

# Cyclotomic polynomials

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## 1 Preliminaries

By an arithmetical function we mean a function whose domain contains the positive integers. We say that an arithmetical function  $f$  is **multiplicative** when  $\gcd(n, m) = 1$  implies  $f(nm) = f(n)f(m)$ , and that it is **completely multiplicative** when  $f(nm) = f(n)f(m)$  for all  $n, m \geq 1$ .

Write

$$U_n = \{e^{2\pi ik/n} : 1 \leq k \leq n\} = \{e^{2\pi ik/n} : 0 \leq k \leq n-1\},$$

the  $n$ th roots of unity. For  $n > 1$ , there is an element  $\zeta$  of  $U_n$  with  $\zeta \neq 1$ . Because  $\xi \mapsto \zeta\xi$  is a bijection  $U_n \rightarrow U_n$  we have  $\zeta \sum_{\xi \in U_n} \xi = \sum_{\xi \in U_n} \xi$ , hence  $(1 - \zeta) \sum_{\xi \in U_n} \xi = 0$ . But  $\zeta \neq 1$ , which means that

$$\sum_{k=0}^{n-1} e^{2\pi ik/n} = \sum_{\xi \in U_n} \xi = 0, \quad n > 1.$$

Write

$$\Delta_n = \{e^{2\pi ik/n} : 1 \leq k \leq n, \gcd(k, n) = 1\},$$

the primitive  $n$ th roots of unity. Let  $\phi$  be the **Euler phi function**:

$$\phi(n) = |\{k : 1 \leq k \leq n, \gcd(k, n) = 1\}| = |\Delta_n|.$$

$\phi$  is multiplicative, and for prime  $p$  and for  $r \geq 1$ ,  $\phi(p^r) = p^{r-1}(p-1)$ .

Let  $\mu$  be the **Möbius function**:

$$\mu(n) = \sum_{1 \leq k \leq n, \gcd(k, n) = 1} e^{2\pi ik/n} = \sum_{\xi \in \Delta_n} \xi.$$

For  $p$  prime, as  $\Delta_p = U_p \setminus \{1\}$ ,

$$\mu(p) = -1 + \sum_{\xi \in U_p} \xi = 0 - 1 = -1.$$

For  $r \geq 2$ , as  $\Delta_{p^r} = U_{p^r} \setminus U_{p^{r-1}}$ ,

$$\mu(p^r) = - \sum_{\xi \in U_{p^{r-1}}} \xi + \sum_{\xi \in U_{p^r}} \xi = -0 + 0 = 0.$$

Furthermore, one proves that  $\mu$  is multiplicative. Thus

$$\mu(n) = \begin{cases} 1 & n \text{ is a square-free integer with an even number of prime factors} \\ -1 & n \text{ is a square-free integer with an odd number of prime factors} \\ 0 & \text{otherwise.} \end{cases}$$

The **Möbius inversion formula** states that if  $f$  and  $g$  are arithmetic functions satisfying

$$g(n) = \sum_{d|n} f(d), \quad n \geq 1,$$

then

$$f(n) = \sum_{d|n} \mu(n/d)g(d), \quad n \geq 1.$$

We can write

$$U_n = \bigcup_{d|n} \Delta_d,$$

and  $\Delta_d \cap \Delta_e = \emptyset$  for  $d \neq e$ . So

$$n = \sum_{d|n} \phi(d).$$

Therefore by the Möbius inversion formula,

$$\phi(n) = \sum_{d|n} d \cdot \mu(n/d).$$

Also, for  $n > 1$ ,

$$\sum_{d|n} \mu(d) = \sum_{d|n} \sum_{\xi \in \Delta_d} \xi = \sum_{\xi \in U_n} \xi = 0. \quad (1)$$

Let

$$d(n) = \sum_{d|n} 1,$$

the number of divisors of  $n$ , for example,  $d(6) = 4$ . Let

$$\omega(n) = \sum_{p|n} 1,$$

the number of prime divisors of  $n$ : for  $n = p_1^{\alpha_1} \cdots p_r^{\alpha_r}$ ,  $\alpha_1, \dots, \alpha_r \geq 1$ , we have  $\omega(n) = r$ , for example  $\omega(12) = \omega(2^2 \cdot 3) = 2$ .

## 2 Definition and basic properties of cyclotomic polynomials

For  $n \geq 1$ , let

$$\Phi_n(x) = \prod_{1 \leq k \leq n, \gcd(k, n)=1} (x - e^{2\pi i k/n}) = \prod_{\xi \in \Delta_n} (x - \xi),$$

the  $n$ th **cyclotomic polynomial**. The first of the following two identities was found by Euler [45, pp. 199–200, Chap. III, §VI].

**Lemma 1.** For  $n \geq 1$ ,

$$x^n - 1 = \prod_{d|n} \Phi_d(x),$$

and for  $x \notin U_n$ ,

$$\Phi_n(x) = \prod_{d|n} (x^d - 1)^{\mu(n/d)}.$$

*Proof.* For  $F_n(x) = x^n - 1$ , each of  $e^{2\pi i k/n}$ ,  $1 \leq k \leq n$ , is a distinct root of  $F_n(x)$ , so

$$\begin{aligned} x^n - 1 &= \prod_{1 \leq k \leq n} (x - e^{2\pi i k/n}) \\ &= \prod_{d|n} \prod_{1 \leq k \leq n, \gcd(k, n)=d} (x - e^{2\pi i k/n}) \\ &= \prod_{d|n} \prod_{1 \leq j \leq n/d, \gcd(j, n/d)=1} (x - e^{2\pi i j d/n}) \\ &= \prod_{d|n} \Phi_{n/d}(x) \\ &= \prod_{d|n} \Phi_d(x). \end{aligned}$$

That is,  $\log F_n = \sum_{d|n} \log \Phi_d$ . Therefore applying the Möbius inversion formula yields  $\log \Phi_n = \sum_{d|n} \mu(n/d) \log F_d$  and so  $\Phi_n = \prod_{d|n} F_d^{\mu(n/d)}$ .  $\square$

**Lemma 2.** When  $p$  is a prime,

$$\Phi_p(x) = x^{p-1} + \cdots + x + 1.$$

When  $p$  is an odd prime,

$$\Phi_{2p}(x) = x^{p-1} - x^{p-2} + x^{p-3} - \cdots + x^2 - x + 1.$$

*Proof.* When  $p$  is a prime,  $x^p - 1 = \Phi_1(x) \cdot \Phi_p(x)$ , i.e.

$$\Phi_p(x) = \frac{x^p - 1}{\Phi_1(x)} = \frac{x^p - 1}{x - 1} = x^{p-1} + \cdots + x + 1.$$

When  $p$  is an odd prime,

$$\Phi_{2p}(x) = \frac{x^{2p} - 1}{\Phi_1(x)\Phi_2(x)\Phi_p(x)} = \frac{x^{2p} - 1}{(x^p - 1)\Phi_2(x)} = \frac{(x^p - 1)(x^p + 1)}{(x^p - 1)(x + 1)} = \frac{x^p + 1}{x + 1},$$

and because  $(x + 1)(x^{p-1} - x^{p-2} + x^{p-3} - \cdots + x^2 - x + 1) = x^p + 1$ ,

$$\Phi_{2p}(x) = x^{p-1} - x^{p-2} + x^{p-3} - \cdots + x^2 - x + 1.$$

□

**Lemma 3.** *If  $p$  is a prime and  $m \geq 1$ ,*

$$\Phi_{pm}(x) = \begin{cases} \Phi_m(x^p) & p|m \\ \Phi_m(x^p)/\Phi_m(x) & p \nmid m. \end{cases}$$

For  $k \geq 1$ ,

$$\Phi_{p^k m}(x) = \begin{cases} \Phi_m(x^{p^k}) & p|m \\ \Phi_m(x^{p^k})/\Phi_m(x^{p^{k-1}}) & p \nmid m, \end{cases}$$

*Proof.* Using Lemma 1,

$$\begin{aligned} \Phi_{pm}(x) &= \prod_{d|(pm)} (x^d - 1)^{\mu(pm/d)} \\ &= \prod_{d|(pm), p|d} (x^d - 1)^{\mu(pm/d)} \cdot \prod_{d|(pm), p \nmid d} (x^d - 1)^{\mu(pm/d)} \\ &= \prod_{e|m} (x^{pe} - 1)^{\mu(m/e)} \cdot \prod_{d|(pm), p \nmid d} (x^d - 1)^{\mu(pm/d)} \\ &= \Phi_m(x^p) \cdot \prod_{d|(pm), p \nmid d} (x^d - 1)^{\mu(pm/d)}. \end{aligned}$$

If  $m = ap$  and  $d|(pm)$  and  $p \nmid d$ , then  $\mu(pm/d) = \mu(ap^2/d) = 0$  and

$$\Phi_{pm}(x) = \Phi_m(x^p) \cdot \prod_{d|a} (x^d - 1)^{\mu(ap^2/d)} = \Phi_m(x^p).$$

If  $p \nmid m$  and  $d|(pm)$  and  $p \nmid d$ , then  $\mu(pm/d) = \mu(p)\mu(m/d) = -\mu(m/d)$  and

$$\Phi_{pm}(x) = \Phi_m(x^p) \cdot \prod_{d|(pm), p \nmid d} (x^d - 1)^{\mu(pm/d)} = \Phi_m(x^p) \cdot \prod_{d|m} (x^d - 1)^{-\mu(m/d)}.$$

For  $k \geq 2$ ,

$$\Phi_{p^k m}(x) = \Phi_{p \cdot p^{k-1} m}(x) = \Phi_{p^{k-1} m}(x^p) = \cdots = \Phi_{pm}(x^{p^{k-1}}),$$

and using the expression we obtained for  $\Phi_{pm}(x)$  we get the expression stated for  $\Phi_{p^k m}(x)$ .  $\square$

**Lemma 4.** For  $n = p_1^{\alpha_1} \cdots p_r^{\alpha_r}$ , where  $p_i$  are prime and  $\alpha_i \geq 1$ , and  $N = p_1 \cdots p_r$ ,

$$\Phi_n(x) = \Phi_N(x^{n/N}).$$

*Proof.* If  $d|n$  and  $d \nmid N$  then  $\mu(d) = 0$ , hence

$$\begin{aligned} \Phi_n(x) &= \prod_{d|n} (x^{n/d} - 1)^{\mu(d)} \\ &= \prod_{d|N} (x^{n/d} - 1)^{\mu(d)} \\ &= \prod_{d|N} ((x^{n/N})^{N/d} - 1)^{\mu(d)} \\ &= \Phi_N(x^{n/N}). \end{aligned}$$

$\square$

**Lemma 5.** If  $n > 1$  then

$$\Phi_n(x^{-1}) = x^{-\phi(n)} \Phi_n(x).$$

*Proof.*

$$\Phi_n(x^{-1}) = \prod_{d|n} (x^{-d} - 1)^{\mu(n/d)} = \prod_{d|n} (1 - x^d)^{\mu(n/d)} (x^{-d})^{\mu(n/d)},$$

hence

$$\Phi_n(x^{-1}) = \prod_{d|n} (-x^{-d})^{\mu(n/d)} \cdot \prod_{d|n} (x^d - 1)^{\mu(n/d)}.$$

Because  $n > 1$  it holds that  $\sum_{d|n} \mu(n/d) = 0$ , and using this and  $\sum_{d|n} d \cdot \mu(n/d) = \phi(n)$  yields

$$\Phi_n(x^{-1}) = x^{-\phi(n)} \Phi_n(x).$$

$\square$

**Lemma 6.** If  $r > 1$  is odd then

$$\Phi_{2^r}(x) = \Phi_r(-x).$$

*Proof.* Because  $r$  is odd, if  $d_1, \dots, d_l$  are the divisors of  $r$  then

$$d_1, \dots, d_l, 2d_1, \dots, 2d_l$$

are the divisors of  $2r$ , so

$$\begin{aligned}\Phi_{2r}(x) &= \prod_{d|(2r)} (x^d - 1)^{\mu(2r/d)} \\ &= \prod_{d|r} (x^d - 1)^{\mu(2r/d)} \cdot \prod_{d|r} (x^{2d} - 1)^{\mu(2r/(2d))} \\ &= \prod_{d|r} (x^d - 1)^{\mu(2r/d)} (x^{2d} - 1)^{\mu(r/d)} \\ &= \prod_{d|r} (x^d - 1)^{\mu(2)\mu(r/d) + \mu(r/d)} (x^d + 1)^{\mu(r/d)} \\ &= \prod_{d|r} (x^d + 1)^{\mu(r/d)}.\end{aligned}$$

Because  $r$  is odd, any divisor  $d$  of  $r$  is odd and then  $x^d + 1 = -((-x)^d - 1)$ , so

$$\Phi_{2r}(x) = \prod_{d|r} (-1)^{\mu(r/d)} ((-x)^d - 1)^{\mu(r/d)} = (-1)^{\phi(r)} \cdot \prod_{d|r} ((-x)^d - 1)^{\mu(r/d)}.$$

Because  $r$  is odd and  $> 1$ ,  $\phi(r)$  is even, so we have obtained the claim.  $\square$

**Theorem 7.**  $\Phi_n \in \mathbb{Z}[x]$ .

*Proof.* It is a fact that if  $R$  is a unital commutative ring,  $f \in R[x]$  is a monic polynomial and  $g \in R[x]$  is a polynomial, then there are  $q, r \in R[x]$  with

$$g = qf + r,$$

$r = 0$  or  $\deg r < \deg f$ .

First,  $\Phi_1(x) = x - 1 \in \mathbb{Z}[x]$ . For  $n > 1$ , assume that  $\Phi_d(x) \in \mathbb{Z}[x]$  for  $1 \leq d < n$ . Then let

$$f = \prod_{d|n, d < n} \Phi_d,$$

which by hypothesis belongs to  $\mathbb{Z}[x]$ . Since each  $\Phi_d$  is monic, so is  $f$ . On the one hand, since  $g(x) = x^n - 1 \in \mathbb{Z}[x]$ , there are  $q, r \in \mathbb{Z}[x]$  with  $g = qf + r$  and  $r = 0$  or  $\deg r < \deg f$ . On the other hand, by Lemma 1 we have  $g = \Phi_n f \in \mathbb{C}[x]$ . Thus  $\Phi_n f = qf + r \in \mathbb{C}[x]$ , so  $r = f \cdot (\Phi_n - q) \in \mathbb{C}[x]$ . If  $\Phi_n \neq q$  then  $\deg r = \deg f + \deg(\Phi_n - q) \geq \deg f$ , contradicting that  $r = 0$  or  $\deg r < \deg f$ . Therefore  $\Phi_n = q \in \mathbb{C}[x]$ , and because  $q \in \mathbb{Z}[x]$  this means that  $\Phi_n \in \mathbb{Z}[x]$ .  $\square$

In fact, it can be proved that  $\Phi_n$  is irreducible in  $\mathbb{Q}[x]$ . Gauss states in entry 40 of his mathematical diary, dated October 9, 1796, that  $\Phi_p$  is irreducible in  $\mathbb{Q}[x]$  when  $p$  is prime, and he proves this in *Disquisitiones Arithmeticae*, Art.

341. Gauss further states in entry 136 of his mathematical diary, dated June 12, 1808, that for any  $n$ ,  $\Phi_n$  is irreducible in  $\mathbb{Q}[x]$ , and Kronecker proves this in his 1854 *Mémoire sur les facteurs irréductibles de l'expression  $x^n - 1$* . Gauss's work on cyclotomic polynomials is surveyed by Neumann [40]. For any  $\xi \in \Delta_n$ ,  $\Phi_n(\xi) = 0$ , and since  $\Phi_n$  is irreducible in  $\mathbb{Q}[x]$  and is monic,  $\Phi$  is the minimal polynomial of  $\xi$  over  $\mathbb{Q}$ , which implies that  $[\mathbb{Q}(\xi) : \mathbb{Q}] = \deg \Phi_n = \phi(n)$ .

There is a group isomorphism  $\text{Gal}(\mathbb{Q}(\xi)/\mathbb{Q}) \rightarrow (\mathbb{Z}/n)^*$  [16, p. 596, Theorem 26].

The **discriminant** [44, p. 12, Proposition 2.7]:

$$d(\mathbb{Q}(e^{2\pi i/n})) = \frac{(-1)^{\phi(n)/2} n^{\phi(n)}}{\prod_{p|n} p^{\phi(n)/(p-1)}}.$$

It can be proved that  $\mathcal{O}_{\mathbb{Q}(e^{2\pi i/n})} = \mathbb{Z}[e^{2\pi i/n}]$  [39, p. 60, Proposition 10.2].

Let  $p$  be prime, let  $q = p^r$  for  $r \geq 1$ , let  $\mathbb{F}_q$  be a finite field with  $q$  elements, and for  $n \geq 1$  with  $\gcd(n, q) = 1$ , let  $\nu$  be the multiplicative order of  $q$  modulo  $n$ :  $\nu$  is the minimum positive integer satisfying  $q^\nu \equiv 1 \pmod{n}$ . It can be proved that there are monic, degree  $\nu$ , irreducible polynomials  $P_1, \dots, P_{\phi(n)/\nu} \in \mathbb{F}_q[x]$  such that  $\Phi_n = P_1 \cdots P_{\phi(n)/\nu} \in \mathbb{F}_q[x]$  [28, p. 65, Theorem 2.47]; cf. Bourbaki [7, p. 581] on Kummer. In particular,  $q$  is a generator of the multiplicative group  $(\mathbb{Z}/n)^*$  if and only if  $\nu = \phi(n)$  if and only if  $\Phi_n$  is irreducible in  $\mathbb{F}_q[x]$ . We remark that  $(\mathbb{Z}/n)^*$  is cyclic if and only if  $n$  is 2, 4, some power of an odd prime, or twice some power of an odd prime (Gauss, *Disquisitiones Arithmeticae*, Art. 89–92). This follows from (i) the multiplicative group  $(\mathbb{Z}/n)^*$  is isomorphic with the direct product  $(\mathbb{Z}/p_1^{\alpha_1})^* \times \cdots \times (\mathbb{Z}/p_r^{\alpha_r})^*$  for  $n = p_1^{\alpha_1} \cdots p_r^{\alpha_r}$ , (ii)  $(\mathbb{Z}/2^\alpha)^*$  is isomorphic with  $\mathbb{Z}/2 \times \mathbb{Z}/2^{\alpha-2}$ ,  $\alpha \geq 2$ , and (iii)  $(\mathbb{Z}/p^\alpha)^*$  is a cyclic group with  $p^{\alpha-1}(p-1)$  elements when  $p$  is an odd prime,  $\alpha \geq 1$  [16, p. 314, Corollary 20].

### 3 Special values

**Lemma 8.**  $\Phi_1(0) = -1$ , and for  $n \geq 2$ ,  $\Phi_n(0) = 1$ .

*Proof.*  $\Phi_1(x) = x - 1$ , so  $\Phi_1(0) = -1$ . For  $n \geq 2$ , using (1),

$$\Phi_n(0) = \prod_{d|n} (-1)^{\mu(n/d)} = (-1)^{\sum_{d|n} \mu(n/d)} = (-1)^{\sum_{d|n} \mu(d)} = (-1)^0 = 1.$$

□

Let  $\Lambda$  be the **von Mangoldt function**:  $\Lambda(n) = \log p$  if  $n = p^\alpha$  for some prime  $p$  and some integer  $\alpha \geq 1$ , and is  $\Lambda(n) = 0$  otherwise. Thus  $\Lambda(2) = \log 2$ ,  $\Lambda(8) = \log 2$ ,  $\Lambda(3) = \log 3$ , and  $\Lambda(6) = 0$ . One sees that

$$\log n = \sum_{d|n} \Lambda(d).$$

Therefore by the Möbius inversion formula,

$$\Lambda(n) = \sum_{d|n} \mu(n/d) \log d.$$

**Theorem 9.** For  $n > 1$ ,

$$\Phi_n(1) = e^{\Lambda(n)}$$

and

$$\Phi'_n(1) = \frac{1}{2} e^{\Lambda(n)} \phi(n).$$

*Proof.* For  $n > 1$ ,

$$x^{n-1} + \cdots + x + 1 = \prod_{d|n, d>1} \Phi_d(x),$$

hence

$$\log n = \sum_{d|n, d>1} \log \Phi_d(1).$$

Therefore by the Möbius inversion formula,

$$\log \Phi_n(1) = \sum_{d|n, d>1} \mu(n/d) \log d = \sum_{d|n} \mu(n/d) \log d = \Lambda(n).$$

Because  $x^n - 1 = \prod_{d|n} \Phi_d(x)$ , taking the logarithm and then taking the derivative yields

$$\frac{nx^{n-1}}{x^n - 1} = \sum_{d|n} \frac{\Phi'_d(x)}{\Phi_d(x)}.$$

$\Phi_1(x) = x - 1$  and so  $\frac{\Phi'_1(x)}{\Phi_1(x)} = \frac{1}{x-1}$ , hence

$$\frac{nx^{n-1}}{x^n - 1} - \frac{1}{x-1} = \sum_{d|n, d>1} \frac{\Phi'_d(x)}{\Phi_d(x)},$$

i.e.

$$\frac{nx^{n-1} - (x^{n-1} + x^{n-2} + \cdots + x + 1)}{x^n - 1} = \sum_{d|n, d>1} \frac{\Phi'_d(x)}{\Phi_d(x)}.$$

Doing polynomial long division we find

$$\frac{(n-1)x^{n-1} - x^{n-2} - \cdots - x - 1}{x-1} = (n-1)x^{n-2} + (n-2)x^{n-3} + \cdots + 2x + 1.$$

Hence

$$\frac{(n-1)x^{n-2} + (n-2)x^{n-3} + \cdots + 2x + 1}{x^{n-1} + x^{n-2} + \cdots + x + 1} = \sum_{d|n, d>1} \frac{\Phi'_d(x)}{\Phi_d(x)},$$



and for  $x = 1$  this is

$$\frac{n-1}{2} = \sum_{d|n, d>1} \frac{\Phi'_d(1)}{\Phi_d(1)}.$$

By the Möbius inversion formula,

$$\frac{\Phi'_n(1)}{\Phi_n(1)} = \sum_{d|n, d>1} \mu(n/d) \cdot \frac{d-1}{2},$$

and using (i)  $\Phi_n(1) = e^{\Lambda(n)}$  for  $n > 1$ , (ii)  $\sum_{d|n} \mu(n/d) = 0$  for  $n > 1$ , and (iii)  $\sum_{d|n} d \cdot \mu(n/d) = \phi(n)$ , we have

$$\Phi'_n(1) = e^{\Lambda(n)} \frac{1}{2} \sum_{d|n} \mu(n/d) \cdot d - e^{\Lambda(n)} \frac{1}{2} \sum_{d|n} \mu(n/d) = \frac{1}{2} e^{\Lambda(n)} \phi(n).$$

□

Because  $\Phi_n \in \mathbb{Z}[x]$ , it is the case that  $\Phi_n(-i) = \overline{\Phi_n(i)}$ .

**Theorem 10.**  $\Phi_1(i) = i - 1$ ,  $\Phi_2(i) = i + 1$ ,  $\Phi_4(i) = 0$ , and otherwise we have the following.

- If  $n$  is odd and has a prime factor  $p \equiv 1 \pmod{4}$ , then  $\Phi_n(i) = 1$ .
- If  $p \equiv 3 \pmod{4}$  is prime and  $k \geq 1$  is odd, then  $\Phi_{p^k}(i) = i$ .
- If  $p \equiv 3 \pmod{4}$  is prime and  $k \geq 1$  is even, then  $\Phi_{p^k}(i) = -i$ .
- If  $p \equiv 3 \pmod{4}$  is prime and  $k \geq 1$  is odd, then  $\Phi_{2p^k}(i) = -i$ .
- If  $p \equiv 3 \pmod{4}$  is prime and  $k \geq 1$  is even, then  $\Phi_{2p^k}(i) = i$ .
- If  $p, q \equiv 3 \pmod{4}$  are distinct primes and  $k, l \geq 1$ , then  $\Phi_{p^k q^l}(i) = -1$ .
- If  $p, q \equiv 3 \pmod{4}$  are distinct primes and  $k, l \geq 1$ , then  $\Phi_{2p^k q^l}(i) = -1$ .
- If  $p$  is an odd prime and  $k \geq 1$ , then  $\Phi_{4p^k}(i) = p$ .
- If  $\omega(n) \geq 3$  then  $\Phi_n(i) = 1$ .

*Proof.*  $\Phi_1(x) = x - 1$ ,  $\Phi_2(x) = x + 1$ , so  $\Phi_1(i) = i - 1$  and  $\Phi_2(i) = i + 1$ . As  $i \in \Delta_4$ ,  $\Phi_4(i) = 0$ .

Suppose that  $n$  is odd, that  $p \equiv 1 \pmod{4}$  is a prime factor of  $n$ , and write  $n = p^k m$  with  $\gcd(m, p) = 1$ . Lemma 3 tells us

$$\Phi_n(x) = \Phi_{p^k m}(x) = \frac{\Phi_m(x^{p^k})}{\Phi_m(x^{p^{k-1}})},$$

and as  $p^{k-1} \equiv 1 \pmod{4}$  and  $i^4 = 1$ , this yields

$$\Phi_n(i) = \frac{\Phi_m(i)}{\Phi_m(i)} = 1.$$

Suppose that  $n$  is odd, that  $p \equiv 3 \pmod{4}$  is a prime factor of  $n$ , and write  $n = p^k m$  with  $\gcd(m, p) = 1$ . If  $k$  is odd then  $p^k \equiv 3 \pmod{4}$ , so

$$\Phi_n(i) = \frac{\Phi_m(i^{p^k})}{\Phi_m(i^{p^{k-1}})} = \frac{\Phi_m(i^3)}{\Phi_m(i)} = \frac{\Phi_m(-i)}{\Phi_m(i)},$$

and if  $m = 1$  then

$$\Phi_n(i) = \frac{\Phi_1(-i)}{\Phi_1(i)} = \frac{-i-1}{i-1} = i.$$

If  $k$  is even then  $p^k \equiv 1 \pmod{4}$ , so

$$\Phi_n(i) = \frac{\Phi_m(i)}{\Phi_m(-i)},$$

and if  $m = 1$  then  $\Phi_n(i) = -i$ .

Suppose that  $n = 2^k$ ,  $k \geq 3$ . Lemma 4 tells us that

$$\Phi_n(x) = \Phi_2(x^{n/2}) = \Phi_2(x^{2^{k-1}}) = x^{2^{k-1}} + 1,$$

thus

$$\Phi_n(i) = i^{2^{k-1}} + 1 = 1 + 1 = 2.$$

Suppose that  $n = 2m$  with  $m > 1$  odd. Lemma 6 tells us  $\Phi_n(x) = \Phi_{2m}(x) = \Phi_m(-x)$ , so  $\Phi_n(i) = \Phi_m(-i)$ .

Suppose that  $n = 2^k m$  with  $k \geq 2$  and  $m > 1$  odd. Lemma 3 tells us

$$\Phi_{2^k m}(x) = \Phi_{2^{k-1} \cdot 2m}(x) = \Phi_{2m}(x^{2^{k-1}}),$$

and then Lemma 6 tells us  $\Phi_{2m}(x^{2^{k-1}}) = \Phi_m(-x^{2^{k-1}})$ . For  $k = 2$  this yields

$$\Phi_{4m}(i) = \Phi_m(1),$$

and for  $k > 2$ ,

$$\Phi_n(i) = \Phi_m(-i^{2^{k-1}}) = \Phi_m(-1).$$

□

Kurshan and Odlyzko [25]

Montgomery and Vaughan [36, pp. 131–132, Exercise 9].

**Theorem 11.** *If  $n = \prod_{p \leq y, p \equiv 2, 3 \pmod{5}} p$  with  $\omega(n)$  odd, then*

$$|\Phi_n(e^{2\pi i/5})| = \left( \frac{1 + \sqrt{5}}{2} \right)^{d(n)/2}.$$

*Proof.* Write  $e(x) = e^{2\pi ix}$ , let  $d \mid n$ ,  $d > 1$ , and write  $d = p_1 \cdots p_k \cdot q_1 \cdots q_l$  where  $p_1, \dots, p_k \equiv 2 \pmod{5}$  and  $q_1, \dots, q_l \equiv 3 \pmod{5}$  are prime. Then  $\omega(d) = k + l$  and, as  $2^3 \equiv 3 \pmod{5}$ ,

$$d \equiv 2^k 3^l \equiv 2^k 2^{3l} \equiv 2^{k+l} (-1)^l \pmod{5}.$$

If  $\omega(d) \equiv 0 \pmod{4}$  then  $2^{k+l} \equiv 1 \pmod{5}$  and if  $\omega(d) \equiv 2 \pmod{4}$  then  $2^{k+l} \equiv -1 \pmod{5}$ , and therefore if  $\omega(d)$  is even then  $d \equiv 1 \pmod{5}$  or  $d \equiv -1 \pmod{5}$ . Since  $|e(-1/5) - 1| = |e(1/5) - 1|$ , we have  $|e(d/5) - 1| = |e(1/5) - 1|$ .

If  $\omega(d) \equiv 1 \pmod{4}$  then  $2^{k+l} \equiv 2 \pmod{5}$  and if  $\omega(d) \equiv 3 \pmod{4}$  then  $2^{k+l} \equiv -2 \pmod{5}$ , and therefore if  $\omega(d)$  is odd then  $d \equiv 2 \pmod{5}$  or  $d \equiv -2 \pmod{5}$ . Since  $|e(-2/5) - 1| = |e(2/5) - 1|$ , we have  $|e(d/5) - 1| = |e(2/5) - 1|$ .

Now using Lemma 1 and  $|e(1/5) - 1|^{-1} = |e(2/5) - 1|$ ,

$$\begin{aligned} |\Phi_n(e(1/5))| &= \prod_{d \mid n} |e(d/5) - 1|^{\mu(n/d)} \\ &= \prod_{d \mid n, \omega(d) \text{ even}} |e(1/5) - 1|^{-1} \cdot \prod_{d \mid n, \omega(d) \text{ odd}} |e(2/5) - 1|. \end{aligned}$$

Hence, for  $\omega(n) = 2\nu + 1$  and for  $A = |e(1/5) - 1|^{-1}$  and  $B = |e(2/5) - 1|$ ,

$$\begin{aligned} \log |\Phi_n(e(1/5))| &= \sum_{r=0}^{\nu} \binom{2\nu+1}{2r} \log A + \sum_{r=0}^{\nu} \binom{2\nu+1}{2r+1} \log B \\ &= 2^{2\nu} \log A + 2^{2\nu} \log B \\ &= \log((AB)^{2^{\omega(n)/2}}), \end{aligned}$$

and using  $d(n) = \sum_{r=0}^{\omega(n)} \binom{\omega(n)}{r} = 2^{\omega(n)}$  this is  $|\Phi_n(e(1/5))| = (AB)^{d(n)/2}$ . Finally,

$$AB = \frac{|e(2/5) - 1|}{|e(1/5) - 1|} = |e(1/5) + 1| = \frac{1 + \sqrt{5}}{2}.$$

□

## 4 Primes in arithmetic progressions

For prime  $p$ ,  $p \nmid n$ , the following theorem relates the order of an element of the multiplicative group  $(\mathbb{Z}/p)^*$  with  $\Phi_n$  [44, p. 13, Lemma 2.9]. We remind ourselves that  $\Phi_n \in \mathbb{Z}[x]$  (Theorem 7), and so  $\Phi_n(a) \in \mathbb{Z}$  for  $a \in \mathbb{Z}$ .

**Lemma 12.** *Let  $p$  be prime,  $p \nmid n$ , and  $a \in \mathbb{Z}$ . Then  $p \mid \Phi_n(a)$  if and only if  $n$  is the multiplicative order of  $a$  modulo  $p$ .*

*Proof.* Suppose that  $p \mid \Phi_n(a)$ . Now, let  $b \in \mathbb{Z}$  with  $p \mid \Phi_n(b)$ . By Lemma 1,  $b^n - 1 = \prod_{d \mid n} \Phi_d(b)$ , and because  $\Phi_n(b) \equiv 0 \pmod{p}$  this yields  $b^n - 1 \equiv 0 \pmod{p}$ , i.e.  $b^n \equiv 1 \pmod{p}$ ; in particular,  $p \nmid b$ . Let  $\nu = \min\{k > 0 : a^k \equiv 1 \pmod{p}\}$ ,

the multiplicative order of  $a$  modulo  $p$ , so  $\nu \mid n$ , and suppose by contradiction that  $\nu < n$ . Using  $x^\nu - 1 = \prod_{d \mid \nu} \Phi_d(x)$  we have  $b^\nu - 1 = \prod_{d \mid \nu} \Phi_d(b)$ . Using this with  $b = a$ , as  $a^\nu \equiv 1 \pmod{p}$  and because  $p$  is prime it follows that for some  $d_0 \leq \nu < n$ ,  $\Phi_{d_0}(a) \equiv 0 \pmod{p}$ . As  $\nu \mid n$ ,

$$b^n - 1 = \Phi_n(b) \Phi_{d_0}(b) \cdot \prod_{d \mid n, d \neq d_0, n} \Phi_d(b).$$

Applying the above with  $b = a$  yields  $a^n - 1 \equiv 0 \pmod{p^2}$ . Moreover, by the binomial theorem,  $\Phi_n(a + p) \equiv \Phi_n(a) \equiv 0 \pmod{p}$  and  $\Phi_{d_0}(a + p) \equiv \Phi_{d_0}(a) \equiv 0 \pmod{p}$ , so applying the above with  $b = a + p$  yields  $(a + p)^n - 1 \equiv 0 \pmod{p^2}$ . But by the binomial theorem,  $(a + p)^n - 1 = \sum_{j=0}^n \binom{n}{j} a^{n-j} p^j - 1$ , whence  $(a + p)^n - 1 \equiv a^n + na^{n-1}p - 1 \pmod{p^2}$ , hence  $a^n + na^{n-1}p - 1 \equiv 0 \pmod{p^2}$ . Together with  $a^n - 1 \equiv 0 \pmod{p^2}$  this yields  $na^{n-1}p \equiv 0 \pmod{p^2}$ , i.e.  $na^{n-1} \equiv 0 \pmod{p}$ , contradicting that  $p \nmid n, a$ . Therefore  $\nu = n$ .

Suppose that  $a^n \equiv 1 \pmod{p}$  and that  $a^\nu \not\equiv 1 \pmod{p}$  for  $0 < \nu < n$ . As  $\prod_{d \mid n} \Phi_d(a) = a^n - 1 \equiv 0 \pmod{p}$ , there is some  $d_0 \mid n$  for which  $\Phi_{d_0}(a) \equiv 0 \pmod{p}$ . Suppose by contradiction that  $d_0 < n$ . As  $d_0 \mid n$ ,

$$a^{d_0} - 1 = \prod_{d \mid d_0} \Phi_d(a) = \Phi_{d_0}(a) \cdot \prod_{d \mid d_0, d < d_0} \Phi_d(a) \equiv 0 \pmod{p},$$

contradicting that  $a^\nu \not\equiv 1 \pmod{p}$  for  $0 < \nu < n$ . Therefore  $\Phi_n(a) \equiv 0 \pmod{p}$ , i.e.  $p \mid \Phi_n(a)$ .  $\square$

**Lemma 13.** *Let  $p$  be prime,  $p \nmid n$ . There is some  $a \in \mathbb{Z}$  such that  $p \mid \Phi_n(a)$  if and only if  $p \equiv 1 \pmod{n}$ .*

*Proof.* Suppose that  $a \in \mathbb{Z}$  and  $p \mid \Phi_n(a)$ . Then by Lemma 12,  $n$  is the multiplicative order of  $a$  modulo  $p$ . As the multiplicative group  $(\mathbb{Z}/p)^*$  has  $p - 1$  elements, this implies that  $n \mid (p - 1)$ , i.e.  $p - 1 \equiv 0 \pmod{n}$ .

Suppose that  $p \equiv 1 \pmod{n}$ , i.e.  $n \mid (p - 1)$ . Because  $(\mathbb{Z}/p)^*$  is a cyclic group with  $p - 1$  elements, it is a fact that there is some  $a \in \mathbb{Z}$ ,  $a + p\mathbb{Z} \in (\mathbb{Z}/p)^*$ , whose multiplicative order modulo  $p$  is  $n$ . (Generally, if  $G$  is a cyclic group with  $m$  elements and  $n$  divides  $m$  then there is some  $g \in G$  with order  $n$ .) Then by Lemma 12,  $p \mid \Phi_n(a)$ .  $\square$

We now use Lemma 13 to prove an instance of Dirichlet's theorem on primes in arithmetic progressions [44, p. 13, Lemma 2.9].

**Theorem 14.** *For any  $n \geq 1$ , there are infinitely many primes  $p$  with  $p \equiv 1 \pmod{n}$ .*

*Proof.* The claim for  $n = 1$  follows from the claim for  $n = 2$ . For  $n \geq 2$ , by Lemma 8,  $\Phi_n(0) = 1$ , namely the constant coefficient of  $\Phi_n(x)$  is 1. Suppose by contradiction that there are at most finitely many such primes  $p_1, \dots, p_t$  and let  $M = np_1 \cdots p_t$ . For  $N \in \mathbb{Z}$ ,  $\Phi_n(NM) \equiv 1 \pmod{M}$  and from  $M \mid (\Phi_n(NM) - 1)$  it follows that  $p_i \mid (\Phi_n(NM) - 1)$ ,  $1 \leq i \leq t$ , and  $n \mid (\Phi_n(NM) - 1)$ . Hence

if  $p$  is a prime factor of  $\Phi_n(NM)$  then  $p \neq p_i$ ,  $1 \leq i \leq t$ , and  $p \nmid n$ . As  $\Phi_n$  is a monic polynomial that is not a constant, for all sufficiently large  $N$ ,  $\Phi_n(NM)$  is an integer  $\geq 2$  and thus has a prime factor  $p$ , and we have established that  $p \nmid n$ . Therefore Lemma 13 tells us that  $p \equiv 1 \pmod{n}$ . But we have also established that  $p \neq p_i$ ,  $1 \leq i \leq r$ , a contradiction. Therefore there are infinitely many primes  $p$  with  $p \equiv 1 \pmod{n}$ .  $\square$

One can prove that for any integers  $n, b \geq 2$  it holds that

$$\frac{1}{2} \cdot b^{\phi(n)} \leq \Phi_n(b) \leq 2 \cdot b^{\phi(n)}.$$

Using this, Thangadurai and Vatswani [42] prove that for  $n \geq 2$ , the least prime  $p \equiv 1 \pmod{n}$  satisfies

$$p \leq 2^{\phi(n)+1} - 1.$$

## 5 Zsigmondy's theorem

[20, pp. 167–169, §8.3.1]

## 6 Newton's identities and Ramanujan sums

For positive integers  $n$  and  $n$ , let

$$c_n(k) = \sum_{1 \leq j \leq n, \gcd(n,j)=1} e^{2\pi i j k / n} = \sum_{\xi \in \Delta_n} \xi^k,$$

called a **Ramanujan sum**.

**Lemma 15.**

$$c_n(k) = \sum_{d \mid \gcd(n,k)} d \cdot \mu(n/d).$$

*Proof.* Let

$$\eta_n(k) = \sum_{j=1}^n e^{2\pi i j k / n} = \begin{cases} 0 & n \nmid k \\ n & n \mid k. \end{cases}$$

We can write  $\eta_n(k)$  as

$$\eta_n(k) = \sum_{d \mid n} c_d(k),$$

so by the Möbius inversion formula,

$$c_n(k) = \sum_{d \mid n} \mu(n/d) \eta_d(k).$$

$\square$

**Theorem 16.** For  $n > 1$  and for  $|x| < 1$ ,

$$\Phi_n(x) = \exp \left( - \sum_{m=1}^{\infty} \frac{c_n(m)}{m} x^m \right).$$

*Proof.* Using that  $\xi \mapsto \xi^{-1}$  is a bijection  $\Delta_n \rightarrow \Delta_n$ ,

$$\begin{aligned} \frac{d}{dx} \log \Phi_n(x) &= \frac{d}{dx} \sum_{\xi \in \Delta_n} \log(x - \xi) \\ &= \sum_{\xi \in \Delta_n} \frac{1}{x - \xi} \\ &= \sum_{\xi \in \Delta_n} -\frac{1}{\xi} \cdot \frac{1}{1 - \frac{x}{\xi}} \\ &= - \sum_{\xi \in \Delta_n} \frac{1}{\xi} \sum_{m=0}^{\infty} \left( \frac{x}{\xi} \right)^m \\ &= - \sum_{m=0}^{\infty} x^m \sum_{\xi \in \Delta_n} \xi^{m+1}. \end{aligned}$$

Because  $n > 1$ ,  $\Phi_n(0) = 1$ , and integrating,

$$\Phi_n(x) = \exp \left( - \sum_{m=0}^{\infty} \frac{x^{m+1}}{m+1} \sum_{\xi \in \Delta_n} \xi^{m+1} \right) = \exp \left( - \sum_{m=1}^{\infty} \frac{x^m}{m} c_n(m) \right).$$

□

A formula due to Hölder [36, p. 110, Theorem 4.1] is that

$$c_n(k) = \frac{\mu(n/\gcd(n, k)) \cdot \phi(n)}{\phi(n/\gcd(n, k))}. \quad (2)$$

This identity is used to prove the following lemma that we use later.

**Lemma 17.** If  $n$  is square-free then  $k \mapsto \mu(n)c_n(k)$  is multiplicative.

**Lemma 18.** For  $n \geq 1$  and  $\operatorname{Re} s > 1$ ,

$$\sum_{k=1}^{\infty} c_n(k) k^{-s} = \zeta(s) \cdot \sum_{d|n} \mu(n/d) d^{1-s}.$$

*Proof.* By Lemma 15,

$$\begin{aligned}
\sum_{k=1}^{\infty} c_n(k) k^{-s} &= \sum_{k=1}^{\infty} k^{-s} \sum_{d|n, d|k} \mu(n/d) d \\
&= \sum_{d|n} \sum_{m=1}^{\infty} (md)^{-s} \mu(n/d) d \\
&= \sum_{d|n} \sum_{m=1}^{\infty} m^{-s} d^{-s} \mu(n/d) d \\
&= \sum_{m=1}^{\infty} m^{-s} \sum_{d|n} d^{-s} \mu(n/d) d \\
&= \zeta(s) \cdot \sum_{d|n} \mu(n/d) d^{1-s}.
\end{aligned}$$

□

Write

$$\prod_{j=1}^n (x - \alpha_j) = \sum_{k=0}^n (-1)^k s_k x^{n-k},$$

and put, for  $k \geq 1$ ,

$$p_k = \sum_{j=1}^n \alpha_j^k.$$

**Newton's identities** [19, p. 32, Proposition 3.4] state that for  $k \geq 1$ ,

$$p_k = \sum_{j=1}^{k-1} (-1)^{j-1} s_j p_{k-j} + (-1)^{k-1} k s_k. \quad (3)$$

Write

$$\Phi_n(x) = \sum_{k=0}^{\phi(n)} a_n(k) x^k.$$

Let  $n > 1$ , and for integer  $j$  define

$$\chi_1(j) = \begin{cases} 1 & \gcd(n, j) = 1 \\ 0 & \gcd(n, j) > 1, \end{cases}$$

namely the **principal Dirichlet character modulo  $n$** . We can then write

$$\Phi_n(x) = \prod_{1 \leq k \leq n, \gcd(n, k)=1} (x - e^{2\pi i k/n}) = x^{-n+\phi(n)} \prod_{j=1}^n (x - \alpha_j)$$

for  $\alpha_j = \chi_1(j)e^{2\pi ij/n}$ , and thus

$$x^{n-\phi(n)}\Phi_n(x) = \prod_{j=1}^n (x - \alpha_j).$$

Because  $\chi_1(j)^k = \chi_1(j)$  for  $k \geq 1$ ,

$$p_k = \sum_{j=1}^n \alpha_j^k = \sum_{j=1}^n \chi_1(j) e^{2\pi ijk/n} = \sum_{1 \leq j \leq n, \gcd(n,j)=1} e^{2\pi ijk/n} = c_n(k).$$

Now, from

$$x^{n-\phi(n)} \sum_{k=1}^{\phi(n)} a_n(k) x^k = \sum_{k=0}^n (-1)^k s_k x^{n-k}$$

we have, for  $0 \leq k \leq n$ ,

$$(-1)^k s_k = a_n(\phi(n) - k).$$

In fact by Lemma 20,  $a_n(\phi(n) - k) = a_n(k)$ , so  $a_n(k) = (-1)^k s_k$ . Thus (3) yields the following, and in particular

$$a_n(1) = -c_n(1) = -\mu(n).$$

**Theorem 19.** For  $n \geq 1$  and  $k \geq 1$ ,

$$ka_n(k) = -c_n(k) - \sum_{j=1}^{k-1} a_n(j)c_n(k-j).$$

Let  $n$  be a product of distinct odd primes and for  $a \in \mathbb{Z}$  let  $\chi(a) = \left(\frac{a}{n}\right)$  be the **Jacobi symbol**. Dedekind, in Supplement I to Dirichlet's *Vorlesungen über Zahlentheorie* [15, pp. 208–210], §116, proves that

$$\sum_{1 \leq j \leq n} \chi(j) e^{2\pi ijh/n} = \chi(h) i^{(n-1)^2/4} \sqrt{n}; \quad (4)$$

this is proved earlier by Gauss in his *Summatio quarumdam serierum singularium* [22, pp. 9–45], dated 1808. The expression  $G(h, \chi) = \sum_{1 \leq j \leq n} \chi(j) e^{2\pi ijh/n}$  is called a **Gauss sum**. Dedekind, in Supplement VII to Dirichlet's *Vorlesungen*, says what amounts to the following. Define

$$A_n(x) = \prod_{1 \leq a \leq n, \chi(a)=1} (x - e^{2\pi ia/n}) = \sum_j \alpha_n(j) x^j$$

and

$$B_n(x) = \prod_{1 \leq b \leq n, \chi(b)=-1} (x - e^{2\pi ib/n}) = \sum_j \beta_n(j) x^j,$$



and write

$$S_n(k) = \sum_{1 \leq a \leq n, \chi(a)=1} e^{2\pi i k a/n}, \quad T_n(k) = \sum_{1 \leq b \leq n, \chi(b)=-1} e^{2\pi i k b/n}.$$

Then

$$\Phi_n(x) = A_n(x)B_n(x), \quad c_n(k) = S_n(k) + T_n(k),$$

and by (4), writing

$$n^* = (-1)^{(n-1)/2}n,$$

we have

$$S_n(k) - T_n(k) = \sum_{1 \leq j \leq n} \chi(j) e^{2\pi i k j/n} = \chi(k) \sqrt{n^*},$$

hence

$$2S_n(k) = c_n(k) + \chi(k) \sqrt{n^*}, \quad 2T_n(k) = c_n(k) - \chi(k) \sqrt{n^*}.$$

We have established in Lemma 15 that  $c_n(k) \in \mathbb{Z}$ , so this shows that  $S_n(k), T_n(k) \in \mathbb{Q}(\sqrt{n^*})$ . Newton's identities yield for  $k \geq 1$ ,

$$S_n(k) = - \sum_{j=1}^{k-1} \alpha_n(n-j) S_n(k-j) - k \alpha_n(n-k)$$

and

$$T_n(k) = - \sum_{j=1}^{k-1} \beta_n(n-j) T_n(k-j) - k \beta_n(n-k),$$

and it follows that  $\alpha_n(k), \beta_n(k) \in \mathbb{Q}(\sqrt{n^*})$ . Furthermore,  $\alpha_n(k), \beta_n(k)$  are algebraic integers, so  $\alpha_n(k), \beta_n(k) \in \mathcal{O}_{\mathbb{Q}(\sqrt{n^*})}$ . If  $D$  is a square-free, it is a fact [16, p. 698, §15.3] that  $\mathcal{O}_{\mathbb{Q}(\sqrt{D})} = \mathbb{Z}[\omega]$  for

$$\omega = \begin{cases} \sqrt{D} & D \equiv 2, 3 \pmod{4} \\ \frac{1+\sqrt{D}}{2} & D \equiv 1 \pmod{4}, \end{cases}$$

and  $n^* = (-1)^{(n-1)/2}n \equiv 1 \pmod{4}$ , we have  $\mathcal{O}_{\mathbb{Q}(\sqrt{n^*})} = \mathbb{Z}[(1 + \sqrt{n^*})/2]$ . Thus  $\alpha_n(k), \beta_n(k) \in \mathbb{Z}[(1 + \sqrt{n^*})/2]$ .

It is a fact that  $\mathbb{Q}(\sqrt{n^*}) \subset \mathbb{Q}(e^{2\pi i/n})$  [23, p. 19, Proposition 5.13]

Gauss, *Disquisitiones Arithmeticae*, Art. 357

## 7 Algebraic theorems about coefficients of cyclotomic polynomials

For  $n \geq 1$ , we write

$$\Phi_n(x) = \sum_{k=0}^{\phi(n)} a_n(k) x^k.$$

Let

$$A(n) = \max_{0 \leq k \leq \phi(n)} |a_n(k)|$$

and

$$S(n) = \sum_{k=0}^{\phi(n)} |a_n(k)|.$$

It is immediate that  $A(n) \leq S(n)$ .

**Lemma 20.** *For  $n > 1$  and for  $0 \leq k \leq \phi(n)$ ,*

$$a_n(\phi(n) - k) = a_n(k).$$

*Proof.* For  $P(x) = \sum_{j=0}^n a(j)x^j$ , check that  $a(j) = a(n-j)$  for each  $0 \leq j \leq n$  is equivalent to  $x^n P(x^{-1}) = P(x)$ . But because  $n > 1$ , by Lemma 5 we have  $\Phi_n(x^{-1}) = x^{-\phi(n)} \Phi_n(x)$ , so we obtain the claim.  $\square$

Migotti [35] proves the following, and also calculates  $a_{105}(7) = -2$ . The following is also proved by Bang [2]; cf. Beiter [4].

**Theorem 21** (Bang). *For odd primes  $p < q$ ,*

$$a_{pq}(k) \in \{0, -1, 1\}.$$

*Proof.* By Lemma 1,

$$\begin{aligned} \Phi_{pq}(x) &= \frac{(x^{pq} - 1)(x - 1)}{(x^p - 1)(x^q - 1)} \\ &= \frac{(1 - x) \sum_{\alpha=0}^{p-1} x^{\alpha q}}{1 - x^p} \\ &= (1 - x) \sum_{0 \leq \alpha \leq p-1} x^{\alpha q} \cdot \sum_{\beta \geq 0} x^{\beta p} \\ &= \sum_{0 \leq \alpha \leq p-1, \beta \geq 0} x^{\alpha q + \beta p} - \sum_{0 \leq \alpha \leq p-1, \beta \geq 0} x^{\alpha q + \beta p + 1} \\ &= \sum_{0 \leq \alpha \leq p-1, \beta \geq 0, 0 \leq \delta \leq 1} (-1)^\delta x^{\alpha q + \beta p + \delta}. \end{aligned}$$

Suppose by contradiction that  $\alpha_1 q + \beta_1 p + \delta_1 = \alpha_2 q + \beta_2 p + \delta_2$  with  $\delta_1 = \delta_2$ . Then  $q(\alpha_1 - \alpha_2) = p(\beta_2 - \beta_1)$ , which implies that  $p$  divides  $\alpha_1 - \alpha_2$ . But  $0 \leq \alpha_1, \alpha_2 \leq p-1$  means  $0 \leq |\alpha_1 - \alpha_2| \leq p-1$ , so  $\alpha_1 - \alpha_2 = 0$  and thence  $\beta_2 - \beta_1 = 0$ , which means that  $(\alpha_1, \beta_1, \delta_1) = (\alpha_2, \beta_2, \delta_2)$ . Therefore, for  $0 \leq k \leq \phi(pq)$  there are zero, one, or two triples  $(\alpha, \beta, \delta)$  such that  $k = \alpha q + \beta p + \delta$ ; if there are two such triples, then one has  $\delta = 0$  and one has  $\delta = 1$ . If there are no such triples, then  $a_n(k) = 0$ . If there is one such triple  $(\alpha, \beta, \delta)$ , then  $a_n(k) = (-1)^\delta$ . If there are two such triples, then  $a_n(k) = (-1)^0 + (-1)^1 = 0$ .  $\square$

Lam and Leung [26] determine the following explicit formula.

**Theorem 22** (Lam and Leung). *Suppose that  $p < q$  are primes. Then there are nonnegative integers  $r, s$  such  $(p-1)(q-1) = rp + sq$ , and for  $0 \leq k \leq \phi(pq) = (p-1)(q-1)$ ,*

$$a_{pq}(k) = \begin{cases} 1 & 0 \leq i \leq r, 0 \leq j \leq s \text{ with } k = ip + jq \\ -1 & r+1 \leq i \leq q-1, s+1 \leq j \leq p-1 \text{ with } k + pq = ip + jq \\ 0 & \text{otherwise} \end{cases}$$

Furthermore,

$$|\{k : 0 \leq k \leq \phi(pq), a_{pq}(k) = 1\}| = (r+1)(s+1)$$

and

$$|\{k : 0 \leq k \leq \phi(pq), a_{pq}(k) = -1\}| = (p-s-1)(q-r-1).$$

*Proof.* Because  $\gcd(p, q) = 1$ , there is some  $0 \leq r \leq q-1$  such that

$$rp \equiv -p + 1 \pmod{q}.$$

If  $r = q-1$  then we get from the above that  $1 \equiv 0 \pmod{q}$ , which is false because  $q \neq 1$ , so in fact  $0 \leq r \leq q-2$ . Now,

$$s = \frac{(p-1)(q-1) - rp}{q} = \frac{pq - p - q + 1 - rp}{q}$$

is an integer and

$$s = \frac{p(q-r-1) - q + 1}{q} \geq \frac{-q + 1}{q} > -1,$$

hence  $s \geq 0$ . Also,  $s \leq \frac{(p-1)(q-1)}{q} < p-1$ , so  $s \leq p-2$ . We then have

$$rp + sq = rp + (p-1)(q-1) - rp = (p-1)(q-1).$$

For  $\xi \in \Delta_{pq}$ , because  $\Phi_q(\xi^p) = 0$  and  $\Phi_p(\xi^q) = 0$ ,

$$\sum_{i=0}^r (\xi^p)^i = - \sum_{i=r+1}^{q-1} (\xi^p)^i, \quad \sum_{j=0}^s (\xi^q)^j = - \sum_{j=s+1}^{p-1} (\xi^q)^j.$$

(Because  $0 \leq r \leq q-2$  and  $0 \leq s \leq p-2$ , each of the above four sums has a nonempty index set.) From this we have

$$\left( \sum_{i=0}^r (\xi^p)^i \right) \left( \sum_{j=0}^s (\xi^q)^j \right) - \left( \sum_{i=r+1}^{q-1} (\xi^p)^i \right) \left( \sum_{j=s+1}^{p-1} (\xi^q)^j \right) = 0.$$

Because  $\xi^{-pq} = 1$ , this implies that each  $\xi \in \Delta_{pq}$  is a zero of the polynomial

$$f(x) = \left( \sum_{i=0}^r x^{ip} \right) \left( \sum_{j=0}^s x^{jq} \right) - \left( \sum_{i=r+1}^{q-1} x^{ip} \right) \left( \sum_{j=s+1}^{p-1} x^{jq} \right) x^{-pq};$$

that this is indeed a polynomial follows from

$$(r+1)p + (s+1)q - pq = rp + sq + p + q - pq = 1.$$

The first product is a monic polynomial of degree  $rp + sq = \phi(pq)$ . The second product is a polynomial of degree

$$(q-1)p + (p-1)q - pq = -p - q + pq = \phi(pq) - 1.$$

Therefore  $f(x)$  is a monic polynomial of degree  $\phi(pq)$ . Because each  $\xi \in \Delta_{pq}$  is a zero of  $f(x)$  and  $f(x)$  is monic,  $f(x) = \Phi_{pq}(x)$ .  $\square$

Carlitz [10] proves the following.

**Theorem 23.** *Let  $p < q$  be primes, let*

$$qu \equiv -1 \pmod{p}, \quad 0 < u < p,$$

*let  $\theta(pq)$  be the number of terms of  $\Phi_{pq}$  with nonzero coefficients, and let  $\theta_0(pq)$  be the number of terms of  $\Phi_{pq}$  with positive coefficients. Then*

$$\theta(pq) = 2\theta_0(pq) - 1$$

*and*

$$\theta_0(pq) = (p-u)(uq+1)/p.$$

Cobeli, Gallot, Moree and Zaharescu [13] give an exposition of  $a_{pqr}(k)$  where  $p < q < r$  are primes,  $p$  is fixed, and  $q, r$  are free.

Bang [2] proves the following.

**Theorem 24** (Bang). *For odd primes  $p < q < r$ ,*

$$A(pqr) \leq p - 1.$$

Beiter [5] proves the following improvement for a case of the above theorem. If  $p, q, r$ ,  $3 < p < q < r$ , are odd primes for which either  $q \equiv \pm 1 \pmod{p}$  or  $r \equiv \pm 1 \pmod{p}$ , then

$$A(pqr) \leq \frac{1}{2}(p+1).$$

Bloom [6] proves the following.

**Theorem 25** (Bloom). *For odd primes  $p < q < r < s$ ,*

$$A(pqrs) \leq p(p-1)(pq-1).$$

Gallot and Moree [21]

The following is from Lehmer [27], who says that it appears in an unpublished letter of Schur to Landau; cf. Bourbaki [8, V. 165, §11, Exercise 19].

**Theorem 26** (Schur). *For any odd  $m \geq 3$  there are primes  $p_1 < p_2 < \cdots < p_m$ , with  $p_1 + p_2 > p_m$ . For such primes,*

$$a_{p_1 p_2 \cdots p_m}(p_m) = -m + 1.$$

*Proof.* Write

$$\pi(x) = |\{p : p \text{ is prime and } p \leq x\}|.$$

For  $m \geq 3$ , suppose by contradiction that if  $p_1 < p_2 < \cdots < p_m$  are primes then  $p_1 + p_2 \leq p_m$ , and thus  $2p_1 < p_m$ . For  $k \geq 1$ , as there are infinitely many primes, let  $p_1$  be the least prime  $> k$ , and let  $k \leq p_1 < p_2 < \cdots < p_m$ . Then

$$\pi(2k) - \pi(k) = \pi(2k) - \pi(p_1) + 1 \leq \pi(2p_1) - \pi(p_1) + 1 \leq (m-1) + 1 = m.$$

This yields, for  $j \geq 1$ ,

$$\pi(2^j) \leq m + \pi(2^{j-1}) \leq m + m + \pi(2^{j-2}) \leq \cdots \leq jm.$$

But the prime number theorem tells us

$$\pi(2^j) \sim \frac{2^j}{j \log 2}, \quad j \rightarrow \infty,$$

with which we get a contradiction.

Let  $m \geq 3$  be odd and let  $p_1 < p_2 < \cdots < p_m$  be primes satisfying  $p_1 + p_2 > p_m$ , and let  $n = p_1 p_2 \cdots p_m$ . Since  $p_1 + p_2 > p_m$ , for  $1 \leq j, k \leq m$  we have  $p_j + p_k \geq p_m + 1$ . It follows that if  $d$  is a divisor of  $n$  aside from 1 and  $p_1, \dots, p_m$ , and  $\mu(n/d) \neq 0$ , then

$$(x^d - 1)^{\mu(n/d)} \in x^{p_m+1} \mathbb{Z}[x].$$

Therefore

$$\begin{aligned} \Phi_n(x) + x^{p_m+1} \mathbb{Z}[x] &= \prod_{d|n} (x^d - 1)^{\mu(n/d)} + x^{p_m+1} \mathbb{Z}[x] \\ &= \prod_{d|n, \mu(d/n) \neq 0} (x^d - 1)^{\mu(n/d)} + x^{p_m+1} \mathbb{Z}[x] \\ &= (x-1)^{-1} \cdot \prod_{j=1}^m (x^{p_j} - 1)^{\mu(n/p_j)} + x^{p_m+1} \mathbb{Z}[x] \\ &= (x-1)^{-1} \cdot \prod_{j=1}^m (x^{p_j} - 1) + x^{p_m+1} \mathbb{Z}[x] \\ &= (x-1)^{-1} \cdot (-1 + x^{p_1} + \cdots + x^{p_m}) + x^{p_m+1} \mathbb{Z}[x]. \end{aligned}$$

Now,

$$\begin{aligned} & (x-1)^{-1} \cdot (-1 + x^{p_1} + \cdots - x^{p_m}) + x^{p_m+1} \mathbb{Z}[x] \\ &= (1 + x + x^2 + \cdots + x^{p_m}) \cdot (1 - x^{p_1} - \cdots - x^{p_m}) + x^{p_m+1} \mathbb{Z}[x]. \end{aligned}$$

For  $1 \leq i \leq m$ , there is one and only one  $0 \leq j \leq p_m$  such that  $p_i + j = p_m$ . This implies that the coefficient of  $x^{p_m}$  in the above expression is  $-m + 1$ .  $\square$

Lehmer also states that in Rolf Bungers' 1934 dissertation, *Über die Koeffizienten von Kreisteilungspolynomen* (University of Göttingen), it is proved that if there exist infinitely many twin primes then for any  $M$  there are primes  $p < q < r$  such that  $A(pqr) \geq M$ . Lehmer proves this without the hypothesis that there are infinitely many twin primes.

For power series  $A(x) = \sum_{k=0}^{\infty} a_k x^k$  and  $B(x) = \sum_{k=0}^{\infty} b_k x^k$ , write

$$A \preceq B$$

if  $|a_k| \leq b_k$  for all  $k$ . For power series  $A, B, P, Q$  with  $A \preceq P$  and  $B \preceq Q$ ,

$$|a_k + b_k| \leq |a_k| + |b_k| \leq p_k + q_k,$$

so  $A + B \preceq P + Q$ , and

$$\left| \sum_{i+j=k} a_i b_j \right| \leq \sum_{i+j=k} |a_i b_j| \leq \sum_{i+j=k} p_i q_j,$$

so  $AB \preceq PQ$ .

Now,

$$x^d - 1 \preceq \sum_{k=0}^{\infty} x^{kd}, \quad 1 \preceq \sum_{k=0}^{\infty} x^{kd}, \quad (x^d - 1)^{-1} \preceq \sum_{k=0}^{\infty} x^{kd},$$

and since  $\mu(n/d) \in \{0, 1, -1\}$ ,

$$\Phi_n(x) = \prod_{d|n} (x^d - 1)^{\mu(n/d)} \preceq \prod_{d|n} \left( \sum_{k=0}^{\infty} x^{kd} \right) = \prod_{d|n} \frac{1}{1 - x^d}. \quad (5)$$

Hence, because  $1 \preceq \frac{1}{1-x^j}$ ,

$$\Phi_n(x) \preceq \prod_{j=1}^{\infty} \frac{1}{1 - x^j}.$$

Let  $n \mapsto p(n)$  be the partition function, the number of ways of writing  $n$  as a sum of positive integers, where the order does not matter.  $p(0) = 1$  and  $p(n) = 0$  for  $n < 0$ , and for example,  $p(4) = 5$  because  $4 = 4, 3+1, 2+2, 2+1+1, 1+1+1+1$ . It is a fact that for  $|x| < 1$ ,

$$\prod_{j=1}^{\infty} \frac{1}{1 - x^j} = \sum_{k=0}^{\infty} p(k) x^k,$$

found by Euler.

**Theorem 27.**

$$|a_n(k)| \leq p(k),$$

and so

$$A(n) = \max_{0 \leq k \leq \phi(n)} |a_n(k)| \leq \max_{0 \leq k \leq \phi(n)} p(k) \leq p(\phi(n)) \leq p(n).$$

It is proved by Hardy and Ramanujan [12, p. 166, Chapter VII] that for  $K = \pi\sqrt{\frac{2}{3}}$  and  $\lambda_n = \sqrt{n - \frac{1}{24}}$ ,

$$p(n) = \frac{e^{K\lambda_n}}{4\sqrt{3} \cdot \lambda_n^2} + O\left(\frac{e^{K\lambda_n}}{\lambda_n^3}\right), \quad n \rightarrow \infty.$$

This implies

$$p(n) \sim \frac{e^{K\sqrt{n}}}{4\sqrt{3} \cdot n}, \quad n \rightarrow \infty.$$

Therefore,

$$A(n) = O\left(\frac{e^{K\sqrt{n}}}{n}\right), \quad S(n) = O(e^{K\sqrt{n}}), \quad n \rightarrow \infty$$

Now let

$$Q_n(x) = \prod_{d|n} (1 + x^d + x^{2d} + \cdots + x^{n-d}).$$

It is straightforward that for  $0 \leq k < n$ , the coefficient of  $x^k$  in  $Q_n(x)$  is equal to the coefficient of  $x^k$  in  $\prod_{d|n} \frac{1}{1-x^d}$ . For  $n > 1$ , because the degree of  $\Phi_n(x)$  is  $\phi(n) < n$ , using (5) we get

$$\Phi_n(x) \preceq Q_n(x).$$

Let

$$d(n) = \sum_{d|n} 1,$$

the number of positive integer divisors of  $n$ . It is straightforward that

$$\prod_{d|n} d = n^{d(n)/2},$$

so

$$Q_n(1) = \prod_{d|n} \frac{n}{d} = \prod_{d|n} d = n^{d(n)/2}.$$

But from  $\Phi_n(x) \preceq Q_n(x)$  we have that  $S(n)$  is  $\leq$  the sum of the coefficients of the polynomial  $Q_n(x)$ , i.e.

$$S(n) \leq Q_n(1) = n^{d(n)/2}.$$

This is found by Bateman [3]; cf. [36, p. 64, Exercise 7].

**Theorem 28** (Bateman).

$$S(n) \leq \exp \left( \frac{1}{2} d(n) \log n \right).$$

A result due to Wigert [12, p. 19, Theorem 6], proved using the prime number theorem, is that

$$\limsup_{n \rightarrow \infty} \log d(n) \cdot \frac{\log \log n}{\log n} = \log 2.$$

Thus, for each  $\epsilon > 0$ , there is some  $n_\epsilon$  such that when  $n \geq n_\epsilon$ ,

$$\log d(n) \cdot \frac{\log \log n}{\log n} \leq \log 2 + \epsilon,$$

so

$$\log d(n) \leq \frac{\log n}{\log \log n} (\epsilon + \log 2).$$

Then

$$\log S(n) \leq \frac{d(n)}{2} \cdot \log n \leq \frac{\log n}{2} \exp \left( \frac{\log n}{\log \log n} (\epsilon + \log 2) \right).$$

Wirsing [46]

Konyagin, Maier and Wirsing [24]

Maier [29], [30], [31], [32]

Bachman [1]

Bzdęga [9]

Nicolas and Terjanian [41]

Let  $\Psi_n(x) = \frac{x^n - 1}{\Phi_n(x)}$ , i.e.  $\Psi_n(x) = \prod_{d|n, d < n} \Phi_d(x)$ , which belongs to  $\mathbb{Z}[x]$  and is monic. Moree [37] proves the following.

## 8 Analytic theorems about coefficients of cyclotomic polynomials

Erdős [17]

Erdős and Vaughan [18] prove the following.

Vaughan [43] proves the next theorem. Vaughan's original proof is complicated and delightful, and we first outline it and then give a radically simplified proof using Theorem 11, attributed to Saffari by Montgomery and Vaughan [36, pp. 131–132, Exercise 9].

For  $n = \prod_{p \leq y, p \equiv 2,3 \pmod{5}} p$  with  $\omega(n)$  odd, let  $c_m = -\frac{c_n(m)}{m}$ . Because  $n$  is square-free and  $\mu(n) = -1$ , it follows from Lemma 17 that  $m \mapsto c_m$  is multiplicative. Because  $c_m = O(m^{-1})$ , the following Euler product expansions hold [36, p. 20, Theorem 1.9]:

$$\sum_{m=1}^{\infty} c_m m^{-s} = \prod_p \sum_{k=0}^{\infty} c_{p^k} p^{-ks}, \quad \operatorname{Re} s > 0$$



and

$$\sum_{m=1}^{\infty} \chi(m) c_m m^{-s} = \prod_p \sum_{k=0}^{\infty} \chi(p^k) c_{p^k} p^{-ks}, \quad \operatorname{Re} s > 0,$$

where  $\chi$  is the quadratic Dirichlet character modulo 5. Using Hölder's formula (2) one works out that for  $p \mid n$ ,

$$\sum_{k=0}^{\infty} c_{p^k} p^{-ks} = \frac{1 - p^{-s}}{1 - p^{-(s+1)}}$$

and for  $p \nmid n$ ,

$$\sum_{k=0}^{\infty} c_{p^k} p^{-ks} = \frac{1}{1 - p^{-(s+1)}},$$

thus

$$\sum_{m=1}^{\infty} c_m m^{-s} = \zeta(1+s) \prod_{p \mid n} (1 - p^{-s}), \quad \operatorname{Re} s > 0.$$

Using Hölder's formula and that  $\chi$  is completely multiplicative, one works out that for  $p \mid n$ ,

$$\sum_{k=0}^{\infty} \chi(p^k) c_{p^k} p^{-ks} = \frac{1 + p^{-s}}{1 - \chi(p) p^{-(s+1)}}$$

and for  $p \nmid n$ ,

$$\sum_{k=0}^{\infty} \chi(p^k) c_{p^k} p^{-ks} = \frac{1}{1 - \chi(p) p^{-(s+1)}},$$

thus

$$\sum_{m=1}^{\infty} \chi(m) c_m m^{-s} = L(1+s, \chi) \prod_{p \mid n} (1 + p^{-s}), \quad \operatorname{Re} s > 0.$$

Using (i) the fact that the Gauss sum  $\sum_{r=1}^4 \chi(r) e^{2\pi i r a/5}$  is equal to  $\chi(a)\sqrt{5}$ , (ii) the fact that  $c_{5m} = \frac{c_m}{5}$ , and (iii)  $e^{2\pi i m/5} + e^{2\pi i \cdot 4m/5} = 2 \cdot \operatorname{Re} e^{2\pi i m/5}$ , one works out that for  $x > 0$ ,

$$4 \cdot \operatorname{Re} \sum_{m=1}^{\infty} c_m e^{2\pi i m/5} e^{-m/x} = \sum_{m=1}^{\infty} c_m \left( \sqrt{5} \cdot \chi(m) e^{-m/x} + e^{-5m/x} - e^{-m/x} \right).$$

Using this and the above Euler product expansions we get for  $s > 0$ ,

$$\begin{aligned} & \int_0^{\infty} \left( \operatorname{Re} \sum_{m=1}^{\infty} c_m e(m/5) e^{-m/x} \right) x^{-s-1} dx \\ &= \frac{\Gamma(s)}{4} \left( \sqrt{5} \cdot L(1+s, \chi) \prod_{p \mid n} (1 + p^{-s}) - (1 - 5^{-s}) \zeta(1+s) \prod_{p \mid n} (1 - p^{-s}) \right). \end{aligned}$$

For  $x > 0$ , writing  $f(x) = \operatorname{Re} \sum_{m=1}^{\infty} c_m e^{2\pi i m/5} e^{-m/x}$ , one has for  $0 < \sigma < 1$ ,

$$\int_0^{\infty} f(x) x^{-\sigma-1} dx \leq \frac{1}{1-\sigma} + \frac{1}{\sigma} \sup_{x \geq 1} f(x),$$

so

$$\begin{aligned} & \sup_{x \geq 1} f(x) \\ & \geq \sigma \int_0^{\infty} f(x) x^{-\sigma-1} dx - \frac{\sigma}{1-\sigma} \\ & = \frac{\sigma \Gamma(\sigma)}{4} \left( \sqrt{5} \cdot L(1+\sigma, \chi) \prod_{p|n} (1+p^{-\sigma}) - (1-5^{-\sigma}) \zeta(1+\sigma) \prod_{p|n} (1-p^{-\sigma}) \right) \\ & \quad - \frac{\sigma}{1-\sigma}. \end{aligned}$$

As  $\sigma \rightarrow 0$  we have  $\sigma \Gamma(\sigma) = 1 + O(\sigma)$ ,  $(1-5^{-\sigma}) \zeta(1+\sigma) = \log 5 + O(\sigma)$ , and  $1-p^{-\sigma} = \sigma \log p + O(\sigma^2)$ , thus

$$\sup_{x \geq 1} f(x) \geq \frac{1}{4} \cdot \sqrt{5} \cdot L(1, \chi) \cdot 2^{\omega(n)} = \frac{1}{4} \cdot \sqrt{5} \cdot L(1, \chi) \cdot d(n).$$

But Theorem 16 tells us that for  $|z| < 1$ ,

$$|\Phi_n(z)| = \exp \left( \operatorname{Re} \sum_{m=1}^{\infty} c_m z^m \right),$$

so  $|\Phi_n(e^{2\pi i/5} e^{-1/x})| = e^{f(x)}$  and thus

$$\sup_{|z| < 1} |\Phi_n(z)| \geq \exp \left( \frac{1}{4} \cdot \sqrt{5} \cdot L(1, \chi) \cdot d(n) \right).$$

As  $\chi$  is the quadratic Dirichlet character modulo 5, it is a fact that  $L(1, \chi)$  can be explicitly evaluated (this is an instance of Dirichlet's class number formula), and using this one checks that  $\exp \left( \frac{1}{2} \cdot \sqrt{5} \cdot L(1, \chi) \right) = \frac{1+\sqrt{5}}{2}$ . Therefore

$$\sup_{|z| < 1} |\Phi_n(z)| \geq \left( \frac{1+\sqrt{5}}{2} \right)^{d(n)/2}.$$

**Theorem 29** (Vaughan). *If  $n = \prod_{p \leq y, p \equiv 2, 3 \pmod{5}} p$  with  $\omega(n)$  odd, then*

$$|\Phi_n(e^{2\pi i/5})| = \left( \frac{1+\sqrt{5}}{2} \right)^{d(n)/2}.$$

*There are infinitely many  $n$  such that*

$$\log A(n) > \exp \left( \frac{(\log 2)(\log n)}{\log \log n} \right).$$

*Proof.*

□

Vaughan further proves the following.

**Theorem 30** (Vaughan). *There is some  $C$  such that for infinitely many  $k$ ,*

$$\log \max_{n \geq 1} |a_n(k)| \geq Ck^{1/2}(\log k)^{-1/4}.$$

## 9 Fourier analysis

Let  $\mathbb{T} = \mathbb{R}/\mathbb{Z}$ . For  $p \geq 1$ , define

$$\|f\|_{L^p} = \left( \int_0^1 |f(x)|^p dx \right)^{1/p}$$

and  $\|f\|_{L^\infty} = \sup_{x \in [0,1]} |f(x)|$ . By Jensen's inequality, if  $1 \leq p \leq q \leq \infty$  then

$$\|f\|_{L^p} \leq \|f\|_{L^q}.$$

For  $f \in L^1(\mathbb{T})$ , define  $\widehat{f} : \mathbb{Z} \rightarrow \mathbb{C}$  by

$$\widehat{f}(k) = \int_0^1 e^{-2\pi i k x} f(x) dx.$$

Define

$$\|\widehat{f}\|_{\ell^p} = \left( \sum_{k \in \mathbb{Z}} |\widehat{f}(k)|^p \right)^{1/p}$$

and  $\|\widehat{f}\|_{\ell^\infty} = \sup_{k \in \mathbb{Z}} |\widehat{f}(k)|$ . For  $1 \leq p \leq q \leq \infty$ ,

$$\|\widehat{f}\|_{\ell^q} \leq \|\widehat{f}\|_{\ell^p}.$$

Plancherel's theorem tells us that

$$\|f\|_{L^2} = \|\widehat{f}\|_{\ell^2}.$$

The Hausdorff-Young inequality states that for  $1 \leq p \leq 2$  and  $\frac{1}{p} + \frac{1}{q} = 1$ ,

$$\|\widehat{f}\|_{\ell^q} \leq \|f\|_{L^p}.$$

**Nikolsky's inequality** [14, p. 102, Theorem 2.6] says that if  $\widehat{f}(k) = 0$  for  $|k| > n$ , namely  $f$  is a trigonometric polynomial of degree  $n$ , then for  $0 < p \leq q \leq \infty$  and for  $r \geq \frac{p}{2}$  an integer,

$$\|f\|_{L^q} \leq (2nr + 1)^{\frac{1}{p} - \frac{1}{q}} \|f\|_{L^p}.$$

On the other hand, using Jensen's inequality for sums one proves that if  $f$  is a trigonometric polynomial of degree  $n$ , then for  $1 \leq p \leq q \leq \infty$ ,

$$\|\hat{f}\|_{\ell^p} \leq (2n+1)^{\frac{1}{p}-\frac{1}{q}} \|\hat{f}\|_{\ell^q}.$$

For  $f : \mathbb{T} \rightarrow \mathbb{C}$ , define

$$\|\hat{f}\|_{\ell^0} = |\text{supp } \hat{f}| = |\{n \in \mathbb{Z} : \hat{f}(n) \neq 0\}|.$$

McGehee, Pigno and Smith [33] prove that there is some  $K$  such that for all  $N$ , if  $n_1, \dots, n_N$  are distinct integers and  $c_1, \dots, c_N \in \mathbb{C}$  satisfy  $|c_k| \geq 1$ , then

$$\left\| \sum_{k=1}^N c_k e^{2\pi i n_k t} \right\|_{L^1} \geq K \log N.$$

That is, if  $f : \mathbb{T} \rightarrow \mathbb{C}$  is a trigonometric polynomial with  $|\hat{f}(n)| \geq 1$  when  $\hat{f}(n) \neq 0$ , then

$$\|f\|_{L^1} \geq K \log \|\hat{f}\|_{\ell^0}.$$

For  $F : \mathbb{Z}/N \rightarrow \mathbb{C}$ , define  $\hat{F} : \mathbb{Z}/N \rightarrow \mathbb{C}$  by

$$\hat{F}(k) = \frac{1}{N} \sum_{j=0}^{N-1} F(j) e^{-2\pi i j k / N}, \quad 0 \leq k \leq N-1.$$

One checks that [36, pp. 109–110, §4.1]

$$F(j) = \sum_{k=0}^{N-1} \hat{F}(k) e^{2\pi i j k / N}, \quad 0 \leq j \leq N-1$$

and

$$\sum_{k=0}^{N-1} |\hat{F}(k)|^2 = \frac{1}{N} \sum_{j=0}^{N-1} |F(j)|^2.$$

For  $a_0, \dots, a_{N-1} \in \mathbb{C}$ , define  $f : \mathbb{T} \rightarrow \mathbb{C}$  by

$$f(x) = \sum_{k=0}^{N-1} a_k e^{2\pi i k x}$$

and define  $F : \mathbb{Z}/N \rightarrow \mathbb{C}$  by

$$F(j) = f(j/N) = \sum_{k=0}^{N-1} a_k e^{2\pi i k j / N}, \quad 0 \leq j \leq N-1,$$

for which we calculate  $\hat{F}(k) = a_k$ , for  $0 \leq k \leq N-1$ . Then

$$\sum_{k=0}^{N-1} |a_k|^2 = \sum_{k=0}^{N-1} |\hat{F}(k)|^2 = \frac{1}{N} \sum_{j=0}^{N-1} |F(j)|^2 = \frac{1}{N} \sum_{j=0}^{N-1} |f(j/N)|^2.$$

Carlitz [11]

## 10 Algebraic topology

Musiker and Reiner [38]

Meshulam [34]

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