

# Time complexity classes, P and NP

Textbook: Chapter 7.2, 7.3

# The class P

- ▶ Recall:  $\text{TIME}(f(n))$  is the set of all *languages* decidable in  $O(f(n))$  deterministic time
- ▶ We find it interesting to study the differences between polynomial and nonpolynomial time classes: Therefore we create the set of all languages decidable in polynomial deterministic time

**Def:**  $P$ . The set of all language decidable in deterministic polynomial time is called  $P$ , and is defined as below.

$$P = \bigcup_k \text{TIME}(n^k)$$

# Verifiers

- ▶ What if a TM got some arbitrary extra “proof” or “certificate” that helped it out? Equivalently, given an answer to a question, can we prove that it is true faster than we could find the solution?
- ▶ For instance, if we are trying to prove a number isn't prime, a certificate could be a list of its factors: The “verification” algorithm would take time proportional to the number of factors (very fast)!

**Def:** A **verifier** for a language  $A$  is an algorithm  $V$  where

$$A = \{w : V \text{ accepts } \langle w, c \rangle \text{ for some "certificate" } c\}$$

# Measuring Verifiers

We measure the time of a verifier only in terms of  $|w|$  (**not**  $|c|$ ): This is given “magically”), so a **polynomial time verifier** runs in polynomial time with respect to  $|w|$ .

- ▶ A verifier takes in some candidate solution and accepts iff it is indeed a true solution

**Note:** An nTM can nondeterministically “guess” the certificate then simulate a verifier TM given it. Therefore, nTMs can simulate  $n$  deterministic verifier steps in  $O(n)$  nondeterministic time.

# The class NP

- ▶ Just like we used TIME to define  $P$ , we can use NTIME to define the set of all languages decidable in **nondeterministic polynomial time**

**Def:**  $NP$ . The set of all languages decidable by a nondeterministic TM in polynomial time is called  $NP$  and is defined as follows.

$$NP = \bigcup_k \text{NTIME}(n^k)$$

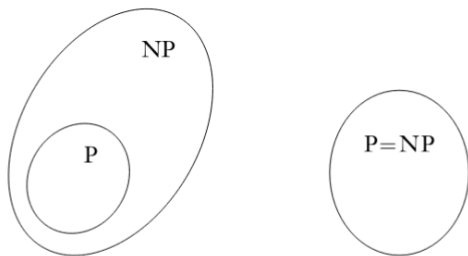
- ▶ **Equivalently**,  $NP$  is the set of all problems that can be verified in deterministic polynomial time

## Does $P = NP$ ?

- ▶ Does  $P = NP$ ?
- ▶ Can all problems whose solutions can be checked in polynomial time be solved in polynomial time?

**Nobody knows! But we think  $P \neq NP$**

- ▶ No-one has proven it or disproven it yet
- ▶ Alternatively, it could be a statement that is true but has no proof



**FIGURE 7.26**

One of these two possibilities is correct

# Properties of $P$ and $NP$

- ▶ The best known algorithm for simulating an nTM on a dTM is  $O(2^n)$
- ▶ So  $NP$  can be no harder than exponential time

$$NP \subseteq \text{EXPTIME} = \bigcup_k \text{TIME}(2^{n^k})$$

**$NP$  may be a smaller deterministic time complexity class!**

- ▶ All reasonable models of computation are polynomial-time simulatable by each other, so  $P$  and  $NP$  are consistent across them
- ▶ This seems to be a fundamental complexity barrier between deterministic and nondeterministic computation

# Polynomial-time mapping reducibility

- ▶ We want to be able to do reductions on time complexity classes
- ▶ However, we can't just use any TM! What if it took exponential time?

**Def:** A function  $f : \Sigma^* \rightarrow \Sigma^*$  is **polynomial-time computable** if some polynomial-time TM exists that takes in some  $w$  and halts with just  $f(w)$  on its tape.

- ▶ Now we can do language reductions while staying in  $P$ !

**Def:** Language  $A$  is **polynomial-time mapping reducible** to language  $B$ , written  $A \leq_P B$ , if a polynomial-time computable function  $f$  exists such that, for every  $w$ ,

$$w \in A \iff f(w) \in B$$



## NP-completeness

- ▶ Recall: A machine is Turing-complete if it can solve any problem solvable by a Turing machine
- ▶ Similarly, a problem is *NP*-complete if a polynomial-time solution to it would solve any problem  $\in NP$  in polynomial time
- ▶ *NP*-completeness was discovered in the early 70's by Cook and Levin

**Def:** A language  $B$  is *NP-complete* if

1.  $B \in NP$ , and
2.  $A \in NP \implies A$  is polynomial-time reducible to  $B$

If this is true, we say  $B \in NPC$  or  $NP - C$ .

- ▶ “ $A$  being in *NP* is sufficient for  $A$  to be polynomial-time reducible to  $B$ ”

## Satisfiability / SAT

- ▶ One of the first problems ever found  $\in NPC$  is *SAT*
- ▶ A **Boolean formula** is an expression involving Boolean variables (T/F) and operations (EG  $\neg, \wedge, \vee$ )
  - ▶ Ex:  $\phi = (\neg x \wedge y) \vee (x \wedge \neg z)$
- ▶ A Boolean formula is **satisfiable** iff there exists some set of variable values for which it evaluates to true

**Def:** The **satisfiability problem** *SAT* is the language

$$SAT = \{\langle \phi \rangle : \phi \text{ is a satisfiable Boolean formula}\}$$

- ▶ Clearly, we can decide  $\phi \in SAT$  in time  $2^{|\phi|}$  by brute force
- ▶ Also clearly, if we had some series of variable values  $x_1, x_2, \dots, x_i$  we could check it in polynomial (namely, linear) time ( $SAT \in NP$ )

## Cook-Levin theorem ( $SAT$ is NP-complete)

- ▶ We know Boolean systems can simulate given TMs: This is what all computers are
- ▶ Given an input  $w$  to TM  $M$ , we can construct a Boolean expression that is true iff  $M$  accepts  $w$
- ▶ All problems in  $NP$  are solvable by an NTM in polynomial time (by definition)
- ▶ Therefore, we can encode any NTM and input into an instance of  $SAT$
- ▶ If  $SAT$  can be decided in deterministic polynomial time, we can determine **any NTM** in polynomial time! Therefore,  $SAT \in P$  iff  $P = NP$

**Thm:** Cook-Levin theorem.  $SAT \in P$  iff  $P = NP$ .

Next up: Linear Bounded Automata (LBA)