

# CS 180: Homework 3

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Discussion 1B

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## Problem 1

Given a sequence of requests with start and finish times  $(s(i), t(i)) = 1, \dots, n$ , find a set of non-conflicting jobs of maximum possible size. Show that the following algorithm solves the problem correctly:

LATEST START TIME (LST):

- (a) Set  $R \leftarrow \{1, \dots, n\}$ , and  $A \leftarrow \emptyset$ .
- (b) While  $R \neq \emptyset$ :
  - i. Pick request  $i \in R$  with the latest start time.
  - ii. Add  $i$  to  $A$ .
  - iii. Remove all requests that conflict with  $i$  (including  $i$ ) from  $R$ .
- (c) RETURN  $A$ .

LEMMA: Our algorithm frees up later than the optimal one.

Suppose that  $A = \{i_1, i_2, \dots, i_k\}$  and  $s(i_1) > s(i_2) > \dots > s(i_k)$ .

Suppose an optimal solution is  $O = \{j_1, j_2, \dots, j_m\}$  and  $s(j_1) > s(j_2) > \dots > s(j_m)$ .

Jobs in  $O$  are ordered in decreasing start time.

LEMMA:  $\forall l \leq k, s(i_l) \geq s(j_l)$  (start time of the  $l^{th}$  job under  $A$  is later than the start time of the  $l^{th}$  job under  $O$ ).

PROOF: Induction

BASE CASE:  $l = 1$ . True because  $s(i_1)$  was the latest start time.

INDUCTION STEP: Suppose claim is true for  $l$ , want to show this is true for  $l + 1$ .

When we picked  $i_{l+1}$ ,  $j_{l+1}$  also belongs to the set  $R$ .

$$s(i_l) \geq s(j_l) \geq f(j_{l+1})$$

$j_{l+1}$  does not conflict with  $i_l$ , so  $j_{l+1}$  will never be chosen as the latest start time.

$\therefore$  Lemma is true by induction.

THEOREM: Latest Start Time (LST) finds an optimal set of jobs (maximum possible size)

PROOF: Suppose there exists  $O = \{j_1, j_2, \dots, j_m\}$  such that  $m > k$

$$A = \{i_1, i_2, \dots, i_k\}$$

$$s(i_1) > s(i_2) > \dots > s(i_k)$$

$$s(j_1) > s(j_2) > \dots > s(j_k) > s(j_m)$$

By the lemma,  $s(i_k) \geq s(j_k)$

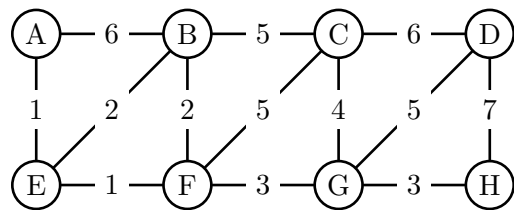
$j_{k+1}$  does not conflict with the jobs in  $A$ .

$j_{k+1}$  is not removed

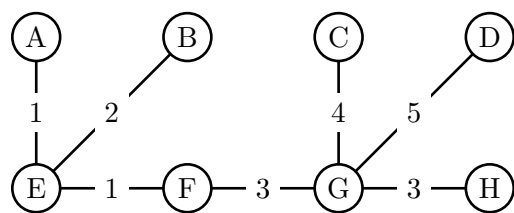
$\therefore$  the set  $R$  is not empty

## Problem 2

Consider the following graph:



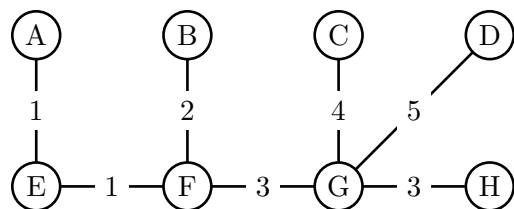
(a) What is the cost of its minimum spanning tree?



Cost = 19

(b) How many minimum spanning trees does it have?

Other MST:



Answer: 2 MSTs

(c) Suppose Kruskal's algorithm is run on this graph. In what order are the edges added to the MST? For each edge in this sequence, give a cut that justifies its addition.

Cut	Edge Added	Cost
$\{\}$	A	0
$\{A\}$	E	1
$\{A,E\}$	F	1
$\{A,E,F\}$	B	2
$\{A,E,F,B\}$	G	3
$\{A,E,F,B,G\}$	H	3
$\{A,E,F,B,G,H\}$	C	4
$\{A,E,F,B,G,H,C\}$	D	5
$\{A,E,F,B,G,H,C,D\}$	$\emptyset$	19

### Problem 3

You are given a connected graph  $G$  with  $n$  vertices and  $m$  edges, and a minimum spanning tree  $T$  of the graph. Suppose one of the edge weights  $c(e)$  for an edge  $e \in T$  is updated. Give an algorithm that runs in time  $O(m)$  to test if  $T$  still remains the minimum spanning tree of the graph. You may assume that all edge weights are distinct both before and after the update. Explain why your algorithm runs in  $O(m)$  time and is correct.

ALGORITHM:

Delete the updated edge  $e = \{u, v\}$  from  $T$ , this gives us at most two disconnected cuts  $S_1 \in T$  and  $S_2 \in T$  where  $u \in S_1$ ,  $v \in S_2$

For vertex  $v \in S_2$ :

Pick an edge  $f$  from updated  $G$  with least attachment cost to  $v$ :  $O(m)$

If  $f = e$ :  $T$  still remains the MST.

Else:  $T$  is no longer the MST.

This algorithm runs in  $O(m)$  time because finding a minimum edge  $f \in G$  that connects to  $v$  (after deleting  $e$ ) will take at most  $m$  iterations. This is because  $v$  can be connected to at most  $m$  other vertices in the graph  $G$ . All other operations in the algorithm run at  $O(1)$  time, making the total runtime  $O(m) + O(1) + O(1) = O(m)$ .

This algorithm is correct because the deletion of  $e = \{u, v\}$  from  $T$  will create two cuts  $S_1 \in T$  and  $S_2 \in T$  in which  $u \in S_1$  and  $v \in S_2$ . All that is necessary to create an MST from these two cuts is to find the minimum edge from  $S_1$  to  $S_2$  in the updated  $G$ . We do not need to find the minimum edge from the set of all  $S_1$  vertices to the set of all  $S_2$  vertices because the path from  $v$  to the end of  $S_2$  is guaranteed to be in correct MST order due to the **cut property**. For the same reason, all of the edges in  $S_1$  will be in correct MST order. Thus, as long as we choose a minimum edge that connects a vertex in  $S_1$  to  $v \in S_2$ , we are guaranteed to be left with a MST.

## Problem 4

Given an undirected graph  $G = (V, E)$ , a subset of vertices  $I \subset V$  is an independent set in  $G$  if no two vertices in  $I$  are adjacent to each other. Let  $\alpha(G) = \max\{|I| : I \text{ an independent set in } G\}$ .

Give an efficient algorithm for computing an independent set of maximum size in a tree.

Let  $T = (V, E)$  be an acyclic graph on  $n$  vertices.

(a) Prove that if  $u$  is a leaf in  $T$ , then there is a maximum-size independent set in  $T$  which contains  $u$ . That is, for every leaf in  $u$ , there is an independent set  $I$  such that  $u \in I$  and  $|I| = \alpha(T)$ .

LEMMA: For a vertex  $v \in I$  that is not a leaf but is adjacent to at least one leaf, removing  $v$  from  $I$  and adding  $v$ 's leaves to  $I$  still gives us a valid independent set.

PROOF: Since  $T$  is acyclic,  $v$  has at most one parent node and at least one leaf node  $u$ .

If we remove  $v$  from  $I$  and add  $u$  to  $I$ , we still get a valid independent set because  $u$  is adjacent only to  $v$ , which no longer appears in the set  $I$ .

PROOF BY CONTRADICTION:

Suppose there is a maximum independent set  $I$  such that  $|I| = \alpha(T)$  and the leaf  $u \notin I$ .

Since  $u$  is a leaf, this implies that there exists a vertex  $v$  which is adjacent to  $u$ .

If  $v \notin I$ , then  $I$  could not have been the maximum independent set due to the fact that  $u \notin I$ .

If  $v \in I$ , then  $\text{degree}(v) \geq 2$ , since it is not a leaf.

Let  $L$  be the set containing the leaves of  $v$ , where  $u \in L$ .

$|L| \geq 1$ , since  $\text{degree}(v) \geq 2$ .

By the lemma above, we can remove  $v$  from  $I$  and add the vertices in the set  $L$  to the set  $I$ .

Since  $|L| \geq 1 \rightarrow |I \cup L| \geq |I|$ .

$\therefore$  The original set  $I$  could not have been the maximum-size independent set in  $T$  if  $L > 1$ .

$\therefore$  There exists an independent set  $I$  such that  $u \in I$  and  $|I| = \alpha(T)$ .

(b) Give the graph  $T$  as input (in adjacency edge representation), give an algorithm to compute an independent-set of maximum size,  $\alpha(T)$ , in  $T$ . Your algorithm should run in time  $O(|V| * |E|)$ , prove correctness of your algorithm.

We can use dynamic programming to find the maximum independent set.

Let  $OPT(T)$  denote the maximum independent set of  $T$ .

Let  $OPT(\emptyset) = 0$ .

1. Label each vertex in  $T$  from  $\{1, 2, 3, \dots, n\}$ .
2. Let the vertex labeled  $s$  be the last vertex in the  $T$  visited using some traversal algorithm (like DFS).
3. Let  $A[s]$  denote the list of neighbors of  $s$ .
4. We observe that  $I$  can either contain the vertex  $s$  or not contain  $s$ :  
     If  $s \in I : OPT(T) = (1 + OPT(T - A[s]))$   
     Else if  $s \notin I : OPT(T) = OPT(T - \{s\})$
5. We can memoize  $OPT(T)$  by having an  $n$  length array  $M$  that stores 1 for every vertex in  $T$  that appears in  $I$ , and stores 0 otherwise.

FULL ALGORITHM:

Initialize array  $M$  of length  $n$  where all entries are 0.

Max-Independent-Set-Opt( $T$ ):

    If  $M[T]$  is empty and  $n = 0$  or 1:

$$M[T] = n$$

    Else:

$$M[T] = \max(1 + M[T - A[s]], M[T - \{s\}])$$

    Return  $M[T]$

PROOF BY INDUCTION:

BASE CASE:  $n = 0$ ,  $T = \emptyset$ ,  $I = \emptyset$ ,  $|I| = 0$ .

INDUCTION: Suppose the algorithm works for  $n = 0$ , show that it works for  $n + 1$ :

    Let  $OPT(T)$  be the optimal solution that returns  $\alpha(T)$ .



Suppose we add 1 vertex  $v$  to  $T$ . The optimal solution would then become  $OPT(T + \{v\})$ .

Since  $OPT(T)$  was already the optimal solution, adding  $v$  will have two possibilities:  $v$  will be included in the set  $I$  or  $v$  will not be included in the set  $I$ .

CASE 1:  $v \in I$ :

If  $v$  is added to  $I$ , all of  $v$ 's neighbors must not be in  $I$ . The addition of  $v$  is represented by  $+1$  and the removal of  $v$ 's neighbors is represented by  $T - A[v]$ , so the resulting optimal solution is  $OPT(T + \{v\}) = (1 + OPT(T - A[v]))$ .

CASE 2:  $v \notin I$ :

If  $v$  is not added to  $I$ , we only need the optimum solution to the remaining vertices, i.e.  $T$  with  $v$  removed from it. This is represented by  $T - \{v\}$ , so the resulting optimal solution is  $OPT(T + \{v\}) = OPT(T - \{v\})$ .

We take the maximum value of either case to be  $\alpha(T)$ .

$\therefore$  The algorithm is correct, since the two possible cases are handled correctly.