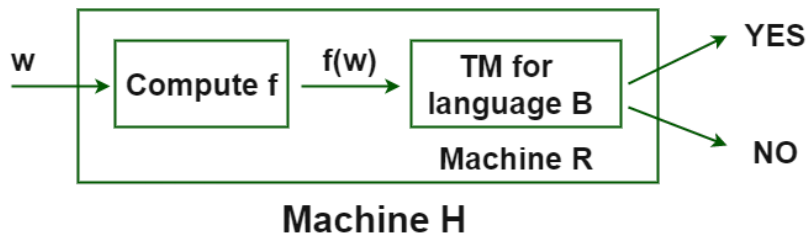


# Reducibility

Textbook: Chapter 5



Recall:  $A_{TM}$

- ▶  $A_{TM}$  is the set of all TM-input pairs  $\langle M, w \rangle$  such that  $M$  **A**cccepts  $w$
- ▶ Church and Turing proved  $A_{TM}$  is undecidable by contradiction

It's harder to find contradictions in other undecidable languages:

**We need another way!**

## Informal definition of reducibility

- ▶ Some problems can be “reduced” to each other

**Def:** Reducibility. For two problems  $A$  and  $B$ , if  $A$  **reduces** to  $B$ , we can use a solution to  $B$  to solve  $A$ .

- ▶ Ex: “Getting to Jim’s house” reduces to “knowing where Jim lives” because you can use that knowledge to derive directions

**Def:** Reducibility in TMs. If, for languages  $A$  and  $B$ , a decider for  $B$  can be used to decide  $A$ ,  $A$  is said to be **reducible** to  $B$ .

**Corollary:** If  $A$  is reducible to  $B$  (a solution to  $B$  will solve  $A$ ): 1. If  $B$  is decidable, so is  $A$  2. If  $B$  is undecidable, so is  $A$

## Ex: The halting problem

**Def:** The halting problem. Does a TM halt given its input?  
Formally:

$$HALT_{TM} = \{\langle M, w \rangle : M \text{ is a TM which halts on input } w\}$$

This is similar to  $A_{TM}$  (the set of all TM-input pairs that accept), but also allows rejecting states.

**Thm.**  $HALT_{TM}$  is undecidable.

## Halting problem undecidability proof

**Pf.** By contradiction. We will assume  $HALT_{TM}$  is decidable and show that its decider can be used to decide  $A_{TM}$  (a known contradiction). This means  $A_{TM}$  is reducible to  $HALT_{TM}$ .

Assume we have a TM  $R$  that decides  $HALT_{TM}$ . We construct a new TM  $S$  to decide  $A_{TM}$  using the output of  $R$  (recall that this is legal for TMs).

$S =$  “On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is its input:

1. Run  $R$  on input  $\langle M, w \rangle$
2. If  $R$  rejects, the input never halts. **Reject.**
3. Otherwise, simulate  $M$  on  $w$  until it halts
4. If  $M$  accepted, **accept.** Otherwise, **reject.**”

Thus, the existence of  $R$  implies that  $A_{TM}$  can be decided: a contradiction. Thus,  $R$  cannot exist. End of proof.

## $E_{TM}$ : The emptiness problem

$$E_{TM} = \{\langle M \rangle : M \text{ is a TM and } \mathcal{L}(M) = \emptyset\}$$

**Thm:**  $E_{TM}$  is undecidable. **Pf:** By reduction. Let  $R$  be a TM deciding  $E_{TM}$ .

Let  $M_1$  be a TM operating on  $x$  **given some  $w$  and  $M$  (not input)** such that:

1. If  $x \neq w$ , **reject**
2. If  $x = w$ , run  $M$  on input  $w$  and **accept** if  $M$  does

Let  $S$  be a TM operating on  $w$  such that:

1. Use  $M$  and  $w$  to construct the TM  $M_1$
2. Run  $R$  on  $\langle M_1 \rangle$ , accepting iff  $M_1$ 's language is empty
3. If  $R$  accepts ( $M_1$  is nonempty, meaning  $M$  rejects  $w$ ), **reject**.  
If  $R$  rejects, **accept**.

Thus,  $A_{TM}$  is reducible to  $E_{TM}$  and thus  $E_{TM}$  is undecidable.

## $REGULAR_{TM}$ : Whether or not a TM has an equivalent NFA

$$REGULAR_{TM} = \{ \langle M \rangle : M \text{ is a TM and } \mathcal{L}(M) \text{ is regular} \}$$

$S =$  “On input  $\langle M, w \rangle$  where  $M$  is a TM and  $w$  is its input:

1. Construct the following TM  $M_1$ .

$M_1 =$  “On input string  $x$ :

- 1.1 If  $x$  is of the form  $0^i 1^i$ , **accept**.
- 1.2 Else, **accept** if  $M$  accepts  $w$ .”

Note that  $M_1$  recognizes the nonregular language  $0^i 1^i$  if  $M$  does not accept  $w$  and the regular language  $\Sigma^*$  if  $M$  accepts  $w$ .

2. Run  $R$  on input  $\langle M_1 \rangle$
3. If  $R$  accepts, **accept**. If  $R$  rejects, **reject**.”

## $EQ_{TM}$ : TM equivalence

$$EQ_{TM} = \{ \langle M_1, M_2 \rangle : M_1, M_2 \text{ are TMs and } \mathcal{L}(M_1) = \mathcal{L}(M_2) \}$$

We show that  $E_{TM}$  (the emptiness problem) reduces to  $EQ_{TM}$  (the equivalence problem) and thus the later is undecidable.

Let  $R$  be a TM deciding  $EQ_{TM}$ . We will use it to construct  $S$  deciding  $E_{TM}$ .

$S$  = “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Let  $M_\emptyset$  be the TM rejecting all inputs.
2. Run  $R$  on  $\langle M, M_\emptyset \rangle$ .
3. If  $R$  accepted, **accept**. If  $R$  rejected, **reject**.”

Since  $S$  decides  $E_{TM}$ ,  $R$  cannot exist.



# Computation histories

- ▶ Recall: An automaton has some number of **configurations** at any given time
  - ▶ A DFA configuration is its state  $q \in Q$
  - ▶ A TM configuration has its state, position, and tape contents, formatted like:  $uqv$  for string contents  $uv$ , state  $q$ , where the R/W head is on the first character of  $v$

**Def:** A computation history for some automaton is a series of its configurations.

**Def:** An **accepting computation history** is a computation history which represents a valid series of state transitions ending in an accept state.

# Reductions via computation histories

- ▶ Computation histories encode the entire operation of an automaton
- ▶ Assumptions about them generalize to their machines
- ▶ Can be used to prove properties
- ▶ We will come back to this after talking about LBA in section 3

## Mapping reducibility and computable functions

**Def:** Computable functions. A function  $f : \Sigma^* \rightarrow \Sigma^*$  is **computable** if some TM  $M$ , for every input  $w$ , **halts** with  $f(w)$  on its tape.

**Def:** Mapping reducibility. Language  $A$  is **mapping reducible** to language  $B$ , written  $A \leq_m B$ , if there is a computable function  $f : \Sigma^* \rightarrow \Sigma^*$  where, for every  $w$ ,

$$w \in A \iff f(w) \in B$$

The function  $f$  is called the **reduction** of  $A$  to  $B$ .

This formalizes the intuitive notion of reduction.

## Rice's theorem

**Thm:** Rice's theorem. Any property  $P$  of a TM's language such that the following two conditions hold is **undecidable**.

1.  $P \neq \emptyset$  and  $\overline{P} \neq \emptyset$  ( $P$  is nontrivial)
2.  $\mathcal{L}(M_1) = \mathcal{L}(M_2)$  implies that  $\langle M_1 \rangle \in P$  iff  $\langle M_2 \rangle \in P$  ( $P$  is a semantic property).

**Pf:** By reduction. Assume  $R$  is a TM deciding some arbitrary nontrivial property  $P$ . We will use  $R$  to build a decider for  $HALT_{TM}$ .

Let  $M_P$  be some TM such that  $\langle M_P \rangle \in P$  (guaranteed by condition 1). We construct some  $S$  using  $M_P$  and  $R$  to decide  $HALT_{TM}$ .

## Rice's theorem proof

$S =$  "On input  $\langle M, w \rangle$ , where  $M$  is a TM:

1. Construct  $M_1$  to be a TM that simulates  $M$  on  $w$ . As soon as  $M$  halts,  $M_1$  **simulates**  $M_P$ . Therefore,  $M_1$  is  $\in P$  iff  $M$  halts on  $w$ .
2. Run our decider  $R$  on  $M_1$ . If  $R$  accepts,  $M$  must halt on  $w$ : **accept**. If not,  $M_1$  must not be  $\in P$  and therefore  $M$  must not halt: **reject**."

Since  $R$  allows us to decide the halting problem, its language must be undecidable. End of proof.

Next time: Post's Correspondence Problem