

Chapter 14: Plasmons, Polaritons, and Polarons

E&M Stuff

In E&M, we extensively study two fields: the electric field \mathbf{E} and the magnetic field \mathbf{B} . We also have a vector

$$\mathbf{D} = \mathbf{E} + 4\pi\mathbf{P}$$

where \mathbf{P} is the polarization vector, and \mathbf{D} is the displacement vector. In a static field, we see that the divergence of the electric field

$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$$

is equivalent to the ratio of the charge density ρ and the permittivity of free space ϵ_0 . We also know that the curl

$$\nabla \times \mathbf{E} = 0$$

is zero as the electric field can be expressed as the gradient of a scalar (Hemholtz) potential. Looking at the displacement vector,

$$\nabla \cdot \mathbf{D} = 4\pi\rho_f$$

where in CGS units we define

$$\mathbf{D} = \epsilon\mathbf{E}$$

where the dielectric function $\epsilon(\omega, \mathbf{K})$ has a dependence on frequency and wave vector which makes it a difficult problem to solve.

Plasmon The total charge density

$$\rho = \rho_{\text{ext}} + \rho_{\text{ind}}$$

is the sum of the external charge density and the induced charge density. In CGS units, the divergence of the two fields are

$$\begin{aligned}\nabla \cdot \mathbf{D} &= \rho_{\text{ext}} \\ \nabla \cdot \mathbf{E} &= 4\pi(\rho_{\text{ext}} + \rho_{\text{ind}}) \\ &= 4\pi\rho\end{aligned}$$

We define the following

$$D(\mathbf{K}) = \epsilon(\mathbf{K})E(\mathbf{K})$$

so the divergence of the electric field and displacement vector are

$$\nabla \cdot \mathbf{E} = \nabla \cdot \left[\sum_{\mathbf{K}} \mathbf{E}(\mathbf{K}) e^{i\mathbf{K} \cdot \mathbf{r}} \right] = 4\pi \sum_{\mathbf{K}} \rho(\mathbf{K}) e^{i\mathbf{K} \cdot \mathbf{r}}$$

and

$$\nabla \cdot \mathbf{D} = \nabla \cdot \left[\sum_{\mathbf{K}} \epsilon(\mathbf{K}) \mathbf{E}(\mathbf{K}) e^{i\mathbf{K} \cdot \mathbf{r}} \right] = 4\pi \sum_{\mathbf{K}} \rho_{\text{ext}}(\mathbf{K}) e^{i\mathbf{K} \cdot \mathbf{r}}$$

dividing the two equations we find

$$\epsilon(\mathbf{K}) = \frac{\rho_{\text{ext}}(\mathbf{K})}{\rho(\mathbf{K})} = 1 - \frac{\rho_{\text{ind}}}{\rho(\mathbf{K})}$$

Free Electron In 1D, the EOM of an electron in an electric field is

$$m \frac{d^2 x}{dt^2} = -eE$$

where time dependence is harmonic i.e.

$$x = x_0 e^{-i\omega t} \\ \implies -\omega^2 m x_0 = -eE; \quad x_0 = \frac{eE}{m\omega^2}$$

The polarization, or dipole moment per unit volume of the electron, is

$$P = -n e x_0 = \frac{n e^2 E}{m\omega^2}$$

where n is the electron density. So the dielectric function is

$$\epsilon(\omega) = \frac{D}{E} = \frac{E + 4\pi P}{E} = 1 - \frac{4\pi n e^2}{m\omega^2}$$

We define the plasma frequency as

$$\omega_p^2 = \frac{4\pi n e^2}{m}$$

so

$$\epsilon(\omega) = 1 - \frac{\omega_p^2}{\omega^2}$$

Example In the background the dielectric constant $\epsilon(\infty)$ then

$$\epsilon(\omega) = \epsilon(\infty) \left[1 - \frac{\bar{\omega}_p^2}{\omega^2} \right]$$

where

$$\bar{\omega}_p^2 = \frac{4\pi n e^2}{m\epsilon(\infty)}$$

Electromagnetic wave

From the Poynting vector

$$\mathbf{S} = \mathbf{E} \times \mathbf{B}$$

Aside: 3 Types of Differential Equations

- The wave equation

$$A \nabla^2 f = \frac{\partial^2 f}{\partial t^2}$$

- The diffusion equation

$$D \nabla^2 f = \frac{\partial f}{\partial t}$$

- The Poisson equation

$$\nabla^2 f = A$$

For EM waves, the wave equation is

$$\frac{d^2 D}{dt^2} = c^2 \nabla^2 \mathbf{E}$$

where we have a solution

$$E \propto e^{i\omega t} e^{i\mathbf{K} \cdot \mathbf{r}} \quad \text{and} \quad \mathbf{D} = \epsilon \mathbf{E}$$

so the wave equation tells us the dispersion relation

$$\omega^2 \epsilon(\omega, \mathbf{K}) = c^2 K^2$$

This tells us some interesting things

- ϵ is real, $\epsilon > 0$, and for real K and ω the wave propagates transversely with phase velocity

$$v_p = \frac{c}{\sqrt{\epsilon}}$$

- If ϵ is real and $\epsilon < 0$, then K is imaginary and the wave is damped.
- If ϵ is complex and ω is real, \mathbf{K} is complex and is damped.

From the dispersion relation

$$\epsilon(\omega, \mathbf{K}) = 1 - \frac{\omega_p^2}{\omega^2}$$

if $\omega < \omega_p$ there is total reflection, and if $\omega > \omega_p$ the material is transparent.

Metal In a metal with positive charge density, we apply an electric field to slightly displace the electrons and cause them to oscillate. The EOM is

$$nm \frac{d^2 x}{dt^2} = -neE$$

This displaces the surface charge density $\sigma = \pm ne u$ or a capacitor. Using a gaussian pillbox at the two surfaces of the capacitor, we know that

$$E \cdot S = \frac{\sigma \cdot s}{\epsilon_0} = \frac{\sigma}{\epsilon_0}$$

and from Gauss's law

$$E = 4\pi n e u$$

so the wave equation is

$$\begin{aligned} nm \frac{d^2 u}{dt^2} &= -neE = -4\pi ne^2 u \\ \implies \frac{d^2 u}{dt^2} + \omega_p^2 u &= 0 \end{aligned}$$

where the frequency is

$$\omega_p = \sqrt{\frac{4\pi ne^2}{m}}$$

We can approximately find that for 10^{23} electrons per cubic centimeter (Avogadro's number) we get a frequency of roughly 10^{16} Hz, and the energy is roughly

$$\hbar \omega_p \approx \frac{10^{-34} \cdot 10^{16}}{10^{-19}} = 1 \text{ eV}$$

Experimentally we find that the plasmon energy is roughly 10 eV since the frequency is 10^{16} Hz.