

Heaps

Jonathan Windle

University of East Anglia

J.Windle@uea.ac.uk

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Overview I

1 Intro

2 Array implementation

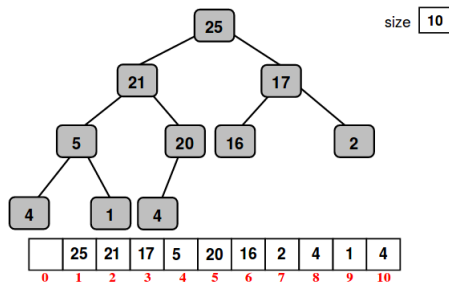
- Sift up
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3 Heap Sort

- It is a **complete binary tree**.
- Value at each node in a (max) heap is at least as large as the value at its children nodes.
- Let X be a totally ordered set. A heap on X is either empty or it is a **complete binary tree**, t , comprising $n_t \geq 1$ nodes to each node of which a value of X is assigned such that:
value of node $i \leq$ value of parent of node $i, i = 2, 3, \dots, n_t$.
- The **size** of a heap is the number of nodes in the tree.

Array implementation

- The root is stored in `heapArray[1]`.
- If the value of a node, `s`, is stored in `heapArray[i]`, then the value of `s` is stored in `heapArray[2 × i]` and the value of the right child of `s` is stored in `heapArray[2 × i + 1]`.



Sift up

```
void siftUp (Comparable [] a, int k, int m) {  
    // Value just added  
    Comparable v = a[k];  
    // Index to last element  
    int j = k;  
    // Index to parent of added element  
    int i = j/2;  
    // While parent is greater than the element added  
    while (i > 0 && a[i].compareTo(v) < 0) {  
        // Swap elements around  
        a[j] = a[i];  
        j = i;  
        i = j/2;  
    }  
    a[j] = v;  
}
```

Sift Down

```
void siftDown (Comparable [] a, int k, int m) {  
    // m is the size of the heap  
    // Start at i  
    Comparable v = a[k];  
    int max_index;  
    Comparable maxCh;  
    // i starts at 1  
    int i = k;  
    // Determine that the node has at least one child  
    boolean more = m >= 2*i;  
    while(more) {  
        // Get position of the child that's bigger  
        max_index = maxChild(a,i,m);  
        maxCh = a[max_index];  
        // If the item is smaller than the max child, swap, otherwise stop.  
        if (v.compareTo(maxCh) < 0) {  
            // Swap  
            a[i] = maxCh;  
            i = max_index;  
            // Determine that the node has children  
            more = m >= 2*i;  
        }  
        else  
            more = false;  
    }  
    a[i] = v;  
}
```

- delete:

```
void deleteMax() {  
    // Replace with last element added, reduce size  
    heapArray[1] = heapArray[size--];  
    // Sift that element down to replace max to the top  
    siftDown(heapArray, 1, size);  
}
```

- insert:

```
void insert(Comparable item) {  
    if(size == maxSize)  
        // Double array size  
    // increase size and add to the end of the array  
    heapArray[++size] = item;  
    // Sift up to restore heap quality  
    siftUp(heapArray, size, size);  
}
```

Heapify

- This is taking an array and turning it into a heap
- $O(n \log n)$:
 - Simply add all of the array elements to the `heapArr` and then iterate through calling `siftUp()` on all of them elements.
- $O(n)$:
 - Add all of the array elements to the `heapArr` with height of underlying tree being l .
 - Make each of the subtrees whose roots are at level $l - 1$ into a heap, using `siftDown()`.
 - Repeat this process for every subtree rooted at each previous level down to and including 0.

Heap Sort

- To sort n values using HeapSort:
 - ① Construct a heap from the n values using the $O(n)$ heapify method.
 - ② Output the value at the top (largest value)
 - ③ Then deleteMax() to remove it.
 - ④ Repeat step 2 until all are outputted.
- Run time complexity is:

$$c_1 n + \sum_{i=1}^n (c_2 + c_3 \log i)$$

Which is $O(n \log n)$

The End