

LUDWIG-MAXIMILIANS-UNIVERSITÄT
MÜNCHEN

Bachelors Thesis

The role of excited atomic states in multiphoton ionization

Johannes Porsch



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Abstract

Multiphoton ionization of atoms in strong laser fields is a fundamental process in attosecond physics. In this work, we extend the strong-field approximation (SFA) by incorporating the influence of excited atomic states on ionization rates. Standard SFA formulations neglect these excited states, assuming that the laser field has no effect on the atom before ionization. However, in intense few-cycle laser pulses, the Stark shift and transient population of excited states can significantly modify ionization dynamics. We numerically solve the time-dependent Schrödinger equation (TDSE) using the tRecX code to extract time-dependent probability amplitudes for hydrogen's ground and excited states. These amplitudes are then integrated into the SFA formalism to evaluate their impact on ionization rates.

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1. Introduction

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2. Theory

Convention: Ψ for an abstract state, ψ for a wavefunction in position space, ϕ for a wavefunction in momentum space, \mathbf{A} for abstract vector as element in vector space and \mathbf{x} for vector in coordinate space, $|\Psi\rangle$ an abstract element in Hilbert space, $|\Psi_0\rangle$ the ground state of Hydrogen and $|\Psi_n\rangle$ the Eigenstates of the field free Hamiltonian. This chapter mainly follows [1]

2.1 Basic Formalism

Our goal is to come up with an expression where we can

2.1.1 Schrödinger Equation

Basic Definitions of Schrödinger equation, Dyson series, and strong field S matrix

We want the time evolution of a quantum system in the presence of an external time dependent field in order to describe the strong field ionization later on. The time evolution of a quantum system is given by the time dependent Schrödinger equation and a general hamiltonian

$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H}(t) |\Psi(t)\rangle. \quad (2.1)$$

The formal solution depends on the time dependence of the hamiltonian and the physical setting. In the following we assume ¹ (IMPORTANT) that $[\hat{H}(t), \hat{H}(t')] = 0$ so we assume some sort of quasi static approximation to the Hamiltonian's time evolution. The solution is then given by

$$|\Psi(t)\rangle = e^{-\frac{i}{\hbar} \int_0^t \hat{H}(t') dt'} |\Psi(t=0)\rangle = \hat{\mathcal{U}}(t) |\Psi(t=0)\rangle \quad (2.2)$$

Now it's time to establish a physical setting. We have Hydrogen Atom with nucleus and electron described by time independent Hamiltonian \hat{H}_0 . The external laser field is described by an time dependent part $\hat{V}(t)$. To describe the interaction of the atom with the laser field we use in the following the dipole approximation.

¹How? Later. No physical setting bzw no approximations yet. It's better to justify it later but have a working formalism instead of the other way around.

2.1.2 Light-Matter Interaction

A light wave is defined by the Maxwell equations

$$\begin{aligned}\nabla \cdot \mathbf{E} &= \rho & \nabla \times \mathbf{E} &= -\frac{\partial \mathbf{B}}{\partial t} \\ \nabla \cdot \mathbf{B} &= 0 & \nabla \times \mathbf{B} &= \mathbf{J} + \frac{\partial \mathbf{E}}{\partial t}\end{aligned}$$

The Maxwell equations are being solved by

$$\begin{aligned}\mathbf{E} &= -\nabla\varphi - \frac{\partial \mathbf{A}}{\partial t} \\ \mathbf{B} &= \nabla \times \mathbf{A}\end{aligned}\tag{2.3}$$

For these solutions we introduced the vector potential $\mathbf{A}(\mathbf{x}, t)$ and the scalar potential $\varphi(t)$. These are not unique such that different choices can result in the same physical setting. In general

$$\begin{aligned}\mathbf{A} &\rightarrow \mathbf{A} + \nabla\chi \\ \varphi &\rightarrow \varphi - \frac{\partial\chi}{\partial t}\end{aligned}$$

also fulfill the Maxwell equations while $\chi(t)$ is an arbitrary smooth scalar function. The arbitrariness of χ is known as gauge freedom and a direct consequence of the Maxwell equations. Choosing a gauge (i.e., a specific χ) is a matter of convenience and can be used to simplify the calculations as presented in the following.

2.1.3 Dipole Approximation

Very important approximation. The dipole approximation is valid when the wavelength of the optical field is much larger than both the size of the relevant bound electron states and the maximum displacement of a free electron during the light-matter interaction. Additionally, it assumes that the magnetic field of the light has a negligible effect on the electron's motion, meaning the velocities of the charged particles must be nonrelativistic.

To see where exactly one makes this assumption, first we rewrite the Maxwell equations in the dependence of the vector potential and the scalar potential as defined in (2.3). This will result in two coupled differential equations, what does not bring us any further. However we are interested in making a simple expression for the vector potential \mathbf{A} . We achieve this by choosing a certain gauge, the so called Lorentz gauge

$$\partial_\mu \mathbf{A}^\mu = 0 \quad \text{or} \quad \nabla \cdot \mathbf{A} + \frac{\partial\varphi}{\partial t} = 0$$

This can be achieved by solving the inhomogeneous wave equation for χ that comes up when doing this calculation explicitly and is possible when \mathbf{A} and φ are known. Now the Maxwell equations are uncoupled and can be written as

$$\begin{aligned}\nabla^2\varphi - \frac{\partial^2\varphi}{\partial t^2} &= \rho \\ \nabla^2\mathbf{A} - \frac{\partial^2\mathbf{A}}{\partial t^2} &= \mathbf{J}\end{aligned}$$

We are mainly interested in the second equation. The equation is known as the wave equation therefore \mathbf{A} describes plane waves

$$\mathbf{A}(\underline{\mathbf{x}}, t) = \mathbf{A}_0 e^{i(\underline{\mathbf{k}} \cdot \underline{\mathbf{x}} - \omega t)}$$

The dipole approximation is mathematically speaking just the leading term in Taylor expansion of $e^{i\underline{\mathbf{k}} \cdot \underline{\mathbf{x}}}$. The vector potential is therefore independent of the spatial coordinates and can be written as

$$\mathbf{A}(\underline{\mathbf{x}}, t) \approx \mathbf{A}_0 e^{-i\omega t} = \mathbf{A}(t)$$

In other words

$$\mathbf{B} = \nabla \times \mathbf{A} \approx 0$$

Therefore we can rewrite the time dependent part \hat{V} of our Hamiltonian (HOW??) as

$$\hat{V}(t) = -\hat{\mathbf{d}} \cdot \mathbf{E}(t)$$

where $\hat{\mathbf{d}}$ is the dipole operator and $\mathbf{E}(t)$ is the electric field.

2.2 Strong Field Approximation

For making the strong field approximation we first have to obtain a point where it is good to use. When we treat $\hat{V}(t)$ as the interaction term, we can write an exact solution to (2.1)

$$|\Psi(t)\rangle = -i \int_{t_0}^t dt' e^{-\frac{i}{\hbar} \int_{t'}^t \hat{H}(t'') dt''} \hat{V}(t') e^{-\frac{i}{\hbar} \int_{t_0}^{t'} \hat{H}(t'') dt''} |\Psi(t=0)\rangle + e^{-\frac{i}{\hbar} \int_{t_0}^t \hat{H}(t') dt'} |\Psi(t=0)\rangle \quad (2.4)$$

as can be checked by inserting the solution into the Schrödinger equation using the parameter Integral trick.

2.3 Strong Field Ionization

Derivation of

$$\lim_{t \rightarrow \infty} |\Psi(t)\rangle = -i \int d^3p |\mathbf{p}\rangle \int_{-\infty}^{\infty} dt' e^{-\frac{i}{2} \int_{t'}^{\infty} [\mathbf{p} + \mathbf{A}(t')]^2 dt'} e^{iI_{\mathbf{p}} t'} \langle \mathbf{p} + \mathbf{A}(t') | \hat{\mathbf{d}} \cdot \mathbf{E}(t') | \Psi_0 \rangle \quad (2.5)$$

2.4 Multiphoton Ionization

Different types of Ionization, tunneling Ionization, multiphoton

3. Ionization Model

3.1 TIPTOE

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4. Numerical Methods

4.1 tRecX

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4.2 Python Implementation of Ionization Model

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5. Results and Discussion

5.1 Laser Fields

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$$\partial_t u = \mathcal{H}(t)\lambda \quad (5.1)$$

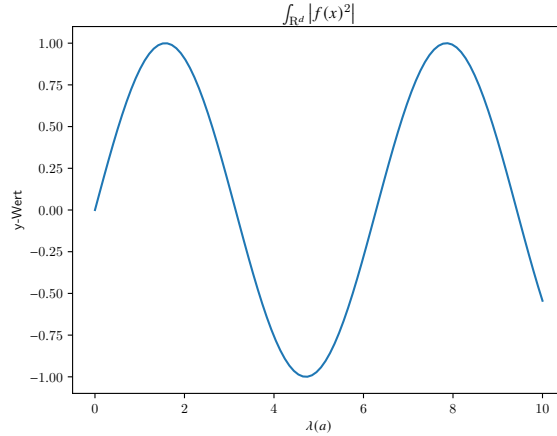


Figure 5.1: Sine function

$$\partial \mathbf{A} = \mathfrak{B}$$

$$\int_{\mathbb{R}^d} |f(x)|^2 \, dx = \int_{\mathbb{R}^d} |\mathcal{F}f(\xi)|^2 \, d\xi \quad (5.2)$$

$$\mathfrak{i}\partial_t u = \mathcal{H}(t) \, |a\rangle \, \lambda \quad (5.3)$$

6. Conclusion and Outlook

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A. Appendix A

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- [2] Seung Beom Park, Kyungseung Kim, Wosik Cho, Sung In Hwang, Igor Ivanov, Chang Hee Nam, and Kyung Taec Kim. Direct sampling of a light wave in air. *Optica*, 5(4):402–408, Apr 2018.

Hiermit erkläre ich, die vorliegende Arbeit selbständig verfasst zu haben und keine anderen als die in der Arbeit angegebenen Quellen und Hilfsmittel benutzt zu haben.

München, den 20.6.2025

Unterschrift