



# Introduction to Algorithms

Date: 3/12 (Thursday)

Instructor: 유준수

# Assignment

- Read 2.3
- Problems:
  - 2.3절 – 1, 4, 6

- Incremental Approach
  - Incrementing  $i=2$  to  $n$
- Correctness
  - State Loop Invariant
  - Check Initialization/Maintenance/Termination

- Assumption: RAM Model
  1. One by one execution of instruction
  2. Instruction takes constant time (not dependent on input size  $n$ )
- Analyzing Insertion Sort

INSERTION-SORT( $A, n$ )	<i>cost</i>	<i>times</i>
1 <b>for</b> $i = 2$ <b>to</b> $n$	$c_1$	$n$
2 $key = A[i]$	$c_2$	$n - 1$
3 <i>// Insert <math>A[i]</math> into the sorted subarray <math>A[1 : i - 1]</math>.</i>	0	$n - 1$
4 $j = i - 1$	$c_4$	$n - 1$
5 <b>while</b> $j > 0$ and $A[j] > key$	$c_5$	$\sum_{i=2}^n t_i$
6 $A[j + 1] = A[j]$	$c_6$	$\sum_{i=2}^n (t_i - 1)$
7 $j = j - 1$	$c_7$	$\sum_{i=2}^n (t_i - 1)$
8 $A[j + 1] = key$	$c_8$	$n - 1$

$$T(n) = c_1 n + c_2(n - 1) + c_4(n - 1) + c_5 \sum_{i=2}^n t_i + c_6 \sum_{i=2}^n (t_i - 1) + c_7 \sum_{i=2}^n (t_i - 1) + c_8(n - 1)$$

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- $t_i$  : number of while loop at  $i$  -th iteration
- Best-case time complexity
  - $t_i = 1$  for all  $i$

$$T(n) = (c_1 + c_2 + c_4 + c_5 + c_8)n - (c_2 + c_4 + c_5 + c_8)$$

- Worst-case time complexity
  - $t_i = i$  for all  $i$

$$T(n) = \left(\frac{c_5}{2} + \frac{c_6}{2} + \frac{c_7}{2}\right)n^2 + \left(\frac{c_1 + c_2 + c_4 + c_5}{2} - \frac{c_6}{2} - \frac{c_7}{2} + c_8\right)n - (c_2 + c_4 + c_5 + c_8)$$

- Order of growth
  - Think of  $\theta$  as “roughly proportional to” for now
  - Consider only the highest term
  - Ignore the constant term
  - E.g.,  $\theta(9n^3 + 2n^2 + 100) = \theta(n^3)$

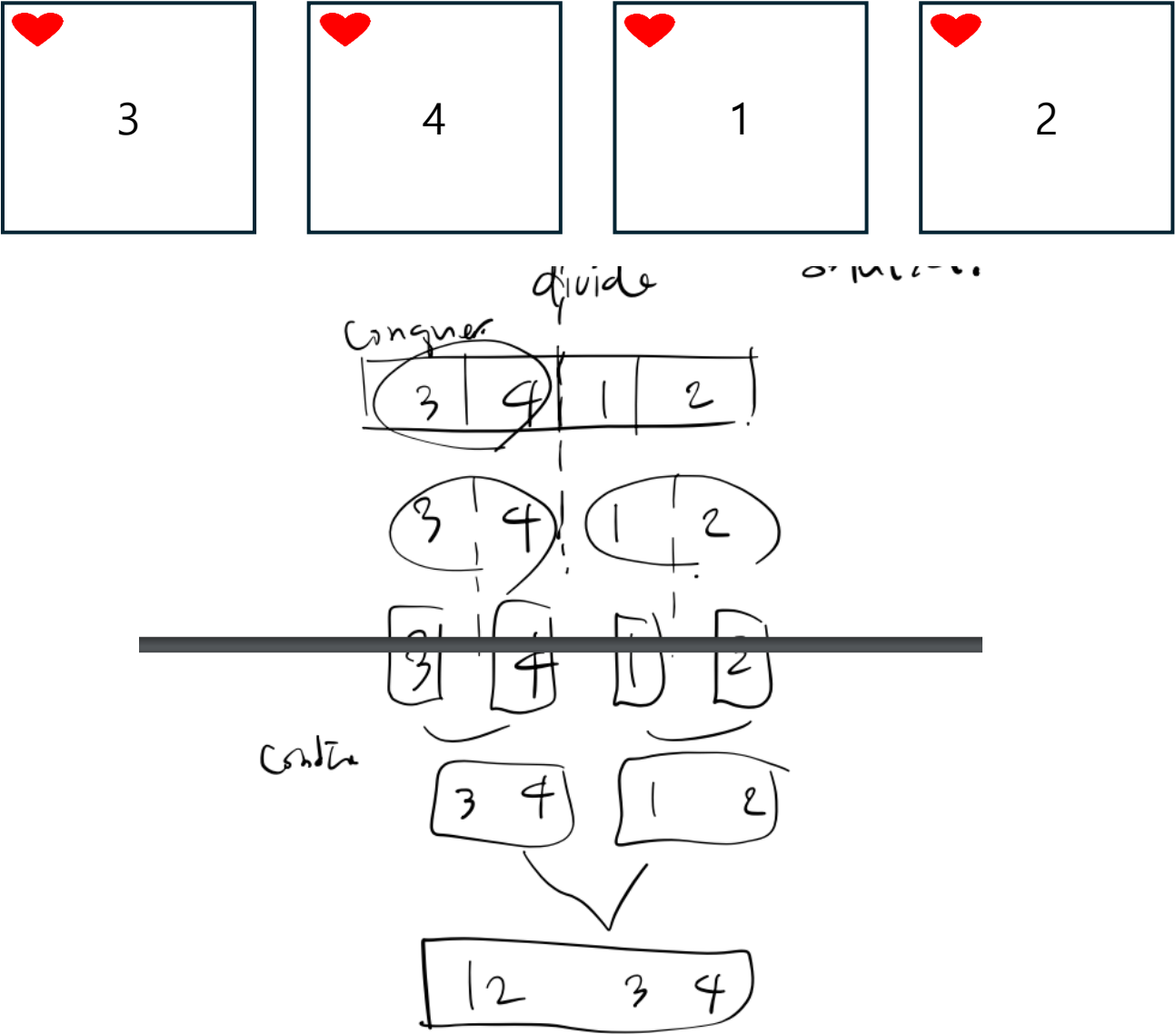
# Chapter 2. The Role of Algorithms in Computing

- 2.1 Insertion sort
- 2.2 Analyzing algorithms
- 2.3 Designing algorithms

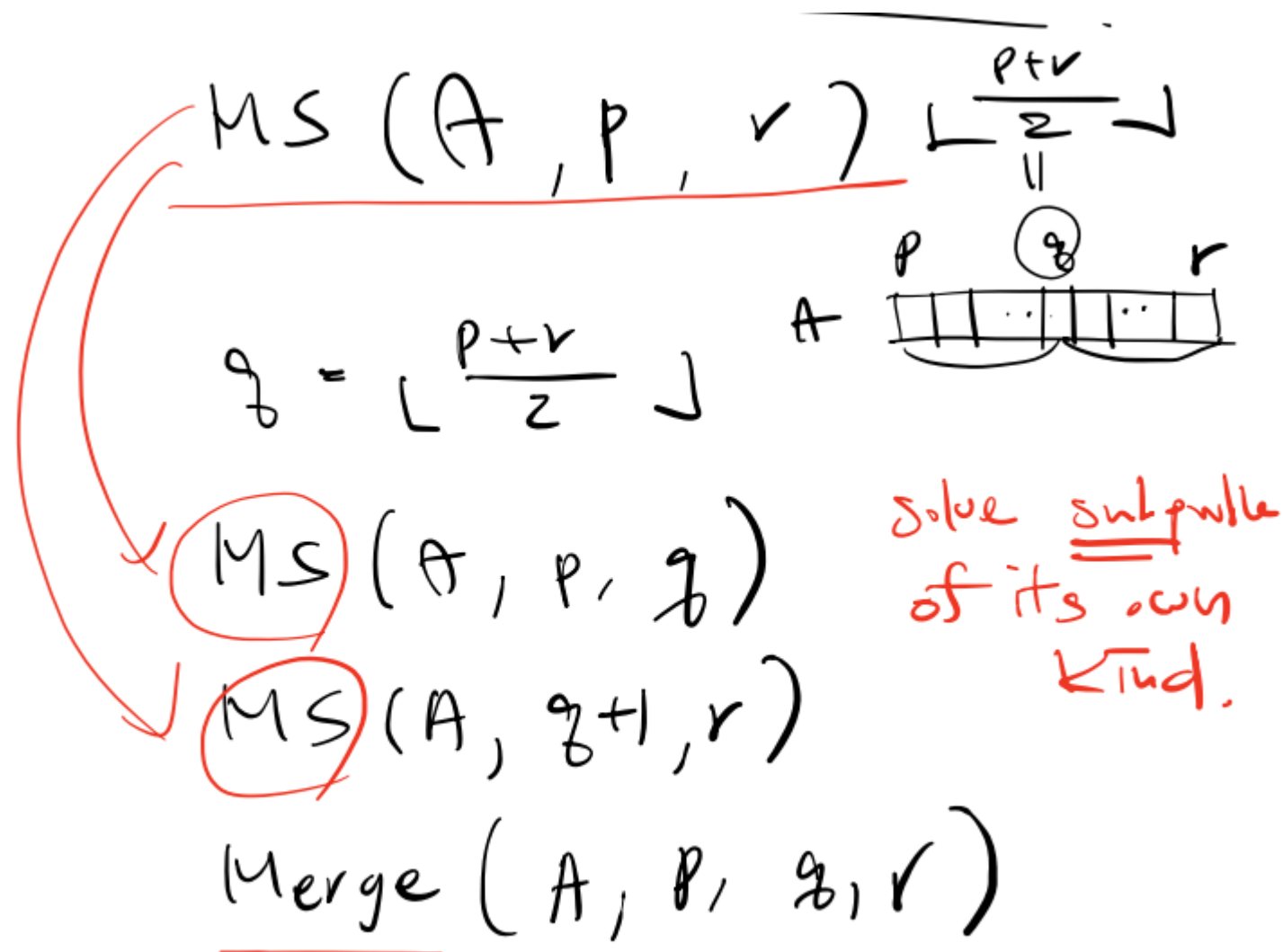
## Divide & Conquer Method

- Divide
  - Divide the problem into **sub-problem** of the same kind
- Conquer
  - Solve the problem, **recursively**.
- Combine
  - Combine solutions to form **original solution**



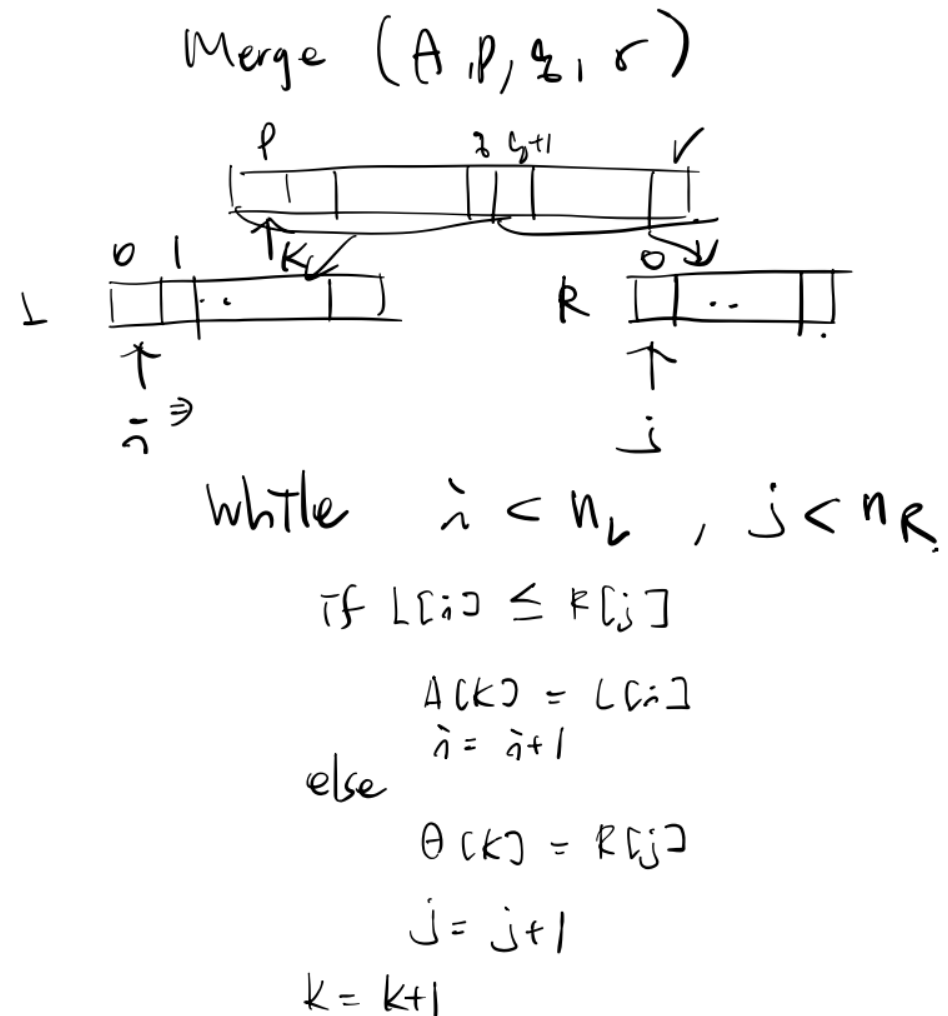


## Main Idea of Merge Sort Algorithm



## Merge

- Combine two sorted arrays to output a sorted combined array



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while  $\hat{n} < n_L$

$A[k] = L[\hat{n}]$

$\hat{n} = \hat{n} + 1$

$k = k + 1$

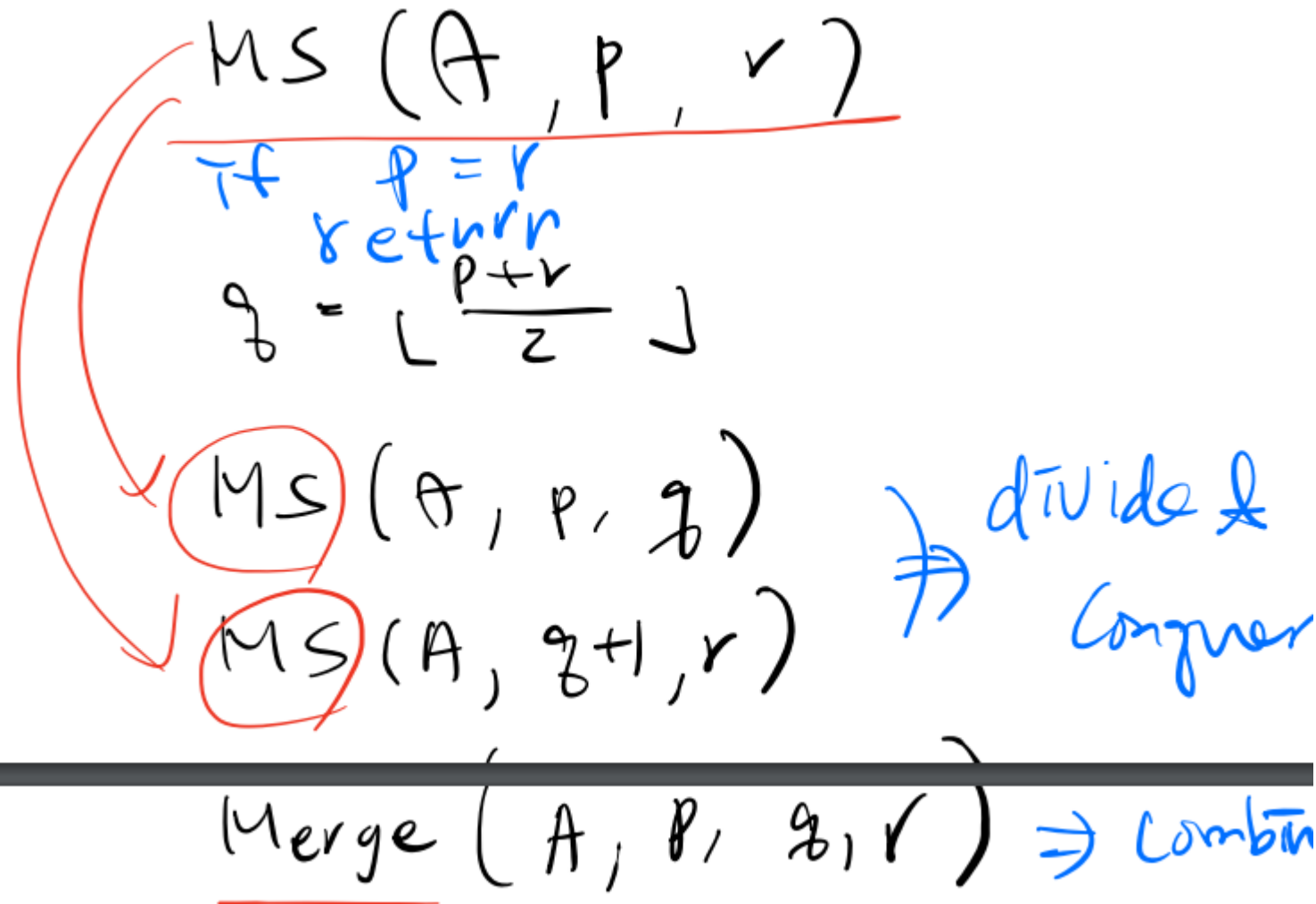
while  $\hat{j} < n_R$

$A[k] = R[\hat{j}]$

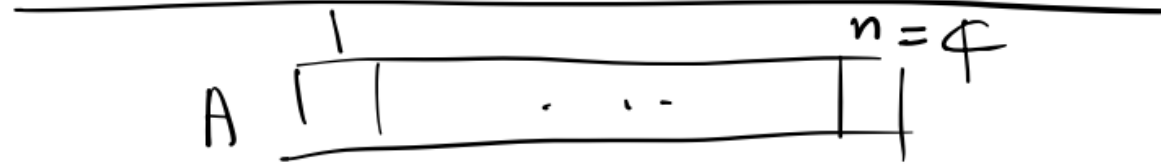
$\hat{j} = \hat{j} + 1$

$k = k + 1$

## Back to Merge Sort Algorithm



How it works:



$$\textcircled{1} \quad \frac{MS(A, 1, n=4)}{1 \neq 4}$$

$$q = 2$$

$$\textcircled{2} \quad \frac{MS(A, 1, 2)}{MS(A, 3, 4)}$$

$$\frac{MS(A, 3, 4)}{Merge(A, 1, 2, 4)}$$

$$Merge(A, 1, 2, 4)$$

$MS(A, 1, 2)$   
If  $1 \neq 2$

---

$q = 1$

$MS(A, 1, 1)$

$MS(A, 2, 2)$

Merge( $A, 1, 2$ )

③  $MS(A, 1, 1)$     ④  $MS(A, 2, 2)$

If  $1 = 1$

return

If  $2 = 2$

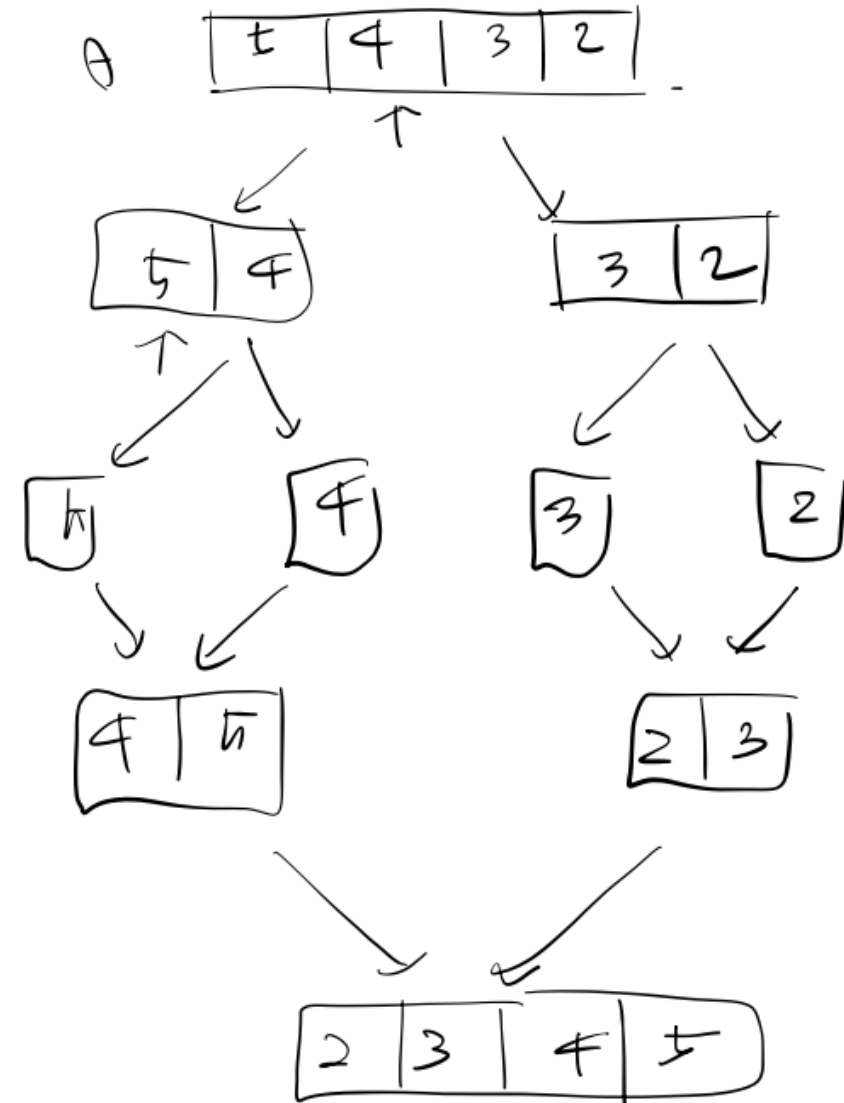
return

⑤ Merge (A, 1, 1, 2)





## Example of $n=4$ and how it works



## Complexity of Merge Process

```

MERGE( $A, p, q, r$ )
1   $n_L = q - p + 1$       // length of  $A[p : q]$ 
2   $n_R = r - q$           // length of  $A[q + 1 : r]$ 
3  let  $L[0 : n_L - 1]$  and  $R[0 : n_R - 1]$  be new arrays
4  for  $i = 0$  to  $n_L - 1$  // copy  $A[p : q]$  into  $L[0 : n_L - 1]$ 
5       $L[i] = A[p + i]$ 
6  for  $j = 0$  to  $n_R - 1$  // copy  $A[q + 1 : r]$  into  $R[0 : n_R - 1]$ 
7       $R[j] = A[q + j + 1]$ 
8   $i = 0$                 //  $i$  indexes the smallest remaining element in  $L$ 
9   $j = 0$                 //  $j$  indexes the smallest remaining element in  $R$ 
10  $k = p$                 //  $k$  indexes the location in  $A$  to fill
11 // As long as each of the arrays  $L$  and  $R$  contains an unmerged element,
    // copy the smallest unmerged element back into  $A[p : r]$ .
12 while  $i < n_L$  and  $j < n_R$ 
13     if  $L[i] \leq R[j]$ 
14          $A[k] = L[i]$ 
15          $i = i + 1$ 
16     else  $A[k] = R[j]$ 
17          $j = j + 1$ 
18      $k = k + 1$ 
19 // Having gone through one of  $L$  and  $R$  entirely, copy the
    // remainder of the other to the end of  $A[p : r]$ .
20 while  $i < n_L$ 
21      $A[k] = L[i]$ 
22      $i = i + 1$ 
23      $k = k + 1$ 
24 while  $j < n_R$ 
25      $A[k] = R[j]$ 
26      $j = j + 1$ 
27      $k = k + 1$ 

```

Size  $n$  complexity

$$4-11: \Theta(n_L + n_R) \\ = \Theta(n)$$

12-27:

comp:  $n/2$  at least

$\Theta(n)$   $n$  at most

copy:  $n$

$\Theta(n)$

Therefore, it takes  $\theta(n)$

## Complexity of Merge Sort: Recurrence Relation

```

MERGE-SORT( $A, p, r$ )

```

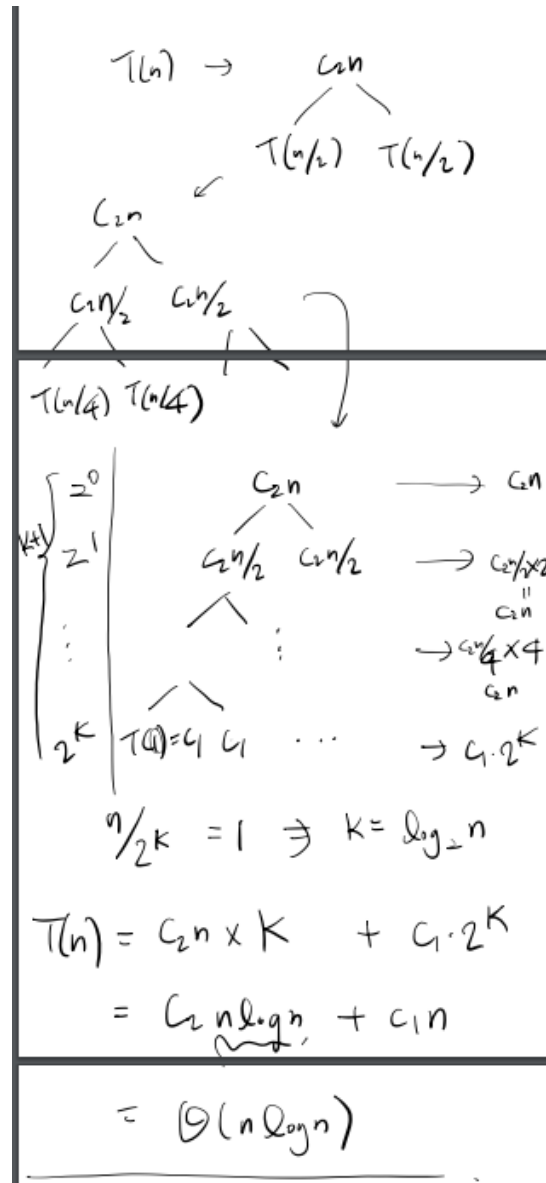
```

1  if  $p \geq r$                                 // zero or one element?
2      return
3   $q = \lfloor (p + r)/2 \rfloor$                         // midpoint of  $A[p : r]$ 
4  MERGE-SORT( $A, p, q$ )                        // recursively sort  $A[p : q]$ 
5  MERGE-SORT( $A, q + 1, r$ )                    // recursively sort  $A[q + 1 : r]$ 
6  // Merge  $A[p : q]$  and  $A[q + 1 : r]$  into  $A[p : r]$ .
7  MERGE( $A, p, q, r$ )

```

$$T(n) = \begin{cases} T(1) = C_1 : n=1 \\ 2T(n/2) + \underbrace{\Theta(n)}_{C_2 n} \end{cases}$$

## Complexity of Merge Sort: Time Complexity Calculation



Question?