

# Section 12 Notes

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## Section 12: Cache, Clock Algorithm, and Demand Paging

CS162

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## 1 Vocabulary

*never seen before  
→ never knew to bring in*

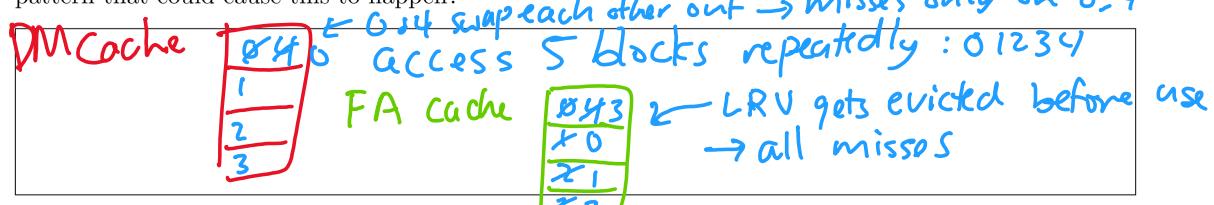
- 3Cs } { extra C
- **Compulsory Miss** The miss that occurs on the first reference to a block. There's essentially nothing that you can do about this type of miss, but over the course of time, compulsory misses become insignificant compared to all the other memory accesses that occur.
  - **Capacity Miss** This miss occurs when the cache can't contain all the blocks that the program accesses. Usually the solution to capacity misses is to increase the cache size.
  - **Conflict Miss** Conflict misses occur when multiple memory locations are mapped to the same cache location. In order to prevent conflict misses, you should either increase the cache size or increase the associativity of the cache.
  - **Coherence Miss** Coherence misses are caused by external processors or I/O devices that updates what's in memory.
  - **Working set** The subset of the address space that a process uses as it executes. Generally we can say that as the cache hit rate increases, more of the working set is being added to the cache.
  - **Thrashing** Phenomenon that occurs when a computer's virtual memory subsystem is constantly paging (exchanging data in memory for data on disk). This can lead to significant application slowdown.
  - **Inverted Page Table** - The inverted page table scheme uses a page table that contains an entry for each physical frame, not for each logical page. This ensures that the table occupies a fixed fraction of memory. The size is proportional to physical memory, not the virtual address space. The inverted page table is a global structure – there is only one in the entire system. It stores reverse mappings for all processes. Each entry in the inverted table contains has a tag containing the task id and the virtual address for each page. These mappings are usually stored in associative memory (remember fully associative caches from 61C?). Associatively addressed memory compares input search data (tag) against a table of stored data, and returns the address of matching data. They can also use actual hash maps.
  - **Translation Lookaside Buffer (TLB)** - A translation lookaside buffer (TLB) is a cache that memory management hardware uses to improve virtual address translation speed. It stores virtual address to physical address mappings, so that the MMU can store recently used address mappings instead of having to retrieve them multiple times through page table accesses.

## 2 Problems

### 2.1 Caching

An up-and-coming big data startup has just hired you to help design their new memory system for a byte-addressable system. Suppose the virtual and physical memory address space is 32 bits with a 4KB page size.

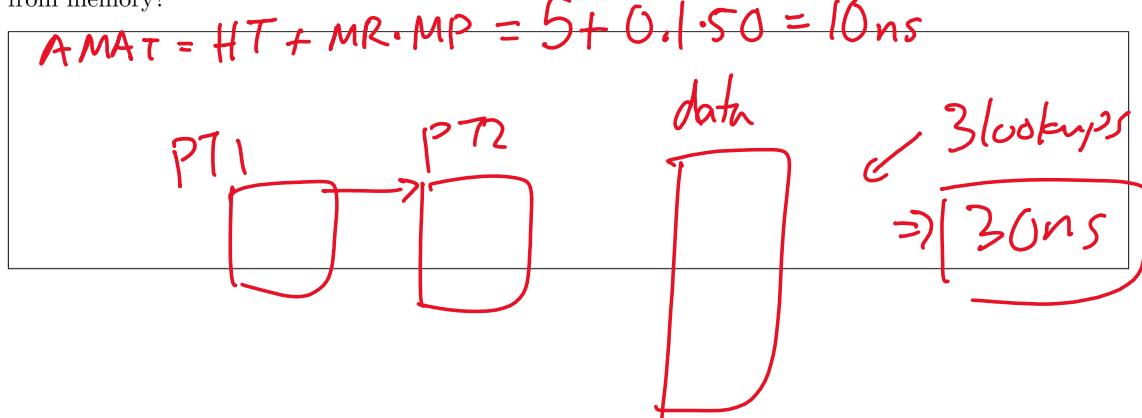
First, you create 1) a direct mapped cache and 2) a fully associative cache of the same size that replaces the least recently used pages when the cache is full. You run a few tests and realize that the fully associative cache performs much worse than the direct mapped cache. What's a possible access pattern that could cause this to happen?



Instead, your boss tells you to build a 8KB 2-way set associative cache with 64 byte cache blocks. How would you split a given virtual address into its tag, index, and offset numbers?

$$\begin{aligned}
 2^{13} &\Rightarrow \text{index + offset} = 13 \text{ bits} \\
 2^6 &\Rightarrow \text{offset bits} = 6 \\
 (13 - 6 = 7 \text{ index bits}) / 2 &= 6 \text{ index bits}
 \end{aligned}
 \quad \begin{matrix} \text{2 way SA} \\ \Rightarrow \end{matrix} \quad \begin{matrix} T & I \\ 20 & 6 \\ O & 6 \end{matrix}$$

You finish building the cache, and you want to show your boss that there was a significant improvement in average read time. Suppose your system uses a two level page table to translate virtual addresses and your system uses the cache for the translation tables and data. Each memory access takes 50ns, the cache lookup time is 5ns, and your cache hit rate is 90%. What is the average time to read a location from memory?



## 2.2 Clock Algorithm

Suppose that we have a 32-bit virtual address split as follows:

10 Bits Table ID	10 Bits Page ID	12 Bits Offset
---------------------	--------------------	-------------------

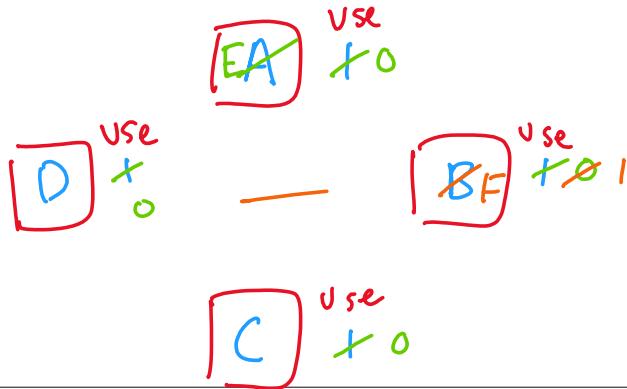
Show the format of a PTE complete with bits required to support the clock algorithm.

32 bit phys  
addr

bits	<u>PPN</u> <u>20</u>	<u>Dirty</u> <u>1</u>	<u>use</u> <u>1</u>	<u>Write</u> <u>1</u>	<u>read</u> <u>1</u>	<u>other</u> <u>8</u>
------	-------------------------	--------------------------	------------------------	--------------------------	-------------------------	--------------------------

For this problem, assume that physical memory can hold at most four pages. What pages remain in memory at the end of the following sequence of page table operations and what are the use bits set to for each of these pages:

- Page A is accessed
- Page B is accessed
- Page C is accessed
- Page A is accessed
- Page C is accessed
- Page D is accessed
- Page B is accessed
- Page D is accessed
- Page A is accessed
- Page E is accessed
- Page F is accessed



use	E 1	F 1	C 0	D 0
-----	--------	--------	--------	--------

### 2.3 Demand Paging

Your boss has been so impressed with your work designing the caching that he has asked for your advice on designing a TLB to use for this system. Suppose you know that there will only be 4 processes running at the same time, each with a Resident Set Size (RSS) of 512MB and a working set size of 256KB. Assuming the same system as the previous problem (32 bit virtual and physical address space, 4KB page size), what is the minimum amount of TLB entries that your system would need to support to be able to map/cache the working set size for one process? What happens if you have more entries? What about less?

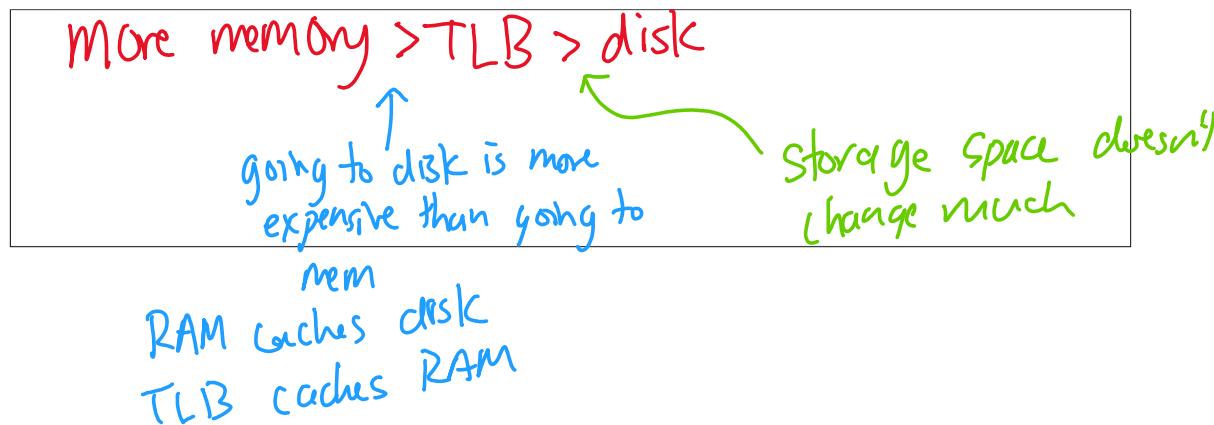
$$\frac{256\text{KB}}{4\text{KB/page}} = 64 \text{ pages} \Rightarrow 64 \text{ translations} \Rightarrow 64 \text{ entries}$$

more  $\rightarrow$  good (maybe slows down lookup slightly)  
 less  $\rightarrow$  bad, can't even store working set for 1 process  
 very good for multiple processes

Suppose you run some benchmarks on the system and you see that the system is utilizing over 99% of its paging disk IO capacity, but only 10% of its CPU. What is a combination of the of disk space and memory size that can cause this to occur? Assume you have TLB entries equal to the answer from the previous part.

Thrashing - CPU idling while paging

Out of increasing the size of the TLB, adding more disk space, and adding more memory, which one would lead to the largest performance increase and why?



## 2.4 Virtual Memory

`vmstat` is a Linux performance debugging tool that provides information about **virtual memory** on your system. When you run it, the output looks like this:

```
$ vmstat 1
procs -----memory----- --swap-- -----io---- -system-- -----cpu-----
 r b    swpd   free   buff   cache   si   so    bi    bo    in    cs us sy id wa st
 1 0      0 174184 1007372 96316   49   642  3095  678  123  128  0  1 99  0  0
 0 0      0 174240 1007372 96316   0    0    0    0    48   88  0  0 100  0  0
 0 0      0 174240 1007372 96316   0    0    0    0   33   75  0  0 100  0  0
 0 0      0 174240 1007372 96316   0    0    0    0    32   73  0  0 100  0  0
```

The 1 means “recompute the stats every 1 second and print them out”. The first line contains the average values since boot time, while the second line contains the averages of the last second (current averages). Here’s a reference for what each one of the columns means.

### Procs

- r: The number of runnable processes (running or waiting for run time).
- b: The number of processes in uninterruptible sleep.

### Memory

- swpd: the amount of virtual memory used.
- free: the amount of idle memory.
- buff: the amount of memory used as buffers.
- cache: the amount of memory used as cache.
- inact: the amount of inactive memory. (-a option)
- active: the amount of active memory. (-a option)

### Swap

- si: Amount of memory swapped in from disk (/s).
- so: Amount of memory swapped to disk (/s).

### IO

- bi: Blocks received from a block device (blocks/s).
- bo: Blocks sent to a block device (blocks/s).

### System

- in: The number of interrupts per second, including the clock.
- cs: The number of context switches per second.

### CPU

- These are percentages of total CPU time.
- us: Time spent running non-kernel code. (user time, including nice time)
- sy: Time spent running kernel code. (system time)
- id: Time spent idle. Prior to Linux 2.5.41, this includes IO-wait time.
- wa: Time spent waiting for IO. Prior to Linux 2.5.41, included in idle.
- st: Time stolen from a virtual machine. Prior to Linux 2.6.11, unknown.

Take a look at these 3 programs (A, B, C).

```
char *buffer[4 * (1L << 20)];
int A(int in) {
    // "in" is a file descriptor for a file on disk
    while (read(in, buffer, sizeof(buffer)) > 0);
}

int B() {
    size_t size = 5 * (1L << 30);
    int *x = malloc(size);
    memset(x, 0xCC, size);
}

sem_t sema;
pthread_t thread;
void *foo() { while (1) sem_wait(&sema); }
int C() {
    pthread_create(&thread, NULL, foo, NULL);
    while (1) sem_post(&sema);
}
```

I ran these 3 programs one at a time, but in a random order. What order did I run them in? Can you tell where (in the vmstat output) one program stopped and another started? Explain.

		memory										swap		io				system				cpu			
r	b	swpd	free	buff	cache	si	so	bi	bo	in	cs	us	sy	id	wa	st									
0	0	684688	25216	1822136	60860	75	748	3645	779	146	296	1	1	98	0	0									
1	0	684688	25268	1822136	60868	0	0	0	0	18150	735898	6	44	51	0	0									
1	0	684688	25268	1822136	60868	0	0	0	0	61864	1270088	6	77	17	0	0									
1	0	684688	25268	1822136	60868	0	0	0	0	59497	1102825	8	71	21	0	0									
1	0	684688	25268	1822136	60868	0	0	0	0	94619	766431	11	79	10	0	0									
0	0	684688	25612	1822136	60868	0	0	0	0	13605	237430	2	13	85	0	0									
0	0	684688	25612	1822136	60868	0	0	0	0	61	115	0	1	100	0	0									
3	0	694520	18544	3212	45040	64	11036	264	11144	2647	2339	5	51	43	0	0									
4	1	1285828	20560	128	580	88	592440	14248	592440	18289	2171	3	58	36	4	0									
3	0	1866176	21492	128	2132	0	578404	8972	578404	47646	1691	2	70	28	1	0									
3	0	2350636	17820	136	2640	0	487732	11708	487732	17404	1881	1	58	39	1	0									
2	0	2771016	22168	544	4360	2072	417272	15372	417272	17460	2192	2	57	39	3	0									
0	0	697036	1922160	560	9712	1516	418224	16508	418228	47747	2616	0	64	30	6	0									
0	0	697032	1921696	564	10096	28	0	288	0	77	148	0	0	100	0	0									
1	0	696980	878128	1037720	11272	412	0	1038840	0	11128	14854	1	25	54	21	0									
1	0	696980	21732	1895476	9348	0	0	1286460	0	13610	18224	0	31	46	22	0									
0	2	696980	20992	1896496	9072	0	0	1297536	20	13745	19164	0	36	43	21	1									
1	1	696980	20228	1897784	8648	0	0	1283324	32	13659	18931	0	36	41	23	0									
1	1	696960	21048	1897404	8716	48	0	1215152	0	12601	17672	0	34	45	21	0									
0	0	696952	23048	1899112	9004	8	0	470112	0	5100	7073	0	13	81	6	0									
0	0	696952	23048	1899112	9004	0	0	0	0	45	89	0	0	100	0	0									

If you have extra available physical memory, Linux will use it to cache files on disk for performance benefits. This disk cache may also include parts of the swapfile. Why would caching the swapfile be better than paging-in those pages immediately?

If I remove the line “`memset(x, 0xCC, size);`” from program B, I notice that the `vmstat` output does not have a spike in swap (si and so) nor in io (bi and bo). My system doesn’t have enough physical memory for a 5GB array. Yet, the array is not swapped out to disk. Where does the array go? Why did the `memset` make a difference?

Program B has a 5GB array, but the whole thing just contains `0xCCCCCCCC`. Based on this observation, can you think of a way to reduce program B’s memory footprint without changing any of program B’s code? (What can the kernel do to save memory?)

## 2.5 Page Allocation

Suppose that you have a system with 8-bit virtual memory addresses, 8 pages of virtual memory, and 4 pages of physical memory.

How large is each page? Assume memory is byte addressed.

Suppose that a program has the following memory allocation and page table.

Memory Segment	Virtual Page Number	Physical Page Number
N/A	000	NULL
Code Segment	001	10
Heap	010	11
N/A	011	NULL
N/A	100	NULL
N/A	101	NULL
N/A	110	NULL
Stack	111	01

What will the page table look like if the program runs the following function? Page out the least recently used page of memory if a page needs to be allocated when physical memory is full. Assume that the stack will never exceed one page of memory.

What happens when the system runs out of physical memory? What if the program tries to access an address that isn't in physical memory? Describe what happens in the user program, the operating system, and the hardware in these situations.

```
#define PAGE_SIZE 1024; // replace with actual page size

void helper(void) {
    char *args[5];
    int i;
    for (i = 0; i < 5; i++) {
        // Assume malloc allocates an entire page every time
        args[i] = (char*) malloc(PAGE_SIZE);
    }
    printf("%s", args[0]);
}
```

## 2.6 Address Translation

Consider a machine with a physical memory of 8 GB, a page size of 8 KB, and a page table entry size of 4 bytes. How many levels of page tables would be required to map a 46-bit virtual address space if every page table fits into a single page?

last ws: 3 levels

List the fields of a Page Table Entry (PTE) in your scheme.

last ws: PPN, permission, dirty ...

Without a cache or TLB, how many memory operations are required to read or write a single 32-bit word?

3 lookups, 1 actual mem op  $\Rightarrow 4$

With a TLB, how many memory operations can this be reduced to? Best-case scenario? Worst-case scenario?

1 TLB hit, 1 actual mem  $\Rightarrow 2$

1 TLB miss, 3 lookups, 1 mem op  $\Rightarrow 5$

The pagemap is moved to main memory and accessed via a TLB. Each main memory access takes 50 ns and each TLB access takes 10 ns. Each virtual memory access involves:

- mapping VPN to PPN using TLB (10 ns)
- if TLB miss: mapping VPN to PPN using page map in main memory (50 ns)
- accessing main memory at appropriate physical address (50 ns)

Assuming no page faults (i.e. all virtual memory is resident) what TLB hit rate is required for an average virtual memory access time of 61ns.

$$6/\text{ns} = (\underline{1\text{ns}} + \underline{50\text{ns}}) + \text{MR}(\underline{50\text{ns}})$$

$$1\text{ns} = \text{MR}(50\text{ns}) \quad \text{MR} = 1/50$$

$$\boxed{\text{MR} = 98\%}$$

Assuming a TLB hit rate of .50, how does the average virtual memory access time of this scenario compare to no TLB?

$$\text{AMAT w/ TLB} = (1\text{ns} + 50\text{ns}) + 0.5(50\text{ns})$$

$$= 85\text{ns}$$

$$\text{AMAT w/o TLB} = 50\text{ns} + 50\text{ns}$$

$$= 100\text{ns}$$



not AMAT formula since  
no Hit rates!

## 2.7 Inverted Page Tables

Why IPTs? Consider the following case:

- 64-bit virtual address space
- 4 KB page size
- 512 MB physical memory

How much space (memory) needed for a single level page table? Hint: how many entries are there? 1 per virtual page. What is the size of a page table entry? access control bits + physical page #.

How about multi level page tables? Do they serve us any better here?

What is the number of levels needed to ensure that any page table requires only a single page (4 KB)?

Linear Inverted Page Table

What is the size of the hashtable? What is the runtime of finding a particular entry?

Assume the following:

- 16 bits for process ID
- 52 bit virtual page number (same as calculated above)
- 12 bits of access information

Hashed Inverted Page Table

What is the size of the hashtable? What is the runtime of finding a particular entry?

Assume the following:

- 16 bits for process ID
- 52 bit virtual page number (same as calculated above)
- 12 bits of access information

