $U'$  ( $= U_- \times U_+$ ).

In particular, the normality of  $G_+$  implies that the cone  $K_+$  is saturated (i.e. is an intersection with a real cone). Since  $k[G_+]$  is finitely generated, so is  $K_+$ , and since  $k[G_+]$  and  $k[G]$  have the same field of functions,  $K_+$  is non-degenerate.

Now the cone  $K$  of the theorem can be recovered as  $W \cdot K_+$ .

### 3. PROOF ON THE CLASSIFICATION THEOREM.

When  $k$  is of arbitrary characteristics, one can suggest an alternative proof. Let  $K$  (resp.  $\mathcal{O}$ ) be the field  $k((t))$  of formal Laurent series (resp. the ring  $k[[t]]$  of formal Taylor series) in variable  $t$ . Let also  $G(K)$  (resp.  $G(\mathcal{O})$ ) be the group of  $K$  (resp.  $\mathcal{O}$ )-valued points of  $G$ . For any semigroup  $G_+$  containing  $G$  as the group of units, we consider the intersection

$$A(G_+) = G_+(\mathcal{O}) \cap G(K)$$

In other words, the intersection  $A(G_+)$  parametrizes all commutative diagrams of homomorphisms:

$$\begin{array}{ccc} k[G] & \longrightarrow & K \\ \uparrow & & \uparrow \\ k[G_+] & \longrightarrow & \mathcal{O} \end{array}$$

where the vertical arrows denote the natural embeddings. Note that this definition does not use the groups structure on  $G$ . In our case we can also say that the subset  $A(G_+) \subset G(K)$  is invariant with respect to left and right multiplication by  $G(\mathcal{O})$ .

**Lemma 6.** *Let  $G_+$ ,  $G'_+$  be two normal affine varieties containing an affine variety  $G$  as a dense open subset. If  $G_+(\mathcal{O}) \cap G(K) = G'_+(\mathcal{O}) \cap G(K)$  then  $G_+ = G'_+$ , i.e.  $k[G_+] = k[G'_+]$  as subalgebras of  $k[G]$ .*

*Proof.* We will show how to reconstruct  $G_+$  from  $A(G_+)$ .

By definition, every element  $\phi \in A(G_+)$  is a homomorphism  $\phi : k[G] \rightarrow K$ . Consider

$$V(G_+) = \bigcap_{\phi \in A(G_+)} \phi^{-1}(\mathcal{O}).$$

We want to show that  $k[G_+] = V(G_+)$ . The inclusion  $k[G_+] \subset V(G_+)$  follows from definitions. Suppose  $f \in V(G_+) \setminus k[G_+]$ . Then  $f$  gives a rational function on  $G_+$  which by normality of  $G_+$  can be extended to a map  $f : G_+^\circ \rightarrow \mathbb{P}_k^1$  defined on an open subset  $G_+^\circ \subset G_+$  with complement of codimension  $\geq 2$ .

If  $f$  is regular on  $G_+^\circ$ , we can extend it to a regular function on  $G_+$  by normality of  $G$ , which implies  $f \in k[G_+]$ . Otherwise, let  $D \subset G_+^\circ$  be the divisor of poles of  $f$  and let  $x \in D$  be a smooth point (which exists by normality of  $G_+$ ). We can choose  $\phi : A(G_+)$  inducing a map  $\text{Spec}(\mathcal{O}) \rightarrow G_+$ , such that the closed point of  $\text{Spec}(\mathcal{O})$  maps to  $x$ . Then  $f \notin \phi^{-1}(\mathcal{O})$  (since  $f$  has a pole along  $D$ ), hence  $f \notin V(G_+)$ . Contradiction  $\square$

Let  $T_+$  be the closure of  $T$  in  $G_+$ . We define the following subgroups  $C^* \subset P$ ,  $C_* \subset Q^\vee$ :

$$C_* \subset Q^\vee = \{\text{all } t^\mu : k^* \rightarrow T \text{ which extend to a regular map } k \rightarrow T_+\},$$

$$C^* \subset P = \{\text{all } e^\lambda : T \rightarrow k^* \text{ which extend to a regular map } T_+ \rightarrow k\}.$$

**Proposition 7.**

- (a)  $C_* = \{\mu \in P \mid \langle \mu, \lambda \rangle \geq 0 \quad \forall \lambda \in C^*\}$ ;
- (b)  $C_*$  is a  $W$ -invariant saturated subsemigroup of  $Q^\vee$ ;
- (c) if  $\alpha \in C_*$  and  $-\alpha \in C_*$  then  $\alpha = 0$ .

*Proof.* It follows from definitions that  $t^\mu \in C_*$  iff for all  $f \in k[T_+]$  the composition  $f(t^\mu)$ , which is *a priori* an element of  $k[t, t^{-1}]$ , is in fact an element of  $k[t]$ . But any  $f \in k[T_+]$  is a linear combination of  $e^\lambda \in C^* \subset P$ . Since  $e^\lambda(t^\mu) = t^{\langle \lambda, \mu \rangle}$ , we have proved (a).

(b)

(c)  $\square$

$C^*$  is finitely generated by Gordan's lemma. Consider the cone  $\bar{C}^*$  dual to  $C_*$ . *A priori*  $\bar{C}^*$  is the saturation of  $C^*$  (though eventually we will prove that the two cones coincide). By part (c) of the above proposition  $\bar{C}^*$  is not contained in a hyperplane.

Consider  $C_+ = \bar{C}^* \cap P_+$  (the weights which are positive on simple coroots).

$C_+$  is generated by  $\lambda_1, \dots, \lambda_n$ . Take a representation  $V_i$  with highest weight  $\lambda_i$ , such that the weights of  $V_i$  belong to  $\bar{C}^*$  (for example, the Weyl module would do). Let  $G'_+$  be the closure of the image of  $G$  in  $\prod_{i=1}^n GL(V_i)$ . In characteristic  $> 0$ ,  $G'_+$  may not be normal (in *char*  $k = 0$  we have normality due to invariants on unipotent subgroups). Hence,

we define  $\tilde{G}_+$  to be the normalization of  $G'_+$  and  $\tilde{T}_+$  be the closure of  $T$  in  $\tilde{G}_+$ .

If  $T'_+$  is the closure of the  $T$  in  $G'_+$  then  $T'_+$  is the toric variety associated to  $\bar{C}^*$ , hence  $T'_+$  is normal. The morphism  $\tilde{T}_+ \rightarrow T'_+$  is finite and birational, hence it is an isomorphism. Therefore, the cone  $\bar{C}_*$  obtained from  $\tilde{G}_+$ , coincides with  $C_*$ . By Lemma ??, we have  $G_+ \simeq \tilde{G}_+$ , and in particular  $C^* = \bar{C}^*$ .  $\square$

We want to formulate one corollary of the Classification Theorem. Let  $\mathcal{G}r = G(K)/G(\mathcal{O})$  be the affine grassmanian of  $G$ , and define  $\mathcal{G}r_+ = A(G_+)/G(\mathcal{O})$ . By its definition,  $\mathcal{G}r_+ \subset \mathcal{G}r$  is a union of  $G(\mathcal{O})$ -orbits. These orbits can be understood via the following theorem due to Iwahori and Matsumoto

**Theorem 8.** (i) *Every double  $G(\mathcal{O})$ -coset in  $G(K)$  contains at least one element of the type  $t^\lambda : \text{Spec } K \rightarrow T \subset G$  where  $t^\lambda$  is a group homomorphism obtained from  $\lambda \in Q^\vee = \text{Hom}_{\text{alg groups}}(k^*, T)$ .*

(ii) *Two elements  $t^\lambda$  and  $t^\mu$  belong to the same double coset if and only if  $\lambda = w(\mu)$  for some  $w \in W$*

In particular, the  $G(\mathcal{O})$ -orbits on  $\mathcal{G}r$  are parametrized by  $Q^\vee/W$ .

**Corollary 9.** *The subvariety  $\mathcal{G}r_+ \subset \mathcal{G}r$ , defined above, is given by the subset  $C_*/W \subset Q^\vee/W$ , where  $C_* \subset Q^\vee$  is the cone defined in ...*

#### 4. $G \times G$ -ORBITS ON $G_+$ .

In this section  $G_+$  is the semigroup corresponding to a cone  $C_* \subset Q^\vee$  as in the Classification Theorem. We want to give an explicit description of  $G \times G$ -orbits on  $G_+$ .

**Example.** Consider  $GL(3) \subset Mat(3)$ . In this case  $Q^\vee$  is a free abelian group of rank 3, and  $C_*$  can be identified with the positive coordinate octant.  $GL(3) \times GL(3)$ -orbits on  $Mat(3)$  are parametrized by rank of a matrix, and the closure structure is also clear.

In the general case an important part is played by idempotents in  $G_+$ . Suppose  $t^\lambda : k^* \rightarrow T$  is in  $C_*$ , i.e. it extend to a regular map  $k \rightarrow T_+$ . Denote by  $0^\lambda \in T_+$  the image of  $0 \in k$ . Then  $(0^\lambda)^2 = 0^\lambda$ , i.e.  $0^\lambda$  is an idempotent.

**Proposition 10.** *The following statements hold*

(i)  $0^\lambda = 0^\mu$  if and only if  $\lambda$  and  $\mu$  belong to the same face of the cone  $C_*$ ;

(ii) *each  $T$ -orbit on  $T_+$  contains exactly one point of the type  $0^\lambda$*

*Proof.* Follows from the classical theory of toric varieties, see ???.  $\square$

Hence, when we use the notation  $0^\lambda$  we may assume that  $\lambda$  stands for a face of the cone  $C_*$ .

**Example.** In the above example  $GL(3) \subset Mat(3)$ ,  $\lambda = (\lambda_1, \lambda_2, \lambda_3)$ , where  $\lambda_i \geq 0$ , and  $t^\lambda$  is given by the diagonal matrix  $diag(t^{\lambda_1}, t^{\lambda_2}, t^{\lambda_3})$ . Hence  $0^\lambda = diag(\varepsilon_1, \varepsilon_2, \varepsilon_3)$  where  $\varepsilon_i = 0$  if  $\lambda_i > 0$  and  $\varepsilon_i = 1$  if  $\lambda_i = 0$ .

**Proposition 11.**  $G_+ = \bigcup_{\lambda \in C_*} G 0^\lambda G$

*Proof.* Let  $g \in G_+$  and consider  $\varphi(t) \in G_+(\mathcal{O}) \cap G(K)$  such that  $\varphi(0) = g$ . By Iwahori-Matsumoto Theorem we know that  $\varphi(t) = g_1(t) t^\lambda g_2(t)$  where  $g_i(t) \in G(\mathcal{O})$  and  $t^\lambda$  is automatically in  $C_*$ . Now we take  $\lim_{t \rightarrow 0}$  and get  $g = g_1(0) \cdot 0^\lambda \cdot g_2(0)$ .  $\square$

It is also clear that for a lifting  $n_w \in N_G(T)$  of an element  $w \in W = N_G(T)/T$ , we have

$$n_w 0^\lambda n_w^{-1} = 0^{w(\lambda)}$$

Denote by  $\Lambda$  the finite set of all faces of  $C_*$ . Then  $\Lambda$  has a natural partial order:  $\lambda_1 \geq \lambda_2$  if the face  $\lambda_1$  contains the face  $\lambda_2$  in its closure. Consider the quotient set  $\Lambda/W$  with the induced partial order and for any  $\lambda \in \Lambda$  let  $\bar{\lambda}$  be its image in  $\Lambda/W$ .

**Theorem 12.**  $G 0^\lambda G = G 0^\mu G$  if and only if  $\bar{\lambda} = \bar{\mu}$ .

*Proof.* To appear soon...  $\square$ .

**Corollary 13.** The orbits of  $G \times G$  on  $G_+$  are parametrized by the quotient set  $\Lambda/W$  and the natural partial order on the set of orbits coincides with the natural partial order on  $\Lambda/W$ .

**Example.** In the case of  $GL(3) \subset Mat(3)$  the cone  $C_*$  is the positive octant in  $\mathbb{Z}^3$ . The three two-dimensional faces are permuted by  $W = S_3$ , and the same is true about the one-dimensional faces. Hence the quotient set  $\Lambda/W$  has four elements which naturally correspond to matrices of ranks 3, 2, 1 and 0, respectively.

## 5. VINBERG SEMIGROUP.

In this section we consider a semisimple simply-connected group  $G_0$ . It is a consequence of the Classification Theorem that the only affine algebraic semigroup containing  $G_0$  as the group of units, is  $G_0$  itself. Still, we may consider the semigroups  $G_+$  for which the group of units

$G$  is a reductive group satisfying  $[G, G] = G_0$ . In section we construct a semigroup in this class which is universal in a certain sense.

Let  $T_0$  be its maximal torus and  $Z_0$  be the center, a finite subgroup of  $T_0$ . We are going to construct a canonical semigroup  $Env(G_0)$ , called the *Vinberg semigroup* or *enveloping semigroup* of  $G_0$ , which contains as the group of units the reductive group  $G = (T_0 \times G_0)/Z_0$ , where  $Z_0$  acts on  $T_0 \times G_0$  by  $z \cdot (t, g) = (tz, z^{-1}g)$ . Thus, in the quotient the pair  $(tz, g)$  gets identified with  $(t, zg)$ .

Since the maximal torus  $T$  of  $G$  is nothing but the quotient  $(T_0 \times T_0)/Z_0$ , the weight lattice  $P(G)$  of the group  $G$  is identified with the sublattice in  $P(G_0) \times P(G_0)$  formed by all pairs of characters  $(\lambda, \mu)$ ,  $\lambda, \mu \in Hom_{alg\ group}(T_0, k^*)$ , such that the  $\lambda|_{Z_0} = \mu|_{Z_0}$ . By (??) this is equivalent to saying that  $\lambda - \mu$  belongs to the root sublattice  $Q(G_0) \subset P(G_0)$  generated by the roots of  $G_0$ .

We fix a system  $\alpha_1, \dots, \alpha_l$  of positive roots of  $G_0$  and give

**The first definition of  $Env(G_0)$ .** Let  $C^* \subset P(G)$  be the cone formed by the pairs  $(\lambda, \mu) \in P(G)$ , such that  $\lambda \geq \mu$ , i.e.  $\lambda - w(\mu)$  is a positive integral linear combination of the simple roots  $\alpha_1, \dots, \alpha_l$ , for all elements  $w \in W$  of the Weyl group  $W$  of  $G_0$ . Then  $Env(G_0)$  is the semigroup corresponding to the cone  $C^*$ .

### Remarks.

(i) There exists exactly one  $w' \in W$  such that  $w(\mu)$  is dominant. Then the inequality  $\lambda \geq w'(\mu)$  implies  $\lambda \geq w(\mu)$  for any other  $w \in W$ . In particular,  $\lambda$  itself is dominant.

(ii) One can check that the intersection  $C_+ = C^* \cap P_+(G)$  is generated by the vectors  $(\alpha_1, 0), \dots, (\alpha_l, 0)$ , and  $(\omega_1, \omega_1), \dots, (\omega_l, \omega_l)$ , where  $\omega_i \in P_+(G_0)$  are simple dominant weights. Hence, in view of (??) the above definition amounts to the following construction. Let  $k_{\alpha_i}$  be the one-dimensional representation of  $G$  which is trivial on  $G_0 \subset G$ , and is given by the character  $\alpha_i$  on the central subgroup  $T_0 \subset G$ . Let  $V_{\omega_i}$  be the Weyl module of  $G_0$  made into a  $G$ -module by letting the center  $T_0 \subset G$  act by the character  $\omega_i$ . Then the space

$$V = V_{\omega_1} \oplus V_{\omega_2} \oplus \dots \oplus V_{\omega_l} \oplus k_{\alpha_1} \oplus \dots \oplus k_{\alpha_l}$$

is naturally an exact representation of  $G$ . In characteristic zero we let

$Env(G_0)$  be the closure of  $G$  in  $\prod_{i=1}^l End_k V_{\omega_i} \times \prod_{j=1}^l k_{\alpha_j}$ . In characteristic

$p$  this closure may not be normal and we define  $Env(G_0)$  to be its normalization.

(iii) One can show that the natural morphism  $Env(G_0) \rightarrow k^l$  is flat.



**Examples.**

(i) When  $G_0 = SL(2)$  we have  $G = GL(2)$  and  $Env(SL(2))$  is the closure of  $GL(2)$  in  $Mat(2) \times k$  with respect to the embedding  $g \mapsto (g, \det g)$ . This closure can be defined as the set of pairs  $(A, a) \in Mat(2) \times k$  such that  $\det A = a$ . Hence the second component is uniquely defined by the first, and we have  $Env(SL(2)) = Mat(2)$ .

(ii) When  $G_0 = SL(3)$  we have to consider the closure of the image of the map  $k^* \times k^* \times SL(3) \rightarrow GL(3) \times GL(3) \times k^* \times k^*$  given by

$$(t_1, t_2, A) \mapsto \left( t_1 A, t_2 (A^t)^{-1}, \frac{t_1^2}{t_2}, \frac{t_2^2}{t_1} \right)$$

To write the equations for the closure of  $SL(3)$ , recall that for any  $(n \times n)$ -matrix  $u$  there exists an *adjugate* matrix, to be denoted by  $\Lambda^{n-1}(u)$ , the entries of which are certain polynomial functions in the entries of  $u$  satisfying  $\Lambda^{n-1}(u) \cdot u^t = \det(u) \cdot E$ .

In this notation, the enveloping semigroup  $Env(SL(3)) \subset k \times k \times Mat(3) \times Mat(3)$  is the set of all points  $(\alpha_1, \alpha_2, u_1, u_2)$  which satisfy the equations

$$\begin{aligned} \Lambda^2 u_1 &= \alpha_1 u_2; & \Lambda^2 u_2 &= \alpha_2 u_1 \\ u_1 u_2^t &= u_2^t u_1 = \alpha_1 \alpha_2 E \end{aligned}$$

If  $\alpha_1 = \alpha_2 = 1$  then  $u_2^t = u_1^{-1}$  and  $\det u_1 = 1$  hence the fiber of the natural projection  $Env(SL(3)) \rightarrow k \times k$  over the point  $(1, 1)$ , is naturally isomorphic to  $SL(3)$ . On the other hand, if  $\alpha_1 = \alpha_2 = 0$ , then the fiber is formed by all pairs of rank 1 matrices  $(u_1, u_2)$ , such that  $u_1 u_2^t = u_2^t u_1 = 0$ . Note that the space of such matrices is also naturally a semigroup. Therefore, we can think of  $Env(SL(3)) \rightarrow k \times k$  as a multi-parameter degeneration of the group structure on  $SL(3)$ , into the semigroup structure on the fiber over  $(0, 0)$

**Question:** What is the set of smooth points of  $Env(SL(3))$ ?

Now we want to give another definition of the semigroup  $Env(G_0)$  (valid only in characteristic zero) which generalizes the degeneration picture of the previous example. To that end, recall the definition of the Rees algebra.

Let  $A$  be a commutative algebra with an identity element  $1_A$  over the field  $k$ , and  $S$  be a finitely generated abelian semigroup with zero. Consider an  $S$ -filtration of  $A$  given by subspaces  $A_s \subset A$ ,  $s \in S$ , such that  $A_{s_1} \cdot A_{s_2} \subset A_{s_1+s_2}$ , and  $A = \bigcap_{s \in S} A_s$ . Then the *Rees algebra*

associated to the filtration  $\{A_s\}$  is an  $S$ -graded commutative algebra

$$Rees(A) = \bigoplus_{s \in S} t^s A_s,$$

where  $t^s$  are formal symbols (thus, in  $A_s$ , we may have  $A_{s_1} \subset A_{s_2}$  while in  $Rees(A)$  these two subspaces belong to different graded pieces). The product structure on  $Rees(A)$  is defined via the obvious map  $t^{s_1} A_{s_1} \times t^{s_2} A_{s_2} \rightarrow t^{s_1+s_2} A_{s_1+s_2}$ .

Let  $Q \subset P$  be the lattice generated by the simple roots  $\alpha_1, \dots, \alpha_l$ , and let  $Q_+$  be the subset in  $Q$  formed by non-negative *integral* linear combinations of simple roots. Denote also by  $\tilde{Q}_+$  the set of all non-negative *rational* linear combinations which belong to  $P$ . It is known that  $P_+ \subset \tilde{Q}_+$  (cf. ??).

Denote by  $k[G]^\lambda$  the subspace  $[End_k V_\chi]^* \subset k[G_0]$  defined in the end of Section 2. Then

$$k[G_0] = \bigoplus_{\chi \in P_+} k[G_0]^\chi$$

For any  $\lambda \in \tilde{Q}_+$  let

$$k[G_0]_\lambda = \bigoplus_{\mu \leq \lambda} k[G_0]^\mu$$

where, as before,  $\mu \leq \lambda$  means that  $\lambda - \mu \in Q_+$ . In particular, we have  $1 \in k[G_0]^\lambda$  iff  $\lambda \in Q_+ \subset \tilde{Q}_+$ . Moreover,  $\dim k[G_0]^\lambda \leq 1$  if  $\lambda \in \tilde{Q}_+ \setminus P_+$ .

**The second definition of  $Env(G_0)$ .** We define  $Env(G_0)$  to be the spectrum  $Spec Rees(k[G_0])$  of the Rees algebra associated with the filtration  $\{k[G_0]_\lambda\}_{\lambda \in \tilde{Q}_+}$ .

**Exercise.** Prove that, in this definition,  $Env(G_0)$  has a structure of a semigroup.

The semigroup algebra  $k[Q_+]$  is embedded into  $Rees(k[G_0])$  via the assignment  $c \cdot [\alpha] \mapsto c \cdot t^\alpha \in k[G_0]_\alpha \subset Rees(k[G_0])$ . This induces a map  $\pi : Env(G_0) \rightarrow k^l \simeq Spec k[Q_+]$ . Let  $\mathbf{1} \in k^l$  be the point defined by the equations  $t^\alpha = 1$ ,  $\alpha \in Q_+$  and, similarly, let  $\mathbf{0} \in k^l$  be the point given by  $t^\alpha = 0$ ,  $\alpha \in Q_+ \setminus \{0\}$ .

**Theorem 14.**

- (i) The morphism  $\pi : Env(G_0) \rightarrow k^l$  is flat;
- (ii) the fiber  $\pi^{-1}(\mathbf{1})$  is isomorphic to  $G_0$ ;
- (iii) the fiber  $\pi^{-1}(\mathbf{0})$  is isomorphic to the spectrum  $Spec gr(G_0)$  of the graded algebra  $gr(G_0)$  associated to the filtration  $k[G_0]_\lambda$ .

**Exercise.** Prove that the fiber  $\pi^{-1}(\mathbf{0})$  has a structure of a semigroup. This semigroup is called the *asymptotic semigroup* of  $G_0$ .

Thus, the map  $Env(G_0) \rightarrow k^l$  can be viewed as a multi-parameter deformation of the group  $G_0$  into the asymptotic semigroup  $As(G_0)$ .

## 6. A SEMIGROUP ASSOCIATED TO A PARABOLIC SUBGROUP.

The following construction was used (implicitly) by Braverman and Gaitsgory. Let  $P \subset G$  be a parabolic subgroup of a reductive group  $G$ , and let  $U(P)$  be the unipotent radical of  $P$ . Consider also the Levi quotient  $M = P/U(P)$ .

The reductive group  $M$  acts from the right on the quasi-affine homogeneous space  $G/U(P)$ .

**Definition.** Let  $M_+$  be the affine algebraic semigroup containing  $M$ , defined by any of the following equivalent conditions

- (i) For any  $G$ -module  $V$ , the natural action of  $M$  on the subspace of invariants  $V^{U(P)}$  extends to the action of  $M_+$ , and  $M_+$  is universal with such property;
- (ii)  $M_+$  is the closure of  $e \cdot M$  in the affine closure  $\overline{G/U(P)}$  of  $G/U(P)$ ;
- (iii) the right action of  $M$  on  $G/U(P)$  extends to the right action of  $M_+$  on  $\overline{G/U(P)}$ , and  $M_+$  is universal with such property.

**Exercise.** Check that the three conditions above are indeed equivalent.

**Theorem 15.** (i) If  $\text{char } k = 0$  then  $M_+$  is normal.

(ii) In arbitrary characteristic, the normalization of  $M_+$  is the semigroup associated with the rational cone  $W_M \cdot C_M$ , where  $W_M \subset W$  is the Weyl group of  $M$ , and  $C_M$  is the intersection

$$(P_M)_+ \cap (P_G)_+ \subset P_G = P_M$$

of the cones of dominant weights for  $M$  and  $G$ , respectively.