A Survey of Evaluation in Music Genre Recognition

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Abstract. Much work is focused upon music genre recognition (MGR) from audio recordings, symbolic data, and other modalities. While reviews have been written of some of this work before, no survey has been made of the approaches to evaluating approaches to MGR. This paper compiles a bibliography of work in MGR, and analyzes three aspects of evaluation: experimental designs, datasets, and figures of merit.

1 Introduction

Despite much work [1–467], music genre recognition (MGR) remains a compelling problem to solve by a machine. In addition to many background chapters of master's theses [39, 79, 113, 132, 153, 154, 188, 193, 239, 361, 367, 371, 418] and doctoral dissertations [9, 141, 146, 280, 284, 290, 320, 341, 342, 381, 427, 447] at least five reviews are devoted specifically to MGR [23, 85, 123, 241, 373], and 19 other reviews discuss related aspects [24, 25, 51, 71, 84, 100, 101, 152, 181, 198, 224, 233, 270, 282, 315, 398, 423, 441, 442]. Many of these reviews compile the variety of feature extraction methods and classification algorithms that have been applied to MGR, and some compare system performance using specific figures of merit (FoM) on particular benchmark datasets. There have also been no fewer than 10 campaigns to formally evaluate and compare state-of-the-art algorithms for MGR [170, 171, 293–299, 316]. However, the variety of approaches used for evaluating performance in MGR has yet to be surveyed. *How does one measure the capacity of a system — living or not — to recognize and discriminate between abstract characteristics of the human phenomenon of music?*

There currently exists at least eleven works [77, 78, 116, 117, 246, 320, 404, 409, 410, 433, 449] that address the difficult but clearly relevant question of how to evaluate the performance of MGR systems, not to mention how to properly create a dataset from which a machine is to learn an abstract and high-level concept such as genre [468, 469]. A few works critically address evaluation in MGR. For instance, [77, 78, 409, 410] argue for more realistic approaches than having a system apply a single label to music, and comparing against a "ground truth" — which itself can be quite wrong [404, 408]. Furthermore, [77, 78, 246, 449] argue for measuring performance in ways that take into account the natural ambiguity arising from genre.

In this paper, we take a different direction to answer the question we pose above. We review a significant portion of published research touching upon aspects of evaluation in MGR. We consider all work that is based upon recorded music, and/or symbolic representations of the music, e.g., MIDI, and/or other modalities, e.g., lyrics, album covers, user tags, movie scenes, etc. We do not, however, consider work addressing the more general problem of "tagging," e.g., [470]. While we consider both "genre" and "style," and make no attempt to differentiate them, we do not include "mood" or "emotion," e.g., [471]. We are herein interested only in the ways systems for MGR are evaluated, be they algorithms, humans [79,169, 201,258,261,262,278,290,366,367,370,381,383,460], pigeons [347], sparrows [439, 440], koi [58], primates [278] or rats [317]. To facilitate this survey, we created a spreadsheet summarizing every relevant paper we found in terms of its experimental design, details of the datasets it uses, and the figures of merit it reports. This resource provides a simple means to delimit sets of references sharing particular aspects of evaluation. The bibliography file we assembled for this work is available here: http://imi.aau.dk/~bst/research/MGRbibliography.bib; and the spreadsheet we created identifying the characteristics of the references is available here: http://imi.aau.dk/~bst/research/MGRspreadsheet.xlsx.

Figure 1 shows how the number of the works we reference is distributed since the 1995 work of Matityaho and Furst [271] — before which we have only found the 1984 work of Porter and Neuringer [347]. Many papers allude to the 2002 article of Tzanetakis and Cook [426] as the beginning of research in automatic MGR. We find their manuscript (received Nov. 2001 and growing from [425]) is preceded by seventeen works [44,53,83,89,91,132,148,204,270,271,346,348,350, 401,443,472,473], and is contemporary with nine works [22,79,176,193,202,218, 351,385,448]. The dataset created by Tzanetakis and Cook for [426], however, is the first "benchmark" MGR dataset to have been made publicly available, and as a result continues to be the most used public dataset for MGR.

In our analysis, we do not include [474–479] as they are written in Turkish, and [472] as it is written in German, and we can read neither. We could not
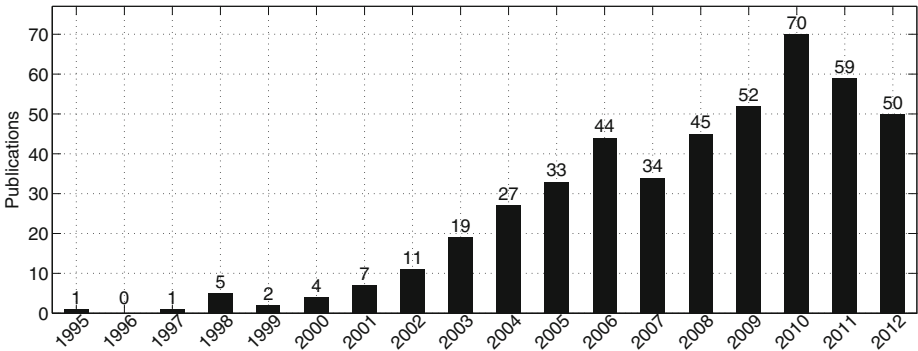
Fig. 1. Annual numbers of publications in this survey.
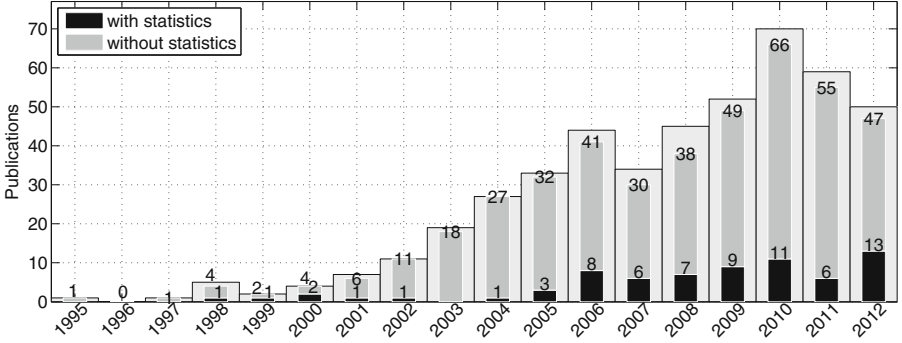
Fig. 2. Annual numbers of publications in this survey having an experimental component (top number), and which use any form of statistical testing for making comparisons (bottom number).

obtain [473, 480, 481], and so do not include them in the analysis. Finally, we neither analyze nor cite seven published works because of plagiarism.

2   Evaluation Approaches in Music Genre Recognition

We now catalogue approaches to evaluation in MGR along three dimensions — experimental design, datasets, and figures of merit (FoM) — and present summary statistics of each. Experimental design is the method employed to answer a specific hypothesis, e.g., in the case of MGR, "System A recognizes 'Blues'." The dataset is simply the collection of data used in the experiment. A FoM quantifies the performance of a system in the experiment, e.g., accuracy. Figure 2 shows how the number of works having an experimental component is distributed over the years. Compared to Fig. 1, the remaining works are reviews, or primarily concerning evaluation.

2.1   Experimental Design

Table 1 describes the ten different experimental designs we find, along with their appearance in the literature. We see that the most common experimental design to test MGR systems is *Classify*. More than 91 % (397)[1] of the referenced work having an experimental component (435) uses such a design [1–9, 11–21, 26–43, 45, 47–50, 52–56, 58–60, 62–65, 68–70, 72–76, 79–83, 86–90, 92–99, 102–106, 108–122, 124–135, 137–148, 150, 151, 153–165, 167–172, 174–180, 182–197, 199–202, 204–217, 219–223, 225–232, 234, 235, 238–240, 242–261, 263–269, 271–281, 283–287, 289–301, 303, 305–307, 309–313, 317, 319–333, 335–349, 352–359, 361–364, 366–372, 375–383, 385–393, 395–397, 399, 401–403, 405–407, 409–412, 414, 416, 418–422, 424–433, 435–440, 443–445, 447, 448, 450–465, 467]. For instance, Matityaho and Furst [271] test

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[1] Numbers in parentheses are the number of works in the references.

Table 1. Ten experimental designs of MGR, and the percentage of references having an experimental component (435) in which they appear

Design	Description	%
Classify	To answer the question, "How well does the system identify the genres used by music?" The system applies genre labels to music, which researcher then compares to a "ground truth"	91.3
Features	To answer the question, "At what is the system looking to identify the genres used by music?" The system ranks and/or selects features, which researcher then inspects	32.6
Generalize	To answer the question, "How well does the system identify genre in varied datasets?" *Classify* with two or more datasets having different genres, and/or various amounts of training data	15.9
Robust	To answer the question, "To what extent is the system invariant to aspects inconsequential for identifying genre?" The system classifies music that researcher modifies or transforms in ways that do not harm its genre identification by a human	6.9
Eyeball	To answer the question, "How well do the parameters make sense with respect to identifying genre?" The system derives parameters from music; researcher visually compares	6.7
Cluster	To answer the question, "How well does the system group together music using the same genres?" The system creates clusters of dataset, which researcher then inspect	6.7
Scale	To answer the question, "How well does the system identify music genre with varying numbers of genres?" *Classify* with varying numbers of genres	6.7
Retrieve	To answer the question, "How well does the system identify music using the same genres used by the query?" The system retrieves music similar to query, which researcher then inspects	4.4
Rules	To answer the question, "What are the decisions the system is making to identify genres?" The researcher inspects rules used by a system to identify genres	3.7
Compose	To answer the question, "What are the internal genre models of the system?" The system creates music in specific genres, which the researcher then inspects	0.7

a neural network trained to discriminate between classical and pop music. They extract features from the audio, input them to the neural network, and compare the output labels against those they assigned to the excerpts of their dataset. Almost all of the experimental work that applies *Classify* employ a single-label approach, but at least ten employ a multi-labeling approach [31, 255, 258, 280, 367–369, 373, 415, 437]. For instance, McKay [280] looks at how genres at both root and leaf nodes are applied by his hierarchical approach to classification.

One extension of the *Classify* experimental design is *Generalize* [1, 2, 9, 13, 15, 16, 19, 30, 31, 38, 45, 55, 58, 62, 66, 75, 82, 94, 97, 128, 137, 146, 153, 161–164, 199, 200, 209, 214, 218, 222, 223, 225, 226, 231, 238, 240, 249, 262, 268, 269, 285, 287, 289, 290, 302, 303, 307, 312, 313, 319, 320, 327, 335, 341, 347, 349, 361, 364, 374, 378, 426, 427, 435, 439, 440, 461]. For instance, Porter and Neuringer [347] test whether pigeons trained to discriminate between music by J. S. Bach and Stravinsky are able to discriminate between music by composers contemporary with J. S. Bach (Buxtehude and Scarlatti) and Stravinsky (Carter and Piston). Another extension of *Classify* is *Scale* [9, 13, 14, 19, 45, 48, 49, 53, 62, 68, 83, 94, 132, 144, 199, 225, 226, 238, 261, 275, 280, 303, 320, 336, 337, 339–341, 390]. For instance, Chai and Vercoe [53] test their system on various class pairs from their dataset of three folk music genres, as well as on all three classes together.

The second most-used experimental design is *Features* [1, 7, 9, 16, 17, 26, 27, 33–35, 37, 43, 48, 49, 53, 68, 69, 72, 93, 95, 102, 103, 105, 109, 115, 122, 126, 127, 139, 141, 143, 144, 146, 153, 157–160, 179, 182–184, 187–189, 192, 196, 197, 199, 200, 211–213, 219–221, 226–230, 232, 236–238, 240, 242, 244, 245, 247, 249–252, 272–277, 280, 281, 283–287, 289–291, 300–303, 307, 309, 320, 327, 333, 337, 340, 341, 345, 361, 362, 364, 370, 371, 376, 377, 379, 385, 387, 390, 393, 396, 397, 401, 403, 406, 417, 420, 421, 425–427, 430, 432, 434, 436, 438, 443, 447, 451, 454–456, 460, 462, 464, 465, 467]. We do not include in this experimental design work that performs feature selection without an interpretation of the results. For instance, Tzanetakis et al. [425] use *Classify* in comparing rhythmic features (statistics of an autocorrelation of wavelet decomposition) and timbral features (spectral centroid, rolloff, etc.). On the other hand, Yoon et al. [459] explore two different feature selection approaches using *Classify*, but do not discuss or list the selected features. Akin to *Features* is a fifth design, *Rules*, which appears in at least sixteen works [3, 5, 13, 14, 26, 42, 43, 70, 94, 98, 139, 303, 308, 340, 341, 434]. For instance, Bickerstaffe and Makalic [43] look at a decision stump that discriminates "rock" and "classical" music. As another example, Abeßer et al. [5] provide the details of a decision tree algorithmically built for discriminating between 13 genres.

Another experimental design is *Cluster* [22, 33, 66, 67, 72, 107, 126, 136, 189, 196, 218, 236, 237, 242, 253, 261, 301, 302, 304, 318, 320, 334, 350, 351, 365, 415, 417, 430, 438]. For instance, Rauber and Frühwirth [350] employ the self-organizing map method with features extracted from 230 music excerpts, and analyze the contents of the resulting groupings. We find that both *Classify* and *Cluster* are used in about 2.6 % (12) of the experimental work [33, 72, 126, 189, 196, 242, 253, 261, 301, 320, 430, 438]. A seventh experimental design is *Retrieve*, which appears in at least 19 works [10, 46, 57, 61, 86, 118, 119, 121, 203, 222, 232, 262, 320, 348, 384, 388, 446, 447, 466]. For instance, Kuo and Shan [203] incorporate style recognition into their music retrieval system.

An eighth experimental design is *Eyeball*, which appears in at least 29 works [26, 29, 44, 83, 91, 105, 146, 149, 155, 166, 173, 189, 218, 242, 259, 261, 288, 300, 302, 304, 310, 314, 320, 358, 360, 402, 403, 413, 463]. For instance, Dannenberg et al. [83] visually inspect class separability for a few pairs of features to explore the reason for a discrepancy in performance in identifying style between an expert approach

and machine learning approach. Bigerelle and Iost [44] visually compare means of fractal dimensions computed from several musical excerpts of various genres.

A ninth experimental design is *Robust* [3, 10, 21, 27, 38, 48, 49, 52, 55, 58, 75, 79, 131, 142, 200, 235, 247, 267, 268, 290, 313, 320, 333, 347, 387, 388, 401, 409, 428, 439]. For instance, Porter and Neuringer [347] test whether pigeons that have been taught to discriminate between music by J. S. Bach and Hindemith demonstrate their ability regardless of excerpt content and loudness. Soltau et al. [401] investigate the variability of their system using *Classify* by using features computed from excerpts of several durations. Burred and Lerch [48] consider the effect of noise and filtering in feature extraction using *Classify*.

The final experimental design we consider is *Compose*, which appears in only three works [80, 82, 409]. For instance, Cruz-Alcáza and Vidal-Ruiz [80, 82] invert their music style identification system to compose music in the styles it has learned, which the authors then qualitatively evaluate. While Cruz-Alcáza and Vidal-Ruiz do not directly use this as a means to assess the extent to which their system has learned a style, [409] shows by a formal listening test that excerpts composed to be genre-representative by two high-accuracy MGR systems embody little in common with what is commonly held to be characteristic of those genres.

The bias that results from training and testing MGR systems using music data from the same artist and/or excerpted from the same album are well-documented, e.g., [117–119, 319]. Among the 435 works that include experimental work, we find that only 8.3 % (36) explicitly mention the use of an artist or album filter [30, 57, 74–76, 117–119, 153, 174, 187, 194, 209, 222, 225, 239, 254, 262, 266, 319, 320, 349, 353, 355, 367–369, 376, 378, 381, 383, 384, 401, 418, 447, 461], or attempt to apply one to datasets without known artists [382]. The earliest article applying an artist filter is from 1998 by Soltau et al. [401].

We find that at least twelve works use human evaluation in the analysis of the experiment [22, 46, 80, 82, 83, 260, 320, 347, 409, 410, 434, 447]. For instance, Dannenberg et al. [83] discuss the performance of their system in a live-performance context. Cruz-Alcáza and Vidal-Ruiz [80] rate the quality of the melodies composed by their style recognition system. And Pampalk [320] uses a formal listening test to show genre labels are strongly correlated with perceptual similarity.

Figure 1 shows the number of experimental works employing formal statistics over each year. Only 16.5 % (72) of the experimental work we survey contains formal statistical testing [9, 15, 25, 27, 37, 44, 46, 58, 68, 75, 79, 114–117, 121, 122, 124, 131, 132, 145, 146, 169, 174, 201, 221, 252, 258, 272, 273, 275, 277, 278, 283–285, 289–291, 295–299, 304, 308–310, 314, 317, 320, 333, 337, 341, 349, 357, 377, 384, 395, 397, 409–412, 422, 434, 439, 440, 444, 447, 457, 466]. For instance, Flexer [116] provides excellent argumentation for the need for statistical testing in music information research, and provides a demonstration of its use in comparing the performance between two MGR systems. We find half of the work using living subjects (11 of 22) employ formal statistical tests [58, 79, 131, 169, 201, 258, 278, 290, 317, 439, 440]. For instance, Chase [58] uses a one-tailed paired t-test of percentages of non-responses of koi fish to test the null hypothesis that the koi are unable to discriminate between music that uses Blues or Classical genres.

Nearly half (213) of the experimental work we survey employs only one experimental design from Table 1. For instance, in several formal MGR challenges [170, 171, 293–299], performance is evaluated only by *Classify*. We find about 32 % (142) of the work we survey employ two experimental designs. For instance, Golub [132] uses *Classify* to test his MGR system for a three-genre problem, and then uses *Scale* to observe how its behavior changes when he augments the dataset with four other genres. More than 18 % (80) employ more than two experimental designs. For instance, the only two experimental designs not used by Pampalk [320] are *Rules* and *Compose*.

2.2   Datasets

We find that of the works we survey having experimental components (435) over 58 % (253) use private data [1–5, 7–11, 13–16, 18, 19, 22, 26, 28–31, 34, 40, 43–49, 53, 56–58, 62–70, 72, 73, 79–83, 87–89, 91–99, 104, 105, 109–111, 118–120, 125–128, 130–138, 142–146, 148–151, 154, 156–160, 163, 164, 166, 169, 172, 173, 175, 176, 178–180, 184–188, 190, 191, 193, 196, 197, 199–205, 207, 209–211, 217–221, 225, 226, 228, 229, 231, 232, 242, 243, 245–253, 255, 257–261, 266, 271–275, 277, 281, 287–292, 300–305, 308, 312, 313, 317–320, 327–331, 334–342, 344, 346–348, 350, 358, 360, 361, 363–365, 372, 374, 385–387, 389, 390, 401, 413, 416, 418, 425–435, 437–440, 443–448, 452, 453, 455, 458, 459, 462–465, 467]. Of those works that use private data, we find over 75 % (191) exclusively use private data. Some work provides a detailed description of the composition of the data such that one can recreate it. For instance, Tsatsishvili [418] lists the 210 names of the albums, artists, and songs in his dataset. Schedl et al. [374] provide a URL for obtaining the list of the artists in their dataset, but the resource no longer exists. Mace et al. [258] also provide a list, but since they only list the song and artist name uncertainty arises, e.g., which recording of "The Unanswered Question" by Ives do they use? It is impossible to recreate the dataset used in [48, 49] since they only state that they assemble 850 audio examples in 17 different genres. We find that about 51 % (224) of the works we survey having experimental components use datasets that are publicly available. Of these, over 79 % (177) only use public data.

Table 2 lists 18 publicly available datasets used in the work we survey. *GTZAN* appears in 23 % (100) of the work having an experimental component [6, 12, 15, 17, 19, 27, 33, 35–39, 41, 46, 55, 59–61, 94, 103, 121, 122, 124, 129, 146, 147, 153, 155, 161, 162, 182, 183, 195, 200, 206, 214, 222, 223, 226, 227, 230–232, 234, 235, 238–240, 243, 244, 249, 263–265, 269, 276, 303, 306, 321–326, 352, 356, 357, 361, 362, 364, 371, 377, 379–382, 384, 387, 388, 405–407, 409–412, 416, 419–422, 426, 427, 450, 451, 454, 456, 457, 461, 466]. This dataset has only recently been analyzed and shown to have faults [408]. The second most-used publicly available dataset is that created for the 2004 Audio Description Contest of ISMIR [170], which appears in 76 works [15, 32, 45, 50, 75, 94, 114, 116, 117, 161, 162, 167, 170, 174, 189, 208, 209, 212–216, 222, 223, 225, 238–240, 242, 243, 256, 264–268, 276, 290, 303, 311, 319–327, 332, 342, 343, 345, 357, 359, 366, 367, 370, 377, 379, 381, 382, 384, 395–397, 402, 403, 412, 417, 424, 436, 450, 457, 460, 461]. Datasets derived from Magnatune, e.g., Magnatagatune [485] but excepting *ISMIR2004* [170], appear in

Table 2. Datasets used in MGR, the type of data they contain, the references in which they are used, and the percentage of experimental work (435) that use them. All datasets listed after *Private* are public.

Dataset	Description	%
Private	Constructed for research but not made available; used in: *see text*	58.2
GTZAN	Audio; http://marsyas.info/download/data_sets; used in: *see text*	23.0
ISMIR2004	Audio; http://ismir2004.ismir.net/genre_contest; used in: *see text*	17.4
Latin [394]	Features; http://www.ppgia.pucpr.br/~silla/lmd/; used in [74–76, 97, 102, 242, 254, 267, 268, 295–299, 377, 391–397]	5.1
Ballroom	Audio; http://mtg.upf.edu/ismir2004/contest/tempoContest/; used in [115, 139–141, 163, 164, 333, 345, 378, 381, 382, 384, 419–421]	3.4
Homburg [165]	Audio; http://www-ai.cs.uni-dortmund.de/audio.html; used in [20, 21, 46, 108, 165, 302, 303, 307, 345, 353, 355, 378, 381, 382, 384]	3.4
Bodhidharma	Symbolic; http://jmir.sourceforge.net/Codaich.html; used in [52, 86, 128, 192, 279–281, 284, 285, 293, 399]	2.5
USPOP2002 [482]	Audio; http://labrosa.ee.columbia.edu/projects/musicsim/uspop2002.html; used in [38, 42, 239, 262, 290, 293, 349, 354]	1.8
1517-artists	Audio; http://www.seyerlehner.info; used in [378, 381–384]	1.1
RWC [483]	Audio; http://staff.aist.go.jp/m.goto/RWC-MDB/; used in [106, 107, 153, 353]	0.9
SOMeJB	Features; http://www.ifs.tuwien.ac.at/~andi/somejb/; used in [177, 236, 237, 351]	0.9
SLAC	Audio & symbols; http://jmir.sourceforge.net/Codaich.html; used in [283–286]	0.9
SALAMI [400]	Features; http://ddmal.music.mcgill.ca/research/salami; used in [309, 310, 400]	0.7
Unique	Features; http://www.seyerlehner.info; used in [381, 382, 384]	0.7
Million Song [484]	Features; http://labrosa.ee.columbia.edu/millionsong/; used in [90, 168, 376]	0.7
ISMIS2011	Features; http://tunedit.org/challenge/music-retrieval; used in [171, 194, 375]	0.4

at least 5.7 % (25) of the references having an experimental component [16,28–31, 38,112,113,146,225,269,290,293,319,320,342,349,353,355,367–369,414,446,447].

Over 79 % (344) of the experimental work we survey approaches MGR using audio data or features of audio [1–3, 6–10, 12, 13, 15–22, 27–32, 35–39, 42, 44–50, 52,55–58,60–64,68,73–76,79,88–93,96,97,99,102–119,121,122,124,127,129–141, 143–151, 153–155, 161–165, 167–179, 182, 183, 186–191, 193–195, 200, 201, 204–217, 222, 223, 225–228, 230–232, 234–240, 244, 246–250, 252, 254–256, 258, 260–269, 271, 273–277,283,285–307,309–313,317–320,327–334,341–345,347–353,355–362,364– 372,375,376,378–384,386–397,401–403,405–407,409–412,414,416–422,424–433, 436–440, 443–448, 450–457, 459–467]. The use of symbolic data, e.g., MIDI and humdrum, appears in over 18 % (81) of these references [1–5,11,13–15,26,34,43, 52,53,65–67,69,70,72,80–83,86,87,94,95,120,128,156–160,166,180,184,185,192, 196, 197, 199, 202, 203, 218–221, 229, 240, 243, 245, 251, 253, 257, 259, 261, 279–281, 283–286,293,335–341,346,363,385,399,413,428,434,435]. We find about 6 % (27) of the work having an experimental component approaches MGR using other kinds of data, e.g., lyrics, co-occurrences on the WWW, album covers, and so on [25, 40, 62, 98, 125, 142, 272–277, 283–286, 308, 309, 354, 374, 381, 415, 438, 448, 458, 464, 465].

Figure 3 shows the number of experiments in the evaluative work we survey using datasets with specific numbers of labels. We can clearly see the influence of the *GTZAN* (10 genre labels) and *ISMIR2004* (6 genre labels) datasets. We find 16 works using datasets having 25 or more labels [25,30,31,40,106,107,153, 199,228,232,280,309,353,376,434,437], and only two using datasets having more than 100 labels [40, 437]. Over 72 % (316) of the papers with an experimental component uses only a single dataset, at least 20 % (90) use two datasets, and 6.2 % (27) use more than two datasets. Three references provide no details about the dataset used [54,145,331].
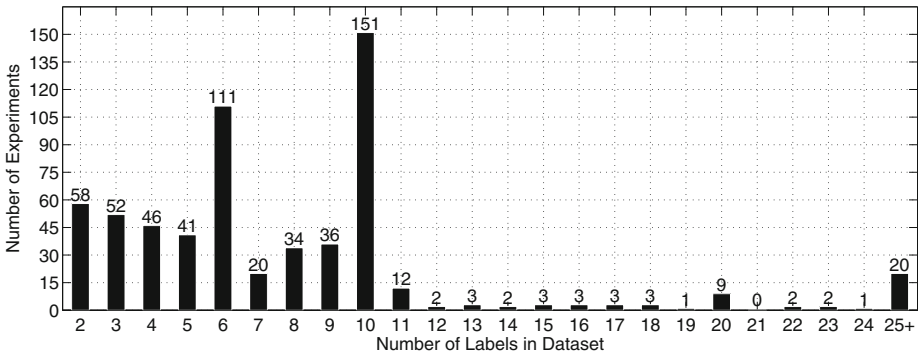
Fig. 3. The number of experiments in this survey employing datasets with specific numbers of labels.

We find a majority of the works with experimental components involves datasets that consist primarily of Western music. For instance, the label "classical"

is part of *GTZAN*, *ISMIR2004*, and *RWC*, and exists in the private datasets used in [22, 125, 144, 146, 173, 209, 225, 246, 266, 313, 341, 342, 344, 360, 363, 387]. The label "blues" is in *GTZAN*, *ISMIR2004*, and *Homburg*, and exists in the private datasets used by [4, 5, 19, 22, 125, 313, 342, 344, 358, 387]. And the label "jazz" is in *GTZAN*, *ISMIR2004*, *Homburg*, and *RWC*, and exists in the private datasets used by [19, 22, 57, 125, 144, 146, 173, 209, 225, 229, 266, 303, 313, 341, 342, 344, 358, 360, 387, 389]. However, we find that only about 10 % (48) of the private datasets used include music from around the world, such as Asia, Africa, and South America [3–5, 11, 19, 22, 57, 96–98, 125, 133–135, 137, 163, 164, 173, 179, 187, 202, 205, 209, 225, 229, 242, 243, 247–250, 255, 257, 266, 303, 308, 312, 313, 342, 344, 358, 360, 363, 385, 389, 430, 462, 463]. Finally, we find only 5 % (22) of the work with experimental components perform human validation of the "ground truth" labels in the public and/or private datasets used [8, 9, 34, 45, 79, 246, 247, 287, 289–291, 301, 346, 366, 367, 370, 383, 387, 394, 401, 408, 434]. For instance, Soltau et al. [401] validate the labels in their private four-class dataset with a human listening experiment.

2.3   Figures of Merit (FoMs)

Table 3 defines several FoMs we find in the work we surveyed. The FoMs most often reported in the work we survey here are those that accompany the *Classify* experimental design: *Mean accuracy*, *Recall*, *Precision*, *F-measure*, *Receiver Operating Characteristic* (*ROC*), and the *Contingency table*. We find *Mean accuracy* in over 82 % (385) of the references. For instance, Fu et al. [123] compare the reported mean accuracies of 16 MGR algorithms using *Classify* in *GTZAN*. This computation can also involve taking into consideration "partial credit" for labelings in the correct hierarchical branch, e.g., [293, 294, 296]. When it appears, *Mean accuracy* is accompanied by a standard deviation (SD), or standard error of the mean (SEM), about 25 % (96) of the time. For instance, [116] uses these statistics to test the null hypothesis that the *Mean accuracy* of two MGR systems are not significantly different.

We find *Recall* in over 25 % (119) of the references. For instance, this FoM appears in the MIREX evaluations of MGR algorithms [295, 297–299]. When it appears, *Recall* is accompanied by the standard deviation or standard error of the mean in about 10 % (12) of the references. *Precision* appears in over 10 % (47) of the references. Together, *Mean accuracy*, *Recall* and *Precision* appear in over 6 % (31) of the work we survey. The *F-measure* can be computed in "Micro form" and "Macro form" [437], but we make no distinction here. This FoM appears in at least 17 works. For instance, Burred and Peeters [50] cite the *F-measure* of their MGR system, as well as its *Mean accuracy*, *Recall*, and *Precision*. We find the *ROC* in only 7 references [105, 121, 245, 349, 432, 440, 466]. For instance, Watanabe and Sato [440] plot the ROC of their sparrows trained to discriminate Baroque and Modern music.

We find a *Contingency table* reported in over 32 % (150) of the work we survey. For instance, Soltau et al. [401] show their MGR system often confuses the music in their private dataset having the labels "rock" or "pop," and rarely confuses

Table 3. Figures of merit (FoMs) of MGR, their description, and the percentage of work (467) that cite them

FoM	Description	%
Mean accuracy	Proportion of the number of correct trials to the total number of trials of the system	82
Contingency table	Counts of labeling outcomes of the system for each labeled input	32
Recall	Proportion of the number of correct trials of the system to the total number of a specific input label	25
Confusions	Discussion of confusions of the system in general or with specifics	24
Precision	Proportion of the number of correct trials of the system to the total number of a specific output label	10
F-measure	Twice the product of *Recall* and *Precision* divided by their sum	4
Composition	Observations of the composition of clusters created by the system, and distances within and between	4
Precision@ k	Proportion of the number of correct items of a specific label in the k items retrieved by the system	3
ROC	*Precision* vs. *Recall* for several systems, parameters, etc.	1

music labeled "classic" with music labeled "techno" or "rock." Of those works that present contingency tables, only 52 % (78) of them are accompanied by some musical reflection of the results. For instance, in the analysis of their *Contingency table*, Dixon et al. [93] reason that the high number of confusions produced between three of eight classes come from the fact that they are indistinguishable using meter- and tempo-sensitive features employed in their system. When they expand their feature set, the new *Contingency table* confirms this hypothesis.

General discussions about observed confusions without reference to a *Contingency table* are reported in over 8 % (39) of the references. For instance, Matityaho and Furst [271] note that their MGR system trained to discriminate between music labeled "classic" and "pop" classifies as "classic" a signal of complete silence and a "complex tone," and as "pop" a signal of white noise. Using *Eyeball*, Bigerelle and Iost [44] argue that "Music classification becomes very logical [by comparing the fractal dimension]. ... Progressive music has the same fractal dimension as the electronic one: we could explain this fact by the abundance of synthesizers used in progressive music." Only 15 works mention confusions in detail, e.g., a specific piece of misclassified music [3,68,98,210,228, 301,342,366,367,370,407–410,412]. For instance, [410] notices that one MGR system persistently misclassifies as Hip hop "Kung Fu Fighting" by Carl Douglas, and as Classical "Why?" by Bronski Beat.

We find over 44 % (175) of the 397 works employing *Classify* report only one FoM and over 53 % (214) report more than one FoM. Only 21 present four or

more FoM [6,34,50,56,93,156,188,200,208,238–240,333,353,355,367,368,410,412, 418, 433]. For instance, Lidy [239] reports *mean accuracies*, *recalls*, *precisions*, *F-measures*, and *contingency tables* of the systems he tests.

The FoM most often reported in the case of the *Retrieve* experimental design is *Precision@k*. This FoM is reported in 12 of the 19 works using *Retrieve* [10, 57,61,86,203,222,262,320,384,446,447,466]; and [388] reports "normalized precision" and "normalized recall," which takes into account the ranking of retrieved elements. Of the references using *Retrieval*, the *ROC* is reported in [121, 466]. For instance, Fu et al. [121] plot the ROC of four systems to show their retrieval approach provides statistically significant improvement.

The FoMs most often reported in the case of *Cluster* experimental design are based on observations of the cluster compositions. The contents of clusters are analyzed in over 62 % (18) of the *Clustering* experiments [33,107,136,196,236, 237,253,301,302,304,318,320,334,350,351,365,415,430]. For instance, Rauber and Frühwirth [350] show that one cluster created by the self-organizing map method consists mainly of music labeled "classical." Comparisons of cluster distances, e.g., that within classes to that between classes, are reported in five works [22, 72, 302, 318, 334]. For instance, Aucouturier and Pachet [22] compare average distances between neighbors of the same class to those between neighbors of different classes. Visualizations of the clusters, e.g., using self-organizing maps, are presented in seven works [189, 218, 241, 242, 350, 351, 473]. Both [233, 417] report the "purity" of a collection of clusters, which measures the mean class homogeneity of the clusters.

Human-weighted ratings of classification and/or clustering results are reported in at least six works [22, 154, 203, 246, 366, 370]. Other FoMs include, "staying time" [278, 439] (measuring the time during which the subject stayed in the presence of musical stimuli for particular classes), "stability measure" [161,162] (essentially inter-intra class distance), "Hamming loss" [367–369] (describing instance-label pair misclassifications in a multilabel scenario), and "persistent misclassifications" [65,342,409,410] (noting instances that a system always mislabels).

3   Conclusion

While genre is an inevitable condition of human communication in general [469], a way to automatically identify it in music remains elusive. In this paper, we have attempted to present an exhaustive survey of evaluation in MGR, and to organize it along three dimensions: experimental design, datasets, and figures of merit. By the sheer size of this task, it is certain that we have missed some relevant work, misunderstood aspects of evaluation in some of the works we cite, and committed errors in the bibliography. We will thus continue to maintain this bibliography, and expand it when new work is published. The bibliography file we assembled for this work is available here: http://imi.aau. dk/~bst/research/MGRbibliography.bib; and the spreadsheet we created identifying the characteristics of the references is available here: http://imi.aau.dk/ ~bst/research/MGRspreadsheet.xlsx.

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