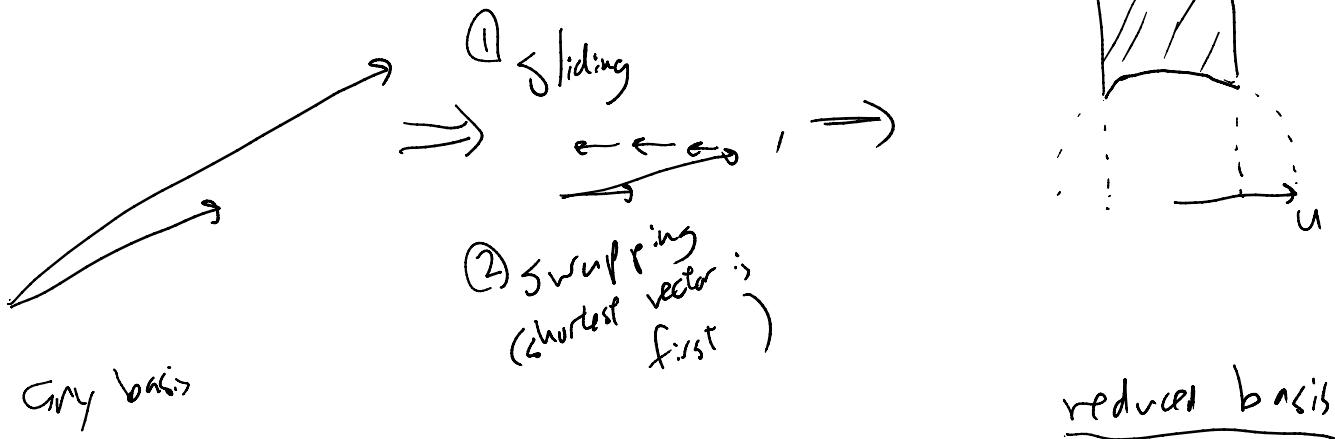


# Geometry of Lattices Day 3

When you've lost something, how much space do you typically search through before you find it?

(Feel free to share stories)

Recap: 2-D reduction algorithm.

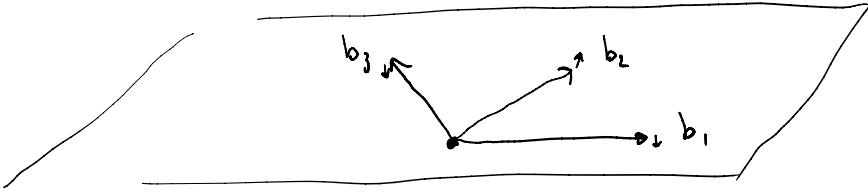


In 3-D, what does "reduced" mean?

$$b_1 = \begin{pmatrix} 1 \\ -10^{-6} \end{pmatrix}, \quad b_2 = \begin{pmatrix} 1/2 \\ \sqrt{5}/2 \\ 2 \cdot 10^{-6} \end{pmatrix}, \quad b_3 = \begin{pmatrix} -\sqrt{3}/2 \\ \sqrt{3}/2 \\ -3 \cdot 10^{-6} \end{pmatrix}$$

Sliding does not make them shorter!

BUT they are hiding a surprising short vector:



$b_2$  very close to  $b_3 + b_1$ .

$b_2 - b_1 - b_3$  extremely short

Could we have predicted this short vector?

Tool: Volume of the fundamental parallelotope

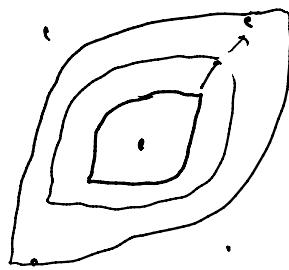
2-D parallelogram

3-D parallelepiped

n-D parallelopope

Minkowski's Thm:

How big does this convex shape need to be in order to contain a nonzero lattice point?



Thm If  $S$  convex (If  $P, Q \in S$ , then segment  $\overline{PQ}$  is in  $S$ )

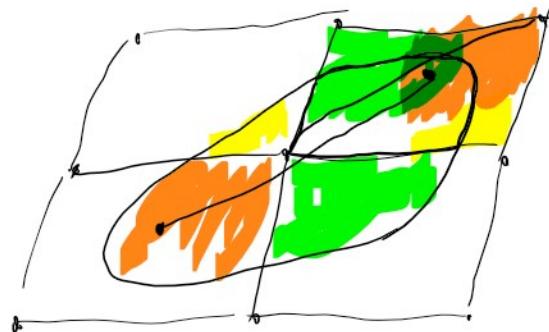
symmetric (If  $P \in S$ , then  $-P \in S$ )

and  $\text{Vol}(S) > 2^n \text{Vol}(P)$  ( $P$  : fund. par of a lattice  $L$ )

then  $S$  must contain a nonzero vector in  $L$ .

then  $S$  must contain a nonzero vector

PF



Overlaps:

$P, Q$  map to same pt if

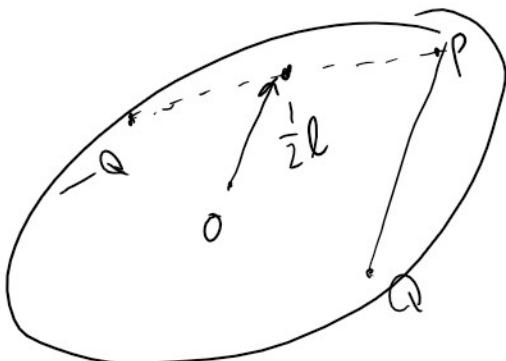
$$P - Q = l \in L.$$

$$P, Q \in \frac{S}{2},$$

$$-Q \in \frac{S}{2} \quad (\text{symmetry})$$

$$\frac{P + (-Q)}{2} \in \frac{S}{2} \quad (\text{convex})$$

$$\frac{1}{2}l.$$



Scale by 2.

$$\frac{1}{2}l \longrightarrow l$$

$$\frac{S}{2} \longrightarrow S$$

$$Vol\left(\frac{S}{2}\right) \longrightarrow 2^n Vol\left(\frac{S}{2}\right) = Vol(S)$$

$$\Leftrightarrow Vol(S) > 2^n Vol(l).$$

□

To  $S$  to be a ball of radius  $r$ .

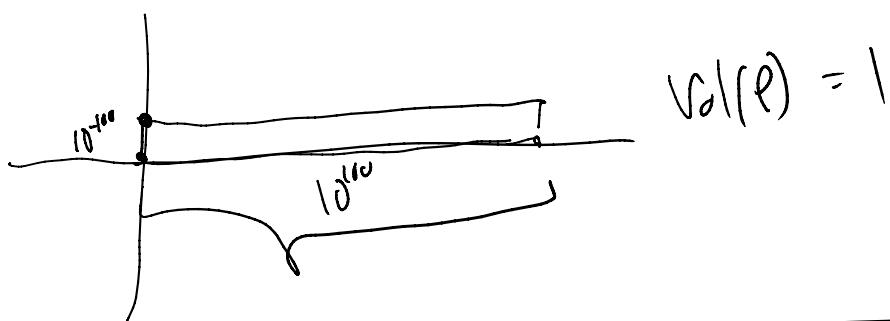
Take  $S$  to be a ball of radius  $r$ .

Then this says that if  $r$  is large enough,

There is a lattice vector in Ball radius  $r$ !

(Exp 5).

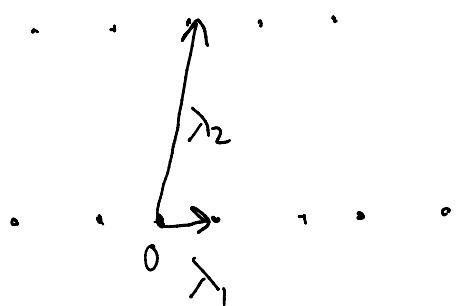
Applying Minkowski to  $b_1, b_2, b_3$ , tells us  
that there is a vector of length  $\leq 0.03$ .  
 $b_1, b_2, b_3$  make a squashed parallelepiped.



Def Successive minima

$\lambda_1$  = length of shortest vector.

$\lambda_2$  = length of shortest non-independent vector.



$\lambda_3$  = length of shortest vector that is  
independent of previous 2 ...

$(1, 0, 0)$

$$\lambda_1 = 1$$

What about  
 $(1, 1, 0)$ ?

$(0, 1, 0)$

$$\lambda_2 = 1$$

This is not independent  
of  $(1, 0, 0), (0, 1, 0)$

$(0, 0, 2)$ .

$$\lambda_3 = 2$$

$$(0, 1, 1) \\ (0, 0, 2). \quad \lambda_2 = 2 \quad \lambda_3 = 2 \quad \left| \begin{array}{l} \text{This is } (1, 0, 0), (0, 1, 0) \\ \text{or } (0, 0, 1) \end{array} \right.$$

Minkowski 2<sup>nd</sup> Thm      ball of radius 1

$$\frac{2^n}{n!} \text{Vol}(P) \leq \lambda_1 \lambda_2 \cdots \lambda_n \text{Vol}(B) \leq 2^n \text{Vol}(P).$$

Note: Since  $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n$

$$\underbrace{\lambda_1^n}_{\text{Vol(ball of radius } \lambda_1)} \text{Vol}(B) \leq \lambda_1 \lambda_2 \cdots \lambda_n \text{Vol}(B) \leq 2^n \text{Vol}(P)$$

$\lambda_1$   
Vol(ball of radius  $\lambda_1$ ).

Minkowski 2  $\Rightarrow$  Minkowski 1

Exp 5

Exp 10

(practicing Mink 2)