

# CS2109S

## Introduction to Artificial Intelligence and Machine Learning

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### Contents

<b>1</b>	<b>Informed Search</b>	<b>2</b>
1.1	Greedy Best-first Search . . . . .	2
1.2	A* Search . . . . .	2
1.3	Memory-bounded Heuristic Search . . . . .	2
1.3.1	Iterative-deepening A* Search . . . . .	2
1.3.2	Recursive Best-first Search . . . . .	2
1.3.3	Simplified Memory-bounded A* Search . . . . .	2
1.4	Formulating Heuristics . . . . .	3
1.5	Using Inadmissible Heuristics . . . . .	3
<b>2</b>	<b>Local Search</b>	<b>3</b>
2.1	Hill-climbing Search . . . . .	3
2.2	Simulated Annealing . . . . .	3
2.3	Beam Search . . . . .	3
2.4	Genetic Algorithms . . . . .	3
2.5	Online Search . . . . .	3
<b>3</b>	<b>Adversarial Search</b>	<b>4</b>
3.1	Two-player Games . . . . .	4
3.2	Minimax Search . . . . .	4
3.3	Alpha-Beta Pruning . . . . .	4
3.3.1	Example of $\alpha$ - $\beta$ Pruning . . . . .	4
3.3.2	Move Ordering . . . . .	4
3.4	Resource Limits . . . . .	5
<b>4</b>	<b>Introduction to Machine Learning</b>	<b>5</b>

## 1 Informed Search

Informed search involves the use of an **evaluation function**  $f(n)$  which estimates desirability for every node in the search tree.

These search algorithms can therefore **find solutions more efficiently** by expanding the most desirable unexpanded nodes first.

A **heuristic function**  $h(n)$  estimates the cost from state  $n$  to a goal state. Heuristics form a component of the evaluation function.

### 1.1 Greedy Best-first Search

Greedy best first search is a special case of best-first search which always **expands the node that appears closest to the goal** — the node with the lowest  $h(n)$  value.

This algorithm is usually implemented with a **priority queue**, with nodes in the frontier **ordered in decreasing order of desirability**. The evaluation function  $f(n) = h(n)$ .

Greedy best-first search is **not complete**, as it can get stuck in loops.

It is **not optimal** either, since it tries to get as close to a goal as possible at each iteration, but this greediness can lead to a solution with a higher cost. *In general, greedy algorithms are not optimal.*

It has a **time complexity of  $O(b^m)$** , but a good heuristic function can reduce this significantly to  $O(bm)$ .

Likewise, it has a **space complexity of  $O(b^m)$**  since it needs to store every node in memory.

### 1.2 A\* Search

A\* search builds on best-first search by **avoiding the expansion of expensive nodes**, using an evaluation function  $f(n) = g(n) + h(n)$ .

$f(n)$  estimates the total cost of the path from  $n$  to a goal.  $g(n)$  is the total cost to reach  $n$  from the initial state, while  $h(n)$  **estimates** the cost from  $n$  to a goal.

A\* search is **complete** as long as the state space is finite.

It is **optimal** as long as its heuristic is **admissible**. *An admissible heuristic never overestimates the cost to reach a goal, and is therefore optimistic.*

Formally, a heuristic is admissible if  $\forall n (h(n) \leq h^*(n))$ , where  $h^*(n)$  is the true cost to reach a goal state from node  $n$ .

If  $h(n)$  is admissible, TREE-SEARCH is optimal.

Furthermore, a heuristic is consistent if, for every node  $n$  and every successor  $n'$  generated by an action  $a$ ,  $h(n) \leq \text{cost}(n, a, n') + h(n')$ .

If  $h(n)$  is consistent, GRAPH-SEARCH is optimal.

*Every consistent heuristic is admissible, but not vice versa.* Therefore, with a consistent heuristic, A\* search is optimal as well.

A\* search has a **time complexity of  $O(b^m)$** . However, A\* search can **prune** away nodes not required for finding an optimal solution, *such that it is much more efficient than uninformed searches despite its exponential complexity.*

It also has a **space complexity of  $O(b^m)$** , since every node needs to be stored in memory.

### 1.3 Memory-bounded Heuristic Search

With memory being the main issue with A\* search, other algorithms exist with tricks to reduce the space complexity.

#### 1.3.1 Iterative-deepening A\* Search

IDA\* search is to A\* what iterative-deepening search is to depth-first search.

Instead of setting the cutoff based on depth, IDA\* uses the  $f$ -cost of  $g(n) + h(n)$ , incrementing the cutoff to the best  $f$ -cost which exceeded the cutoff on the previous iteration.

#### 1.3.2 Recursive Best-first Search

RBFS resembles the typical best-first search, but keeps track of the best alternative  $f$ -value before exploring the current node.

If the current node exceeds this limit, it recursively backtracks to explore the alternative path. While backtracking, it replaces the  $f$ -value of nodes along the way with the best  $f$ -value of that node's children.

#### 1.3.3 Simplified Memory-bounded A\* Search

A\* search uses too much memory, while both IDA\* and RBFS use too little memory — IDA\* keeps only the  $f$ -cost limit, while RBFS uses linear space, which under-utilizes memory even if more is available.

SMA\* improves on this by expanding the best node until all memory is used up.

Then, it drops the node with the highest  $f$ -value, backtracking and replacing that node's parent's  $f$ -value with the dropped node's  $f$ -value before continuing.

This algorithm is not perfect, however. On very hard problems, SMA\* can switch back and forth between alternative paths, each time running out of memory and repeatedly regenerating the same nodes.

## 1.4 Formulating Heuristics

*A search algorithm using a more efficient heuristic will never expand more nodes than one with a less efficient heuristic.*

Given two admissible heuristics,  $h_1(n)$  and  $h_2(n)$ ,  $h_2$  **dominates**  $h_1$ , if for any node  $n$ ,  $h_2(n) \geq h_1(n)$ .

Therefore, it is better to use a heuristic with higher  $h(n)$  values, since it will expand fewer nodes as long as it is consistent.

A **relaxed problem** is a modified problem with fewer restrictions placed on its actions. Any solution for the original problem also solves the relaxed problem.

*The cost of an optimal solution to a relaxed problem is an admissible and consistent heuristic for the original problem.*

## 1.5 Using Inadmissible Heuristics

If we are willing to accept suboptimal solutions in exchange for fewer nodes explored, **inadmissible heuristics** can be used.

Inadmissible heuristics may overestimate costs, risk missing the optimal solution, but if they are more accurate, they can lead to fewer nodes explored.

This is especially useful when the state-space is large, and optimality is not a requirement.

# 2 Local Search

**Local search** algorithms search unsystematically from an initial state to its neighbors *without keeping track of paths and previous states*.

They are useful in **optimization problems** where finding the best possible state is important, and the path to get there is irrelevant.

## 2.1 Hill-climbing Search

On each iteration, **hill-climbing search** keeps track of one current state, and moves to the neighboring state with the **steepest ascent**.

It does not look beyond neighboring states, terminating at a peak, which means it can get stuck on **local maxima** and **plateaus**.

A solution to plateaus is allowing **sideways movement**, while also restricting the number of these

moves so that the algorithm does not wander the plateau forever.

When there are many successors to any given state, choosing the **first-better-choice** is preferable. Another variant is **stochastic hill climbing**, which chooses a better successor randomly.

## 2.2 Simulated Annealing

Hill-climbing algorithms get stuck on **local maxima** since they never make downhill moves, while **random walk** algorithms will eventually reach the global maxima, albeit inefficiently.

By combining the two, doing something random once in a while allows for breaking out of local maxima, *while yielding both efficiency and completeness*.

Simulated annealing algorithms pick a random move each iteration, accepting it if it improves the situation. Otherwise, it is accepted with a probability inversely and exponentially proportional to its "badness".

Also, simulated annealing algorithms become less likely to accept bad moves as time goes on. *With enough time, it will find a global maxima with probability approaching 1.*

## 2.3 Beam Search

Rather than just keeping one node in memory, **beam search** performs  $k$  hill-climbing searches in parallel.

In **local beam search**, information can be shared between parallel search threads, allowing the algorithm to abandon unfruitful searches.

However, this means that all  $k$  states may end up clustered together in the state space, effectively making it a  $k$ -times slower hill-climbing search. To combat this, **stochastic beam search** randomly chooses successors independently instead.

## 2.4 Genetic Algorithms

In **genetic algorithms**, successor states are generated from a **recombination** of two parent states at random **crossover points**, and **random mutations** are allowed.

Not all successors are kept — **selection processes** evaluate individuals with a **fitness function**, culling those that do not meet a fitness threshold.

## 2.5 Online Search

So far, the algorithms have all been for **offline search**, in which the algorithms compute a complete solution and then execute it.

**Online search**, however, interleaves the computation and execution. It is useful in dynamic environments where the agent is penalized for extended computation.

It is also necessary in **exploration problems**, where the agent is clueless about the states and actions of its environment, and new observations are available only after acting.

### 3 Adversarial Search

**Adversarial search** problems arise from **competitive environments** wherein two or more agents have conflicting goals, such as in games.

Even if we could specify every possible reply to an opponent's move, it is often computationally intractable to do so. For this topic, we will only consider two-player games.

#### 3.1 Two-player Games

In two-player games, “**move**” is a synonym for “**action**”, and “**position**” is a synonym for “**state**”.

A **ply** is used to mean a move by one player, increasing the depth of the **search tree** by one. This disambiguation is useful in games where a move means both players have acted.

Formally, games are defined with the following elements:

- $S_0$ , the **initial state** of the game at the start.
- $\text{TO-MOVE}(s)$ , the player whose turn it is to move in state  $s$ .
- $\text{ACTIONS}(s)$ , the set of legal moves in state  $s$ .
- $\text{RESULT}(s, a)$ , the **transition model** from  $s$  to  $s'$  after taking action  $a$ .
- $\text{IS-TERMINAL}(s)$ , a **terminal test** whether the game is over (at **terminal states**).
- $\text{UTILITY}(s, p)$ , a **utility function** returning a numeric value for player  $p$  when the game ends in terminal state  $s$ .

#### 3.2 Minimax Search

**Minimax search** is used in two-player games where one player is assigned MAX and the other MIN.

An optimal strategy can be determined by working out the **minimax value** of each state in the tree, where the players play optimally.

In every non-terminal state, MAX always seeks to maximize the minimax value, while MIN minimizes.

Minimax search is **complete** if the search tree is finite.

It is **optimal** only against an optimal player.

The **time complexity** is  $O(b^m)$  since it has to explore the entire game tree depth-first. Since the game is deterministic, with depth-first exploration, it has a **space complexity** of  $O(bm)$ .

*However, the exponential time complexity makes it computationally intractable for complex games such as chess, with an average game having approximately  $10^{123}$  states.*

#### 3.3 Alpha-Beta Pruning

We can optimize the computation a minimax value by keeping track of the minimum and maximum value seen thus far, ignoring paths which have no effect on the outcome of the game.

By **pruning** large parts of the game tree, we will not have to examine every single state, reducing the exponent in the time complexity.

##### 3.3.1 Example of $\alpha$ - $\beta$ Pruning

Consider a state  $s$  in which it is MAX's turn to choose between two actions leading to  $s_A$  and  $s_B$ . We begin with an  $\alpha$ - $\beta$  range of  $[-\infty, +\infty]$ .

At  $s_A$ , when it is MIN's turn, MIN will always choose the action with the minimum minimax value. Let this value be  $k$ .

Now, we know that the minimax value at the root node  $s$  is at least  $k$ , so we can update the  $\alpha$ - $\beta$  range to  $[k, +\infty]$ .

Then, we evaluate the minimax value for  $s_B$ . If we encounter any leaf node of  $S_B$  with a minimax value less than  $k$ , going down the path of  $s_B$  is a poor choice, since  $s_A$  guarantees a minimax score of at least  $k$ .

Therefore, the optimal path for MAX at node  $s$  is to go down  $s_A$ .

We did not have to consider the other leaf nodes of  $s_B$  once we had found a leaf node of  $s_B$  with value less than  $k$ , allowing us to **prune** the search tree!

##### 3.3.2 Move Ordering

*The order in which states are explored affects the effectiveness of  $\alpha$ - $\beta$  pruning.*

With perfect ordering, only  $O(b^{\frac{m}{2}})$  nodes need to be examined as compared to  $O(b^m)$  by minimax.

Therefore, we can **double the search depth** at best, and at worst  $\alpha$ - $\beta$  pruning has no effect. Ultimately, **pruning does not affect the final result**.

### 3.4 Resource Limits

Computation time is usually limited. Eventually, we have to cut off the search when we are unable to reach all terminal states.

We therefore replace **IS-TERMINAL** with **IS-CUTOFF** with a certain depth limit, for example.

Consequently, we need a heuristic **evaluation function** **EVAL** which estimates the utility of a state, to replace the utility function **UTILITY**. Usually, it is a **weighted linear sum** of the various **features** of a state.

We can also deal with repeated states in **GRAPH-SEARCH** with **memoization**.

## 4 Introduction to Machine Learning