



CS4495 - Spring 2015 - OMS

Introduction to Computer Vision

# Problem Set 6: Particle Tracking

**Due Monday, April 13, 2015 at 7:00 am EST**

## Description

In this problem set you are going to experiment with a particle filter tracker such as was described in class.

Recall that we need a variety of elements:

- (1) a *model* - this is the “thing” that is actually being tracked. Maybe it’s a patch, a contour, or some other description of an entity;
- (2) a representation of *state*  $x_t$  that describes the state of the model at time  $t$ ;
- (3) a *dynamics model*  $p(x_t \mid x_{t-1})$  that describes the distribution of the state at time  $t$  given the state at  $t - 1$ ;
- (4) a *measurement*  $z_t$  that somehow captures the data of the current image; and finally,
- (5) a *sensor model*  $p(z_t \mid x_t)$  that gives the likelihood of a measurement given the state. For Bayesian-based tracking, we assume that at time  $t - 1$  we have a belief about the state represented as a *density*  $p(x_{t-1})$ , and that given some measurements  $z_t$  at time  $t$  we update our *Belief* by computing the posterior density:

$$Bel(x_t) \propto p(z_t \mid x_t)p(x_t \mid u_t, x_{t-1})Bel(x_{t-1})$$

The Kalman filter provided an analytic method for doing this under the assumption that density representing the belief at time  $t$ , the noise component of the dynamics and the sensor model were all simple Gaussian distributions. Particle filters provide a sample-based method of representing densities that removes that restriction and also is tolerant of occasional large deviations from the well-behaved model. In this assignment, you will be implementing a particle filter to track entities in video.



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iii. `pedestrians.avi`, which shows a group of people crossing a street in London.

For each video file, there is a text file that contains the object bounding box at the first frame that you can use for initialization. The format of the text file is:

```
x y  
w h
```

where (x, y) is the top-left coordinate (not center), and (w, h) is the size (width, height) of the bounding box.

## What to submit

Download and unzip the ps6 folder (also under: <https://www.udacity.com/wiki/ud810>): [ps6.zip](#)

Rename it to `ps6_xxxx` (i.e. `ps6_matlab`, `ps6_octave`, or `ps6_python`) and add in your solutions:

`ps6_xxxx/`

- `output/` - directory containing output images and other files your code generates
- `ps6.m` or `ps6.py` - code for completing each part, esp. function calls; all functions themselves must be defined in individual function files with filename same as function name, as indicated
- `*.m` or `*.py` - Matlab/Octave function files (one function per file), Python modules, any utility code
- `ps6_report.pdf` - a PDF file with all output images and text responses

Zip it as `ps6_xxxx.zip`, leaving out the input folder, and submit on T-Square.

**\*\*\* Please do not submit the input folder for this assignment, remove it when creating your zip file. \*\*\***

## Guidelines

1. Include all the required images in the report to avoid penalty.
2. Include all the textual responses, outputs and data structure values (if asked) in the report.
3. Make sure you submit the correct (and working) version of the code.
4. Include your name and GTID on the report.
5. Even if the code is not working, submit the code as the instructors can read through the algorithms to give partial credit. Comment your code appropriately.
6. Late submissions should be emailed to the TAs



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In class we discussed both the theory of and the implementation specifics behind particle filtering. The algorithm sketch is provided in the notes and it describes a single update of the particle filter. What is left up to the implementor are several details including what is the model, what is the state, the number of particles, the dynamics/control function  $p(x_t | x_{t-1}, u_t)$ , the measurement function  $p(z_t | x_t)$ , and the initial distribution of particles.

For this question, you will need to track an image patch template (a face) taken from the first frame of the video. For this assignment the model is simply going to be the image patch, and the state will be only the 2D center location of the patch. Thus each particle will be a  $(u, v)$  pixel location representing a proposed location for the center of the template window. We will be using a basic function to estimate the dissimilarity between the image patch and a window of equal size in the current image, the Mean Squared Error:

$$MSE(u_p, v_p) = \frac{1}{mn} \sum_{u=1}^m \sum_{v=1}^n (Template(u, v) - Image^t(u + u_p - m/2, v + v_p - n/2))^2$$

The funny indexing is just because  $(u, v)$  are indexed from 1 to  $M$  (or  $N$ ) but the state  $\langle u_p, v_p \rangle$  is the location of the center.

These images are color and you can do the tracking in either the full color image, or in grayscale, or even in the green channel (which is a cheap way to get luminance). If you use color, there would be an outer summation over the three color channels. If you want to use grayscale, you can use `rgb2gray()` in Matlab or `cvtColor` in OpenCV or just use a weighted of R,G,B to get a luminance value (try weights of 0.3, 0.58, and 0.12).

MSE, of course, only indicates how dissimilar the image patch is whereas we need a similarity measure so that more similar patches are more likely. Thus, we will use a squared exponential equation (Gaussian) to convert this into a usable measurement function:

$$p(z_t | x_t) \propto \exp\left(-\frac{MSE}{2\sigma_{MSE}^2}\right)$$

To start out, you might use a value of  $\sigma_{MSE} = 10$  (for grayscale) but you might change this value.

For the dynamics we're going to use normally distributed noise since head movements can be unpredictable and often current velocity isn't indicative of future motion; so our dynamics model is merely



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The number of particles and initial distribution are up to you to figure out. You may need to tune your parameters for these to get better tracking/performance.

In order to visualize the tracker's behavior you will need to overlay each successive frame with the following visualizations:

- Every particle's  $(u, v)$  location in the distribution should be plotted by drawing a colored dot point on the image. Remember that this should be the center of the window, not the corner.
- Draw the rectangle of the tracking window associated with the Bayesian estimate for the current location which is simply the *weighted* mean of the  $(u, v)$  of the particles.
- Finally we need to get some sense of the standard deviation or spread of the distribution. First, find the distance of every particle to the weighted mean. Next, take the weighted sum of these distances and plot a circle centered at the weighted mean with this radius.

You will have to produce these visuals for select frames but you will not have to submit the entire video.

For reading the frames Matlab users should look at `VideoReader` and OpenCV users should look at the class `VideoCapture`. For sampling, Matlab folks might look at `randsample` whereas Python users, look at the function `numpy.random.multinomial`.

Note: these frames are 1280 by 720 in size. If you want to shrink them to, say, 640 by 360 that's OK, but just realize you'll need to adjust the initial bounding boxes we gave you.

- Implement the particle filter and run it on the `pres debate.avi` clip. You should begin by attempting to track Romney's face. Tweak the parameters including window size until you can get the tracker to follow his face faithfully (5-15 pixels) up until he turns his face significantly. Run the tracker and save the video frames 28, 84, and 144 with the visualizations overlaid.

**Output:**

- the image patch used for tracking as `ps6-1-a-1.png`
- the image frame number 28 with overlaid visualizations as `ps6-1-a-2.png`
- the image frame number 84 with overlaid visualizations as `ps6-1-a-3.png`
- the image frame number 144 with overlaid visualizations as `ps6-1-a-4.png`



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image patches work better than others for tracking.

**Output** (Textual Response in Report):

- Describe 2-3 advantages of larger window size and 2-3 advantages of smaller window size

- c. Adjust the  $\sigma_{MSE}$  parameter to higher and lower values and run the tracker.

**Output** (Textual Response in Report):

- Discuss how changing  $\sigma_{MSE}$  parameter alters the results and attempt to explain why.

- d. Try and optimize the number of particles needed to track the target.

**Output** (Textual Response in Report):

- Optimized particle number
- Discuss the trade-offs of using a larger number of particles to represent the distribution.

- e. Run your tracker on noisy debate.avi and see what happens. Tune your parameters so that the cluster is able to latch back onto his face after the noise disappears. Include varying  $\sigma_{MSE}$ . Report how the particles respond to increasing and decreasing noise. Save the video frames 14, 32, and 46 with the visualizations overlaid.

**Output:**

- the image frame number 14 with overlaid visualizations as ps6-1-e-1.png
- the image frame number 32 with overlaid visualizations as ps6-1-e-2.png
- the image frame number 46 with overlaid visualizations as ps6-1-e-3.png

**Output** (Textual Response in Report):

- Discuss how you managed to tune the parameters

## 2. Appearance Model Update

Let's say we'd now like to track Romney's left hand (the one not holding the mic). You might find that it's difficult to keep up using the naive tracker you wrote in question 1. The issue is that while making rapid hand gestures, the appearance of the hand significantly changes as it rotates and changes perspective. However, if we make the assumption



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is simple: we first find the best tracking window for the current particle distribution as displayed in the visualizations. Then we just update the current window model to be a weighted sum of the last model and the current best estimate.

$$Template(t) = \alpha Best(t) + (1 - \alpha)Template(t - 1)$$

where  $Best(t)$  is the patch of the best estimate or mean estimate. It's easy to see that by recursively updating this sum, the window implements an exponentially decaying weighted sum of (all) the past windows.

- a. Implement the appearance model update. Run the tracker on `pres_debate.avi` and adjust parameters until you can track Romney's hand up to frame 140. Run the tracker and save the video frames 15, 50, and 140 with the visualizations overlaid.

**Output:**

- the image patch used for tracking as `ps6-2-a-1.png`
- the video frame number 15 with overlaid visualizations as `ps6-2-a-2.png`
- the video frame number 50 with overlaid visualizations as `ps6-2-a-3.png`
- the video frame number 140 with overlaid visualizations as `ps6-2-a-4.png`

- b. Try running the tracker on `noisy_debate.avi`. Adjust the parameters until you are able to track the hand all the way to frame 140. Indicate what parameters you had to change to get this to work on the noisy video and discuss why this would be the case.

**Output:**

- the image patch used for tracking as `ps6-2-b-1.png`
- the video frame number 15 with overlaid visualizations as `ps6-2-b-2.png`
- the video frame number 50 with overlaid visualizations as `ps6-2-b-3.png`
- the video frame number 140 with overlaid visualizations as `ps6-2-b-4.png`

**Output (Textual Response in Report):**

- Discuss how you managed to tune the parameters. Indicate what parameters you had to change to get this to work on the noisy video and discuss why this would be the case.

**EXTRA CREDIT QUESTIONS (3**



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patch. If the color space is RGB, and the values range from 0 to 255, you'll create a histogram by forming bins in R,G,B. For example, you might have 8 bins for each color dimension. Then for each pixel in the window you add a count to the appropriate R,G,B bins. This gives you three 8 bin histograms. This will be your model of the patch.

Now you need a way to compare the histograms of your initial patch with possible targets to yield likelihoods for particle filter tracking. One possibility is called *Chi-Squared*  $\chi^2$ . To do this you should probably normalize the histograms to sum to 1.0. Then the comparison between histograms is:

$$C(p_i, q_j) = \frac{1}{2} \sum_{k=1}^K \frac{[h_i(k) - h_j(k)]^2}{h_i(k) + h_j(k)}$$

where there are K bins in each histogram. The sum should only be computed for bins in which at least one of the histograms bins is non zero. This metric is zero when they are identical — it's a distance. Another distance between histograms is called the Earth Mover's Distance which is a measure of the distance between two probability distributions. There is code for this online for both Matlab and OpenCV. As with MSE, you'll need to experiment with using either of these to generate a likelihood.

- a. Use this model for running the particle filter on Romney's face like you did for question 1. That is, instead of using the MSE value as a measure of likelihood, use the difference between the histogram computed for the initial patch with the target patches. You may need to adjust the size of the initial patch. Run the tracker and save the video frames 28, 84, and 144 with the visualizations overlaid.

**Output:**

- the image patch used for tracking as ps6-3-a-1.png
- the video frame number 28 with overlaid visualizations as ps6-3-a-2.png
- the video frame number 84 with overlaid visualizations as ps6-3-a-3.png
- the video frame number 144 with overlaid visualizations as ps6-3-a-4.png

- b. Now try to track Romney's hand using the color histogram comparison method. Again, run the tracker and save the video frames 15, 50, and 140 with the visualizations overlaid.

**Output:**

- the image patch used for tracking as ps6-3-b-1.png
- the video frame number 15 with overlaid visualizations as ps6-3-b-2.png



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difficult video to perform tracking with, pedestrians.avi. For this problem, we'd like to be able to track the blond-haired woman as she crosses the road. If you try applying your adaptive tracker to this video, you will probably find that you will have difficulty dealing simultaneously with occlusion and the perspective shift as the woman walks away from the camera. Thus, we need some way of relying less on updating our appearance model from previous frames and more on a more sophisticated model of the dynamics of the figure we want to track.

For this problem, expand your appearance model to include window size as another parameter. This will change the representation of your particles. You are highly recommended to use the `imresize` method in matlab for your implementation.

- a. Run the tracker and save the video frames 40, 100, and 240 with the visualizations overlaid. You will receive partial credit if you can show the tracking size estimate (illustrate this with the rectangle outline) up to frame 100. You will receive full credit if you can reliably track all the way to the end of the street and deal gracefully with the occlusions (reasonable tracking at frame 240).

**Output:**

- the image patch used for tracking as ps6-4-a-1.png
- the video frame number 40 with overlaid visualizations as ps6-4-a-2.png
- the video frame number 100 with overlaid visualizations as ps6-4-a-3.png
- the video frame number 240 with overlaid visualizations as ps6-4-a-4.png

- b. Try and optimize the number of particles needed to track the model in this video. Compare that to the number you found in problem 1-d . Why is this number different?

**Output (Textual Response in Report):**

- Optimized particle number
- Discuss why the number is different from the one found in 1-d





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