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# Metadata for the OASIS Security

- Assertion Markup Language (SAML)
- V2.0 Errata Composite
- Working Draft 05, 8 September 2015

```
Document identifier:
 6
             sstc-saml-metadata-errata-2.0-wd-05
 7
     Location:
 8
             http://www.oasis-open.org/committees/documents.php?wg abbrev=security
 9
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#### **Abstract:**

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SAML profiles require agreements between system entities regarding identifiers, binding support and endpoints, certificates and keys, and so forth. A metadata specification is useful for describing this information in a standardized way. The SAML V2.0 Metadata document defines an extensible metadata format for SAML system entities, organized by roles that reflect SAML profiles. Such roles include that of Identity Provider, Service Provider, Affiliation, Attribute Authority, Attribute Consumer, and Policy Decision Point. This document, known as an "errata composite", combines corrections to reported errata with the original specification text. By design, the corrections are limited to clarifications of ambiguous or conflicting specification text. This document shows deletions from the original specification as struck-through text, and additions as colored underlined text. The "[Enn]" designations embedded in the text refer to particular errata and their dispositions.

#### Status:

This errata composite document is a working draft based on the original OASIS Standard document that had been produced by the Security Services Technical Committee and approved by the OASIS membership on 1 March 2005. While the errata corrections appearing here are non-normative, they reflect changes specified by the Approved Errata document (currently at Working Draft revision 02), which is on an OASIS standardization track. In case of any discrepancy between this document and the Approved Errata, the latter has precedence.

This document includes corrections for errata E7, E33, E34, E37, E41, E62, E66, E68, E69, E74, E76, E77, E81, E82, E83, E87, E88, E89, E91, and E94.

Committee members should submit comments and potential errata to the securityservices@lists.oasis-open.org list. Others should submit them by following the instructions at http://www.oasis-open.org/committees/comments/form.php?wg\_abbrev=security.

For information on whether any patents have been disclosed that may be essential to implementing this specification, and any offers of patent licensing terms, please refer to the Intellectual Property Rights web page for the Security Services TC (http://www.oasisopen.org/committees/security/ipr.php).

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## 1 Introduction

- 173 SAML profiles require agreements between system entities regarding identifiers, binding support and
- endpoints, certificates and keys, and so forth. A metadata specification is useful for describing this
- information in a standardized way. This specification defines an extensible metadata format for SAML
- 176 system entities, organized by roles that reflect SAML profiles. Such roles include that of SSO Identity
- Provider, SSO Service Provider, Affiliation, Attribute Authority, Attribute Requester, and Policy Decision
- 178 Point.

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- 179 [E77]A variety of extension points are also included to allow for the use of SAML metadata in non-SAML
- specifications, profiles, and deployments, and such use is encouraged.
- This specification further defines profiles for the dynamic exchange of metadata among system entities,
- which may be useful in some deployments.
- The SAML conformance document [SAMLConform] lists all of the specifications that comprise SAML
- 184 V2.0.

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#### 1.1 Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as described in IETF RFC 2119 [RFC2119].

Listings of productions or other normative code appear like this.

Example code listings appear like this.

Note: Notes like this are sometimes used to highlight non-normative commentary.

Conventional XML namespace prefixes are used throughout this specification to stand for their respective namespaces as follows, whether or not a namespace declaration is present in the example:

Prefix	XML Namespace	Comments
saml:	urn:oasis:names:tc:SAML:2.0:assertion	This is the SAML V2.0 assertion namespace [SAMLCore]. The prefix is generally elided in mentions of SAML assertion-related elements in text.
samlp:	urn:oasis:names:tc:SAML:2.0:protocol	This is the SAML V2.0 protocol namespace [SAMLCore]. The prefix is generally elided in mentions of XML protocol-related elements in text.
md:	urn:oasis:names:tc:SAML:2.0:metadata	This is the SAML V2.0 metadata namespace, defined in a schema [SAMLMeta-xsd].
ds:	http://www.w3.org/2000/09/xmldsig#	This is the XML Signature namespace [XMLSig].
xenc:	http://www.w3.org/2001/04/xmlenc#	This is the XML Encryption namespace [XMLEnc].
xs:	http://www.w3.org/2001/XMLSchema	This namespace is defined in the W3C XML Schema specification [Schema1]. In schema listings, this is the default namespace and no prefix is shown. For clarity, the prefix is generally shown in specification text when XML Schema-related constructs are mentioned.

## 2 Metadata for SAML V2.0

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SAML metadata is organized around an extensible collection of roles representing common combinations of SAML [E77](and potentially non-SAML) protocols and profiles supported by system entities. Each role is described by an element derived from the extensible base type of RoleDescriptor. Such descriptors are in turn collected into the <EntityDescriptor> container element, the primary unit of SAML metadata. An entity might alternatively represent an affiliation of other entities, such as an affiliation of service providers. The <AffiliationDescriptor> is provided for this purpose.

- Such descriptors may in turn be aggregated into nested groups using the <EntitiesDescriptor> element.
- A variety of security mechanisms for establishing the trustworthiness of metadata can be supported, particularly with the ability to individually sign most of the elements defined in this specification.
- Note that when elements with a parent/child relationship contain common attributes, such as caching or expiration information, the parent element takes precedence (see also Section 4.3.1).

Note: As a general matter, SAML metadata is not to be taken as an authoritative statement about the capabilities or options of a given system entity. That is, while it should be accurate, it need not be exhaustive. The omission of a particular option does not imply that it is or is not unsupported, merely that it is not claimed. As an example, a SAML attribute authority might support any number of attributes not named in an <a href="AttributeAuthorityDescriptor">AttributeAuthorityDescriptor</a>. Omissions might reflect privacy or any number of other considerations. Conversely, indicating support for a given attribute does not imply that a given requester can or will receive it.

## 2.1 Namespaces

SAML Metadata uses the following namespace (defined in a schema [SAMLMeta-xsd]):

```
urn:oasis:names:tc:SAML:2.0:metadata
```

219 This specification uses the namespace prefix md: to refer to the namespace above.

The following schema fragment illustrates the use of namespaces in SAML metadata documents:

```
221
         -
<schema
222
              targetNamespace="urn:oasis:names:tc:SAML:2.0:metadata"
             xmlns:md="urn:oasis:names:tc:SAML:2.0:metadata"
223
224
             xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
225
             xmlns:xenc="http://www.w3.org/2001/04/xmlenc#"
226
             xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion"
             xmlns="http://www.w3.org/2001/XMLSchema"
227
228
             elementFormDefault="unqualified"
229
             attributeFormDefault="unqualified"
             blockDefault="substitution"
230
231
             version="2.0">
232
             <import namespace="http://www.w3.org/2000/09/xmldsig#"</pre>
233
                  schemaLocation="http://www.w3.org/TR/2002/REC-xmldsig-core-
234
         20020212/xmldsig-core-schema.xsd"/>
235
             <import namespace="http://www.w3.org/2001/04/xmlenc#"</pre>
236
                  schemaLocation="http://www.w3.org/TR/2002/REC-xmlenc-core-
         20021210/xenc-schema.xsd"/>
237
238
              <import namespace="urn:oasis:names:tc:SAML:2.0:assertion"</pre>
                  schemaLocation="saml-schema-assertion-2.0.xsd"/>
239
240
              <import namespace="http://www.w3.org/XML/1998/namespace"</pre>
241
                  schemaLocation="http://www.w3.org/2001/xml.xsd"/>
```

```
242
              <annotation>
243
                  <documentation>
244
                      Document identifier: saml-schema-metadata-2.0
                      Location: http://docs.oasis-open.org/security/saml/v2.0/
245
246
                      Revision history:
247
                        V2.0 (March, 2005):
248
                          Schema for SAML metadata, first published in SAML 2.0.
249
                  </documentation>
250
              </annotation>
251
252
         </schema>
```

## 2.2 Common Types

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- 254 The SAML V2.0 Metadata specification defines several types as described in the following subsections.
- 255 These types are used in defining SAML V2.0 Metadata elements and attributes.

## 2.2.1 Simple Type entityIDType

- The simple type **entityIDType** restricts the XML schema data type **anyURI** to a maximum length of 1024 characters. **entityIDType** is used as a unique identifier for SAML entities. See also Section 8.3.6 of [SAMLCore]. An identifier of this type MUST be unique across all entities that interact within a given deployment. The use of a URI and holding to the rule that a single URI MUST NOT refer to different entities satisfies this requirement.
- The following schema fragment defines the **entityIDType** simple type:

## 2.2.2 Complex Type EndpointType

- The complex type **EndpointType** describes a [E77] protocol binding endpoint at which an [E77] entity can be sent protocol messages. Various protocol or profile-specific metadata elements are bound to this type.
- be sent protocol messages. Various protocol or profile-specific metadat
   It consists of the following attributes:
  - 272 Binding [Required]
  - A required attribute that specifies the [E77] binding supported by the endpoint. Each binding is assigned a URI to identify it.
  - 275 Location [Required]
    - A required URI attribute that specifies the location of the endpoint. The allowable syntax of this URI depends on the protocol binding.
  - 278 ResponseLocation [Optional]
  - Optionally specifies a different location to which response messages sent as part of the protocol or profile should be sent. The allowable syntax of this URI depends on the protocol binding.
  - The ResponseLocation attribute is used to enable different endpoints to be specified for receiving request and response messages associated with a protocol or profile, not as a means of load-balancing or redundancy (multiple elements of this type can be included for this purpose). When a role contains an element of this type pertaining to a protocol or profile for which only a single type of message (request or
- response) is applicable, then the ResponseLocation attribute is unused. [E41]If the
  ResponseLocation attribute is omitted, any response messages associated with a protocol or profile
- may be assumed to be handled at the URI indicated by the Location attribute.

In most contexts, elements of this type appear in unbounded sequences in the schema. This is to permit a protocol or profile to be offered by an entity at multiple endpoints, usually with different protocol bindings, allowing the metadata consumer to choose an appropriate endpoint for its needs. Multiple endpoints might also offer "client-side" load-balancing or failover, particular in the case of a synchronous protocol binding.

This element also permits the use of arbitrary elements and attributes defined in a non-SAML namespace. Any such content MUST be namespace-qualified.

The following schema fragment defines the **EndpointType** complex type:

```
296
         <complexType name="EndpointType">
297
             <sequence>
                <any namespace="##other" processContents="lax" minOccurs="0"</pre>
298
299
         maxOccurs="unbounded"/>
300
             <attribute name="Binding" type="anyURI" use="required"/>
301
             <attribute name="Location" type="anyURI" use="required"/>
302
303
             <attribute name="ResponseLocation" type="anyURI" use="optional"/>
             <anyAttribute namespace="##other" processContents="lax"/>
304
305
         </complexType>
```

## 2.2.3 Complex Type IndexedEndpointType

The complex type **IndexedEndpointType** extends **EndpointType** with a pair of attributes to permit the indexing of otherwise identical endpoints so that they can be referenced by protocol messages. It consists of the following additional attributes:

310 index [Required]

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A required attribute that assigns a unique integer value to the endpoint so that it can be referenced in a protocol message. The index value need only be unique within a collection of like elements contained within the same parent element (i.e., they need not be unique across the entire instance).

315 isDefault [Optional]

An optional boolean attribute used to designate the default endpoint among an indexed set. If omitted, the value is assumed to be false.

In any such sequence of [E37]indexed endpoints that share a common element name and namespace (i.e. all instances of <md:AssertionConsumerService> within a role), the default endpoint is the first such endpoint with the isDefault attribute set to true. If no such endpoints exist, the default endpoint is the first such endpoint without the isDefault attribute set to false. If no such endpoints exist, the default endpoint is the first element in the sequence.

The following schema fragment defines the **IndexedEndpointType** complex type:

```
<complexType name="IndexedEndpointType">
324
325
             <complexContent>
326
                <extension base="md:EndpointType">
                    <attribute name="index" type="unsignedShort" use="required"/>
327
328
                    <attribute name="isDefault" type="boolean" use="optional"/>
329
                </extension>
             </complexContent>
330
331
         </complexType>
```

## 2.2.4 Complex Type localizedNameType

The **localizedNameType** complex type extends a string-valued element with a standard XML language attribute. The following schema fragment defines the **localizedNameType** complex type:

```
<complexType name="localizedNameType">
```

## 2.2.5 Complex Type localizedURIType

- The **localizedURIType** complex type extends a URI-valued element with a standard XML language attribute.
- The following schema fragment defines the **localizedURIType** complex type:

#### 2.3 Root Elements

- A SAML metadata instance describes either a single entity or multiple entities. In the former case, the root element MUST be <EntityDescriptor>. In the latter case, the root element MUST be
- 356 <EntitiesDescriptor>.

## 357 2.3.1 Element < Entities Descriptor >

- 358 The <EntitiesDescriptor> element contains the metadata for an optionally named group of[E77]
- entities. Its EntitiesDescriptorType complex type contains a sequence of <EntityDescriptor>
- 360 elements, <EntitiesDescriptor> elements, or both:
- 361 ID [Optional]

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- A document-unique identifier for the element, typically used as a reference point when signing.
- 363 validUntil [Optional]
  - Optional attribute indicates the expiration time of the metadata contained in the element and any contained elements.
- 366 cacheDuration [Optional]
  - Optional attribute indicates the maximum length of time a consumer should cache the metadata contained in the element and any contained elements [E94] before attempting to refresh it.
- 369 Name [Optional]
- A string name that identifies a group of [E77] entities in the context of some deployment.
- 371 <ds:Signature>[Optional]
- An XML signature that authenticates the containing element and its contents, as described in Section 3.
- 374 <Extensions> [Optional]
- This contains optional metadata extensions that are agreed upon between a metadata publisher and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined namespace.

378 <EntitiesDescriptor> or <EntityDescriptor> [One or More]

Contains the metadata for one or more [E77] entities, or a nested group of additional metadata.

When used as the root element of a metadata instance, this element MUST contain either a validUntil or cacheDuration attribute. It is RECOMMENDED that only the root element of a metadata instance contain either attribute.

[E76]When not used as the root element of a metadata instance, a validUntil or cacheDuration attribute MAY be used to impose a shorter expiration or cache duration than that of the parent or root element, but never a longer one; the smaller value takes precedence.

The following schema fragment defines the <EntitiesDescriptor> element and its EntitiesDescriptorType complex type:

```
388
         <element name="EntitiesDescriptor" type="md:EntitiesDescriptorType"/>
389
         <complexType name="EntitiesDescriptorType">
390
             <sequence>
                <element ref="ds:Signature" minOccurs="0"/>
391
                <element ref="md:Extensions" minOccurs="0"/>
392
393
                <choice minOccurs="1" maxOccurs="unbounded">
394
                   <element ref="md:EntityDescriptor"/>
395
                   <element ref="md:EntitiesDescriptor"/>
396
                </choice>
397
            </sequence>
398
            <attribute name="validUntil" type="dateTime" use="optional"/>
399
            <attribute name="cacheDuration" type="duration" use="optional"/>
            <attribute name="ID" type="ID" use="optional"/>
400
             <attribute name="Name" type="string" use="optional"/>
401
         </complexType>
402
403
         <element name="Extensions" type="md:ExtensionsType"/>
         <complexType final="#all" name="ExtensionsType">
404
             <seguence>
405
                <any namespace="##other" processContents="lax" maxOccurs="unbounded"/>
406
407
             </sequence>
408
         </complexType>
```

## 2.3.2 Element < Entity Descriptor >

- The <EntityDescriptor> element specifies metadata for a single[E77] entity. A single entity may act in many different roles in the support of multiple profiles. This specification directly supports the following concrete roles as well as the abstract <RoleDescriptor> element for extensibility (see subsequent sections for more details):
- SSO Identity Provider
  - SSO Service Provider
- 416
   Authentication Authority
- 417 Attribute Authority
- Policy Decision Point
- 419 Affiliation

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- lts **EntityDescriptorType** complex type consists of the following elements and attributes:
- 421 entityID [Required]
- Specifies the unique identifier of the[E77] entity whose metadata is described by the element's contents.

```
ID [Optional]
424
             A document-unique identifier for the element, typically used as a reference point when signing.
425
      validUntil [Optional]
426
427
              Optional attribute indicates the expiration time of the metadata contained in the element and any
             contained elements.
428
      cacheDuration [Optional]
429
              Optional attribute indicates the maximum length of time a consumer should cache the metadata
430
              contained in the element and any contained elements [E94] before attempting to refresh it.
431
      <ds:Signature>[Optional]
432
              An XML signature that authenticates the containing element and its contents, as described in
433
             Section 3.
434
      <Extensions> [Optional]
435
              This contains optional metadata extensions that are agreed upon between a metadata publisher
436
              and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined
437
             namespace.
438
439
      <RoleDescriptor>, <IDPSSODescriptor>, <SPSSODescriptor>,
      <AuthnAuthorityDescriptor>, <AttributeAuthorityDescriptor>, <PDPDescriptor> [One
440
      or More]
441
      OR
442
443
      <a href="#"><AffiliationDescriptor> [Required]</a>
              The primary content of the element is either a sequence of one or more role descriptor elements.
444
             or a specialized descriptor that defines an affiliation.
445
      <Organization> [Optional]
446
              Optional element identifying the organization responsible for the [E77] entity described by the
447
             element.
448
      <ContactPerson> [Zero or More]
449
              Optional sequence of elements identifying various kinds of contact personnel.
450
      <AdditionalMetadataLocation> [Zero or More]
451
              Optional sequence of namespace-qualified locations where additional metadata exists for
452
              the[E77] entity. This may include metadata in alternate formats or describing adherence to other
453
              non-SAML specifications.
454
      Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included.
455
      When used as the root element of a metadata instance, this element MUST contain either a validUntil
456
      or cacheDuration attribute. It is RECOMMENDED that only the root element of a metadata instance
457
      contain either attribute.
458
      [E76]When not used as the root element of a metadata instance, a validUntil or cacheDuration
459
      attribute MAY be used to impose a shorter expiration or cache duration than that of the parent or root
460
      element, but never a longer one; the smaller value takes precedence.
461
      It is RECOMMENDED that if multiple role descriptor elements of the same type appear, that they do not
462
      share overlapping protocol Support Enumeration values. Selecting from among multiple role
463
      descriptor elements of the same type that do share a protocol Support Enumeration value is
464
      undefined within this specification, but MAY be defined by metadata profiles, possibly through the use of
465
      other distinguishing extension attributes.
466
```

The following schema fragment defines the <EntityDescriptor> element and its 468 EntityDescriptorType complex type:

```
<element name="EntityDescriptor" type="md:EntityDescriptorType"/>
469
          <complexType name="EntityDescriptorType">
470
471
             <sequence>
                 <element ref="ds:Signature" minOccurs="0"/>
472
473
                 <element ref="md:Extensions" minOccurs="0"/>
474
475
                     <choice maxOccurs="unbounded">
                        <element ref="md:RoleDescriptor"/>
476
477
                        <element ref="md:IDPSSODescriptor"/>
478
                        <element ref="md:SPSSODescriptor"/>
                        <element ref="md:AuthnAuthorityDescriptor"/>
479
480
                        <element ref="md:AttributeAuthorityDescriptor"/>
                        <element ref="md:PDPDescriptor"/>
481
482
                     </choice>
                     <element ref="md:AffiliationDescriptor"/>
483
484
                 </choice>
                 <element ref="md:Organization" minOccurs="0"/>
485
486
                 <element ref="md:ContactPerson" minOccurs="0" maxOccurs="unbounded"/>
                 <element ref="md:AdditionalMetadataLocation" minOccurs="0"</pre>
487
488
          maxOccurs="unbounded"/>
489
             </sequence>
490
             <attribute name="entityID" type="md:entityIDType" use="required"/>
             <attribute name="validUntil" type="dateTime" use="optional"/>
491
             <attribute name="cacheDuration" type="duration" use="optional"/>
<attribute name="ID" type="ID" use="optional"/>
492
493
             <anyAttribute namespace="##other" processContents="lax"/>
494
495
          </complexType>
```

#### 2.3.2.1 Element < Organization>

The <organization> element specifies basic information about an organization responsible for an[E77] 497 entity or role. The use of this element is always optional. Its content is informative in nature and does not 498 499 directly map to any core SAML elements or attributes. Its OrganizationType complex type consists of the following elements: 500

<Extensions>[Optional] 501

467

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502

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512

This contains optional metadata extensions that are agreed upon between a metadata publisher and consumer. Extensions MUST NOT include global (non-namespace-qualified) elements or elements qualified by a SAML-defined namespace within this element.

505 <OrganizationName> [One or More]

One or more language-gualified names that may or may not be suitable for human consumption.

507 <OrganizationDisplayName> [One or More]

One or more language-qualified names that are suitable for human consumption.

<OrganizationURL> [One or More] 509

> One or more language-qualified URIs that specify a location to which to direct a user for additional information. Note that the language qualifier refers to the content of the material at the specified location.

- Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included. 513
- The following schema fragment defines the Corganization element and its OrganizationType 514 complex type: 515

```
516
         <element name="Organization" type="md:OrganizationType"/>
```

```
517
         <complexType name="OrganizationType">
518
             <sequence>
                <element ref="md:Extensions" minOccurs="0"/>
519
                <element ref="md:OrganizationName" maxOccurs="unbounded"/>
520
521
                <element ref="md:OrganizationDisplayName" maxOccurs="unbounded"/>
                <element ref="md:OrganizationURL" maxOccurs="unbounded"/>
522
523
             </sequence>
             <anyAttribute namespace="##other" processContents="lax"/>
524
525
         </complexType>
526
         <element name="OrganizationName" type="md:localizedNameType"/>
         <element name="OrganizationDisplayName" type="md:localizedNameType"/>
527
528
         <element name="OrganizationURL" type="md:localizedURIType"/>
```

#### 2.3.2.2 Element <ContactPerson>

The <ContactPerson> element specifies basic contact information about a person responsible in some capacity for an[E77] entity or role. The use of this element is always optional. Its content is informative in nature and does not directly map to any core SAML elements or attributes. Its **ContactType** complex type consists of the following elements and attributes:

534 contactType [Required]

529

535

536

538

539

540

542

544

546

548

549

551

Specifies the type of contact using the **ContactTypeType** enumeration. The possible values are technical, support, administrative, billing, and other.

537 <Extensions>[Optional]

This contains optional metadata extensions that are agreed upon between a metadata publisher and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined namespace.

541 <Company> [Optional]

Optional string element that specifies the name of the company for the contact person.

543 <GivenName> [Optional]

Optional string element that specifies the given (first) name of the contact person.

545 <SurName> [Optional]

Optional string element that specifies the surname of the contact person.

547 <EmailAddress> [Zero or More]

Zero or more elements containing mailto: URIs representing e-mail addresses belonging to the contact person.

550 <TelephoneNumber> [Zero or More]

Zero or more string elements specifying a telephone number of the contact person.

552 Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included.

553 [E82] At least one child element SHOULD be present in a <ContactPerson> element...

The following schema fragment defines the <ContactPerson> element and its ContactType complex type:

```
<element ref="md:SurName" minOccurs="0"/>
562
563
                <element ref="md:EmailAddress" minOccurs="0" maxOccurs="unbounded"/>
                <element ref="md:TelephoneNumber" minOccurs="0" maxOccurs="unbounded"/>
564
565
             </sequence>
566
             <attribute name="contactType" type="md:ContactTypeType" use="required"/>
567
             <anyAttribute namespace="##other" processContents="lax"/>
568
         </complexType>
         <element name="Company" type="string"/>
569
570
         <element name="GivenName" type="string"/>
         <element name="SurName" type="string"/>
571
         <element name="EmailAddress" type="anyURI"/>
572
573
         <element name="TelephoneNumber" type="string"/>
         <simpleType name="ContactTypeType">
574
575
             <restriction base="string">
576
                <enumeration value="technical"/>
                <enumeration value="support"/>
577
                <enumeration value="administrative"/>
578
                <enumeration value="billing"/>
579
580
                <enumeration value="other"/>
581
             </restriction>
582
         </simpleType>
```

#### 2.3.2.3 Element <AdditionalMetadataLocation>

The <AdditionalMetadataLocation> element is a namespace-qualified URI that specifies where additional XML-based metadata may exist for an[E77] entity. Its AdditionalMetadataLocationType complex type extends the anyURI type with a namespace attribute (also of type anyURI). This required attribute MUST contain the XML namespace of the root element of the instance document found at the specified location.

The following schema fragment defines the <AdditionalMetadataLocation> element and its AdditionalMetadataLocationType complex type:

## 2.4 Role Descriptor Elements

The elements in this section make up the bulk of the operational support component of the metadata.

Each element (save for the abstract one) defines a specific collection of operational behaviors in support

of SAML profiles defined in [SAMLProf].

## 2.4.1 Element <RoleDescriptor>

The <RoleDescriptor> element is an abstract extension point that contains common descriptive information intended to provide processing commonality across different roles. New roles can be defined by extending its abstract RoleDescriptorType complex type, which contains the following elements and attributes:

609 ID [Optional]

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590 591

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597

598

599

600

604

610

A document-unique identifier for the element, typically used as a reference point when signing.

```
validUntil [Optional]
611
             Optional attribute indicates the expiration time of the metadata contained in the element and any
612
             contained elements.
613
     cacheDuration [Optional]
614
615
             Optional attribute indicates the maximum length of time a consumer should cache the metadata
616
             contained in the element and any contained elements [E94] before attempting to refresh it
     protocolSupportEnumeration [Required]
617
             A whitespace-delimited set of URIs that identify the set of protocol specifications supported by the
618
             role element. For SAML V2.0 entities, this set MUST include the SAML protocol namespace URI.
619
             urn:oasis:names:tc:SAML:2.0:protocol. Note that future SAML specifications might
620
             share the same namespace URI, but SHOULD provide alternate "protocol support" identifiers to
621
             ensure discrimination when necessary.
622
     errorURL [Optional]
623
             Optional URI attribute that specifies a location to direct a user for problem resolution and
624
             additional support related to this role.
625
     <ds:Signature>[Optional]
626
             An XML signature that authenticates the containing element and its contents, as described in
627
             Section 3.
628
629
     <Extensions> [Optional]
             This contains optional metadata extensions that are agreed upon between a metadata publisher
630
             and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined
631
632
             namespace.
     <KeyDescriptor> [Zero or More]
633
634
             Optional sequence of elements that provides information about the cryptographic keys that the
635
             entity uses when acting in this role.
     <Organization> [Optional]
636
637
             Optional element specifies the organization associated with this role. Identical to the element
             used within the <EntityDescriptor> element.
638
     <ContactPerson> [Zero or More]
639
             Optional sequence of elements specifying contacts associated with this role. Identical to the
640
641
             element used within the <EntityDescriptor> element.
     Arbitrary namespace-qualified attributes from non-SAML-defined namespaces may also be included.
642
     [E76]A validUntil or cacheDuration attribute MAY be used to impose a shorter expiration or cache
643
     duration than that of the parent or root element, but never a longer one; the smaller value takes
644
645
     precedence.
     The following schema fragment defines the <RoleDescriptor> element and its RoleDescriptorType
646
647
     complex type:
          <element name="RoleDescriptor" type="md:RoleDescriptorType"/>
648
649
          <complexType name="RoleDescriptorType" abstract="true">
650
              <sequence>
651
                  <element ref="ds:Signature" minOccurs="0"/>
                  <element ref="md:Extensions" minOccurs="0"/>
652
                  <element ref="md:KeyDescriptor" minOccurs="0" maxOccurs="unbounded"/>
653
```

<element ref="md:Organization" minOccurs="0"/>

```
655
                <element ref="md:ContactPerson" minOccurs="0" maxOccurs="unbounded"/>
656
             </sequence>
             <attribute name="ID" type="ID" use="optional"/>
657
             <attribute name="validUntil" type="dateTime" use="optional"/>
658
            <attribute name="cacheDuration" type="duration" use="optional"/>
659
             <attribute name="protocolSupportEnumeration" type="md:anyURIListType"</pre>
660
661
         use="required"/>
             <attribute name="errorURL" type="anyURI" use="optional"/>
662
             <anyAttribute namespace="##other" processContents="lax"/>
663
664
         </complexType>
665
         <simpleType name="anyURIListType">
666
             <list itemType="anyURI"/>
         </simpleType>
667
```

## 2.4.1.1 Element <KeyDescriptor>

- The <KeyDescriptor> element provides information about the cryptographic key(s) that an entity uses
- to sign data or receive encrypted keys, along with additional cryptographic details. Its
  - **KeyDescriptorType** complex type consists of the following elements and attributes:
- 672 use [Optional]

668

671

673

674

676

- Optional attribute specifying the purpose of the key being described. Values are drawn from the **KeyTypes** enumeration, and consist of the values encryption and signing.
- 675 <ds:KeyInfo> [Required]
  - Optional element that directly or indirectly identifies a key. See [XMLSig] for additional details on the use of this element.
- 678 <EncryptionMethod> [Zero or More]
- Optional element specifying an algorithm and algorithm-specific settings supported by the entity.

  The exact content varies based on the algorithm supported. See [XMLEnc] for the definition of this element's xenc:EncryptionMethodType complex type.
- [E62]A use value of "signing" means that the contained key information is applicable to both signing and TLS/SSL operations performed by the entity when acting in the enclosing role.
- A use value of "encryption" means that the contained key information is suitable for use in wrapping encryption keys for use by the entity when acting in the enclosing role.
- 686 If the use attribute is omitted, then the contained key information is applicable to both of the above uses.
- [E68]The inclusion of multiple <KeyDescriptor> elements with the same use attribute (or no such attribute) indicates that any of the included keys may be used by the containing role or affiliation. A relying party SHOULD allow for the use of any of the included keys. When possible the signing or encrypting party SHOULD indicate as specifically as possible which key it used to enable more efficient processing.
- [E69]The <ds:KeyInfo> element is a highly generic and extensible means of communicating key material. This specification takes no position on the allowable or suggested content of this element, nor on its meaning to a relying party. As a concrete example, no implications of including an X.509 certificate by value or reference are to be assumed. Its validity period, extensions, revocation status, and other relevant content may or may not be enforced, at the discretion of the relying party. The details of such processing, and their security implications, are out of scope; they may, however, be addressed by other SAML profiles.
- The following schema fragment defines the <KeyDescriptor> element and its **KeyDescriptorType** complex type:

```
703
                  <element ref="ds:KeyInfo"/>
704
                  <element ref="md:EncryptionMethod" minOccurs="0"</pre>
705
         maxOccurs="unbounded"/>
706
              </sequence>
707
              <attribute name="use" type="md:KeyTypes" use="optional"/>
708
          </complexType>
709
         <simpleType name="KeyTypes">
              <restriction base="string">
710
711
                  <enumeration value="encryption"/>
                  <enumeration value="signing"/>
712
713
             </restriction>
714
          </simpleType>
          <element name="EncryptionMethod" type="xenc:EncryptionMethodType"/>
715
```

## 2.4.2 Complex Type SSODescriptorType

- 717 The **SSODescriptorType** abstract type is a common base type for the concrete types
- 718 SPSSODescriptorType and IDPSSODescriptorType, described in subsequent sections. It extends
- RoleDescriptorType with elements reflecting profiles common to both identity providers and service
- providers that support SSO, and contains the following additional elements:
- 721 <ArtifactResolutionService> [Zero or More]
  - Zero or more elements of type IndexedEndpointType that describe indexed endpoints that support the Artifact Resolution profile defined in [SAMLProf]. The ResponseLocation attribute MUST be omitted.
- 725 <SingleLogoutService> [Zero or More]
  - Zero or more elements of type **EndpointType** that describe endpoints that support the Single Logout profiles defined in [SAMLProf].
- 728 <ManageNameIDService> [Zero or More]
  - Zero or more elements of type **EndpointType** that describe endpoints that support the Name Identifier Management profiles defined in [SAMLProf].
- 731 <NameIDFormat> [Zero or More]

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- Zero or more elements of type **anyURI** that enumerate the name identifier formats supported by this system entity acting in this role. See Section 8.3 of [SAMLCore] for some possible values for this element.
- The following schema fragment defines the **SSODescriptorType** complex type:

```
<complexType name="SSODescriptorType" abstract="true">
736
737
             <complexContent>
738
                 <extension base="md:RoleDescriptorType">
739
                    <sequence>
740
                        <element ref="md:ArtifactResolutionService" minOccurs="0"</pre>
741
          maxOccurs="unbounded"/>
742
                        <element ref="md:SingleLogoutService" minOccurs="0"</pre>
743
          maxOccurs="unbounded"/>
744
                        <element ref="md:ManageNameIDService" minOccurs="0"</pre>
745
          maxOccurs="unbounded"/>
                        <element ref="md:NameIDFormat" minOccurs="0"</pre>
746
747
          maxOccurs="unbounded"/>
748
                    </sequence>
749
                 </extension>
750
             </complexContent>
751
          </complexType>
752
          <element name="ArtifactResolutionService" type="md:IndexedEndpointType"/>
          <element name="SingleLogoutService" type="md:EndpointType"/>
753
          <element name="ManageNameIDService" type="md:EndpointType"/>
754
```

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#### 2.4.3 Element <IDPSSODescriptor>

The <IDPSSODescriptor> element extends SSODescriptorType with content reflecting profiles specific to identity providers supporting SSO. Its IDPSSODescriptorType complex type contains the following additional elements and attributes:

760 WantAuthnRequestsSigned [Optional]

Optional attribute that indicates a requirement for the <samlp:AuthnRequest> messages received by this identity provider to be signed. If omitted, the value is assumed to be false.

763 <SingleSignOnService> [One or More]

One or more elements of type **EndpointType** that describe endpoints that support the profiles of the Authentication Request protocol defined in [SAMLProf]. All identity providers support at least one such endpoint, by definition. The ResponseLocation attribute MUST be omitted.

767 <NameIDMappingService> [Zero or More]

Zero or more elements of type **EndpointType** that describe endpoints that support the Name Identifier Mapping profile defined in [SAMLProf]. The ResponseLocation attribute MUST be omitted.

771 <AssertionIDRequestService> [Zero or More]

Zero or more elements of type **EndpointType** that describe endpoints that support the profile of the Assertion [E33]Query/Request protocol defined in [SAMLProf] or the special URI binding for assertion requests defined in [SAMLBind].

775 <AttributeProfile> [Zero or More]

Zero or more elements of type **anyURI** that enumerate the attribute profiles supported by this identity provider. See [SAMLProf] for some possible values for this element.

Zero or more elements that identify the SAML attributes supported by the identity provider. Specific values MAY optionally be included, indicating that only certain values permitted by the attribute's definition are supported. In this context, "support" for an attribute means that the identity provider has the capability to include it when delivering assertions during single sign-on.

[E7]The WantAuthnRequestsSigned attribute is intended to indicate to service providers whether or not they can expect an unsigned <AuthnRequest> message to be accepted by the identity provider. The identity provider is not obligated to reject unsigned requests nor is a service provider obligated to sign its requests, although it might reasonably expect an unsigned request will be rejected. In some cases, a service provider may not even know which identity provider will ultimately receive and respond to its requests, so the use of this attribute in such a case cannot be strictly defined.

Furthermore, note that the specific method of signing that would be expected is binding dependent. The HTTP Redirect binding (see [SAMLBind]) requires that the signature be applied to the URL-encoded value rather than placed within the XML message, while other bindings generally permit the signature to be within the message in the usual fashion.

The following schema fragment defines the <IDPSSODescriptor> element and its IDPSSODescriptorType complex type:

```
800
                        <element ref="md:SingleSignOnService" maxOccurs="unbounded"/>
801
                        <element ref="md:NameIDMappingService" minOccurs="0"</pre>
802
          maxOccurs="unbounded"/>
                        <element ref="md:AssertionIDRequestService" minOccurs="0"</pre>
803
804
          maxOccurs="unbounded"/>
805
                       <element ref="md:AttributeProfile" minOccurs="0"</pre>
806
          maxOccurs="unbounded"/>
807
                       <element ref="saml:Attribute" minOccurs="0"</pre>
808
          maxOccurs="unbounded"/>
മറമ
                    </sequence>
810
                    <attribute name="WantAuthnRequestsSigned" type="boolean"
811
          use="optional"/>
812
                 </extension>
813
             </complexContent>
          </complexType>
814
          <element name="SingleSignOnService" type="md:EndpointType"/>
815
          <element name="NameIDMappingService" type="md:EndpointType"/>
816
          <element name="AssertionIDRequestService" type="md:EndpointType"/>
817
818
          <element name="AttributeProfile" type="anyURI"/>
```

## 2.4.4 Element <SPSSODescriptor>

The <SPSSODescriptor> element extends SSODescriptorType with content reflecting profiles specific to service providers. Its SPSSODescriptorType complex type contains the following additional elements and attributes:

823 AuthnRequestsSigned [Optional]

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Optional attribute that indicates whether the <code><samlp:AuthnRequest></code> messages sent by this service provider will be signed. If omitted, the value is assumed to be <code>false</code>. [E7]A value of <code>false</code> (or omission of this attribute) does not imply that the service provider will never sign its requests or that a signed request should be considered an error. However, an identity provider that receives an unsigned <code><samlp:AuthnRequest></code> message from a service provider whose metadata contains this attribute with a value of true MUST return a SAML error response and MUST NOT fulfill the request.

831 WantAssertionsSigned [Optional]

Optional attribute that indicates a requirement for the <saml:Assertion> elements received by this service provider to be signed. If omitted, the value is assumed to be false. This requirement is in addition to any requirement for signing derived from the use of a particular profile/binding combination. [E7]Note that an enclosing signature at the SAML binding or protocol layer does not suffice to meet this requirement, for example signing a <samlp:Response> containing the assertion(s) or a TLS connection.

<AssertionConsumerService> [One or More]

One or more elements that describe indexed endpoints that support the profiles of the Authentication Request protocol defined in [SAMLProf]. All service providers support at least one such endpoint, by definition.

<AttributeConsumingService> [Zero or More]

Zero or more elements that describe an application or service provided by the service provider that requires or desires the use of SAML attributes.

At most one <a href="AttributeConsumingService">AttributeConsumingService</a> element can have the attribute isDefault set to true. [E87] The default element is the first element with the isDefault attribute set to true. If no such elements exist, the default element is the first element without the isDefault attribute set to false. If no such elements exist, the default element is the first element in the sequence.

The following schema fragment defines the <SPSSODescriptor> element and its

#### SPSSODescriptorType complex type:

```
<element name="SPSSODescriptor" type="md:SPSSODescriptorType"/>
851
852
          <complexType name="SPSSODescriptorType">
853
             <complexContent>
854
                 <extension base="md:SSODescriptorType">
855
                    <sequence>
856
                        <element ref="md:AssertionConsumerService"</pre>
857
          maxOccurs="unbounded"/>
858
                        <element ref="md:AttributeConsumingService" minOccurs="0"</pre>
859
          maxOccurs="unbounded"/>
860
                    </sequence>
861
                    <attribute name="AuthnRequestsSigned" type="boolean"</pre>
862
          use="optional"/>
863
                    <attribute name="WantAssertionsSigned" type="boolean"</pre>
864
          use="optional"/>
865
                 </extension>
866
             </complexContent>
867
          </complexType>
868
          <element name="AssertionConsumerService" type="md:IndexedEndpointType"/>
```

#### 2.4.4.1 Element < AttributeConsumingService>

- The <a href="TributeConsumingService">The <a href="TributeConsumingService">The tributeConsumingService</a> element defines a particular service offered by the service provider in terms of the attributes the service requires or desires. Its **AttributeConsumingServiceType** complex type contains the following elements and attributes:
- 873 index [Required]

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885

A required attribute that assigns a unique integer value to the element so that it can be referenced in a protocol message.

876 isDefault [Optional]

Identifies the default service supported by the service provider. Useful if the specific service is not otherwise indicated by application context. If omitted, the value is assumed to be false.

879 <ServiceName> [One or More]

One or more language-qualified names for the service [E88] that are suitable for human consumption.

882 <ServiceDescription> [Zero or More]

Zero or more language-qualified strings that describe the service.

884 <RequestedAttribute> [One or More]

One or more elements specifying attributes required or desired by this service.

The following schema fragment defines the <a href="https://document.org/lines/">AttributeRequestingService> element and its AttributeRequestingServiceType complex type:

```
<element name="AttributeConsumingService"</pre>
888
889
         type="md:AttributeConsumingServiceType"/>
890
         <complexType name="AttributeConsumingServiceType">
891
             <sequence>
892
                <element ref="md:ServiceName" maxOccurs="unbounded"/>
                <element ref="md:ServiceDescription" minOccurs="0"</pre>
893
894
         maxOccurs="unbounded"/>
895
                <element ref="md:RequestedAttribute" maxOccurs="unbounded"/>
896
             </sequence>
             <attribute name="index" type="unsignedShort" use="required"/>
897
898
             <attribute name="isDefault" type="boolean" use="optional"/>
899
         </complexType>
```

```
900
<element name="ServiceName" type="md:localizedNameType"/>
<element name="ServiceDescription" type="md:localizedNameType"/>
```

## 2.4.4.1.1 [E34]Element <RequestedAttribute>

The <RequestedAttribute> element specifies a service provider's interest in a specific SAML attribute, optionally including specific values. Its RequestedAttributeType complex type extends the

905 **saml:AttributeType** with the following attribute:

```
906 isRequired [Optional]
```

902

907

908

914

915

924

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936 937

938

Optional XML attribute indicates if the service requires the corresponding SAML attribute in order to function at all (as opposed to merely finding an attribute useful or desirable).

909 [E89] If no NameFormat value is provided, the identifier

urn:oasis:names:tc:SAML:2.0:attrname-format:unspecified (see Section 8.2.1 of

911 [SAMLv2Core]) is in effect

912 If specific <saml:AttributeValue> elements are included, then only matching values are relevant to

the service. See [SAMLCore] for more information on attribute value matching.

The following schema fragment defines the <RequestedAttribute> element and its

RequestedAttributeType complex type:

```
916
         <element name="RequestedAttribute" type="md:RequestedAttributeType"/>
917
         <complexType name="RequestedAttributeType">
             <complexContent>
918
919
                <extension base="saml:AttributeType">
                    <attribute name="isRequired" type="boolean" use="optional"/>
920
921
                </extension>
922
             </complexContent>
923
         </complexType>
```

## 2.4.5 Element < AuthnAuthorityDescriptor>

925 The <AuthnAuthorityDescriptor> element extends RoleDescriptorType with content reflecting

926 profiles specific to authentication authorities, SAML authorities that respond to <samlp: AuthnQuery>

messages. Its AuthnAuthorityDescriptorType complex type contains the following additional element:

928 <AuthnQueryService> [One or More]

One or more elements of type **EndpointType** that describe endpoints that support the profile of the Authentication Query protocol defined in [SAMLProf]. All authentication authorities support at least one such endpoint, by definition.

932 <AssertionIDRequestService> [Zero or More]

Zero or more elements of type **EndpointType** that describe endpoints that support the profile of the Assertion [E33]Query/Request protocol defined in [SAMLProf] or the special URI binding for assertion requests defined in [SAMLBind].

<NameIDFormat> [Zero or More]

Zero or more elements of type **anyURI** that enumerate the name identifier formats supported by this authority. See Section 8.3 of [SAMLCore] for some possible values for this element.

The following schema fragment defines the <AuthnAuthorityDescriptor> element and its

AuthnAuthorityDescriptorType complex type:

```
<extension base="md:RoleDescriptorType">
945
946
                    <sequence>
947
                        <element ref="md:AuthnQueryService" maxOccurs="unbounded"/>
                        <element ref="md:AssertionIDRequestService" minOccurs="0"</pre>
948
         maxOccurs="unbounded"/>
949
950
                        <element ref="md:NameIDFormat" minOccurs="0"</pre>
951
         maxOccurs="unbounded"/>
952
                    </sequence>
953
                 </extension>
954
             </complexContent>
955
          </complexType>
956
          <element name="AuthnQueryService" type="md:EndpointType"/>
```

## 2.4.6 Element < PDPDescriptor>

The <PDPDescriptor> element extends RoleDescriptorType with content reflecting profiles specific to 958 959 policy decision points, SAML authorities that respond to <samlp:AuthzDecisionQuery> messages. 960

Its **PDPDescriptorType** complex type contains the following additional element:

<AuthzService> [One or More] 961

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One or more elements of type **EndpointType** that describe endpoints that support the profile of the Authorization Decision Query protocol defined in [SAMLProf]. All policy decision points support at least one such endpoint, by definition.

<AssertionIDRequestService> [Zero or More]

Zero or more elements of type EndpointType that describe endpoints that support the profile of the Assertion [E33]Query/Request protocol defined in [SAMLProf] or the special URI binding for assertion requests defined in [SAMLBind].

<NameIDFormat> [Zero or More] 969

> Zero or more elements of type anyURI that enumerate the name identifier formats supported by this authority. See Section 8.3 of [SAMLCore] for some possible values for this element.

The following schema fragment defines the <PDPDescriptor> element and its PDPDescriptorType complex type:

```
<element name="PDPDescriptor" type="md:PDPDescriptorType"/>
974
975
          <complexType name="PDPDescriptorType">
976
             <complexContent>
                 <extension base="md:RoleDescriptorType">
977
978
                    <sequence>
                        <element ref="md:AuthzService" maxOccurs="unbounded"/>
979
980
                        <element ref="md:AssertionIDRequestService" minOccurs="0"</pre>
981
          maxOccurs="unbounded"/>
                        <element ref="md:NameIDFormat" minOccurs="0"</pre>
982
983
          maxOccurs="unbounded"/>
984
                    </sequence>
985
                 </extension>
986
             </complexContent>
987
          </complexType>
988
          <element name="AuthzService" type="md:EndpointType"/>
```

## 2.4.7 Element < Attribute Authority Descriptor >

The <AttributeAuthorityDescriptor> element extends RoleDescriptorType with content 990 991

reflecting profiles specific to attribute authorities. SAML authorities that respond to

<samlp:AttributeQuery> messages. Its AttributeAuthorityDescriptorType complex type contains 992

993 the following additional elements:

```
994 <AttributeService> [One or More]
```

One or more elements of type **EndpointType** that describe endpoints that support the profile of the Attribute Query protocol defined in [SAMLProf]. All attribute authorities support at least one such endpoint, by definition.

998 <AssertionIDRequestService> [Zero or More]

Zero or more elements of type **EndpointType** that describe endpoints that support the profile of the Assertion [E33]Query/Request protocol defined in [SAMLProf] or the special URI binding for assertion requests defined in [SAMLBind].

1002 <NameIDFormat> [Zero or More]

995

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Zero or more elements of type **anyURI** that enumerate the name identifier formats supported by this authority. See Section 8.3 of [SAMLCore] for some possible values for this element.

1005 <AttributeProfile> [Zero or More]

Zero or more elements of type **anyURI** that enumerate the attribute profiles supported by this authority. See [SAMLProf] for some possible values for this element.

1008 <saml:Attribute> [Zero or More]

Zero or more elements that identify the SAML attributes supported by the authority. Specific values MAY optionally be included, indicating that only certain values permitted by the attribute's definition are supported.

The following schema fragment defines the <a href="https://document.org/leasuring-new-norm">AttributeAuthorityDescriptor</a> element and its AttributeAuthorityDescriptorType complex type:

```
<element name="AttributeAuthorityDescriptor"</pre>
1014
1015
          type="md:AttributeAuthorityDescriptorType"/>
1016
           <complexType name="AttributeAuthorityDescriptorType">
1017
              <complexContent>
1018
                  <extension base="md:RoleDescriptorType">
1019
                     <sequence>
                         <element ref="md:AttributeService" maxOccurs="unbounded"/>
1020
1021
                         <element ref="md:AssertionIDRequestService" minOccurs="0"</pre>
1022
          maxOccurs="unbounded"/>
1023
                         <element ref="md:NameIDFormat" minOccurs="0"</pre>
          maxOccurs="unbounded"/>
1024
1025
                         <element ref="md:AttributeProfile" minOccurs="0"</pre>
          maxOccurs="unbounded"/>
1026
1027
                         <element ref="saml:Attribute" minOccurs="0"</pre>
1028
          maxOccurs="unbounded"/>
1029
                     </sequence>
1030
                  </extension>
1031
              </complexContent>
1032
           </complexType>
1033
           <element name="AttributeService" type="md:EndpointType"/>
```

## 2.5 Element < Affiliation Descriptor>

The <affiliationDescriptor> element is an alternative to the sequence of role descriptors
described in Section 2.4 that is used when an <EntityDescriptor> describes an affiliation of[E77]
entities (typically service providers) rather than a single entity. The <affiliationDescriptor>
element provides a summary of the individual entities that make up the affiliation along with general
information about the affiliation itself. Its AffiliationDescriptorType complex type contains the following
elements and attributes:

affiliationOwnerID [Required]

Specifies the unique identifier of the entity responsible for the affiliation. The owner is NOT

presumed to be a member of the affiliation; if it is a member, its identifier MUST also appear in an 1043 1044 <AffiliateMember> element. ID [Optional] 1045 1046 A document-unique identifier for the element, typically used as a reference point when signing. validUntil [Optional] 1047 Optional attribute indicates the expiration time of the metadata contained in the element and any 1048 contained elements. 1049 cacheDuration [Optional] 1050 Optional attribute indicates the maximum length of time a consumer should cache the metadata 1051 contained in the element and any contained elements [E94] before attempting to refresh it. 1052 <ds:Signature>[Optional] 1053 An XML signature that authenticates the containing element and its contents, as described in 1054 Section 3. 1055 <Extensions> [Optional] 1056 This contains optional metadata extensions that are agreed upon between a metadata publisher 1057 and consumer. Extension elements MUST be namespace-qualified by a non-SAML-defined 1058 namespace. 1059 <a href="#"><AffiliateMember> [One or More]</a> 1060 1061 One or more elements enumerating the members of the affiliation by specifying each member's 1062 unique identifier. See also Section 8.3.6 of [SAMLCore].

1063 <KeyDescriptor> [Zero or More]

1064

1065

1066

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Optional sequence of elements that provides information about the cryptographic keys that the affiliation uses as a whole, as distinct from keys used by individual members of the affiliation, which are published in the metadata for those entities.

Arbitrary namespace-gualified attributes from non-SAML-defined namespaces may also be included.

[E76]A validUntil or cacheDuration attribute MAY be used to impose a shorter expiration or cache duration than that of the parent or root element, but never a longer one; the smaller value takes precedence.

The following schema fragment defines the <AffiliationDescriptor> element and its AffiliationDescriptorType complex type:

```
1073
          <element name="AffiliationDescriptor" type="md:AffiliationDescriptorType"/>
          <complexType name="AffiliationDescriptorType">
1074
1075
             <sequence>
                 <element ref="ds:Signature" minOccurs="0"/>
1076
                 <element ref="md:Extensions" minOccurs="0"/>
1077
                 <element ref="md:AffiliateMember" maxOccurs="unbounded"/>
1078
1079
                 <element ref="md:KeyDescriptor" minOccurs="0" maxOccurs="unbounded"/>
1080
             </sequence>
1081
             <attribute name="affiliationOwnerID" type="md:entityIDType"</pre>
          use="required"/>
1082
1083
             <attribute name="validUntil" type="dateTime" use="optional"/>
             <attribute name="cacheDuration" type="duration" use="optional"/>
1084
             <attribute name="ID" type="ID" use="optional"/>
1085
             <anyAttribute namespace="##other" processContents="lax"/>
1086
          </complexType>
1087
          <element name="AffiliateMember" type="md:entityIDType"/>
1088
```

## 2.6 Examples

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1090

1091

The following is an example of metadata for a SAML system entity acting as an identity provider and an attribute authority. A signature is shown as a placeholder, without the actual content.

```
1092
          <EntityDescriptor xmlns="urn:oasis:names:tc:SAML:2.0:metadata"</pre>
1093
1094
              xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion"
             xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1095
             entityID="https://IdentityProvider.com/SAML">
1096
1097
              <ds:Signature>...</ds:Signature>
1098
              <IDPSSODescriptor WantAuthnRequestsSigned="true"</pre>
1099
                 protocolSupportEnumeration="urn:oasis:names:tc:SAML:2.0:protocol">
1100
                   <KeyDescriptor use="signing">
                       <ds:KeyInfo>
1101
1102
                           <ds:KeyName>IdentityProvider.com SSO Key</ds:KeyName>
1103
                       </ds:KeyInfo>
1104
                   </KeyDescriptor>
                   <ArtifactResolutionService isDefault="true" index="0"</pre>
1105
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:SOAP"
1106
                     Location="https://IdentityProvider.com/SAML/Artifact"/>
1107
1108
                   <SingleLogoutService
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:SOAP"
1109
1110
                     Location="https://IdentityProvider.com/SAML/SLO/SOAP"/>
1111
                   <SingleLogoutService
1112
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Redirect"
1113
                     Location="https://IdentityProvider.com/SAML/SLO/Browser"
                     ResponseLocation="https://IdentityProvider.com/SAML/SLO/Response"/>
1114
1115
                   <NameIDFormat>
1116
                     urn:oasis:names:tc:SAML:1.1:nameid-format:X509SubjectName
1117
                   </NameIDFormat>
1118
                   <NameIDFormat>
1119
                     urn:oasis:names:tc:SAML:2.0:nameid-format:persistent
1120
                   </NameIDFormat>
1121
                   <NameIDFormat>
1122
                    urn:oasis:names:tc:SAML:2.0:nameid-format:transient
1123
                   </NameIDFormat>
1124
                   <SingleSignOnService
1125
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Redirect"
1126
                     Location="https://IdentityProvider.com/SAML/SSO/Browser"/>
1127
                   <SingleSignOnService
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-POST"
1128
                     Location="https://IdentityProvider.com/SAML/SSO/Browser"/>
1129
1130
                   <saml:Attribute</pre>
1131
                    NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
                     Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.6"
1132
1133
                     FriendlyName="eduPersonPrincipalName">
1134
                   </saml:Attribute>
1135
                   <saml:Attribute
1136
                     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
1137
                     Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.1"
1138
                     FriendlyName="eduPersonAffiliation">
1139
                       <saml:AttributeValue>member</saml:AttributeValue>
1140
                       <saml:AttributeValue>student</saml:AttributeValue>
1141
                       <saml:AttributeValue>faculty</saml:AttributeValue>
1142
                       <saml:AttributeValue>employee</saml:AttributeValue>
1143
                       <saml:AttributeValue>staff</saml:AttributeValue>
1144
                   </saml:Attribute>
1145
              </IDPSSODescriptor>
              <a href="#">AttributeAuthorityDescriptor</a>
1146
                 protocolSupportEnumeration="urn:oasis:names:tc:SAML:2.0:protocol">
1147
1148
                   <KeyDescriptor use="signing">
1149
                       <ds:KeyInfo>
1150
                           <ds:KeyName>IdentityProvider.com AA Key</ds:KeyName>
1151
                       </ds:KeyInfo>
```

```
1152
                   </KeyDescriptor>
1153
                   <AttributeService
1154
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:SOAP"
1155
                     Location="https://IdentityProvider.com/SAML/AA/SOAP"/>
1156
                   <AssertionIDRequestService</pre>
1157
                     Binding="urn:oasis:names:tc:SAML:2.0:bindings:URI"
1158
                     Location="https://IdentityProvider.com/SAML/AA/URI"/>
1159
1160
                     urn:oasis:names:tc:SAML:1.1:nameid-format:X509SubjectName
1161
                   </NameIDFormat>
1162
                   <NameIDFormat>
1163
                     urn:oasis:names:tc:SAML:2.0:nameid-format:persistent
1164
                   </NameIDFormat>
1165
                   <NameIDFormat>
1166
                     urn:oasis:names:tc:SAML:2.0:nameid-format:transient
1167
                   </NameIDFormat>
1168
                   <saml:Attribute</pre>
1169
                     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
1170
                     Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.6"
1171
                     FriendlyName="eduPersonPrincipalName">
                   </saml:Attribute>
1172
                   <saml:Attribute</pre>
1173
1174
                     NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
1175
                     Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.1"
1176
                     FriendlyName="eduPersonAffiliation">
1177
                       <saml:AttributeValue>member</saml:AttributeValue>
                       <saml:AttributeValue>student</saml:AttributeValue>
1178
                       <saml:AttributeValue>faculty</saml:AttributeValue>
1179
1180
                       <saml:AttributeValue>employee</saml:AttributeValue>
                       <saml:AttributeValue>staff</saml:AttributeValue>
1181
1182
                   </saml:Attribute>
1183
              </AttributeAuthorityDescriptor>
1184
              <Organization>
1185
                   <OrganizationName xml:lang="en">Identity Providers R
          US</OrganizationName>
1186
1187
                   <OrganizationDisplayName xml:lang="en">
1188
                     Identity Providers R US, a Division of Lerxst Corp.
1189
                   </OrganizationDisplayName>
1190
                   <OrganizationURL
1191
          xml:lang="en">https://IdentityProvider.com</OrganizationURL>
1192
              </Organization>
1193
          </EntityDescriptor>
```

The following is an example of metadata for a SAML system entity acting as a service provider. A signature is shown as a placeholder, without the actual content. For illustrative purposes, the service is one that does not require users to uniquely identify themselves, but rather authorizes access on the basis of a role-like attribute.

```
1200
          <EntityDescriptor xmlns="urn:oasis:names:tc:SAML:2.0:metadata"</pre>
1201
              xmlns:saml="urn:oasis:names:tc:SAML:2.0:assertion"
1202
              xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1203
              entityID="https://ServiceProvider.com/SAML">
              <ds:Signature>...</ds:Signature>
1204
1205
              <SPSSODescriptor AuthnRequestsSigned="true"</pre>
1206
                 protocolSupportEnumeration="urn:oasis:names:tc:SAML:2.0:protocol">
1207
                   <KeyDescriptor use="signing">
1208
                       <ds:KeyInfo>
1209
                           <ds:KeyName>ServiceProvider.com SSO Key</ds:KeyName>
1210
                       </ds:KevInfo>
                   </KeyDescriptor>
1211
1212
                   <KeyDescriptor use="encryption">
1213
                       <ds:KeyInfo>
1214
                           <ds:KeyName>ServiceProvider.com Encrypt Key</ds:KeyName>
1215
                       </ds:KeyInfo>
```

1194 1195

1196

1197

1198

```
1216
                       <EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-</pre>
          1_5"/>
1217
1218
                  </KeyDescriptor>
                  <SingleLogoutService
1219
1220
                    Binding="urn:oasis:names:tc:SAML:2.0:bindings:SOAP"
1221
                    Location="https://ServiceProvider.com/SAML/SLO/SOAP"/>
1222
                  <SingleLogoutService
1223
                    Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Redirect"
1224
                    Location="https://ServiceProvider.com/SAML/SLO/Browser"
1225
                    ResponseLocation="https://ServiceProvider.com/SAML/SLO/Response"/>
1226
                  <NameIDFormat>
                    urn:oasis:names:tc:SAML:2.0:nameid-format:transient
1227
1228
                  </NameIDFormat>
                  <AssertionConsumerService isDefault="true" index="0"</pre>
1229
1230
                    Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-Artifact"
1231
                    Location="https://ServiceProvider.com/SAML/SSO/Artifact"/>
1232
                  <AssertionConsumerService index="1"</pre>
1233
                    Binding="urn:oasis:names:tc:SAML:2.0:bindings:HTTP-POST"
1234
                    Location="https://ServiceProvider.com/SAML/SSO/POST"/>
                  <AttributeConsumingService index="0">
1235
1236
                       <ServiceName xml:lang="en">Academic Journals R US</ServiceName>
1237
                       <RequestedAttribute
1238
                        NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:uri"
1239
                        Name="urn:oid:1.3.6.1.4.1.5923.1.1.1.7"
1240
                        FriendlyName="eduPersonEntitlement">
1241
                           <saml:AttributeValue>
1242
                           https://ServiceProvider.com/entitlements/123456789
1243
                           </saml:AttributeValue>
1244
                       </RequestedAttribute>
1245
                  </AttributeConsumingService>
1246
              </SPSSODescriptor>
1247
              <Organization>
1248
                  <OrganizationName xml:lang="en">Academic Journals R
1249
          US</OrganizationName>
1250
                  <OrganizationDisplayName xml:lang="en">
1251
                      Academic Journals R US, a Division of Dirk Corp.
1252
                  </OrganizationDisplayName>
1253
                  <OrganizationURL
1254
          xml:lang="en">https://ServiceProvider.com</OrganizationURL>
1255
              </Organization>
1256
          </EntityDescriptor>
```

# 3 Signature Processing

- Various elements in a metadata instance can be digitally signed (as indicated by the element's inclusion of a <ds:Signature> element), with the following benefits:
- Metadata integrity

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- Authentication of the metadata by a trusted signer
- A digital signature is not always required, for example if the relying party obtains the information directly
- from the publishing entity directly (with no intermediaries) through a secure channel, with the entity having
- authenticated to the relying party by some means other than a digital signature.
- 1265 Many different techniques are available for "direct" authentication and secure channel establishment
- between two parties. The list includes TLS/SSL, HMAC, password-based mechanisms, etc. In addition,
- the applicable security requirements depend on the communicating applications.
- 1268 Additionally, elements can inherit signatures on enclosing parent elements that are themselves signed.
- 1269 In the absence of such context, it is RECOMMENDED that at least the root element of a metadata
- instance be signed.

## 3.1 XML Signature Profile

- 1272 The XML Signature specification [XMLSig] calls out a general XML syntax for signing data with flexibility
- and many choices. This section details the constraints on these facilities so that metadata processors do
- not have to deal with the full generality of XML Signature processing. This usage makes specific use of
- the xs:ID-typed attributes optionally present on the elements to which signatures can apply. These
- attributes are collectively referred to in this section as the identifier attributes.

## 3.1.1 Signing Formats and Algorithms

- 1278 XML Signature has three ways of relating a signature to a document: enveloping, enveloped, and
- 1279 detached.
- 1280 SAML metadata MUST use enveloped signatures when signing the elements defined in this specification.
- 1281 [E81] Any algorithm defined for use with the XML Signature specification MAY be used.

#### 1282 3.1.2 References

- Signed metadata elements MUST supply a value for the identifier attribute on the signed element. The
- 1284 element may or may not be the root element of the actual XML document containing the signed metadata
- 1285 element.

1291

- 1286 Signatures MUST contain a single <ds:Reference> containing a URI reference to the identifier attribute
- value of the metadata element being signed. For example, if the identifier attribute value is "foo", then the
- 1288 URI attribute in the <ds:Reference> element MUST be "#foo".
- As a consequence, a metadata element's signature MUST apply to the content of the signed element and
- 1290 any child elements it contains.

#### 3.1.3 Canonicalization Method

- 1292 SAML implementations SHOULD use Exclusive Canonicalization, with or without comments, both in the
- 1293 <ds:CanonicalizationMethod> element of <ds:SignedInfo>, and as a <ds:Transform>

- algorithm. [E83] Use of Exclusive Canonicalization facilitates the verification of signatures created over
- SAML messages when placed into a different XML context than present during signing.
- Note that use of this algorithm alone does not guarantee that a particular signed object can be moved
- from one context to another safely, nor is that a requirement of signed SAML objects in general, though it
- 1298 MAY be required by particular profiles.

#### 3.1.4 Transforms

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- 1300 Signatures in SAML metadata SHOULD NOT contain transforms other than the enveloped signature
- transform (with the identifier http://www.w3.org/2000/09/xmldsig#enveloped-signature) or the exclusive
- 1302 canonicalization transforms (with the identifier http://www.w3.org/2001/10/xml-exc-c14n# or
- http://www.w3.org/2001/10/xml-exc-c14n#WithComments).
- 1304 Verifiers of signatures MAY reject signatures that contain other transform algorithms as invalid. If they do
- not, verifiers MUST ensure that no content of the signed metadata element is excluded from the
- 1306 signature. This can be accomplished by establishing out-of-band agreement as to what transforms are
- acceptable, or by applying the transforms manually to the content and reverifying the result as consisting
- 1308 of the same SAML metadata.

#### 3.1.5 [E91] Object

- 1310 The <ds:Object> element is not defined for use with SAML metadata signatures, and SHOULD NOT be
- present. Since it can be used in service of an attacker by carrying unsigned data, verifiers SHOULD reject
- 1312 signatures that contain a <ds: Object> element.

#### 1313 **3.1.6 KeyInfo**

- 1314 XML Signature [XMLSig] defines usage of the <ds: KeyInfo> element. SAML does not require the
- use of <ds: KeyInfo> nor does it impose any restrictions on its use. Therefore, <ds: KeyInfo> MAY
- 1316 be absent.

## 4 Metadata Publication and Resolution

- 1318 Two mechanisms are provided for an entity to publish (and for a consumer to resolve the location of)
- metadata documents: via a "well-known-location" by directly dereferencing the entity's unique identifier (a
- URI variously referred to as an entityID or providerID), or indirectly by publishing the location of metadata
- in the DNS. Other out-of-band mechanisms are of course also permitted. A consumer that supports both
- approaches defined in this document MUST attempt resolution via DNS before using the "well-known-
- 1323 location" mechanism.

1317

- When retrieval requires network transport of the document, the transport SHOULD be protected with
- mechanisms providing server authentication and integrity protection. For example, HTTP-based
- resolution SHOULD be protected with TLS/SSL [RFC2246] as amended by [RFC3546].
- 1327 Various mechanisms are described in this section to aid in establishing trust in the accuracy and
- 1328 legitimacy of metadata, including use of XML signatures, SSL/TLS server authentication, and DNS
- signatures. Regardless of the mechanism(s) used, relying parties SHOULD have some means by which
- to establish trust in metadata information before relying on it.

#### 4.1 Publication and Resolution via Well-Known Location

- 1332 The following sections describe publication and resolution of metadata by means of a well-known
- 1333 location.

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#### 4.1.1 Publication

- Entities MAY publish their metadata documents at a well known location by placing the document at the
- location denoted by its unique identifier, which MUST be in the form of a URL (rather than a URN). See
- Section 8.3.6 of [SAMLCore] for more information about such identifiers. It is STRONGLY
- 1338 RECOMMENDED that https URLs be used for this purpose. An indirection mechanism supported by the
- 1339 URL scheme (such as an HTTP 1.1 302 redirect) MAY be used if the document is not placed directly at
- the location. If the publishing protocol permits MIME-based identification of content types, the content
- 1341 type of the metadata instance MUST be application/samlmetadata+xml.
- 1342 The XML document provided at the well-known location MUST describe the metadata only for the entity
- 1343 represented by the unique identifier (that is, the root element MUST be an <EntityDescriptor> with
- an entityID matching the location). If other entities need to be described, the
- 1345 <AdditionalMetadataLocation> element MUST be used. Thus the <EntitiesDescriptor>
- 1346 element MUST NOT be used in documents published using this mechanism, since a group of entities are
- not defined by such an identifier.

#### 4.1.2 Resolution

- 1349 If an entity's unique identifier is a URL, metadata consumers MAY attempt to resolve an entity's unique
- identifier directly, in a scheme-specific manner, by dereferencing the identifier.

## 4.2 Publishing and Resolution via DNS

- 1352 To improve the accessibility of metadata documents and provide additional indirection between an entity's
- unique identifier and the location of metadata, entities MAY publish their metadata document locations in
- a zone of their corresponding DNS [RFC1034]. The entity's unique identifier (a URI) is used as the input
- to the process. Since URIs are flexible identifiers, location publication methods and the resolution process
- are determined by the URI's scheme and fully-qualified name. URI locations for metadata are

- subsequently be derived through queries of the NAPTR Resource Record (RR) as defined in [RFC2915]
- 1358 and [RFC3403].

1363

- 1359 It is RECOMMENDED that entities publish their resource records in signed zone files using [E66]
- 1360 [RFC4035] such that relying parties may establish the validity of the published location and authority of
- the zone, and integrity of the DNS response. If DNS zone signatures are present, relying parties MUST
- 1362 properly validate the signature.

#### 4.2.1 Publication

- 1364 This specification makes use of the NAPTR resource record described in [RFC2915] and [RFC3403].
- 1365 Familiarity with these documents is encouraged.
- Dynamic Delegation Discovery System (DDDS) [RFC3401]is a general purpose system for the retrieval of
- information based on an application-specific input string and the application of well known rules to
- transform that string until a terminal condition is reached requiring a look-up into an application-specific
- defined database or resolution of a URL based on the rules defined by the application. DDDS defines a
- specific type of DNS Resource Record, NAPTR records, for the storage of information in the DNS
- necessary to apply DDDS rules.
- 1372 Entities MAY publish separate URLs when multiple metadata documents need to be distributed, or when
- different metadata documents are required due to multiple trust relationships that require separate keying
- material, or when service interfaces require separate metadata declarations. This may be accomplished
- 1375 through the use of the optional <AdditionalMetadataLocation> element, or through the regexp
- facility and multiple service definition fields in the NAPTR resource record itself.
- 1377 If the publishing protocol permits MIME-based identification of content types, the content type of the
- 1378 metadata instance MUST be application/samlmetadata+xml.
- 1379 If the entity's unique identifier is a URN, publication of the corresponding metadata location proceeds as
- specified in [RFC3404]. Otherwise, the resolution of the metadata location proceeds as specified below.
- The following is the application-specific profile of DDDS for SAML metadata resolution.

#### 1382 4.2.1.1 First Well Known Rule

- 1383 The "first well-known-rule" for processing SAML metadata resolution is to parse the entity's unique
- identifier and extract the fully-qualified domain name (subexpression 3) as described in Section 4.2.3.1.

#### 1385 **4.2.1.2 The Order Field**

- 1386 The order field indicates the order for processing each NAPTR resource record returned. Publishers MAY
- provide multiple NAPTR resource records which MUST be processed by the resolver application in the
- order indicated by this field.

#### 4.2.1.3 The Preference Field

- For terminal NAPTR resource records, the publisher expresses the preferred order of use to the resolving
- application. The resolving application MAY ignore this order, in cases where the service field value does
- not meet the resolver's requirements (e.g.: the resource record returns a protocol the application does not
- 1393 support).

#### 4.2.1.4 The Flag Field

- SAML metadata resolution twice makes use of the "U" flag, which is terminal, and the null value (implying
- additional resource records are to be processed). The "U" flag indicates that the output of the rule is a
- 1397 URI

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1398

#### 4.2.1.5 The Service Field

The SAML-specific service field, as described in the following BNF, declares the modes by which instance document(s) shall be made available:

```
1401 servicefield = 1("PID2U" / "NID2U") "+" proto [*(":" class) *(":" servicetype)]
1402 proto = 1("https" / "uddi")
1403 class = 1[ "entity" / "entitygroup" )
1404 servicetype = 1(si / "spsso" / "idpsso" / "authn" / "authnauth" / "pdp" / "attrauth" /
1405 alphanum )
1406 si = "si" [":" alphanum] [":endpoint"]
1407 alphanum = 1*32(ALPHA / DIGIT)
```

#### 1408 where:

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- servicefield PID2U resolves an entity's unique identifier to metadata URL.
- servicefield NID2U resolves a principal's <NameID> into a metadata URL.
- proto describes the retrieval protocol (https or uddi). In the case of UDDI, the URL will be an http(s) URL referencing a WSDL document.
- class identifies whether the referenced metadata document describes a single entity, or multiple.

  In the latter case, the referenced document MUST contain the entity defined by the original unique identifier as a member of a group of entities within the document itself such as an

  AffiliationDescriptor> or <EntitiesDescriptor>.
  - servicetype allows an entity to publish metadata for distinct roles and services as separate
    documents. Resolvers who encounter multiple servicetype declarations will dereference the
    appropriate URI, depending on which service is required for an operation (e.g.: an entity operating
    both as an identity provider and a service provider can publish metadata for each role at different
    locations). The authn service type represents a <SingleSignOnService> endpoint.
    - si (with optional endpoint component) allows the publisher to either directly publish the metadata for a service instance, or by articulating a SOAP endpoint (using endpoint).

#### 1424 For example:

- PID2U+https:entity-represents the entity's complete metadata document available via the https:rotocol
- PID2U+uddi:entity:si:foo-represents the WSDL document location that describes a service instance "foo"
- PID2U+https:entitygroup:idpsso-represents the metadata for a group of entities acting as SSO identity providers, of which the original entity is a member.
- NID2U+https:idp-represents the metadata for the SSO identity provider of a principal

#### 4.2.1.6 The Regex and Replacement Fields

The expected output after processing the input string through the regex MUST be a valid https URL or UDDI node (WSDL document) address.

## 4.2.2 NAPTR Examples

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#### 4.2.2.1 Entity Metadata NAPTR Examples

Entities publish metadata URLs in the following manner:

```
$ORIGIN provider.biz
1438
1439
1440
          ;; order pref f service regexp or replacement
1441
          IN NAPTR 100 10 "U" PID2U+https:entity
1442
1443
             "!^.*$!https://host.provider.biz/some/directory/trust.xml!" ""
          IN NAPTR 110 10 "U" PID2U+https: entity:trust
1444
             "!^.*!https://foo.provider.biz:1443/mdtrust.xml!" ""
1445
          IN NAPTR 125 10 "U" PID2U+https:"
1446
1447
          IN NAPTR 110 10 "U" PID2U+uddi:entity
             "!^.*$!https://this.uddi.node.provider.biz/libmd.wsdl" ""
1448
```

#### 4.2.2.2 Name Identifier Examples

A principal's employer example.int operates an identity provider which may be used by an office supply company to authenticate authorized buyers. The supplier takes a users' email address buyer@example.int as input to the resolution process, and parses the email address to extract the FQDN (example.int). The employer publishes the following NAPTR record in the example.int DNS:

```
$ORIGIN example.int
IN NAPTR 100 10 "U" NID2U+https:authn
    "!^([^@]+)@(.*)$!https://serv.example.int:8000/cgi-bin/getmd?\1!" ""
IN NAPTR 100 10 "U" NID2U+https:idp
    "!^([^@]+)@(.*)$!https://auth.example.int/app/auth?\1" ""
```

#### 4.2.3 Resolution

When resolving metadata for an entity via the DNS, the unique identifier of the entity is used as the initial input into the resolution process, rather than as an actual location Proceed as follows:

- If the unique identifier is a URN, proceed with the resolution steps as defined in [RFC3404].
- Otherwise, parse the identifier to obtain the fully-qualified domain name.
  - Query the DNS for NAPTR resource records of the domain iteratively until a terminal resource record is returned.
  - Identify which resource record to use based on the service fields, then order fields, then preference fields of the result set.
- Obtain the document(s) at the provided location(s) as required by the application.

#### 4.2.3.1 Parsing the Unique Identifier

To initiate the resolution of the location of the metadata information, it will be necessary in some cases to decompose the entity's unique identifier (expressed as a URI) into one or more atomic elements.

The following regular expression should be used when initiating the decomposition process:

Subexpression 3 MUST result in a Fully-Qualified Domain Name (FQDN), which will be the basis for retrieving metadata locations from this zone.

#### 4.2.3.2 Obtaining Metadata via the DNS

- 1481 Upon completion of the parsing of the identifier, the application then performs a DNS query for the
- resulting domain (subexpression 5) for NAPTR resource records; it should expect 1 or more responses.
- Applications MAY exclude from the result set any service definitions that do not concern the present
- 1484 request operations.

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- 1485 Resolving applications MUST subsequently order the result set according to the order field, and MAY
- order the result set based on the preference set. Resolvers are NOT REQUIRED to follow the ordering of
- the preferences field. The resulting NAPTR resource record(s) are operated on iteratively (based on the
- order flag) until a terminal NAPTR resource record is reached.
- 1489 The result will be a well-formed, absolute URL, which is then used to retrieve the metadata document.

## 4.2.4 Metadata Location Caching

- 1491 Location caching MUST NOT exceed the TTL of the DNS zone from which the location was derived.
- 1492 Resolvers MUST obtain a fresh copy of the metadata location upon reaching the expiration of the TTL of
- the zone.
- 1494 Publishers of metadata documents should carefully consider the TTL of the zone when making changes
- to metadata document locations. Should such a location change occur, a publisher MUST either keep the
- document at both the old and new location until all conforming resolvers are certain to have the updated
- location (e.g.: time of zone change + TTL), or provide an HTTP Redirect [RFC2616] response at the old
- location specifying the new location.

## 1499 4.3 Post-Processing of Metadata

1500 The following sections describe the post-processing of metadata.

## 4.3.1 Metadata Instance Caching

- 1502 [E94] Document caching MUST be based on the duration indicated by the cacheDuration attribute of
- the subject element(s). If metadata elements have parent elements which contain caching policies, the
- parent element takes precedence. To properly process the cacheDuration attribute, consumers must
- retain the date and time when an instance was obtained.
- Note that cache expiration does not imply a lack of validity in the absence of a validUntil attribute or
- other information; failure to update a cached instance (e.g., due to network failure) need not render
- metadata invalid, although implementations may offer such controls to deployers.
- 1510 When a document or element has expired, the consumer MUST retrieve a fresh copy, which may require
- a refresh of the document location(s). Consumers SHOULD process document cache processing
- according to [RFC2616] Section 13, and MAY request the Last-Modified date and time from the HTTP
- 1513 server. Publishers SHOULD ensure acceptable cache processing as described in [RFC2616] (Section
- 1514 10.3.5 304 Not Modified).

## 4.3.2 [E94] Metadata Instance Validity

- 1516 Metadata MUST be considered invalid upon reaching the time specified in a validUntil attribute of the
- subject element(s). The effective expiration may be adjusted downward by parent element(s) with earlier
- 1518 expirations. Invalid metadata MUST NOT be used. This contrasts with "stale" metadata that may be
- beyond its optimum cache duration but is not explicitly invalid. Such metadata remains valid and MAY be
- used at the discretion of the implementation.

## 4.3.3 Handling of HTTPS Redirects

- Publishers MAY issue an HTTP Redirect (301 Moved Permanently, 302 or 307 Temporary Redirect)
- 1523 [RFC2616], and user agents MUST follow the specified URL in the Redirect response. Redirects
- SHOULD be of the same protocol as the initial request.

#### 4.3.4 Processing of XML Signatures and General Trust Processing

- Metadata processing provides several mechanisms for trust negotiation for both the metadata itself and for the trust ascribed to the entity described by such metadata:
- Trust derived from the signature of the DNS zone from which the metadata location URL was resolved, ensuring accuracy of the metadata document location(s)
- Trust derived from signature processing of the metadata document itself, ensuring the integrity of the XML document
  - Trust derived from the SSL/TLS server authentication of the metadata location URL, ensuring the identity of the publisher of the metadata
- Post-processing of the metadata document MUST include signature processing at the XML-document
- level and MAY include one of the other two processes. Specifically, the relying party MAY choose to trust
- any of the cited authorities in the resolution and parsing process. Publishers of metadata MUST employ a
- document-integrity mechanism and MAY employ any of the other two processing profiles to establish trust
- in the metadata document, governed by implementation policies.

#### 4.3.4.1 Processing Signed DNS Zones

1540 Verification of DNS zone signature SHOULD be processed, if present, as described in [E66][RFC4035].

#### **4.3.4.2 Processing Signed Documents and Fragments**

- Published metadata documents SHOULD be signed, as described in Section 3, either by a certificate
- issued to the subject of the document, or by another trusted party. Publishers MAY consider signatures of
- other parties as a means of trust conveyance.
- 1545 Metadata consumers MUST validate signatures, when present, on the metadata document as described
- by Section 3.

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#### 1547 4.3.4.3 Processing Server Authentication during Metadata Retrieval via TLS/SSL

- 1548 It is STRONGLY RECOMMENDED that publishers implement TLS/SSL URLs; therefore, consumers
- 1549 SHOULD consider the trust inherited from the issuer of the TLS/SSL certificate. Publication URLs may not
- always be located in the domain of the subject of the metadata document; therefore, consumers
- 1551 SHOULD NOT presume certificates whose subject is the entity in question, as it may be hosted by
- 1552 another trusted party.
- As the basis of this trust may not be available against a cached document, other mechanisms SHOULD
- be used under such circumstances.

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1607		core/.

# Appendix A.Registration of MIME media type application/samlmetadata+xml

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1610	Introduction
1611 1612 1613	This document defines a MIME media type application/samlmetadata+xml for use with the XML serialization of Security Assertion Markup Language metadata.
1614 1615 1616 1617 1618	SAML is a work product of the OASIS Security Services Technical Committee [SSTC]. The SAML specifications define XML-based constructs with which one may make, and convey, security assertions. Using SAML, one can assert that an authentication event pertaining to some subject has occurred and convey said assertion to a relying party, for example.
1619 1620 1621 1622 1623	SAML profiles require agreements between system entities regarding identifiers, binding support, endpoints, certificates, keys, and so forth. Such information is treated as metadata by SAML v2.0. [SAMLv2Meta] specifies this metadata, as well as specifying metadata publication and resolution mechanisms. If the publishing protocol permits MIME-based identification of content types, then use of the application/samlmetadata+xml MIME media type is required.
1624	MIME media type name
1625	application
1626	MIME subtype name
1627	samlmetadata+xml
1628	Required parameters
1629	None
	None
1630	Optional parameters
1630 1631	Optional parameters  charset
1630 1631 1632	Optional parameters  charset Same as charset parameter of application/xml [RFC3023].
1630 1631 1632 1633	Optional parameters  charset Same as charset parameter of application/xml [RFC3023].  Encoding considerations
1630 1631 1632 1633 1634	Optional parameters  charset Same as charset parameter of application/xml [RFC3023].  Encoding considerations  Same as for application/xml [RFC3023].
1630 1631 1632 1633 1634 1635 1636 1637	Optional parameters  charset Same as charset parameter of application/xml [RFC3023].  Encoding considerations Same as for application/xml [RFC3023].  Security considerations  Per their specification, samlmetadata+xml typed objects do not contain executable content. However, these objects are XML-based [XML], and thus they have all of the general security

Additionally, various of the publication protocols, e.g. HTTP-over-TLS/SSL, offer means for

ensuring the authenticity of the publishing party and for protecting the metadata in transit.

[SAMLv2Meta] also defines prescriptive metadata caching directives, as well as guidance on handling HTTPS redirects, trust processing, server authentication, and related items.

For a more detailed discussion of SAML v2.0 metadata and its security considerations, please see [SAMLv2Meta]. For a discussion of overall SAML v2.0 security considerations and specific security-related design features, please refer to the SAML v2.0 specifications listed in the below bibliography. The specifications containing security-specific information are explicitly listed.

## Interoperability considerations

SAML v2.0 metadata explicitly supports identifying the protocols and versions supported by the identified entities. For example, an identity provider entity can be denoted as supporting SAML v2.0 [SAMLv2.0], SAML v1.1 [SAMLv1.1], Liberty ID-FF 1.2 [LAPFF], or even other protocols if they are unambiguously identifiable via URI [RFC2396]. This protocol support information is conveyed via the protocol support Enumeration attribute of metadata objects of the RoleDescriptorType.

## Published specification

[SAMLv2Meta] explicitly specifies use of the application/samlmetadata+xml MIME media type.

## Applications which use this media type

Potentially any application implementing SAML v2.0, as well as those applications implementing specifications based on SAML, e.g. those available from the Liberty Alliance [LAP].

#### **Additional information**

## Magic number(s)

In general, the same as for application/xml [RFC3023]. In particular, the XML root element of the returned object will have a namespace-qualified name with:

- a local name of: EntityDescriptor, or

AffiliationDescriptor, or

EntitiesDescriptor

- a namespace URI of: urn:oasis:names:tc:SAML:2.0:metadata

(the SAMLv2.0 metadata namespace)

#### File extension(s)

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## **Macintosh File Type Code(s)**

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#### Person & email address to contact for further information

This registration is made on behalf of the OASIS Security Services Technical Committee (SSTC) Please refer to the SSTC website for current information on committee chairperson(s) and their contact addresses: <a href="http://www.oasis-open.org/committees/security/">http://www.oasis-open.org/committees/security/</a>. Committee members should submit comments and potential errata to the securityservices@lists.oasis-open.org list. Others should submit them by filling out the web form located at <a href="http://www.oasis-open.org/committees/comments/form.php?wg">http://www.oasis-open.org/committees/comments/form.php?wg</a> abbrev=security.

Additionally, the SAML developer community email distribution list, saml-dev@lists.oasisopen.org, may be employed to discuss usage of the application/samlmetadata+xml MIME
media type. The "saml-dev" mailing list is publicly archived here: http://lists.oasisopen.org/archives/saml-dev/. To post to the "saml-dev" mailing list, one must subscribe to it. To
subscribe, send a message with the single word "subscribe" in the message body, to: saml-devrequest@lists.oasis-open.org.

## Intended usage

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## **Author/Change controller**

The SAML specification sets are a work product of the OASIS Security Services Technical Committee (SSTC). OASIS and the SSTC have change control over the SAML specification sets.

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# Appendix B. Acknowledgments

- The editors would like to acknowledge the contributions of the OASIS Security Services Technical Committee, whose voting members at the time of publication were:
- Conor Cahill, AOL

1747

- John Hughes, Atos Origin
- Hal Lockhart, BEA Systems
- Mike Beach, Boeing
- Rebekah Metz, Booz Allen Hamilton
- Rick Randall, Booz Allen Hamilton
- Ronald Jacobson, Computer Associates
- Gavenraj Sodhi, Computer Associates
- Thomas Wisniewski, Entrust
- Carolina Canales-Valenzuela, Ericsson
- Dana Kaufman, Forum Systems
- 1761 Irving Reid, Hewlett-Packard
- Guy Denton, IBM
- Heather Hinton, IBM
- Maryann Hondo, IBM
- Michael McIntosh, IBM
- Anthony Nadalin, IBM
- Nick Ragouzis, Individual
- Scott Cantor, Internet2
- Bob Morgan, Internet2
- Peter Davis, Neustar
- Jeff Hodges, Neustar
- Frederick Hirsch, Nokia
- Senthil Sengodan, Nokia
- Abbie Barbir, Nortel Networks
- Scott Kiester, Novell
- Cameron Morris, Novell
- Paul Madsen, NTT
- Steve Anderson, OpenNetwork
- Ari Kermaier, Oracle

- Vamsi Motukuru, Oracle
  - Darren Platt, Ping Identity
- Prateek Mishra, Principal Identity
- Jim Lien, RSA Security
- John Linn, RSA Security
- Rob Philpott, RSA Security
- Dipak Chopra, SAP
- Jahan Moreh, Sigaba
- Bhavna Bhatnagar, Sun Microsystems
- Eve Maler, Sun Microsystems

- Ronald Monzillo, Sun Microsystems
- Emily Xu, Sun Microsystems
  - · Greg Whitehead, Trustgenix

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The editors also would like to acknowledge the following former SSTC members for their contributions to this or previous versions of the OASIS Security Assertions Markup Language Standard:

- Stephen Farrell, Baltimore Technologies
- David Orchard, BEA Systems
  - Krishna Sankar, Cisco Systems
- Zahid Ahmed, CommerceOne
- Tim Alsop, CyberSafe Limited
- Carlisle Adams, Entrust
- 1802
   Tim Moses, Entrust
- Nigel Edwards, Hewlett-Packard
- Joe Pato, Hewlett-Packard
- Bob Blakley, IBM
- Marlena Erdos, IBM
- Marc Chanliau, Netegrity
- Chris McLaren, Netegrity
- Lynne Rosenthal, NIST
- Mark Skall, NIST
- Charles Knouse, Oblix
- Simon Godik, Overxeer
- 1813 Charles Norwood, SAIC
- Evan Prodromou, Securant
- Robert Griffin, RSA Security (former editor)
- Sai Allarvarpu, Sun Microsystems
- Gary Ellison, Sun Microsystems
- Chris Ferris, Sun Microsystems
- Mike Myers, Traceroute Security
- Phillip Hallam-Baker, VeriSign (former editor)
- James Vanderbeek, Vodafone
- Mark O'Neill, Vordel
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Finally, the editors wish to acknowledge the following people for their contributions of material used as input to the OASIS Security Assertions Markup Language specifications:

- Thomas Gross, IBM
- Birgit Pfitzmann, IBM

The editors also would like to gratefully acknowledge Jahan Moreh of Sigaba, who during his tenure on the SSTC was the primary editor of the errata working document and who made major substantive contributions to all of the errata materials.

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