## Multiway Number Partitioning

Part I: Classical & Quantum Anneali

Lai, Chia-Tso



#### **Problem Formulation**

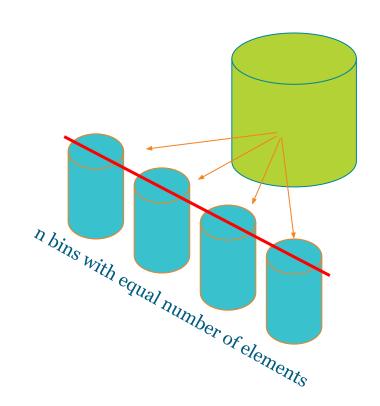
An array with N weighted elements W=[w1,w2,w3,...,wN]

Assign the elements uniformly to n bins

Compute the total weight of each bin

#### **Objective:**

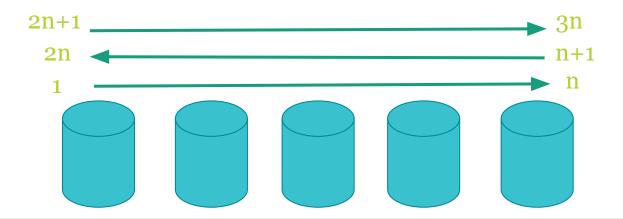
Minimize the standard deviation of the weights



#### Heuristic Approach

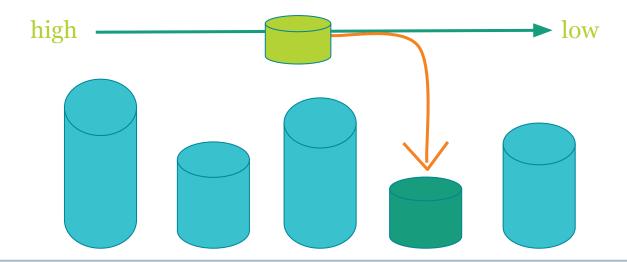
#### Serpentine draft

- 1. Sort the elements from low to high weights
- 2. Order of assignment alternates between ascent and descent



#### **Greedy Number Partitioning**

- 1. Sort the elements from high to low weights
- 2. Assign the next element to the bin (not full yet) with the lowest current sum



#### **Quantum Annealing Optimization**

Define binary variables Xij

i labels the bin while j labels the element

If the jth element is assigned to the ith bin  $\Rightarrow$  **Xij**=1 otherwise, **Xij**=0

**Cost Function:** 

$$f(\mathbf{X}) = \sum_{i} (\sum_{j} \mathbf{X}_{ij} \mathbf{W}_{j} - \sum_{j} \mathbf{W}_{i} / \mathbf{N})^{2}$$
sum of each bin mean

**Constraints:** 

each element only assigned once

$$\Sigma$$
i $\mathbf{X}$ ij = 1

$$\Sigma_j \mathbf{X}_{ij} = \mathbf{N}/\mathbf{n}$$

Each bin has the equal number of element

#### **Quantum Annealing Optimization**

- 1. Encode the cost function and constraints as **ConstrainQuadraticModel**
- 2. Use **LeapHybridCQMSampler** to sample the solutions
- 3. Take the lowest energy sample among all feasible samples (samples which satisfies the constraints)
- 4. Convert the solution matrix **Xij** into n arrays of element indices

#### Example 1: 20 elements to 4 bins

W = [3.2, 0.33, 6.1, 5.5, 3.17, 4.3, 0.1, 0.1, 2.5, 10.8,

0.06, 0.15, 4, 3, 0.14, 1, 0.25, 4, 0.22, 1.08

(element values are not of equal order of magnitude)

Optimizer	Heuristic	Greedy	Quantum Annealer
Sum of each bin	[9.69, 10.93, 12.12, 17.26]	[12.53, 12.5, 12.5, 12.47]	[12.5, 12.5, 12.5, 12.5]
standard deviation	2.88	0.0212	0

#### Example 2: 40 elements to 5 bins

W = [19.9, 12.111, 0.009, 3.5, 4.08, 0.3, 0.01, 0.09, 30.234, 5.123, 1.643, 0.007, 0.013, 1.9, 1, 0.08, 0.2, 0.5, 0.299, 0.001, 37.75, 1, 0.2, 0.05, 5.5, 4.5, 3.7, 6.3, 0.004, 15.996, 2, 2, 10.5, 10.91, 12, 0.15, 2.33, 4.01, 0.07, 0.03]

(element values are not of equal order of magnitude)

Optimizer	Heuristic	Greedy	Quantum Annealer
Sum of each bin	[50.087, 44.258, 37.737, 33.985, 31.933 ]	[39.999, 40.007, 40, 39.996, 39.998]	[40.003, 39.994, 40.002, 39.999, 40.002]
standard deviation	7.36	0.0037	0.0033

#### Example 3: 100 elements to 10 bins

W = numpy.random.random(100)

(element values are mostly of equal order of magnitude)

Optimizer	Heuristic	Greedy	Quantum Annealer
standard deviation	0.0276	0.027	0.01856

#### Example 4: 1000 elements to 20 bins

W = numpy.random.random(1000)

(element values are mostly of equal order of magnitude)

Optimizer	Heuristic	Greedy	Quantum Annealer
standard deviation	0.014	0.002	0.036
Runtime	0.001 secs	0.007 secs	13 mins

#### Example 5: 400 elements to 100 bins

W = numpy.ones(400) + numpy.random.normal(0,1,400)

(element values sampled from unity+noise of normal distribution with mean=0 & variance=1)

Optimizer	Heuristic	Greedy	Quantum Annealer
standard deviation	0.16	3.3	0.16

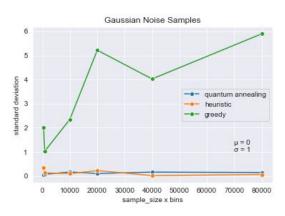
#### Example 6: 800 elements to 100 bins

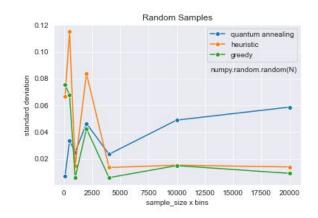
W = numpy.ones(800) + numpy.random.normal(0,1,800)

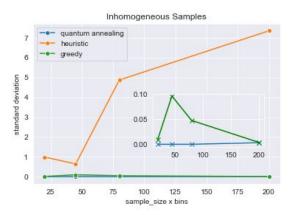
(element values sampled from unity+noise of normal distribution with mean=0 & variance=1)

Optimizer	Heuristic	Greedy	Quantum Annealer
standard deviation	0.063	5.9	0.144

# Benchmarking







#### Summary

- **Quantum annealing**'s performance is robust and consistent across different sample types and sizes. However, the **runtime** can scale up quickly as the qubits number scales up as Nxn.
- **Heuristic** (serpentine draft) algorithm performs well and extremely fast across all sample sizes. However, for certain samples containing elements with **uneven order of magnitude**, it performs less well compared to quantum annealing.
- **Greedy Number Partitioning** also performs extremely well and fast in most cases except when the samples follow a **normal distribution**.

# Multiway Number Partitioning

Part II: Gate-based Quantum Solution

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#### Grover's Search Method

- 1. At least  $N + \log_2(N) + 1$  qubits required ( $\log_2(N) + 1$  ancilla qubits)
- 2. Binary variables Xi represent the elements (i = 1,2,...,N)
- 3. Initialize the state by applying Hadamard gates to every element qubit
- 4. Set up the objective by **C-Ry** and **Ry** gates. The rotational angles of C-Ry gates correspond to the elements' weights (mapped to  $[0, \pi]$ ), while the angle of Ry gate encodes the **mean value** of each bin
- 5. The constraint of N/n elements in each bin is imposed by **CNOT** gates, which implement addition of 1 if the element qubit is chosen (**Xi=1**)
- 6. Amplify the amplitudes of the desired states with Grover's operator:

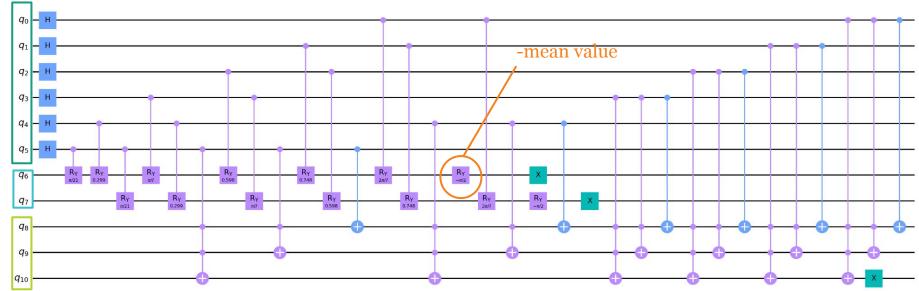
$$G = AS_0A^{\dagger}S_X$$





## Quantum Number Partitioning Model

Example: 6 elements [1,2,3,4,5,6] into 2 bins



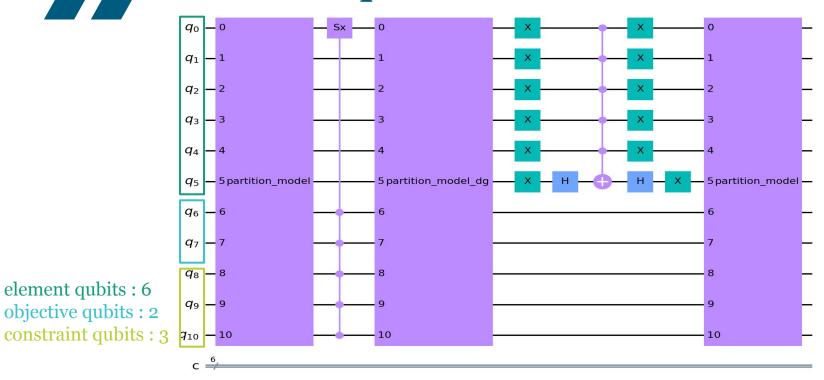
element qubits: 6 objective qubits: 2 constraint qubits: 3





element qubits: 6

### Grover's Operator



#### Post Processing

- 1. Extract the top measurement results
- 2. Filter out results that violate the constraint
- 3. Pick the best solution (closest to mean value) and keep the remaining elements
- 4. Execute another round of Grover's earch with the remaining elements. Namely, assigning N-N/n elements to n-1 bins
- 5. After **n-1** rounds of search, a set of solution could be formed
- 6. Improve the solution by pairing up two bins according to their deviations from the mean and then exchange certain elements such that the difference of two bins got reduced

#### Implementation

W = np.ones(N) + np.random.normal(0,1,N)

(element values sampled from unity+noise of normal distribution with mean=0 & variance=1)

Samples	Heuristic	Grover's Search
9 elements to 3 bins	0.199	0.079
16 elements to 4 bins	0.2095	0.018
20 elements to 5 bins	0.535	0.212
22 elements to 2 bins	0.39	0.025

#### Implementation

W = np.random.random(N)

(samples are random numbers between o and 1)

Samples	Heuristic	Grover's Search
12 elements to 6 bins	0.1032	0.1046
14 elements to 7 bins	0.065	0.071
20 elements to 4 bins	0.0512	0.0469
22 elements to 2 bins	0.0364	0.0042

#### Summary

- Number Partitioning by Grover's search works really well in both sample types on a small scale
- The number of deployable qubits is currently limited. The maximum problem size is 22 elements using the qasm simulator
- Questionable potential quantum speedup due to the qubit number O(N)
- Accuracy can be improved with more ancilla qubits and precise rounds of amplitude amplification