

# Week 2

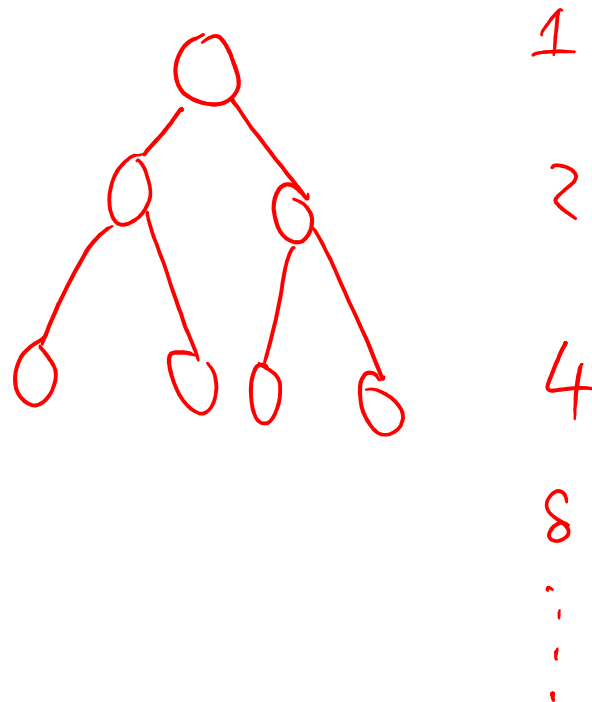
Greedy Algorithm

# Recall

- Divide and conquer
  - 1) Binary Search
  - 2) Merge Sort
  - 3) Powering a number
  - 4) Multiplying 2 numbers
  - 5) Matrix Multiplication
  - 6) Embedding a complete binary tree into a circuit

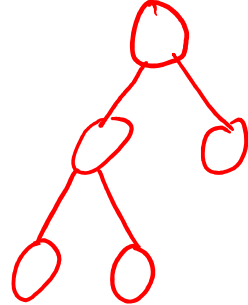
# Complete binary tree

- Define: A complete binary tree is a binary tree is a binary tree that has  $2^n$  nodes in level  $i$  (provided root is at level 0)



# Full binary tree

- A full binary tree is called full if every internal node has two children



→ full, not complete

Fact: A complete binary tree is full, but not vice versa

## 7) Fibonacci Sequence

- $F_1 = 1$
- $F_2 = 1$
- $F_{n+1} = F_n + F_{n-1}, n > 2$

1, 1, 2, 3, 5, 8, 13, 21, 34, ...

Q: How to compute the  $n^{th}$  Fibonacci number?

$f_1 = 1, f_2 = 1$

for  $i = 3 : n$

$f_i = f_{i-1} + f_{i-2}$

return  $f_n$

$O(n)$

$F_{15}$

$F_{20}$

# Bottom-up Approach

$$F[0] = 1$$

$$F[1] = 1$$

for  $i = 2:n$

$$F[i] = F[i - 1] + F[i]$$

## Mathematical Formula

$$F_n = \left\lfloor \frac{\phi^n}{\sqrt{5}} \right\rfloor \rightarrow O(\lg n)$$

$$\phi = \frac{1 + \sqrt{5}}{2} \text{ — irrational}$$



Claim: for every  $n \geq 2$

$$\begin{pmatrix} F_{n+1} & F_n \\ F_n & F_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^n$$

↑  
powering a number  
 $O(\lg n)$

Strassen's Algorithm:  $A_{n \times n} \times B_{n \times n}$

Prove the claim by induction

Base Case:  $n = 2$

$$\text{LHS} = \begin{pmatrix} F_3 & F_2 \\ F_2 & F_1 \end{pmatrix} = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$$

$$\text{RHS} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^2 = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$$

## Prove the claim by induction(continued)

Ind Hyp: Assume the result holds for  $n_0$ ;

i.e. 
$$\begin{pmatrix} F_{n_0+1} & F_{n_0} \\ F_{n_0} & F_{n_0-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n_0}$$

Ind Step: Prove it for  $n_0+1$ , i.e.

$$\begin{aligned} & \begin{pmatrix} F_{n_0+2} & F_{n_0+1} \\ F_{n_0+1} & F_{n_0} \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n_0+1} \\ \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n_0+1} &= \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n_0} \cdot \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \stackrel{\substack{\text{by ind} \\ \text{hyp}}}{=} \begin{pmatrix} F_{n_0+1} & F_{n_0} \\ F_{n_0} & F_{n_0-1} \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} F_{n_0+1} + F_{n_0} & F_{n_0+1} \\ F_{n_0} + F_{n_0-1} & F_{n_0} \end{pmatrix} \\ &= \begin{pmatrix} F_{n_0+2} & F_{n_0+1} \\ F_{n_0+1} & F_{n_0} \end{pmatrix} = \text{LHS} \end{aligned}$$

Claim: Every horse in the world is of the same color

Proof: By induction on the number of horses, say  $n$

Base Case:  $n = 1$  ✓

Inductive Hypothesis:

Assume the result holds for  $n_0$  horses (i.e. any set of  $n_0$  horses has the same color)

Inductive Step:

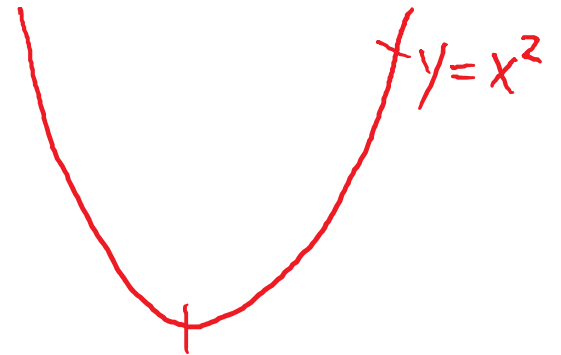
Show that every set of  $n_0 + 1$  horses has the same color



## II. Greedy Algorithm



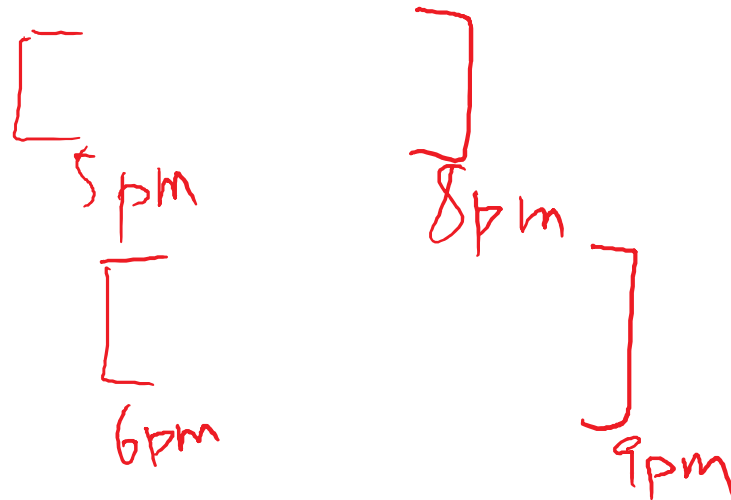
— Newton - Raphsan Method  
Hill climbing Method



# Example 1: Interval Scheduling

Given: A set  $R = \{r_1, r_2, \dots, r_n\}$  of requests, each with a starting time  $b_{r_i}$  and an ending time  $t_{r_i}$

Goal: Schedule the maximum number of jobs possible  
(There is only one resource)



# Greedy Approach

Define sample rule to decide which request to pick next

Sample rule I:

Pick the job that has the smallest duration (i.e.  $t_{r_i} - s_{r_i}$ ) is minimum



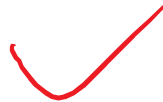
Sample rule II:

Pick the job that starts earliest



Sample rule III:

Pick the job that ends earliest



# Generic Approaches

- I. “Stay Ahead Approach”
- II. “Exchange Argument Approach”
- III. “Lower Bound” Approach



# Algorithm

Sort the requests  $R$  by their end time

Set  $A = \text{empty}$

while  $R$  is not *empty*

    Pick the 1<sup>st</sup> element  $r \in R$

    Add  $r$  to  $A$

    Remove  $r \not\prec$  every other request in  $R$  that overlaps with  $r$

end while

return  $A$

# Proof

Let  $\theta$  be an optimal solution

Want to show:  $|A| = |\theta|$

$$A = \{i_1, i_2, \dots, i_k\} \quad |A| = k$$

$$\theta = \{j_1, j_2, \dots, j_m\} \quad |\theta| = m$$

# Claim 1

- For  $s = 1, \dots, k$ ,  $t_{i_s} \leq t_{j_s}$

Proof: (by induction on  $s$ )

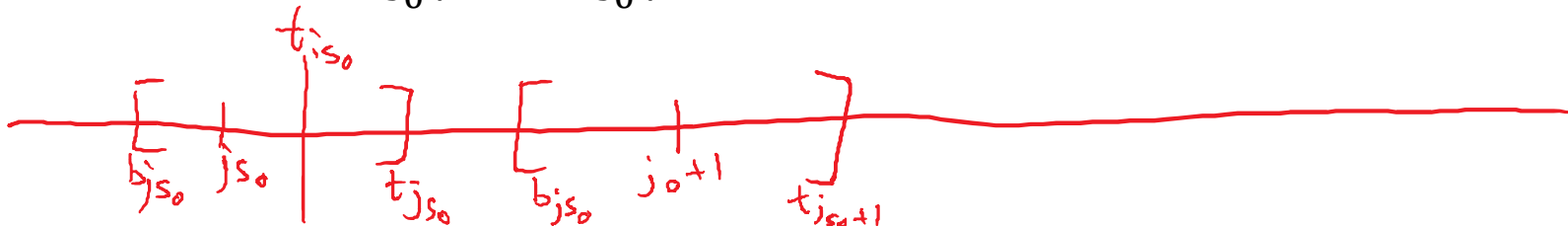
Base Case:  $s = 1$

By the way, the greedy algorithm picked the first job,  $t_{i_1} \leq t_{j_1}$  ✓

Inductive Hypothesis: Assume the result holds for  $s_0$ ,  $t_{i_{s_0}} \leq t_{j_{s_0}}$

Inductive Step:  $s_0 + 1$

To show:  $t_{i_{s_0+1}} \leq t_{j_{s_0+1}}$



## Claim 1 (continued)

The next job that the greedy algorithm picks is the one that ends soonest among the remaining non-overlapping requests

$j_{s_0+1}$  is one such request

is non-overlapping with  $i_{s_0}$

## Claim 2

- Greedy Algorithm gives an optimal solution

Proof:  $A = \{i_1, i_2, \dots, i_k\}$

$$|A| = k$$

$$\theta = \{j_1, j_2, \dots, j_k\}$$

$$|\theta| = m$$

WTS:  $k = m$

## Claim 2 (continued)

Proof by contradiction

Assume  $k \neq m \Rightarrow m > k$

Apply Claim 1 to  $s = k$

We know  $t_{i_k} \leq t_{j_k}$

Consider  $j_{k+1}$



So,  $j_{k+1}$  is non-overlapping with  $i_k$ , and therefore,  $j_{k+1} \in R$  when  $i_k$  and its overlapping requests are removed from  $R$

$\Rightarrow R \neq \phi$

# Weighted Interval Scheduling

- Every job has a priority/weight

# Schedule All Intervals

- Multiple resources
- Goal: to schedule all requests using as few resources as possible (KT)



## Example 2: Huffman Coding and Data Compression

- Text in some alphabet

$$S = \{a, b, c, d, e\}$$

*aaebccae*

Goal: Encode the text in minimum number of bits

$S$  has 32 letters

→ So use five bits

$a \rightarrow 00000$

$b \rightarrow 00001$

$c \rightarrow 00010$

$\vdots$

Text: 8 letters

$$\text{Total number of bits} = 8 \times 5 = 40$$

$$(32 = 2^5)$$

→ Fixed  
Length  
Encoding

# Variable Length Encoding

$a \rightarrow 0$

$b \rightarrow 10$

$c \rightarrow 01$

$n \rightarrow 00001111$

$$\begin{array}{c|c} \hline 00000 & 00001 \\ \hline a & b \\ \hline \end{array}$$
  
$$\begin{array}{c|c} 0 & 1 \\ \hline ab & c \end{array}$$

# Frequency of Letters in the text

- $x \in S$
- $f_x$  = function of times  $x$  occurs in the text

Let the size of the text be  $n$

Then  $x$  occurs  $nf_x$  times

Let  $\gamma: S \rightarrow$  set of codes

$\gamma(x)$  = code of  $x$

Total length of encoding of the text =  $\sum_{x \in S} nf_x \cdot |\gamma(x)|$

Average number of bits per letter

$$ABL(\gamma) = \sum_{x \in S} f_x \cdot |\gamma(x)|$$

# Frequency of Letters in the text (continued)

Goal: Come up with an encoding  $\gamma$  such that  $ABL(\gamma)$  is minimum possible

$a \rightarrow 0$  ○ 
 $\begin{matrix} ab \rightarrow 010 \\ ac \rightarrow 011 \\ bc \rightarrow 1011 \end{matrix}$

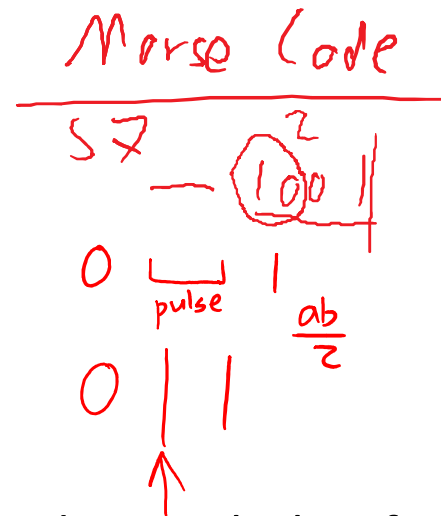
$b \rightarrow 1$

$c \rightarrow -1 \quad ||$

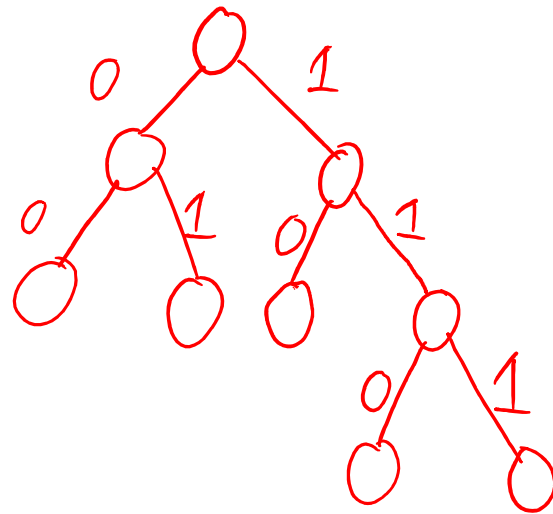
$a$  is part(prefix) of  $c$

0|1

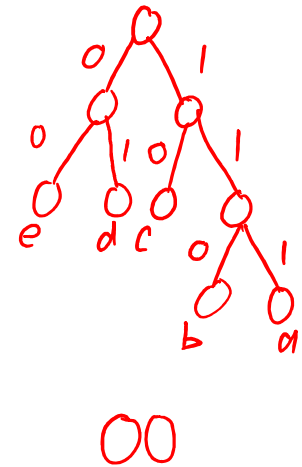
Define: A prefix code  $\gamma$  for  $S$  is an encoding such that for any two letter  $x, y \in S$ ,  $\gamma(x)$  is not a prefix of  $\gamma(y)$



# Binary Tree



$a \rightarrow 111$   
 $b \rightarrow 110$   
 $c \rightarrow 10$   
 $d \rightarrow 01$   
 $e \rightarrow 00$



$e \rightarrow 0$   
 $d \rightarrow 01$

$d$  is a prefix of  $e$

# Notes

frequency

$a \rightarrow 0.4$

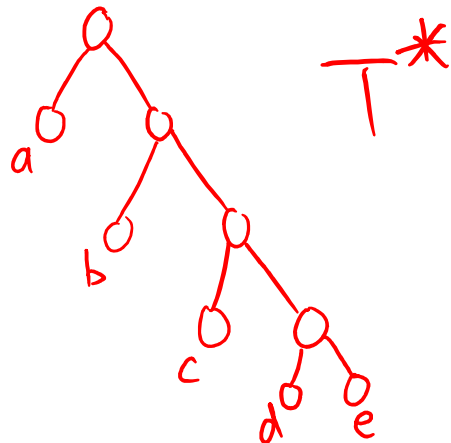
$b \rightarrow 0.3$

$c \rightarrow 0.2$

$d \rightarrow 0.049$

$e \rightarrow 0.051$

$a \rightarrow 0$



Let  $T^*$  is an optimal tree

Claim: Suppose  $u$  of  $v$  are two leaves of  $T^*$

leaf  $u$  is labeled with  $x$ , and leaf  $v$  is labeled with  $y$

$Depth(u) < Depth(v)$

Then,  $f_x \geq f_y$

Algorithm:

if  $S$  has 2 letters  $x$  and  $y$

then  $x \rightarrow 0, y \rightarrow 1$

else

Let  $y^*$  and  $z^*$  be two lowest frequency letters

Form a new letter  $w$  and  $S' := S \cup \{w\} \setminus \{y^*, z^*\}$  with  $f_w := f_{y^*} + f_{z^*}$

Recursively construct a prefix code  $\gamma'$  for  $s'$  with tree  $T'$

Define a prefix code for  $S$  as follows:

Start with  $T'$

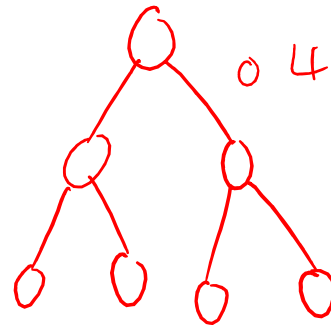
Take the leaf labelled  $w$

Add 2 children to that node, label them as  $y^*$  and  $z^*$

End if

# Notes

$a \rightarrow 0.4$   
 $b \rightarrow 0.3$   
 $c \rightarrow 0.2$   
 $d \rightarrow 0.05$   
 $e \rightarrow 0.05$



a	0	4
b	0	3
c	0	3

