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## ESE 554 Computational Models for Computer Engineers

Week 1

## Sets

Defn: A set is a collection of objects; objects must be well-defined.

$$S = \{1, 2, 3, 4\}$$

$$a \in S$$

$$a \notin S$$

Some examples:

$$S = \{x \mid x \text{ is a positive real \#}\}$$

$$S = \{x \mid x = a + b, \text{ where } a \in \{5, 10, 25\}, b \in \{100, 200, 500\}\}$$

$$N = \{0, 1, 2, 3, \dots\} \text{ natural numbers}$$

$$P = \{1, 2, 3, 4, 5, \dots\} \text{ positive integer}$$

$$Z = \{0, 1, -1, 2, -2, \dots\} \text{ integers}$$

$$R = \{x \mid x \text{ is a real number}\} \text{ real numbers}$$

$$Q = \{x \mid x = \frac{m}{n}, \text{ where } m, n \in Z, \text{ and } n \neq 0\} \text{ rational numbers}$$

$$\phi - \text{empty set}, \phi = \{\}$$

$$P(A) = \{B \mid B \subseteq A\}, \text{ — the power of set } A$$

Defn: A set S is said to be a subset of set T if any element  $x \in S, x \in T$ .

$S \rightarrow T$   
Subset if

$$\phi \in P(A)$$

$$\phi \notin P(A)$$

$$A \in P(A)$$

$$A \notin P(A)$$

$x \in S$  &  $x \in T$

Defn:  $S = T \Leftrightarrow S \subseteq T \text{ and } T \subseteq S$

$$P \subset N \subset Z \subset Q \subset R$$

"C" real subset

Set Operation:

$$A, B$$

Union  $\Rightarrow A \cup B = \{x \mid x \in A \text{ or } x \in B\}$

$$A \cup B = B \cup A$$

Intersection  $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$

$$A \cap B = B \cap A$$

A and B are disjoint iff  $A \cap B = \phi$

iff — if and only if



(Venn Diagram)

$$A - B = \{x \mid x \in A \text{ and } x \notin B\}$$

difference

$$(A \setminus B)$$

$\rightarrow A \oplus B = \{x \mid x \in A \text{ or } x \in B \text{ but not both}\}$

Symmetric difference



$$= [(A \cup B) - (A \cap B)] = [(A - B) \cup (B - A)]$$

$$\bar{A} = A^c = U - A \text{ where } U \text{ is the universal set}$$

- commutative laws

$$A \cup B = B \cup A \quad A \cap B = B \cap A$$

- associate laws

$$(A \cup B) \cup C = A \cup (B \cup C)$$

$$(A \cap B) \cap C = A \cap (B \cap C)$$

- distributive laws

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$

- identity laws

$$A \cup \emptyset = A$$

$$A \cup U = U$$

$$A \cap \emptyset = \emptyset$$

$$A \cap U = A$$

- De Morgan laws

$$(A \cup B)^c = A^c \cap B^c$$

$$(A \cap B)^c = A^c \cup B^c$$

$$(A^c)^c = A$$

$$A^c \cup A = U$$

$$A^c \cap A = \emptyset$$

$$\overline{A \cup B} = \overline{A} \cap \overline{B}$$

$$\overline{A \cap B} = \overline{A} \cup \overline{B}$$

$$\overline{\overline{A}} = A$$

$$\overline{A \cup A} = \emptyset$$

$$\overline{A \cap A} = U$$

Counting and Principle of Inclusion and Exclusion

Defn: Give a finite set  $S$ . the cardinality of  $S$  is ?

$|S|$  = the # of elements of  $S$   $\rightarrow$  Size of  $S$ .

$$S = \{1, 2, 3\}, \quad |S| = 3$$

$$S = \{1, 1, 2, 3\} = \{1, 2, 3\}$$

# unique elements.

$$|A \cup B| = |A| + |B| - |A \cap B| \quad *$$

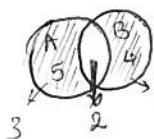
$$\text{if } A \cap B = \emptyset \quad (A) \quad (B) \\ \Rightarrow |A \cup B| = |A| + |B|, \text{ by definition}$$

$$\text{Proof: } \therefore |A \cup B| = |A| + |B - A| \quad \text{as } A \cup B = A \cup (B - A) \text{ and } A \cap (B - A) = \emptyset$$

$$|B| = |B - A| + |A \cap B| \quad \text{as } B = (B - A) \cup (A \cap B) \text{ and } (B - A) \cap (A \cap B) = \emptyset$$

$$\therefore |B - A| = |B| - |A \cap B|$$

$$\Rightarrow |A \cup B| = |A| + |B| - |A \cap B|$$



$$|A \cup B| = |A| + |B| - |A \cap B|$$

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Also.

$$|A^c \cap B^c| = |(A \cup B)^c|$$

$$= |U| - |A \cup B|$$

$$|A^c \cap B^c| = |U| - |A| - |B| + |A \cap B|$$

$$|A \cup B \cup C| = |A| + |B| + |C| - (|A \cap B| + |A \cap C| + |B \cap C|) + |A \cap B \cap C|$$

Example:

1-300 Among the integers 1-300, how many of them are not divisible by 3, nor by 5, nor by 7? How many of them are divisible by 3, but not by 5 nor 7?

Not  $\div 3, 5, 7$   
 $\div 3$  Not  $\div 5, 7$

Solu: let A = the subset of all integers 1-300 that are divisible by 3.

$$|A| = 100$$

$$\text{let } B = \dots \text{ by 5.}$$

$$|B| = 60$$

$$\text{let } C = \dots \text{ by 7.}$$

$$|C| = 42$$

$$U = \{1, 2, \dots, 299, 300\} \quad |U| = 300$$

$$|A^c \cap B^c \cap C^c| = |(A \cup B \cup C)^c| = |U| - |A \cup B \cup C|$$

$$= 300 - (100 + 60 + 42 - (|A \cap B| + |A \cap C| + |B \cap C|) + |A \cap B \cap C|)$$

$$= 300 - (202 - (20 + 14 + 8) + 2)$$

$$= 138$$

Not  $\div 3, 5, 7$

15, 35, 21

?

20

8

14

$$|A \cap B^c \cap C^c| = |(A \cap B^c) \cap (A \cap C^c)| \quad (\text{take A as the universal set})$$

$$= |A| - (|B \cap A| + |C \cap A|)$$

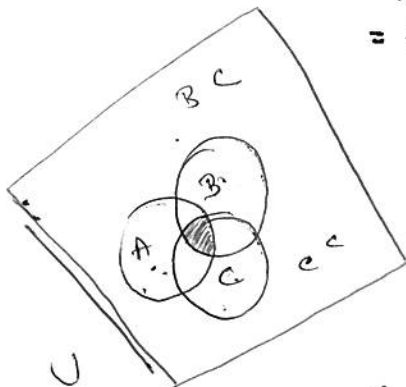
$$= |A| - (|B \cap A| + |C \cap A|)$$

$$= 100 - (20 + 14 - 2)$$

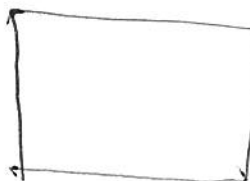
$$= 68$$

$$|A \cup B| = |A| + |B| - |A \cap B|$$

$$(A \cap B)^c$$



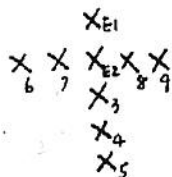
$A \rightarrow U$   
 $A \cap B^c$



Week 2

Example:

a) In how many ways can we make up the pattern



with 0s and 1s?

b) How many of these patterns are not symmetric with respect to the vertical axis?

a)  $2 \times 2 \times \dots \times 2 = 2^9$

b)  $2^9 - \text{the \# of symmetric patterns}$   
 $= 2^9 - 2^7$

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Combinatorics — the math of choices

A die — 6 ways

Two dice — 21 ways  $6 + 5 + 4 + 3 + 2 + 1 = 21$

Rule of Product and Rule of Sum

$E_1$  —  $n$  outcomes

$E_2$  —  $m$  outcomes

Rule of Product — There are  $n \times m$  possible outcomes when both of  $E_1$  and  $E_2$  take place

Rule of Sum — There are  $n + m$  possible outcomes when exactly one of  $E_1$  and  $E_2$  takes place.  
 $E_1$  or  $E_2$

Example: Let  $S = \{1, 2, 3, 4, \dots, 10\}$   $E_1$  — take 1 or not (2 outcomes)

How many subsets does  $P(S)$  have? All the 10 events take place.

$|P(S)| = 2^{10}$  power set  $\rightarrow$  Rule of Product

Permutation:

Defn: A permutation of  $n$  items taken  $r$  at a time is defined as select  $(r)$  items from among the  $(n)$  distinct items and arrange them in the order selected.

Example:  $S = \{1, 2, 3\}$ .

then 12, 21, 13, 31, 23, 32 are all the permutations taken two at a time.

Permutation Rules:

The # of permutations of  $n$  distinct items taken  $r$  at a time is

$$P(n, r) = \frac{n!}{(n-r)!}$$

$$P(n, r) = n(n-1)(n-2)\dots(n-r+1) = \frac{n!}{(n-r)!}$$

$$0! = 1$$

Example: For a 25,000 students university, what is the minimal length of passwords you need? Assume all single entry is from  $\{0, 1, 2, \dots, 9\}$  and there are no repeated numbers in the password!

Solution: it's a permutation problem. It's to find the smallest  $r$  such that

$$P(10, r) \geq 25000, \quad r = 5$$

$$P(n, r) = \frac{n!}{(n-r)!}$$

Combinations:

Defn: A subset of  $r$  items from a set of  $n$  items is called a combination of  $n$  items taken  $r$  at a time.

no order

Combination Rule:

the # of combinations of  $n$  distinct items taken  $r$  at a time is

$$C(n, r) = \frac{P(n, r)}{r!} = \frac{n!}{(n-r)! r!}$$

$C(n, r)$  — binomial coefficients.

$$(x+y)^n = C(n, 0)x^n + C(n, 1)x^{n-1}y + C(n, 2)x^{n-2}y^2 + \dots + C(n, n)y^n$$

Example: Let's say ESE Dept has 20 professors and you are going to pick 3 of them to be your committee members. How many ways you can pick them?

Solution:  $C(20, 3) = 1140$

Repetitions:

Example: How many distinct arrangements of the letters of the word "bed"? "bee"?

Solution: "bed" —  $P(3, 3) = 3 \times 2 \times 1 = 6$

"bee" —  $\frac{3!}{2!} = 3$  — common!

Permutations & Repetition Thm

For a multi-set of  $n$  objects which has  $r_1$  repeated objects of first kind,  $r_2$  of the second kind, ...,  $r_k$  of  $k^{\text{th}}$  kind,  $r_1 + r_2 + \dots + r_k = n$ . then, the # of distinct permutations of  $n$  objects is

$$\frac{n!}{[r_1!][r_2!] \dots [r_k!]}$$

→ multiplication of repeated objects of each kind.

Example: What's the # of different messages that can be represented by the sequence of three dashes ("-"), two dots (".") and a comma (",")?

$C(6, 3, 2, 1) = \frac{6!}{3! 2! 1!} = 60$

## Partitions

### Ordered Partition Theorem (I)

The # of ways to partition a set of  $n$  distinct objects into  $r$  distinct categories such that  $n_1$  are in the first category,  $n_2$  in the second category, ...,  $n_k$  in the  $k^{\text{th}}$  category, where  $n_1 + n_2 + \dots + n_k = n$ , is

Same as permutations  
with repetitions

$$\frac{n!}{(n_1)! (n_2)! \dots (n_k)!}$$

Example: Let's say you have 9 projects to be assigned to John, Lisa and Mark. John will be assigned 4 projects, Lisa 3 and Mark 2. How many ways are there for the assignments?

Solution:

$$\frac{9!}{4! 3! 2!} = 1260$$

### Ordered Partition Thm (II)

The # of ways to divide up  $n$  indistinguishable objects into  $r$  distinct categories is

$$C(n+r-1, n)$$

Example:

4 dice : 4 indistinguishable objects, 6 distinct categories

$$C(4+6-1, 4)$$

2 dice:  $C(2+6-1, 2) = 21$

#### \*4 Relations and Functions

Defn: Let  $S, T$  be sets. The Cartesian product of  $S$  and  $T$  (in this order) is defined as

$$S \times T = \{(a, b) \mid a \in S, b \in T\}$$

Defn: Let  $S, T$  be sets.

(a) a binary relation  $R$  from  $S$  to  $T$  is a subset of the Cartesian product  $(S \times T)$

(b) a binary relation on a set  $S$  is a subset of  $S \times S = S^2$

$$a R b \Leftrightarrow (a, b) \in R$$

$$a \not R b \Leftrightarrow (a, b) \notin R$$

Example: The identity relation on  $S$  is  $R = \{(a, a) \mid a \in S\}$

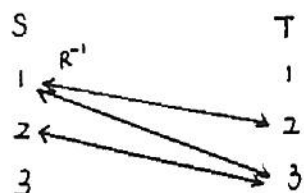
Example: Let  $S = T = \{1, 2, 3\}$   $R = \{(1, 2), (1, 3), (2, 3)\}$

i.e.  $1 R 2, 1 R 3, 2 R 3$

Representations.

identity relation on

$$S = \{(a, a) \mid a \in S\}$$

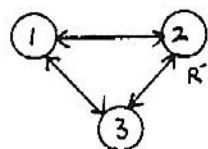


arrow diagram

$$M_R = \begin{matrix} S \downarrow & T \rightarrow & 1 & 2 & 3 \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{pmatrix} 0 & 1 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \end{matrix}$$

matrix

(boolean matrix)



directed graph

$$M_{R^{-1}} = M_R^T$$

Defn: Let  $R$  be a relation from  $S$  to  $T$ . Then the inverse of  $R$ , written as  $R^{-1}$ , is the set of the ordered pairs

$$R^{-1} = \{(b, a) \mid (a, b) \in R\}$$

Defn: Let  $\alpha$  be a relation from  $A$  to  $B$ ,  $\beta$  be a relation from  $B$  to  $C$ . The composition of  $\alpha$  with  $\beta$ , denoted by  $\alpha \circ \beta$  or  $\alpha \beta$ , is defined as

$$\alpha \beta = \{(a, c) \mid \text{there is a } b \in B, \text{ such that } (a, b) \in \alpha, (b, c) \in \beta\}$$



Notes:

- (1)  $\alpha\beta \neq \beta\alpha$
- (2)  $M_{\alpha\beta} = M_\beta \cdot M_\alpha$
- (3)  $(\alpha\beta)^{-1} = \beta^{-1} \alpha^{-1}$
- (4)  $M_{(\alpha\beta)^{-1}} = M_{\alpha^{-1}} \cdot M_{\beta^{-1}} = (M_\alpha)^T \cdot (M_\beta)^T$

Thm: Let  $\alpha, \beta, \gamma$  be binary relations such that  $(\alpha\beta)\gamma$  is well-defined. Then  
 $(\alpha\beta)\gamma = \alpha(\beta\gamma)$

and

$$M_\gamma \cdot (M_\beta \cdot M_\alpha) = (M_\gamma \cdot M_\beta) \cdot M_\alpha$$

Equivalence Relations and partitions

Defn: Let  $R$  denote a binary relation on a set  $A$

- (a)  $R$  is reflexive if  $\forall a \in A \Rightarrow a R a$  diagonal = 1
- \* (b)  $R$  is symmetric if  $\forall a \in A, b \in A, a R b \Rightarrow b R a$   $M_a = M_a^T$
- (c)  $R$  is transitive if  $\forall a, b, c \in A, a R b, b R c \Rightarrow a R c$ .
- \* (d)  $R$  is anti-symmetric if  $\forall a, b \in A, a R b$  and  $b R a \Rightarrow a = b$

$R \nVdash "$

Example:  $\mathbb{Z}$  (all the integers)

the relation  $a|b$  iff  $b$  divides  $a$ .

"not symmetric". for example  $2|4$  but  $4 \nmid 2$

"not anti-symmetric". for example  $2|(-2), (-2)|2$ , but  $2 \neq -2$ .

Example: The relation " $\leq$ " (is less than or equal to) on the set of real numbers  $\mathbb{R}$

- (1) reflexive yes
- (2) symmetric no
- (3) transitive yes
- (4) anti-symmetric yes

$$R = \text{mod } 3$$

$$a \text{ mod } 3 \ b \Leftrightarrow a - b = 3n$$

Example: Let  $\mathbb{Z}$  be the set of integers.  $R = \{(a, b) \mid a - b = 3n \text{ for some integers } n \text{ and } a, b \in \mathbb{Z}\}$

- (a) reflexive yes
  - (b) symmetric yes
  - (c) transitive yes
  - (d) anti-symmetric no
- $\mathbb{Z} = [0] \cup [1] \cup [2]$

Defn: If  $R$  is a binary relation on a set  $A$ , then  $R$  is an equivalence relation if  $R$  is reflexive, symmetric and transitive.

Note: Let  $R$  be an equivalence relation on  $A$ , then for  $x \in A$ ,

$$[x] = \{y \in A \mid x R y\} \subseteq A$$

Proof:  $\forall y \in [x], [y] = [x]$

Defn: A partition of a set  $A$  is a set of non-empty sets  $\{A_1, A_2, \dots, A_k\}$  such that  $A_i \subseteq A, i=1, 2, \dots, k$ , and  $\bigcup_{i=1}^k A_i = A$ ,  $A_i \cap A_j = \emptyset$ , if  $i \neq j$ ,  $i, j = 1, 2, \dots, k$ .

Thm: Let  $R$  be an equivalence relation on a set  $A$ , then

$$A = \bigcup_{x \in A} [x] \text{ is a partition of Set } A.$$

$$M_R = \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}_{n \times n}$$

1)  $R$  is reflexive  $\Leftrightarrow \text{diagonal}(M_R) = 1$

$$\begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix}$$

2)  $R$  is symmetric  $\Leftrightarrow M_R^T = M_R$

3)  $R$  is transitive  $\Leftrightarrow$

Thm. Let  $R$  be a relation on a set of  $S$ . Then  $R$  is transitive iff  $R^2 \subseteq R$  iff  $M_R * M_R \leq M_R$ , where  $M_R$  is the matrix of  $R$ .

Let's define the boolean operation  $\vee$  and  $\wedge$  as

$$m \vee n = \max\{m, n\}$$

$$m \wedge n = \min\{m, n\}$$

$\vee$	0	1
0	0	1
1	1	1

$\wedge$	0	1
0	0	0
1	0	1

For  $M_1, M_2 \in A = \{M \mid M \text{ is a } n \times n \text{ matrix and } M[i, j] = 0 \text{ or } 1, \text{ for all } i, j = 1, 2, \dots, n\}$   
define " $\leq$ " to be the relation  $M_1 \leq M_2$ , iff  $M_1[i, j] \leq M_2[i, j]$ , for  $i, j = 1, 2, \dots, n$

$$M_1 * M_2[i, k] = \bigvee_{j=1}^n (M_1[i, j] \wedge M_2[j, k])$$

$$R \quad \textcircled{1} \text{diag}(M_R) = 1$$

$$\downarrow \quad \textcircled{2} M_R^T = M_R$$

$$M_R \quad \textcircled{3} M_R * M_R \leq M_R$$

⑥

equivalence  
↓  
reflexive  
transitive  
symmetric

Partial order

POSET

↓  
reflexive  
transitive  
Anti-symmetric

Partial Order Relations

An equivalence relation  $\rightarrow$  partition

Defn: A relation  $R$  on a set  $A$  is a partial order relation if  $R$  is

- (1) reflexive
- (2) transitive
- (3) anti-symmetric

$(A, R)$  is a partially ordered set, or simply say poset

$(A, R)$  is a poset.

- $R = \leq$  .  $(A, \leq)$  is a poset.
- $a \leq b$  . "a precedes b"
- " a is less than or equal to b "

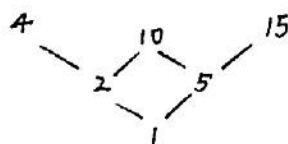
Example:  $(\mathbb{Z}, \leq)$  is a poset. Yes

Example:  $A = \{1, 2, 4, 5, 10, 15\}$  .  $\{1, 2, 4\} \subseteq A$

$\leq = a \mid b$

$(A, \leq)$  is a poset.

$4 \nleq 10$  ,  $5 \leq 10$  ,  $5 \leq 15$  ,  $4 \nleq 15$ .



$$M_R * M_R \leq M_R$$

Thm: Let  $R$  be a relation on a (finite) set  $S$ .  $M_R$  is its matrix. Then  $R$  is anti-symmetric iff  $M_R \wedge M_R^T \leq I$ , where  $I$  is the identity matrix.  $M_R^T$  is the transpose of  $M_R$ .

For  $M_1, M_2$ ,  $\forall i, j$ .

$$(M_1 \wedge M_2)[i, j] = M_1[i, j] \wedge M_2[i, j]$$

$$> \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Example:  $M_R = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 1 & 1 & 0 \end{pmatrix}$

- (1) reflexive      no.  $\text{diag}(M_R) \neq I$
- (2) symmetric      no.  $M_R^T \neq M_R$
- (3) transitive       $M_R * M_R \leq M_R?$   $\rightarrow$



$R$

- reflexive  $\Leftrightarrow \text{Diag}(M_R) = I$
- Symmetric  $\Leftrightarrow M_R^T = M_R$
- transitive  $\Leftrightarrow M_R * M_R \leq M_R$
- anti-symmetric  $\Leftrightarrow M_R \wedge M_R^T \leq I$

Lead

$$1 \ 0 \ 0 \quad \begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \begin{bmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \leq \begin{pmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 1 & 1 & 0 \end{pmatrix} \quad \text{yes}$$

$M_R * M_R$  矩阵乘法. 出现大于等于1的数字 即改为1

Order

Defin: Let  $(A, \leq)$  be a poset

- (a) an element  $x \in A$  is maximal. if  $x \leq y \Rightarrow y = x$  i.e. no element  $y$  is preceded by  $x$   
 (b) an element  $x \in A$  is minimal if  $y \leq x \Rightarrow y = x$  i.e. no element  $y$  proceeds  $x$

Example:  $A = \{1, 2, 3, 4, 5\}$ .  $R = "\leq"$

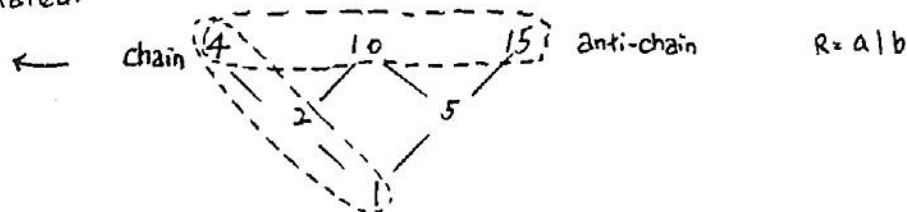
1 - the <sup>only</sup> minimal

5 - the only maximal

Defin: A subset of  $A$  is a chain if every two elements in the subset are related.

The # of elements in a chain is called the length of the chain. Similarly,

a subset of  $A$  is an anti-chain if no two distinct elements in the subset are related.



P121. textbook

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$$A = \{M \mid M \text{ is a } n \times n \text{ matrix and } M[i,j] = 0 \text{ or } 1 \text{ for all } i,j\}$$

$$M_1 * M_2 [i,k] = \sum_{j=1}^n (M_1[i,j] \wedge M_2[j,k])$$

$$M_1 \leq M_2 \text{ iff } M_1[i,j] \leq M_2[i,j] \text{ for all } i,j.$$

if and only if

Week 5

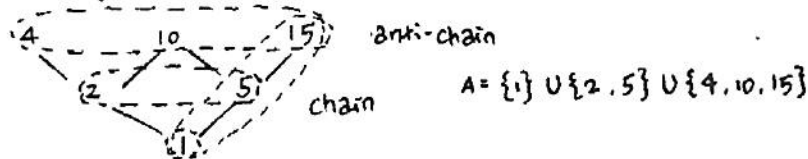
totally ordered set

$$A = \{1, 2, 3, 4, 5\} \quad R = \leq$$

Thm (Dilworth's)

If  $(A, \leq)$  is a finite poset and the size of the largest chains in  $A$  is  $n$ , then  $A$  can be partitioned into  $n$  disjoint anti-chains.

$$A = \{1, 2, 4, 5, 10, 15\} \quad R = a \mid b$$



$$A = \{1\} \cup \{2, 5\} \cup \{4, 10, 15\}$$

Corollary: Let  $(A, \leq)$  be a finite poset, consisting of  $m+1$  elements. Then either there is an anti-chain consisting of  $m+1$  elements or there is a chain consisting of  $n+1$  elements in  $A$ .

Example?

a totally ordered set  $(A, \leq)$ , only one chain.

Functions

Defn: A binary relation  $R$  from  $A$  to  $B$  is called a function if  $\forall a \in A$ , there is a unique element  $b \in B$  such that  $(a, b) \in R$ .

$$f = A \rightarrow B$$

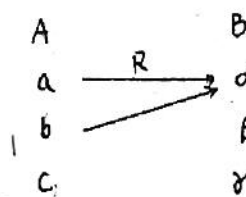
— "image of  $a$  in  $A$ "  $\in B$

— range of  $A = \{\text{all images}\} \subseteq B$

Example:  $f(x) = x^2$

$$\text{for all } x \in \mathbb{R}, \quad f: \mathbb{R} \rightarrow \mathbb{R}$$

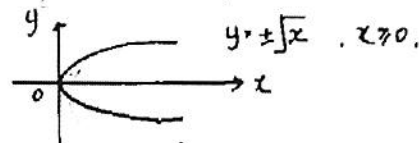
Example:



$R$  is not a function

because  $c$  does not have an image.

Example:



not a function.

example: encryption and decryption

encryption  $F(x) = 3x + 5 \pmod{26}$

"Leaving today"  $\Rightarrow$  "110400...24"  $\Rightarrow F(11)F(04)F(00)...$

$\Rightarrow$  12170516...25  $\Rightarrow$  MRFQ...FZ

$F^{-1} = 9x + 7 \pmod{26} \Rightarrow$  121705...25  $\Rightarrow F^{-1}(12)F^{-1}(17)F^{-1}(05)...$

$\Rightarrow 115/26$

$\Rightarrow$  1104...  $\Rightarrow$  LEAVING TODAY

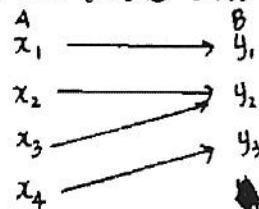
Defn: Let  $f: A \rightarrow B$  is a function.

(1) " $f$  maps  $A$  onto  $B$ " if the range of  $f$  is  $B$ .

(2) " $f$  maps one-to-one into  $B$ " if there are 2 elements in  $A$  the outcomes will be different

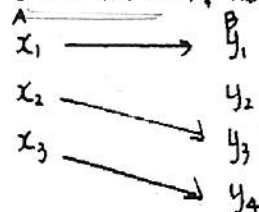
(3) " $f$  is a one-to-one onto function" if a) one to one relation & b) full relation from  $A$  to  $B$

Example.



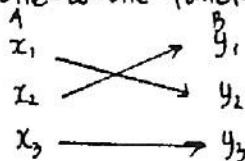
It's an onto function, not a one-to-one onto function.

Example



It's a one-to-one function, not an onto function.

Example.



It's a one-to-one onto function.  $\Rightarrow$  the size of  $A$  = the size of  $B$

Defn: If  $f: A \rightarrow B$  is a one-to-one onto function, then we can define the inverse of  $f$  as

$$f^{-1} = \{(b, a) \in B \times A \mid (a, b) \in A \times B\}$$

or

$$f^{-1}: B \rightarrow A$$

Thm (Pigeonhole Principle): Let  $f: A \rightarrow B$  be a function.  $A, B$  are both finite sets.

If  $|A| > |B|$ , then there exists  $a_1, a_2 \in A$ .

Such that  $f(a_1) = f(a_2)$

Example: Let  $A$  be a 10-element subset of  $\{1, 2, \dots, 50\}$ . Show that  $A$  possesses two different 4-element subsets, the sum of whose elements are equal.

i.e.  $A = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$

$\{4, 5, 6, 7\}, \{1, 2, 9, 10\}, \dots$

Notes 1.  $f: A \rightarrow B$ . both  $A$  and  $B$  are finite  $|B| = n$   
if  $f$  is  $k$  to 1, then  $|A| \leq kn$

$$2. \left\lceil \frac{m}{n} \right\rceil = \left\lfloor \frac{m-1}{n} \right\rfloor + 1$$

$$\left\lceil \frac{m}{n} \right\rceil < \frac{m}{n} + 1$$

Proof:  $f: S \rightarrow T$

$$|S| > |T| \Rightarrow \exists a_1, a_2 \in S, a_1 \neq a_2$$

$$f(a_1) = f(a_2)$$

$S$  = all 4-element subsets of  $A$

$f$  = sum of the elements of the subset.

$$T = \{10, 11, 12, \dots, 194\}$$

$$|T| = 185, \quad |S| = C(10, 4) = 210$$

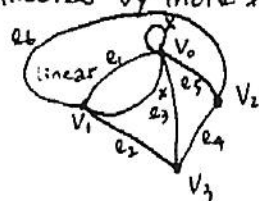
$\therefore$  By Pigeonhole Principle, there are two different elements  $s_1, s_2 \in S$ .  
such that  $f(s_1) = f(s_2)$ , which says their element sums are equal.

Graph Theory.

Defn: A graph  $G(V, E)$  is defined to be a set  $V$  of vertices and another set  $E$  of edges such that each edge is incident with exactly two (possibly identical) vertices.

Notes: (a) if  $E$  is a binary relation on  $V$ .  $G(V, E)$  is a directed graph;  
if  $E$  is defined by multisets of two elements from  $V$ , we call  $G(V, E)$   
an undirected graph.

(b) a graph is also called a multigraph; a linear graph is a multigraph  
such that every edge has two distinct endpoints and no vertices are  
connected by more than one edge.



$V_1 e_2 V_3 e_4 V_2$  : from  $V_1$  to  $V_2$

$V_1 e_6 V_2$   
:

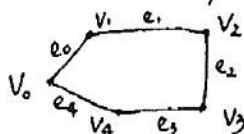
Defn: a path in a graph  $G(V, E)$  is an alternating sequence of its vertices and edges of the form

$V_0 e_1 V_1 e_2 V_2 \dots e_n V_n$  this path connects  $V_0$  to  $V_n$

Defn: a connected graph: every two vertices are connected.

an unconnected graph: We can find a pair of vertices that are not connected.

Defn: a path is called elementary if all of its vertices are distinct.



path:  $V_0 e_0 V_1 e_1 V_2 e_2 V_3 e_3 V_4 e_4 V_0$  exception

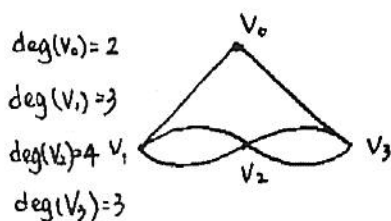
Def'n: a path is called simple if all of its edges are distinct.

a path is a circuit if  $v_0 = v_n$ .

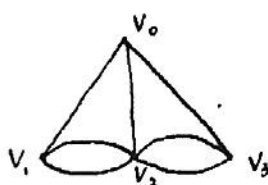


$v_0 e_1 v_0$ : elementary, simple & a circuit

An Eulerian path is a path that transverses each edge once and only once.  
 An Eulerian circuit is a circuit that transverses each edge once and only once.



$G_1 \checkmark$



$G_2 \times$

an Eulerian path?

$\deg(v_0) = 3$

$\deg(v_1) = 3$

$\deg(v_2) = 5$

$\deg(v_3) = 3$

Def'n: The degree of a vertex is the # of edges incident with it.

$\deg(V)$

Thm (Euler's): An undirected graph passes ~~an~~ <sup>Eulerian</sup> ~~path~~ path iff it is connected and has either zero or two vertices of odd degree.

Corollary: An undirected graph passes ~~an~~ an Eulerian ~~circuit~~ circuit iff it's connected and its vertices are all of even degree.

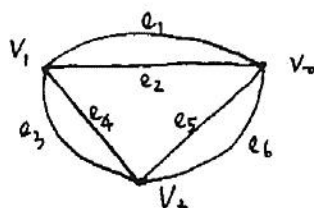
An algorithm: (Find an Eulerian circuit)

Step 1: Initial vertex: pick any vertex  $v_0$  in  $G$ .

Step 2: Initial circuit: construct a circuit  $C$

$v_0 e_1 v_1 \dots v_0$  as: Find an  $e_1$  adjacent to  $v_0$  and to a second vertex  $v_1$ , find a new edge  $e_2$  adjacent to  $v_1$  and so on.

Step 3: Repeat Step 1 and 2 until  $C$  contains every edge



Step 1:  $v_1$

Step 2:  $v_1 e_4 v_2 e_3 v_1$

Step 3:  $v_2 e_3 v_1 e_4 v_2 e_6 v_0 e_1 v_1 e_2 v_0 e_5 v_2$

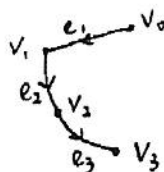


Week 6 directed graphs

Def'n: In a directed graph, the incoming degree of a vertex is the # of edges that are incident into it, and the outgoing degree of a vertex is the # of edges that are incident from it.

Thm (Euler's): A directed graph has an Eulerian circuit iff it's connected and  $\deg_{in}(V) = \deg_{out}(V)$  for all vertices.

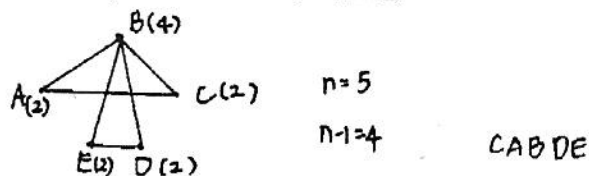
A directed graph has an Eulerian path iff it's connected and  $\deg_{in}(V) = \deg_{out}(V)$  for all vertices except 2 vertices for which  $\deg_{in}(V) = \deg_{out}(V) + 1$  for one vertex and  $\deg_{in}(V) = \deg_{out}(V) - 1$  for the other vertex.



an Eulerian path / circuit  $\rightarrow$  a simple path.

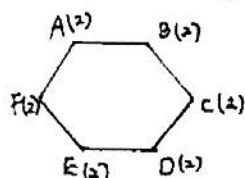
Hamiltonian paths / circuit

Def'n: A Hamilton path/circuit is a path/circuit that passes through each of the vertices of the graph exactly once.



Thm: Let  $G$  be a connected linear graph with  $(n \geq 3)$  vertices.

- a)  $G$  has a Hamiltonian path if the sum of the degrees of each pair of the vertices is at least  $n-1$ .
- b)  $G$  has a Hamilton circuit if the sum of the degrees of each pair of the ~~non~~ non-adjacent vertices is at least  $n$ .



Thm (Tree Characterization) Let  $G$  be a graph with  $n$  vertices and  $m$  edges. Then the following statements are equivalent.

1.  $G$  is a tree
2.  $G$  is connected and  $m = n - 1$
3.  $G$  does not have a cycle and  $m = n - 1$ .

### Classification of graphs

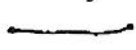
Defn: a cycle is a circuit in which all vertices and edges are distinct.

a tree is a connected (undirected) graph with no cycles.

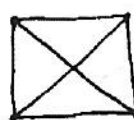
a collection of disjoint trees is called a forest.

Defn: a complete graph on  $n$  vertices, denoted by  $K_n$ , is such that each pair of distinct vertices are joined by an edge.

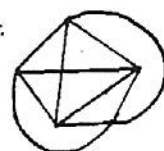
$K_2$ :



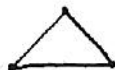
$K_4$ :



$K_5$ :

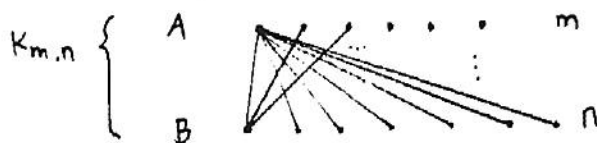


$K_3$ :



Defn: A bi-partite graph is one that the vertex set can be partitioned into 2 disjoint sets  $A = \{A_1, A_2, \dots, A_m\}$  and  $B = \{B_1, B_2, \dots, B_n\}$  such that no vertices in  $A$  or  $B$  are adjacent.

A complete bi-partite  $K_{m,n}$  is a bi-partite graph in which each vertex in  $A$  is joined to every vertex in  $B$ .



$K_{1,2}$ :



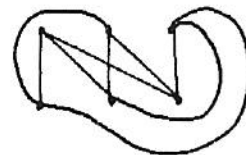
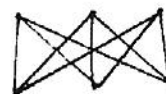
$K_{2,2}$ :



$K_{2,3}$ :

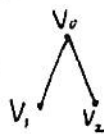


$K_{3,3}$ :

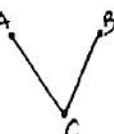


Defn: (Isomorphism). Given two graphs  $G_1$  and  $G_2$  with vertex sets  $A = \{A_1, A_2, \dots, A_n\}$  and  $B = \{B_1, B_2, \dots, B_n\}$  respectively. An isomorphism  $\pi$  between  $G_1$  and  $G_2$  is a one-to-one onto mapping.  $\pi: A \rightarrow B$  such that if  $\pi(A_i) = B_i$ ,  $\pi(A_j) = B_j$ , then  $A_i$  is adjacent to  $A_j$  iff  $B_i$  is adjacent to  $B_j$ .

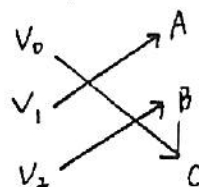
$G_1$ :



$G_2$ :



$\pi$ :



$G_1 \cong G_2$

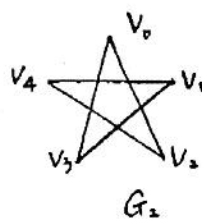
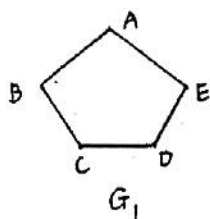
$G_1$  is isomorphic to  $G_2$

midterm

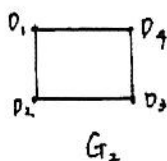
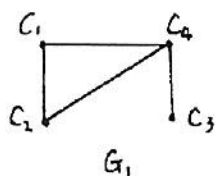
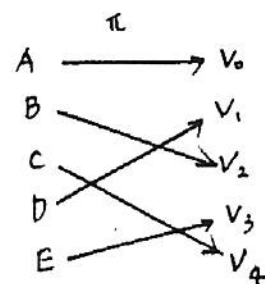
A rooted tree:

- an vertex  $\deg_{in} = 0$

Planar - no intersections



$G_1 \cong G_2$



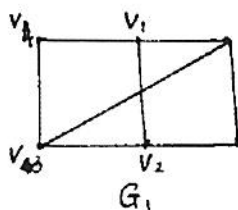
$G_1 \not\cong G_2$

Thm (Isomorphism Preservation): If 2 graphs  $G_1$  and  $G_2$  are isomorphic, then  $G_1$  and  $G_2$  have:

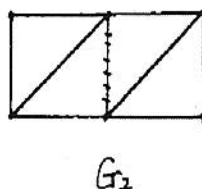
- 1) the same # of vertices and edges
- 2) the same degree of corresponding vertices.
- 3) the same # of connected components.

and

- 4)  $G_1$  has an Eulerian path (circuit) iff  $G_2$  has an Eulerian path (circuit).
- 5)  $G_1$  has a Hamiltonian path (circuit) iff  $G_2$  has the same.
- 6) if  $\pi(A_1) = B_1$ ,  $\pi(A_2) = B_2$ . and there is a path (circuit) of length  $k$  from  $A_1$  to  $A_2$ . then there is the same from  $B_1$  to  $B_2$ .



$\not\cong$

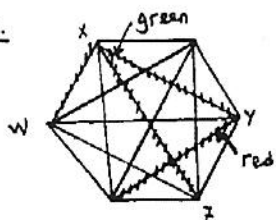


$V_1 V_2 V_3 V_4 V_1 \rightarrow G_2$  doesn't have such a cycle.

Week 7

**Example** Show that among the group of six people, there are either three who are mutual friends or three who are strangers to each other.

Proof.



$K_6 \Rightarrow$  green  $K_3$  (friends)  
or  
red  $K_3$  (strangers)

Six Vertices. Pick  $x$ , then there will be five edges.

At least 3 of the 5 edges are of the same color. (i.e. green)

If  $y, z, w$  are all green  $\Rightarrow$  done.

If not, at least one of them is green. & Choose the other two <sup>green</sup> edges  $\Rightarrow$  done.

### Planar Graphs and Euler's Formula.

Defn: A multigraph is called "Planar" if it can be (re)drawn in a plane and its edges do not intersect.

$K_n$ :  $K_2$   $\checkmark$

$K_3$   $\checkmark$

$K_4$   $\checkmark$

$K_5$   $\times$

$K_{m,n}$ :  $K_{1,1}$   $\checkmark$

$K_{1,2}$   $\checkmark$

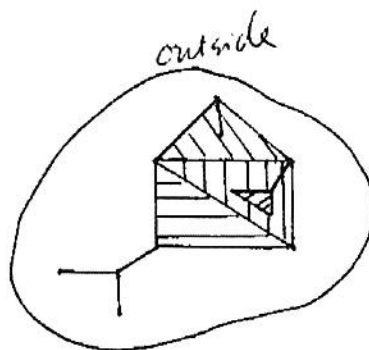
$K_{2,2}$   $\checkmark$

$K_{2,3}$   $\checkmark$

$K_{3,3}$   $\times$

$K_5$  is not planar,  $K_{3,3}$  is not planar.

Defn: a region of a planar graph is an area of the plane that is bounded by edges and is not further divided into sub-areas.



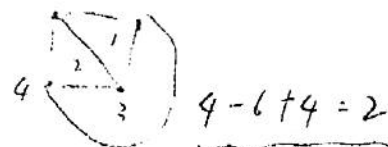
$r = 5$  regions

$v = 12$

$e = 15$

Thm (Euler's Formula): If a connected planar graph has  $V$  vertices,  $e$  edges and  $r$  regions, then

$$V - e + r = 2$$



Example: Show that  $K_{3,3}$  is not planar.

Proof: For  $K_{3,3}$ ,  $V=6$ ,  $e=9$  ( $3 \times 3$ )

if  $K_{3,3}$  is planar, then according to Euler's Formula,

$$r = e - V + 2 = 9 - 6 + 2 = 5$$

Since no 3 vertices of  $K_{3,3}$  ~~form~~ a triangle, each region would have 4 or more edges at the boundary.

$\therefore$  the total # of edges making up the regions  $\geq 4 \times 5 = 20$  where some edges may be counted twice because they may be bounding 2 regions.

Hence, the total # of edges of  $K_{3,3} \geq \frac{20}{2} = 10$ , that's  $e \geq 10$ .

This contradicts  $e=9$ .

$\therefore K_{3,3}$  is not planar.

Thm: A connected <sup>planar</sup> graph with  $V$  vertices,  $e$  edges ( $e \geq 2$ ) and  $r$  regions without loop or multiple edges satisfies

$$3V - 6 \geq e \geq \frac{3}{2}r$$

Example: Show that  $K_5$  is not planar.

Proof: For  $K_5$ ,  $V=5$ ,  $e=10$  ( $C_5^2$ )

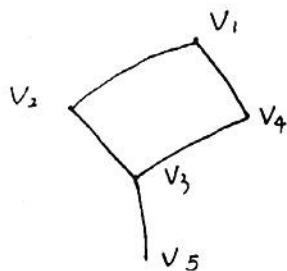
but  $3V - 6 = 3 \times 5 - 6 = 9 \neq e = 10$

$\therefore K_5$  is not planar.

Thm (Kuratowski): a graph is planar iff it does not contain any subgraph that is isomorphic, within vertices of degree 2, to  $K_{3,3}$  or  $K_5$ .

Defn: Two graphs  $G_1$  and  $G_2$  are isomorphic to within vertices of degree 2, if they are isomorphic or if they can be transformed into isomorphic graphs by repeated insertions and/or removals of vertices of degree 2.

Connectedness



Matrix

	$V_1$	$V_2$	$V_3$	$V_4$	$V_5$
$V_1$	0	1	0	1	0
$V_2$	1	0	1	0	0
$V_3$	0	1	0	1	1
$V_4$	1	0	1	0	0
$V_5$	0	0	1	0	0

5x5

Thm: If  $A$  is the adjacent matrix of a graph, then the # of paths from vertex  $V_i$  to vertex  $V_j$  of length  $k$  is given by the  $ij$ -th entry of  $A^k$ .

Thm: If  $A$  is the adjacent matrix of a graph of  $n$  vertices, then

- i)  $G$  is connected iff  $I + A + A^2 + \dots + A^{n-1}$  has only true entries. should be symmetric  $\therefore$  all entries are non-zero
- ii)  $G$  is connected iff  $(I + A)^{n-1}$  has only true entries.