

# CS2100

## Computer Organization

AY2022/23 Semester 1

Notes by Jonathan Tay

Last updated on September 17, 2022

---

## Contents

<b>I</b>	<b>Data Representation</b>	<b>1</b>
<b>1</b>	<b>Number Systems</b>	<b>1</b>
1.1	Conversions between Bases . . . . .	1
1.1.1	Base- $R \Rightarrow$ Decimal . . . . .	1
1.1.2	Decimal $\Rightarrow$ Base- $R$ . . . . .	1
1.2	Negative Numbers . . . . .	1
1.2.1	Sign-and-Magnitude . . . . .	1
1.2.2	1s Complement . . . . .	1
1.2.3	2s Complement . . . . .	1
1.2.4	Excess Representation . . . . .	1
1.3	Real Numbers . . . . .	1
<b>II</b>	<b>MIPS</b>	<b>2</b>
<b>2</b>	<b>Registers</b>	<b>2</b>
<b>3</b>	<b>MIPS Assembly Language</b>	<b>2</b>
3.1	Arithmetic Instructions . . . . .	2
3.2	Logical Instructions . . . . .	2
3.3	Memory Instructions . . . . .	3
3.4	Control Flow Instructions . . . . .	3
<b>4</b>	<b>Instruction Encoding</b>	<b>3</b>
4.1	R-format . . . . .	3
4.2	I-format . . . . .	3
4.3	J-format . . . . .	3
4.4	Addressing Modes . . . . .	4

<b>5</b>	<b>Aside: Instruction Set Architecture</b>	<b>4</b>
5.1	Data Storage Architecture . . . . .	4
5.2	Memory Architecture . . . . .	4
<b>6</b>	<b>Datapath</b>	<b>4</b>
6.1	Fetch . . . . .	4
6.2	Decode . . . . .	4
6.2.1	R-format Decoding . . . . .	5
6.2.2	I-format Decoding . . . . .	5
6.3	Arithmetic Logic Unit (ALU) . . . . .	5
6.3.1	Branching Instructions . . . . .	5
6.4	Memory . . . . .	5
6.4.1	Non-memory Instructions . . . . .	6
6.5	Write-back . . . . .	6
<b>7</b>	<b>Control</b>	<b>6</b>
7.1	ALUControl . . . . .	6
7.1.1	ALUOp . . . . .	6

---

# Part I

## Data Representation

### 1 Number Systems

Any base- $R$  number system is **weighted-positional**.

The **decimal** number system has a **base** (or **radix**) of 10.

Every digit going leftward from the decimal point has a weighted power of 10, starting from  $10^0$  and increasing.

Every digit going rightward from the decimal point has a weighted power of  $10^{-1}$  and decreasing.

This generalizes to any base- $R$  number system, with  $R^i$  in place of  $10^i$ .

#### 1.1 Conversions between Bases

We use the decimal system as an intermediary to convert between bases.

For example, to convert between base-2 (**binary**) to base-7, we first convert to base-10, then convert to base-7.

We can use grouping and/or ungrouping to convert between bases which are powers of 2.

For example, to convert between base-2 and base-16 (**hexadecimal**), we partition the digits of the binary number in groups of 4, starting from the binary point, and partitioning outward.

##### 1.1.1 Base- $R \Rightarrow$ Decimal

The decimal equivalent of a base- $R$  number is the sum of its weighted digits.

##### 1.1.2 Decimal $\Rightarrow$ Base- $R$

This conversion performs **division-by- $R$**  for the whole number portion, and **multiplication-by- $R$**  for the fractional portion.

Division-by- $R$  divides the whole number by  $R$  until the quotient is 0. The result is produced from the remainders from **right to left**.

Multiplication-by- $R$  multiplies the fractional portion by  $R$  until the product is 0, or until the desired number of decimal places. The result is produced from the **carries left to right**.

#### 1.2 Negative Numbers

**Signed numbers** include all positive and negative values, unlike **unsigned numbers** which only include positive values.

There are 4 representations for signed binary numbers covered in this module.

##### 1.2.1 Sign-and-Magnitude

*Negate a number by inverting the sign bit.*

This representation uses the leftmost (most-significant bit) as the **sign bit** — 1 for negative, 0 for positive.

This results in **duplicate zeros** (positive and negative), and a range of values of  $-(2^{n-1} - 1)$  to  $2^{n-1} - 1$ .

##### 1.2.2 1s Complement

*Negate a number by inverting each bit.*

An  $n$ -bit number  $x$  has a negated value  $-x = 2^n - x - 1$ .

This also results in **duplicate zeros** (positive and negative), and a range of values of  $-(2^{n-1} - 1)$  to  $2^{n-1} - 1$ .

The weight of the leftmost bit is  $-(2^{n-1} - 1)$ .

##### 1.2.3 2s Complement

*Negate a number by inverting each bit, then adding 1.*

Alternatively, preserve each bit up to and the rightmost 1, then inverting every bit to the left of the rightmost 1.

An  $n$ -bit number  $x$  has a negated value  $-x = 2^n - x$ .

This also results in **no duplicate zeros**, and a range of values of  $-2^{n-1}$  to  $2^{n-1} - 1$ .

The weight of the leftmost bit is  $-2^{n-1}$ .

##### 1.2.4 Excess Representation

*Distribute positive and negative values by a simple addition or subtraction.*

Excess- $k$  subtracts  $k$  from the decimal value of the number, while preserving its base-2 representation.

To convert from a decimal value to its excess- $k$  representation, we add  $k$  to the decimal value and convert that to base-2.

Typically, for an  $n$ -bit number,  $k = 2^{n-1}$ .

#### 1.3 Real Numbers

**Fixed-point representation** allocates a fixed number of bits for the whole number and fractional parts.

This results in a limited range of numbers that can be represented.

The IEEE 754 **floating point representation** resolves this by allocating a fixed number of bits to the **sign**, **exponent**, and **mantissa**.

precision	bits	sign	exponent	mantissa	bias
single	32	1	8	23	127
double	64	1	11	52	1023

The **sign bit** is 0 for positive numbers, and 1 for negative numbers.

The **mantissa** is **normalized** with an implicit leading 1 bit, e.g.  $110.1_2$  is normalized to  $1.101_2 \times 2^2$ , and only 101 is stored in the mantissa.

Part II

MIPS

An **instruction set architecture** (ISA) is an abstraction on the interface between hardware and low-level software.

MIPS is one such ISA, which runs on a **processor**.

Processors are connected to **memory** (RAM) via a **bus**, across which code and data is transferred.

2 Registers

Memory access is slow. To avoid frequent memory access, temporary values are stored in the processor in **registers**, which are limited in number.

Registers *do not have data types*, unlike program variables. Instructions always assume that the data stored in a register is of the correct type.

MIPS has **32 registers**, which are referred to by *number* or *name*:

name	#	usage
\$zero	0	constant value zero
\$at	1	reserved for the assembler
\$v0 - \$v1	2 - 3	values for results and expression evaluation
\$a0 - \$a3	4 - 7	arguments
\$t0 - \$t7	8 - 15	temporaries
\$s0 - \$s7	16 - 23	program variables
\$t8 - \$t9	24 - 25	temporaries
\$k0 - \$k1	26 - 27	reserved for the OS
\$gp	28	global pointer
\$sp	29	stack pointer
\$fp	30	frame pointer
\$ra	31	return address

3 MIPS Assembly Language

The **general instruction syntax** is as follows:

op \$s0, \$s1, \$s2	
	description
op	operation
\$s0	destination register
\$s1	source register 1
\$s2	source register 2

Each instruction executes a *single* command. Each line of assembly code contains *at most* one instruction.

Almost all MIPS operations are *register-to-register*

The # hex symbol is used for comments.

3.1 Arithmetic Instructions

instruction	effect
add \$s0, \$s1, \$s2	\$s0 = \$s1 + \$s2
sub \$s0, \$s1, \$s2	\$s0 = \$s1 - \$s2
addi \$s0, \$s0, <k>	\$s0 = \$s0 + <k>
move \$s0, \$s1	<b>pseudo-instruction</b> for \$s0 = \$s1, equivalent to add \$s0, \$s1, \$zero

The constants <k> in **immediate operations** such as addi range from  $-2^{15}$  to  $2^{15} - 1$ , as the 16-bit 2s complement system is used.

However, if 32-bit constants are required, use the lui operation to load the most-significant (leftmost) 16-bits first, followed by an ori operation to set the least-significant 16-bits.

There is no subi operation as its equivalent to addi with a negative constant.

Use the **temporary registers** \$t0 to \$t9 to store intermediate results in complex expressions.

3.2 Logical Instructions

instruction	effect
sll \$t2, \$s0, <k>	\$t2 = \$s0 « <k>, equivalent to t2 *= 2<k>
srl \$t2, \$s0, <k>	\$t2 = \$s0 » <k>, equivalent to t2 /= 2<k>
and \$t0, \$t1, \$t2	bitwise AND, where \$t2 is the <b>bit-mask</b>
or \$t0, \$t1, \$t2	bitwise OR, to force certain bits to 1
nor \$t0, \$t1, \$t2	bitwise NOR, only 1 if neither bits are 0
xor \$t0, \$t1, \$t2	bitwise XOR, only 1 if both bits are different
andi \$t0, \$t1, <k>	bitwise AND with a constant k
ori \$t0, \$t1, <k>	bitwise OR with a constant k
xori \$t0, \$t1, <k>	bitwise XOR with a constant k

In bitshift operations (sll and srl), the empty positions are filled with zeros, and the **shift amount** is limited to **5 bits**.

There is no not operation as its equivalent to nor <dest> <src> \$zero.

There is no nori operation as it is rarely used, and not adding it keeps the processor design simple.

### 3.3 Memory Instructions

Memory can be thought of as a *single-dimensional array* of memory location, with each having an **address**.

Memory addresses allow access to *bytes* of data, or **words** of data, which are usually  $2^n$  bytes — the common unit of transfer between the processor and memory.

**Word alignment** occurs in memory when words begin at a *byte address* which is a multiple of the word size —  $2^n$  bytes.

In MIPS, each word is 32 bits (4 bytes), and addresses are 32-bits long — such that  $2^{30}$  words are addressable, each of which differing by 4.

instruction	effect
lw \$dst, k(\$src)	loads word at Mem[*src + k] into register \$dst
sw \$src, k(\$dst)	stores word in register \$src into Mem[*dst + k]
lb \$dst, k(\$src)	loads byte at Mem[*src + k] into register \$dst
sb \$src, k(\$dst)	stores byte in register \$src into Mem[*dst + k]
ulw \$dst, k(\$src)	psuedo-instruction for loading unaligned words
usw \$src, k(\$dst)	psuedo-instruction for storing unaligned words

Memory operations the only operations which can access data in memory, but there are others which are less frequently used that are not listed here.

Unlike in lw and sw, the displacement constants k for lb and sb do not need to be multiples of 4.

### 3.4 Control Flow Instructions

instruction	effect
beq \$r1, \$r2, label	goes to the labelled statement if *r1 == *r2
bne \$r1, \$r2, label	goes to the labelled statement if *r1 != *r2
j label	jumps to the labelled statement
slt \$dst, \$s1, \$s2	*dst = *s1 < *s2 ? 1 : 0
slti \$dst, \$src, k	*dst = *src < k ? 1 : 0

Labels are written as <label>: to the left of a statement.

A j instruction is equivalent to beq \$s0 \$s0, <label>.

The **program counter** (PC) typically stores the address of the *next* address to be executed, and has to be modified by the branching and jump instructions.

## 4 Instruction Encoding

Every MIPS instruction is **32 bits** in 3 possible formats:

format	source registers	destination registers	immediate values
R	2	1	0
I	1	1	1
J	0	0	1

### 4.1 R-format

	opcode	rs	rt	rd	shamt	funct
bits	6	5	5	5	5	6

- opcode := 0 for all R-format instructions,
- funct determines the instruction,
- shamt := 0, rd := arith(rs, rt) for non-shift (arithmetic) instructions, and,
- rs := 0, rd := shift(rt, shamt) for shift instructions.

### 4.2 I-format

	opcode	rs	rt	immediate
bits	6	5	5	16

- opcode determines the instruction since there is no funct field,
- rt determines the *destination* register since there is no rd field,
- immediate is a *signed* integer in 2s complement except for bitwise operations where it is *unsigned*,
- rt := op(rs, immediate) in general for all non-branching instructions, and,
- PC := (PC + 4) + (immediate \* 4) when branching, otherwise PC += 4, which is the next instruction.

For branching instructions, immediate is the offset from the *next* instruction to the label of the *target* instruction.

PC is incremented in multiples of 4 due to word-alignment, which also means that we can now branch  $2^{15} \times 4 = 2^{17}$  bytes away from PC.

### 4.3 J-format

	opcode	target address
bits	6	26

The last 2 bits of every instruction are always 00 due to word-alignment, so we leave them out of the target address.

This leaves with an effective range of 28 bits for the target address, and the remaining 4 bits are derived from the most significant (leftmost) bits of PC + 4.

The **destination address** is therefore:

	(PC+4)[0:4]	target address	00
bits	4	26	2

This creates a maximum jump range of 256 MB.

## 4.4 Addressing Modes

Addressing modes are used to calculate the address of an operand.

1. **register addressing** — `add`, `xor`, etc.:  
operand is a register
2. **immediate addressing** — `addi`, `andi`, etc.:  
operand is a constant within the instruction
3. **base/displacement addressing** — `lw`, `sw`:  
operand is a memory location at the address the sum of a register and a constant in the instruction
4. **PC-relative addressing** — `beq`, `bne`:  
address is the sum of the PC and a constant in the instruction
5. **psuedo-direct addressing** — `j`:  
part of the instruction concatenated with part of the PC

## 5 Aside: Instruction Set Architecture

ISAs have two major design philosophies:

1. **CISC** — Complex Instruction Set Computer:
  - single instructions for complex operations
  - smaller program sizes
  - complex implementation, little room for hardware optimization
2. **RISC** — Reduced Instruction Set Computer:
  - smaller and simpler instruction set
  - software combines simpler operations to implement high-level language statements
  - room for compiler optimization

### 5.1 Data Storage Architecture

There are several common designs:

1. **stack architecture**:  
operands are implicitly popped from the stack
2. **accumulator architecture**:  
one operand is implicitly stored in an accumulator
3. **general-purpose register architecture**:  
operands are stored explicitly in registers, operations are register-memory or register-register
4. **memory-memory architecture**:  
operands are read from memory

### 5.2 Memory Architecture

Memory transfer takes place across buses:

1. **address bus**:  $k$ -bits, uni-directional from processor to memory
2. **data bus**:  $n$ -bits, bi-directional
3. **control lines**: bi-directional, e.g. read/write controls

The address bus feeds addresses from the **memory address register** to the memory.

Data is written to or read from the **memory data register**, depending on the R/W control line.

**Endianness** is the relative ordering of the *bytes* in a word (*not* the bits in a byte!) in memory:

- **big-endian**: MSB stored in smallest address
- **little-endian**: LSB stored in smallest address

## 6 Datapath

*A collection of components that process data, and perform arithmetic, logical, and memory operations.*

The **instruction execution cycle** has 5 stages:

1. **fetch**:  
get instruction from memory, address in PC
2. **decode and operand fetch**:  
determine the operation and get the operands needed
3. **execute (ALU)**:  
perform the computations to get a result
4. **execute (memory access)**:  
read from or write to memory if necessary
5. **write-back**:  
store the result of the operation

### 6.1 Fetch

There are 3 steps in the fetch stage:

1. Use PC to fetch the instruction from memory.
2. Increment PC by 4 to get the address of the next instruction.
3. Feed the output instruction to the decode stage.

The **instruction memory** element is a **sequential circuit** with an internal state which stores the instructions.

When supplied an instruction address  $m$ , it outputs the content at address  $m$ .

The **adder** element takes in the 32-bit PC and the 32-bit constant 4, and outputs the 32-bit sum  $PC + 4$ .

The **clock signal** is a *square wave* with *rising* and *falling* edges, and a period controlled by the CPU.

PC is read in the first half of the **clock period** and updated at the *next rising clock edge*.

### 6.2 Decode

There are 3 steps in the decode stage:

1. Read the opcode to determine the instruction type and field lengths.

2. Read data from all necessary registers.
3. Feed the operation and operands to the ALU stage.

The **register file** element is a collection of 32 registers which can be read from or written to.

input	bits	output	bits
read register 1	5	read data 1	32
read register 2	5	read data 2	32
write register	5		
write data	32		

The **RegWrite** control signal determines whether the instruction should read from or write to the registers:

	RegWrite	registers per instruction
read	0	$\leq 2$
write	1	$\leq 1$

### 6.2.1 R-format Decoding

The binary content (Inst) of R-format instructions map to the inputs as follows:

- $rs \equiv \text{Inst}[25:21] \rightarrow rr1$
- $rt \equiv \text{Inst}[20:16] \rightarrow rr2$
- $rd \equiv \text{Inst}[15:11] \rightarrow wr$

### 6.2.2 I-format Decoding

For I-format instructions, 2 problems arise:

1.  $rt$ , not part of  $imm$ , needs to be fed to  $wr$
2.  $imm$  needs to be fed to the ALU, not  $rd2$

A **multiplexer** chooses the correct Inst slice to feed to  $wr$  using the control signal **RegDst**:

	RegDst	input to wr
I-format	0	$rt \equiv \text{Inst}[20:16]$
R-format	1	$rd \equiv \text{Inst}[15:11]$

- $rs \equiv \text{Inst}[25:21] \rightarrow rr1$
- $rt \equiv \text{Inst}[20:16] \rightarrow rr2$
- $rt \equiv \text{Inst}[20:16] \rightarrow wr$

Another multiplexer chooses the correct 32-bit binary data to feed into the ALU:

	ALUSrc	input to ALU opr2
R-format or beq	0	$rr2 \equiv *rt \equiv *\text{Inst}[20:16]$
I-format not beq	1	$imm \equiv \text{Inst}[15:0]$ , sign extended to 32-bits

## 6.3 Arithmetic Logic Unit (ALU)

The ALU performs the arithmetic, shifting, logical, memory, and branching operations.

It takes the operation and operands from the decode stage, performs its computation, and feeds the result to the memory stage.

input	bits	output	bits
operand 1	32	is zero?	1
operand 2	32	result	32

The **ALUControl** signal determines the operation to perform:

ALUControl	operation
0000	and
0001	or
0010	add
0110	sub
0111	slt
1100	nor

### 6.3.1 Branching Instructions

A multiplexer chooses between the next instruction ( $PC + 4$ ) and the branch target (BT) using the control signal **PCSrc**:

isZero	PCSrc	next instruction
false $\equiv 0$	0	$PC + 4$
true $\equiv 1$	1	$BT \equiv PC + 4 + imm * 4$

Because both register contents need to be compared, the **ALUSrc** control value is set to 0 for branching instructions.

BT is computed as follows:

1. left shift by 2 bits the sign-extended  $imm$  from the decode stage (multiplying the offset by 4)
2. add it to  $PC + 4$  from the adder in the fetch stage

## 6.4 Memory

Only  $lw/lb$  and  $sw/sb$  instructions operate in this stage, the rest remain idle.

The **data memory** element exists in RAM:

input	bits	output	bits
address (addr)	32	read data (rdt)	32
write data (wd)	32		

$addr$  is the effective memory address computed by the ALU, and  $wd$  is connected to  $rd2$  from the register file from the decode stage.

The control signals **MemRead** and **MemWrite** control the memory operations:

MemRead	MemWrite	action
0	1	write wd into addr
1	0	read contents of addr into rdt
0	0	do nothing
1	1	undefined, should not occur

### 6.4.1 Non-memory Instructions

A multiplexer chooses between the result of the ALU and the read data from memory, using the control signal **MemToReg**:

	MemToReg	output
non-memory instruction	0	ALU output
memory instruction	1	read data

This output is fed to the write-back stage.

## 6.5 Write-back

Stores, branches, and jumps remain idle in this stage as there is nothing to be written.

The result of the memory stage is fed into write data (wd) of the register file.

## 7 Control

**Control signals** are generated by a **control unit** based on the type of instruction.

The control unit is a **combinational circuit** which takes in the 6-bit opcode and the 5-bit function code, and outputs the 8 control signals.

funct is only needed to determine ALUSrc; every other control signal can be derived from opcode alone.

### 7.1 ALUControl

We start with a simplified 1-bit ALU.

#### 1-bit MIPS ALU

4 control signals are needed:

1. **Ainvert**: 1 to invert A, 0 otherwise
2. **Binvert**: 1 to invert B, 0 otherwise
3. **operation (2 bits)**: select one of the 3 results

5 I/O signals:

1. **A**: input
2. **B**: input
3. **Cin**: carry in
4. **Cout**: carry out
5. **result**: output

These 1-bit ALUs are daisy-chained to form a 32-bit ALU.

The carries from one of the 1-bit ALUs is fed out via Cout and into the next one via Cin.

Ainvert and Binvert control the multiplexers which select between their inputs and their negated values:

Xinvert	output
0	X
1	NOT(X)

The operation control signal selects one of the 3 results from the AND gate, OR gate, and the adder ADD:

operation	output
00	AND
01	OR
10	ADD
11	SLT

#### 1-bit subtraction

Cin is set to 1 due to 2s complement:

$$A - B \equiv A + (-B) \equiv A + B' + 1$$

#### ALUControl

In MSB to LSB order, ALUControl comprises of:

1. Ainvert
2. Binvert
3. operation

### 7.1.1 ALUOp

It is necessary to use the **multi-level decoding approach** to simplify the design process and size of the main controller.

**ALUOp** is an intermediate 2-bit control signal which is generated from opcode and used with funct to determine ALUControl.

instruction	ALUOp	funct	ALUControl
lw, sw	00	XXXXXX	0010
beq	01	XXXXXX	0110
add	10	100000	0010
sub	10	100010	0110
and	10	100100	0000
or	10	100101	0001
slt	10	101010	0111

In general, ALUOp is 10 for R-format instructions.