



# Introduction to Algorithms

Date: 3/10 (Tuesday)

Instructor: 유준수

# Assignment

- Read 2.2, 2.3.1
- Problems:
  - 2.2절 – 1, 2, 3

## Summary

1.1 :  $A \Rightarrow$  pseudocode  
 $\uparrow$  (precise description)  
input size  $(n) \uparrow$

1.2 : hardware vs algorithm

2.1 : Insertion sort

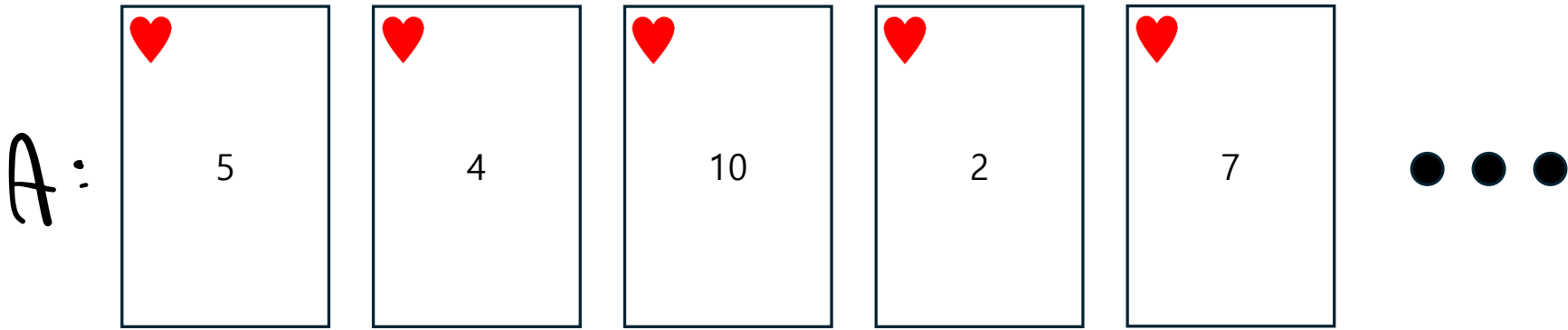
$I : \langle a_1, \dots, a_n \rangle$

such that

$O : \langle a'_1, \dots, a'_n \rangle$  (s.t.)

$$a_1' \leq \dots \leq a_n'$$

# Insertion Sort



↑  
j

↑  
i  
A[i] = key

## Pseudocode

for  $i = 2$  to  $n$

$key = A[i]$

$j = i - 1$

while  $A[j] > key$ ,  $j > 0$

$A[j+1] = A[j]$

$j = j - 1$

$A[j+1] = key$

Correctness

Induction

- Loop Invariant
- Init/Main/Ter

INSERTION-SORT( $A, n$ )

```

1 for  $i = 2$  to  $n$ 
2    $key = A[i]$ 
3   // Insert  $A[i]$  into the sorted subarray  $A[1 : i - 1]$ .
4    $j = i - 1$ 
5   while  $j > 0$  and  $A[j] > key$ 
6      $A[j + 1] = A[j]$ 
7      $j = j - 1$ 
8    $A[j + 1] = key$ 

```

$\rightarrow i=2; i \leq n; i++$

at the start of the loop, property holds

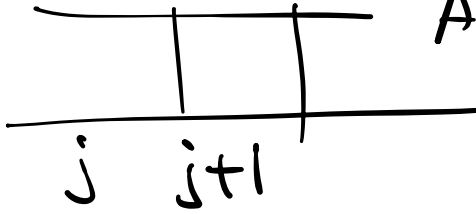
Loop Inv:  $A[1 : i-1]$  is sorted.

Init  $A[1]$  is sorted, trivial

Main  $A[1 : i-1]$  is sorted.

$\Rightarrow A[i]$  finds position s.t.

$$A[j] \leq A[i] \leq A[j+1]$$



$\Rightarrow A[i:n]$  is sorted.

Termination

$A[i:n]$  is sorted

$\Rightarrow$  Alg. correctly  
outputs sorted  
array

**2.1-4**

Consider the *searching problem*:

**Input:** A sequence of  $n$  numbers  $\langle a_1, a_2, \dots, a_n \rangle$  stored in array  $A[1:n]$  and a value  $x$ .

**Output:** An index  $i$  such that  $x$  equals  $A[i]$  or the special value NIL if  $x$  does not appear in  $A$ .

Write pseudocode for *linear search*, which scans through the array from beginning to end, looking for  $x$ . Using a loop invariant, prove that your algorithm is correct. Make sure that your loop invariant fulfills the three necessary properties.

Think about 2 min...



# Searching Problem

$I: \langle a_1, \dots, a_n \rangle, x$



$O$ : return index  $\hat{i}$  s.t.

$x = A[\hat{i}]$  or  $NIL$

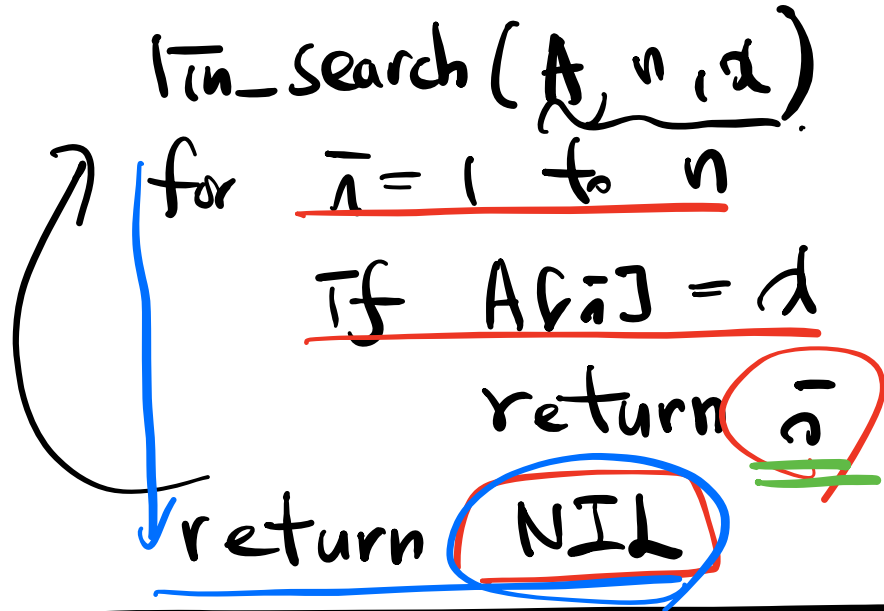
if  $x \neq A[\hat{i}]$  for

$\hat{i} \in [1, n]$

① pseudocode

② correctness

Pseudocode



```

lin_search(A, n, d)
for i = 1 to n
  if A[i] = d
    return i
return NIL

```

---

loop invariant:  $A[1 : i-1]$

doesn't contain  $d$  at  
the start of  $i$ th iter.

Init  $\Phi$ , trivial  
 Proof of Correctness

Main  $A[1:\bar{i}-1]$  doesn't  
 contain  $x$

$\Rightarrow$  check  $A[\bar{i}] = x$

$\Rightarrow$  If match, returns  
 index  $\bar{i}$

$\Rightarrow$  If match  $\times$ ,  $A[1:\bar{i}]$   
 doesn't contain  $x$

Termination: ① match occurs,

returns the index

② If no match occurs,  $A[1:n]$  }  
NIL

## Chapter 2. The Role of Algorithms in Computing

- 2.1 Insertion sort
- 2.2 Analyzing algorithms
- 2.3 Designing algorithms

## Model Assumption: RAM

$A_1, \dots, A_k$

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↓

efficiency

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↑

RAM model

Grand basis : ① Instruction

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runs one after another

② Instruction takes constant

Calculate Time Complexity (hard way): Insertion Sort

```

INSERTION-SORT( $A, n$ )
1  for  $i = 2$  to  $n$ 
2       $key = A[i]$ 
3      // Insert  $A[i]$  into the sorted subarray  $A[1 : i - 1]$ .
4       $j = i - 1$ 
5      while  $j > 0$  and  $A[j] > key$ 
6           $A[j + 1] = A[j]$ 
7           $j = j - 1$ 
8       $A[j + 1] = key$ 
    
```

cost

times

$C_1$

$n$

$C_2$

$n-1$

$C_3$

$C_4$

$n-1$

$C_5$

$\sum_{i=2}^n t_i$

$C_6$

$\sum (t_i + 1)$

$C_7$

$\sum (t_i + 1)$

$C_8$

$n-1$

$$T(n) = C_1(n-1) + C_2(n-2) + \dots$$

Best case analysis

worst  
average

$$T(n) = c_1 n + c_2(n-1) + c_4(n-1) + c_5 \sum_{i=2}^n t_i + c_6 \sum_{i=2}^n (t_i - 1) + c_7 \sum_{i=2}^n (t_i - 1) + c_8(n-1) \cdot \overline{(n-1)}$$

$$t_i = 1$$

$$\begin{aligned} T(n) &= (c_1 + c_2 + c_3 + c_4 + c_7) n \\ &\quad - c_2 - c_3 - c_4 - c_7 \\ &= \underline{an + b} \end{aligned}$$

Linear in n

$$= \Theta(n)$$

## Worst-case analysis

$$T(n) = c_1 n + c_2(n-1) + c_4(n-1) + c_5 \sum_{i=2}^n t_i + c_6 \sum_{i=2}^n (t_i - 1) + c_7 \sum_{i=2}^n (t_i - 1) + c_8(n-1).$$

$$t_i = 1$$

$$= \Theta(n^2)$$

$$\sum_{i=2}^n 1 = \frac{n(n+1)}{2}$$

$$T(n) = \left( \frac{c_5}{2} + \dots \right) n^2 + (c_2 + c_4 + \dots) n$$

quadratic in  $n$  —  $(c_2 + c_4 + \dots)$



Average-case analysis

$$T(n) = c_1 n + c_2(n-1) + c_4(n-1) + c_5 \sum_{i=2}^n t_i + c_6 \sum_{i=2}^n (t_i - 1) + c_7 \sum_{i=2}^n (t_i - 1) + c_8(n-1).$$

$$t_i = i/2$$

$$\sum_{i=2}^n \frac{i}{2} = \frac{1}{2} \sum_{i=2}^n i = \Theta(n^2)$$

$$= \Theta(n^2) + \Theta(n) + \Theta(1)$$

$$= \Theta(n^2)$$

## Summary of time complexity table (insertion sort)

Case	$t_i$	Time Complexity
Best-case	1	$\Theta(n)$
Worst-case	$\frac{n-1}{2}$	$\Theta(n^2)$
Avg-case	$\frac{n}{2}$	$\Theta(n^2)$

## Order of Growth (Rate of growth)

$$T(n) = c_1 n^k + c_2 n^{k-1} + \dots + c_{k+1}$$

$$= \boxed{\Theta}(n^k)$$

"roughly proportional to"

Why do we ignore the constant term?

$$T(n) = \frac{1}{100} n^2 + \underline{100n} + \dots$$

$n = 10^6$

$\downarrow$   
 $10^{10}$

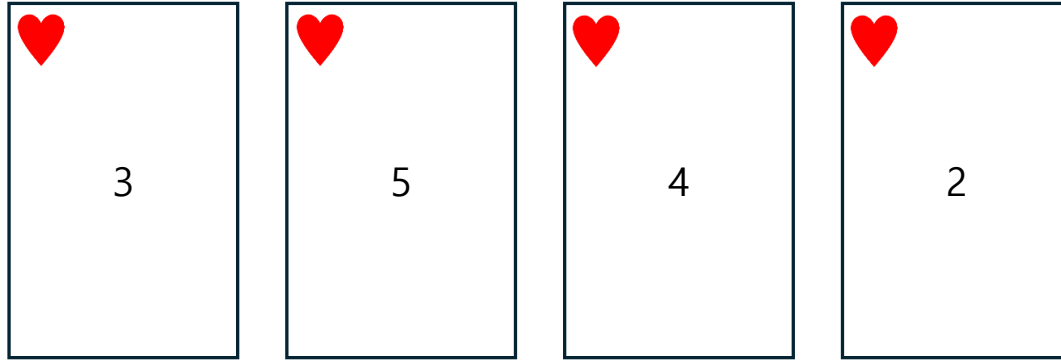
$\downarrow$   
 $10^8$

# Chapter 2. The Role of Algorithms in Computing

- 2.1 Insertion sort
- 2.2 Analyzing algorithms
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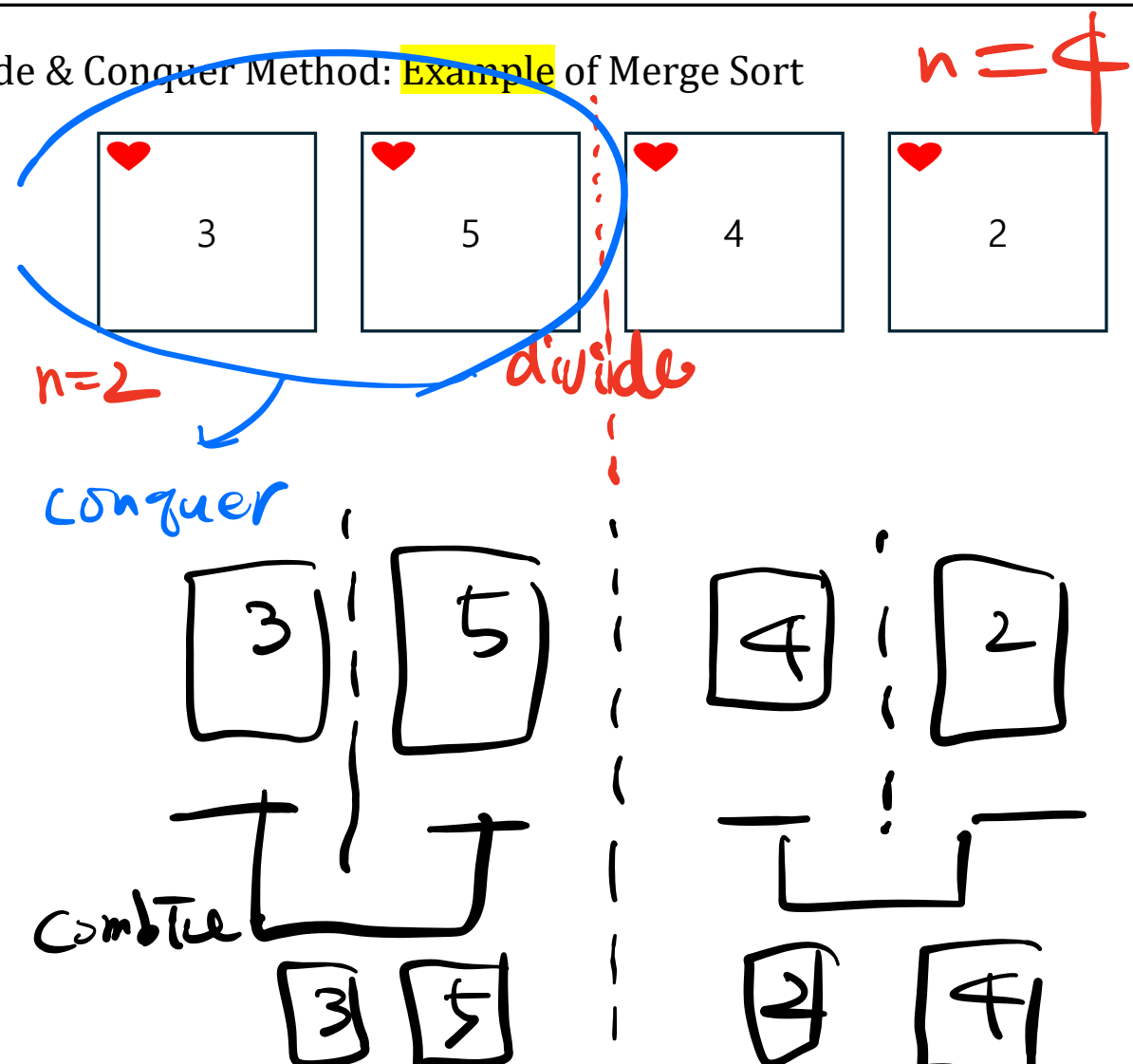
### 2.3.1 Divide & Conquer Method

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Incremental Approach:  
Insertion Sort

2.3.1 Divide & Conquer Method: Example of Merge Sort



Number of Comparisons for  $n = 4$



$n = 4$

Algorithm	<i>number of comparisons</i>
Insertion Sort	6
Merge Sort	5

$\Theta(n \log n)$



## General problem solving strategy of Divide and Conquer Method

**Divide** the problem into one or more subproblems that are smaller instances of the same problem.

**Conquer** the subproblems by solving them recursively.

**Combine** the subproblem solutions to form a solution to the original problem.

Question?