

# Turing Machines and Time Complexity

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- Instructions define for each state and value:
  - What value to write?

# Turing Machines

- (Infinitely long) Tape of 1's and 0's
- Able to read and write the tape, and move the tape
- Has initial state, instructions, halt state
- Instructions define for each state and value:
  - What value to write?
  - Whether the tape should be moved, and in which direction?



# Turing Machines

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M - Turing Machine (The program)

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I.e. for each value of x, M computes a function such that:

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M - Turing Machine (The program)

$\Sigma$  - Alphabet of Turing Machine

x - String at which M begins (Input)

y – String at which M halts (Output)

I.e. for each value of x, M computes a function such that:

$$f: \Sigma^* \rightarrow \Sigma^*$$

# Turing Machines

## MULTIPLICATION PROGRAM

$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
$q_3, X, q_4, X, L$	$q_8, A, q_8, 1, R$
$q_4, 1, q_4, 1, L$	$q_9, *, q_{10}, b, S$
$q_4, X, q_5, X, L$	$q_9, 1, q_9, 1, L$
$q_5, *, q_8, *, R$	$q_{10}, b, (q_{11}), b, S$
$q_5, 1, q_6, A, L$	$q_{10}, 1, q_{10}, b, R$
$q_5, A, q_5, A, L$	$q_{10}, X, q_{10}, b, R$
$q_6, b, q_7, 1, R$	$q_{10}, A, q_{10}, b, R$
$q_6, *, q_6, *, L$	



# Turing Machines

M can be given in the form

## MULTIPLICATION PROGRAM

$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
$q_3, X, q_4, X, L$	$q_8, A, q_8, 1, R$
$q_4, 1, q_4, 1, L$	$q_9, *, q_{10}, b, S$
$q_4, X, q_5, X, L$	$q_9, 1, q_9, 1, L$
$q_5, *, q_8, *, R$	$q_{10}, b, \textcircled{q_{11}}, b, S$
$q_5, 1, q_6, A, L$	$q_{10}, 1, q_{10}, b, R$
$q_5, A, q_5, A, L$	$q_{10}, X, q_{10}, b, R$
$q_6, b, q_7, 1, R$	$q_{10}, A, q_{10}, b, R$
$q_6, *, q_6, *, L$	

# Turing Machines

M can be given in the form  
of a set of: (q, s, q', s', d)

## MULTIPLICATION PROGRAM

$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
$q_3, X, q_4, X, L$	$q_8, A, q_8, 1, R$
$q_4, 1, q_4, 1, L$	$q_9, *, q_{10}, b, S$
$q_4, X, q_5, X, L$	$q_9, 1, q_9, 1, L$
$q_5, *, q_8, *, R$	$q_{10}, b, (q_{11}), b, S$
$q_5, 1, q_6, A, L$	$q_{10}, 1, q_{10}, b, R$
$q_5, A, q_5, A, L$	$q_{10}, X, q_{10}, b, R$
$q_6, b, q_7, 1, R$	$q_{10}, A, q_{10}, b, R$
$q_6, *, q_6, *, L$	

# Turing Machines

M can be given in the form  
of a set of: (q, s, q', s', d)

Where:

## MULTIPLICATION PROGRAM

$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
$q_3, X, q_4, X, L$	$q_8, A, q_8, 1, R$
$q_4, 1, q_4, 1, L$	$q_9, *, q_{10}, b, S$
$q_4, X, q_5, X, L$	$q_9, 1, q_9, 1, L$
$q_5, *, q_8, *, R$	$q_{10}, b, (q_{11}), b, S$
$q_5, 1, q_6, A, L$	$q_{10}, 1, q_{10}, b, R$
$q_5, A, q_5, A, L$	$q_{10}, X, q_{10}, b, R$
$q_6, b, q_7, 1, R$	$q_{10}, A, q_{10}, b, R$
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# Turing Machines

M can be given in the form  
of a set of: (q, s, q', s', d)

Where:

q - Current State

## MULTIPLICATION PROGRAM

$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
$q_3, X, q_4, X, L$	$q_8, A, q_8, 1, R$
$q_4, 1, q_4, 1, L$	$q_9, *, q_{10}, b, S$
$q_4, X, q_5, X, L$	$q_9, 1, q_9, 1, L$
$q_5, *, q_8, *, R$	$q_{10}, b, (q_{11}), b, S$
$q_5, 1, q_6, A, L$	$q_{10}, 1, q_{10}, b, R$
$q_5, A, q_5, A, L$	$q_{10}, X, q_{10}, b, R$
$q_6, b, q_7, 1, R$	$q_{10}, A, q_{10}, b, R$
$q_6, *, q_6, *, L$	

# Turing Machines

M can be given in the form  
of a set of: (q, s, q', s', d)

Where:

q - Current State

s - Symbol currently under read/ write head

## MULTIPLICATION PROGRAM

$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
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$q_5, 1, q_6, A, L$	$q_{10}, 1, q_{10}, b, R$
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M can be given in the form of a set of: (q, s, q', s', d)

Where:

q - Current State

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$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
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M can be given in the form of a set of: (q, s, q', s', d)

Where:

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s' - The symbol to be written in place of s

## MULTIPLICATION PROGRAM

$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
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$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
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$q_5, A, q_5, A, L$	$q_{10}, X, q_{10}, b, R$
$q_6, b, q_7, 1, R$	$q_{10}, A, q_{10}, b, R$
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# Turing Machines

M can be given in the form of a set of: (q, s, q', s', d)

Where:

q - Current State

s - Symbol currently under read/ write head

q' - The state in which M is to enter next

s' - The symbol to be written in place of s

d - In which direction the read/ write head is to move

## MULTIPLICATION PROGRAM

$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
$q_3, X, q_4, X, L$	$q_8, A, q_8, 1, R$
$q_4, 1, q_4, 1, L$	$q_9, *, q_{10}, b, S$
$q_4, X, q_5, X, L$	$q_9, 1, q_9, 1, L$
$q_5, *, q_8, *, R$	$q_{10}, b, (q_{11}), b, S$
$q_5, 1, q_6, A, L$	$q_{10}, 1, q_{10}, b, R$
$q_5, A, q_5, A, L$	$q_{10}, X, q_{10}, b, R$
$q_6, b, q_7, 1, R$	$q_{10}, A, q_{10}, b, R$
$q_6, *, q_6, *, L$	



# Turing Machines

M can be given in the form of a set of:  $(q, s, q', s', d)$

Where:

q - Current State

s - Symbol currently under read/ write head

q' - The state in which M is to enter next

s' - The symbol to be written in place of s

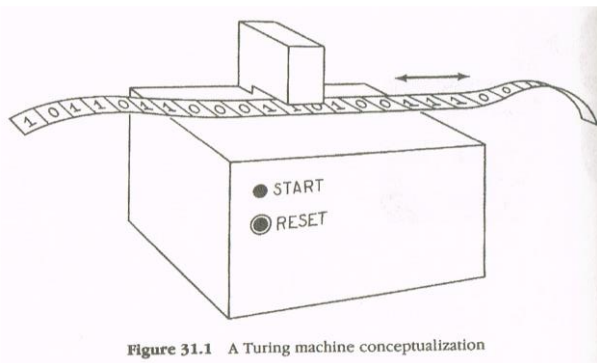
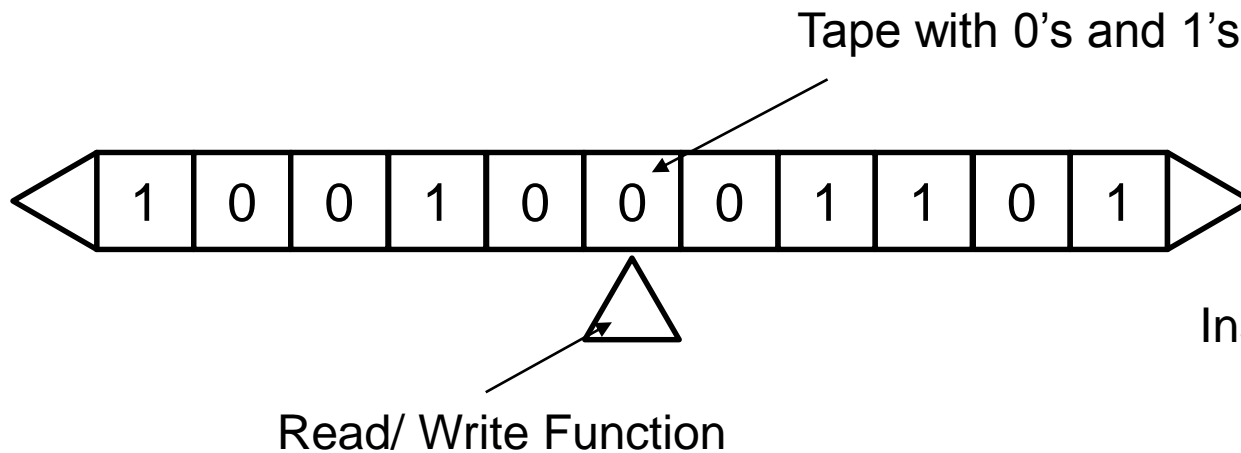
d - In which direction the read/ write head is to move  
(such that  $D = \{\text{left, right, stop}\}$ )

## MULTIPLICATION PROGRAM

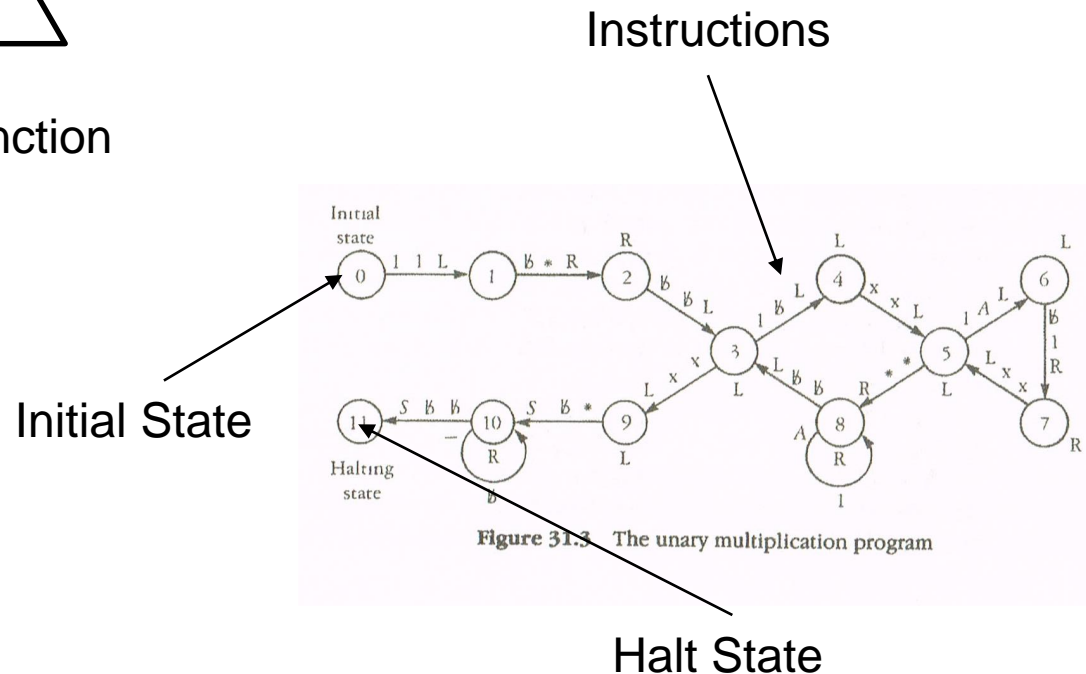
$q_0, 1, q_1, 1, L$	$q_6, 1, q_6, 1, L$
$q_1, b, q_2, *, R$	$q_7, *, q_7, *, R$
$q_2, b, q_3, b, L$	$q_7, 1, q_7, 1, R$
$q_2, *, q_2, *, R$	$q_7, X, q_5, X, L$
$q_2, 1, q_2, 1, R$	$q_7, A, q_7, A, R$
$q_2, X, q_2, X, R$	$q_8, b, q_3, b, L$
$q_2, A, q_2, A, R$	$q_8, 1, q_8, 1, R$
$q_3, 1, q_4, b, L$	$q_8, X, q_8, X, R$
$q_3, X, q_4, X, L$	$q_8, A, q_8, 1, R$
$q_4, 1, q_4, 1, L$	$q_9, *, q_{10}, b, S$
$q_4, X, q_5, X, L$	$q_9, 1, q_9, 1, L$
$q_5, *, q_8, *, R$	$q_{10}, b, (q_{11}), b, S$
$q_5, 1, q_6, A, L$	$q_{10}, 1, q_{10}, b, R$
$q_5, A, q_5, A, L$	$q_{10}, X, q_{10}, b, R$
$q_6, b, q_7, 1, R$	$q_{10}, A, q_{10}, b, R$
$q_6, *, q_6, *, L$	

# Turing Machines

### A Turing Machine Conceptualization using a State Diagram:



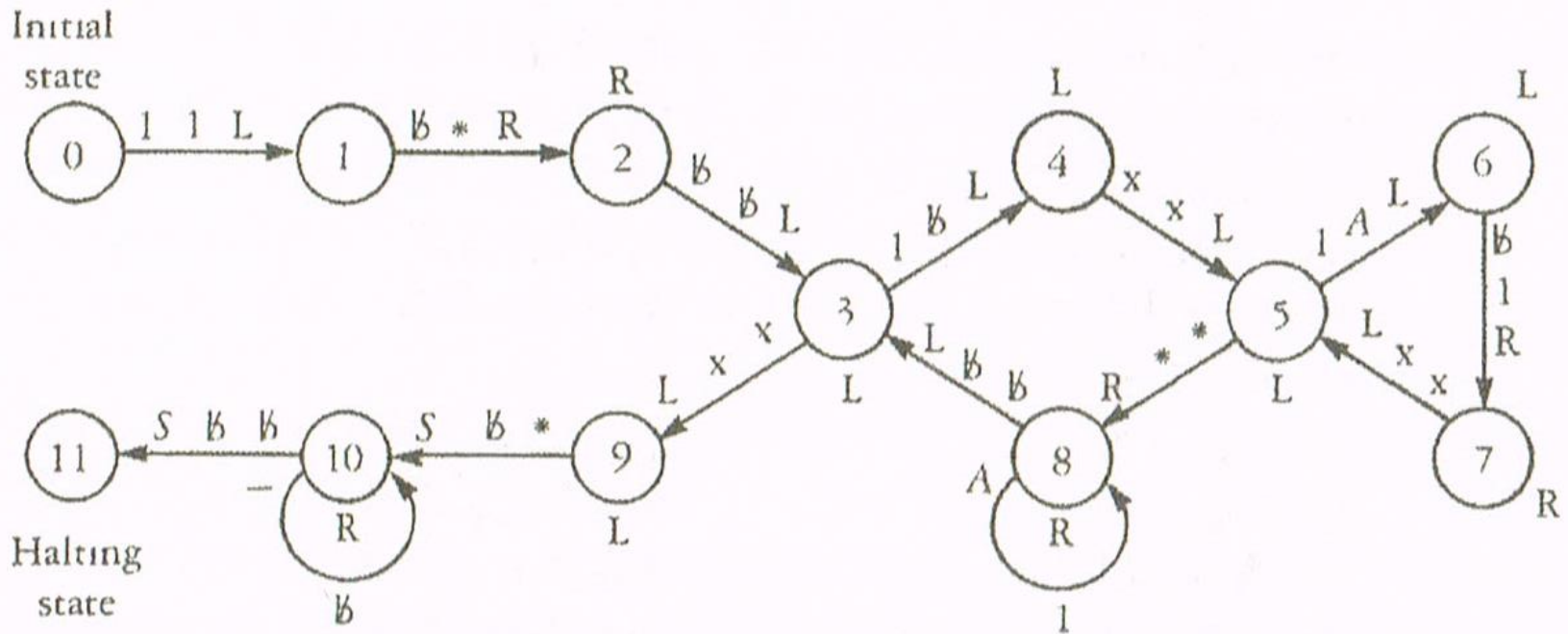
**Figure 31.1** A Turing machine conceptualization



**Figure 31.3** The unary multiplication program

# Turing Machines

A Turing Machine Conceptualization using a State Diagram:



**Figure 31.3** The unary multiplication program

# Turing Machines

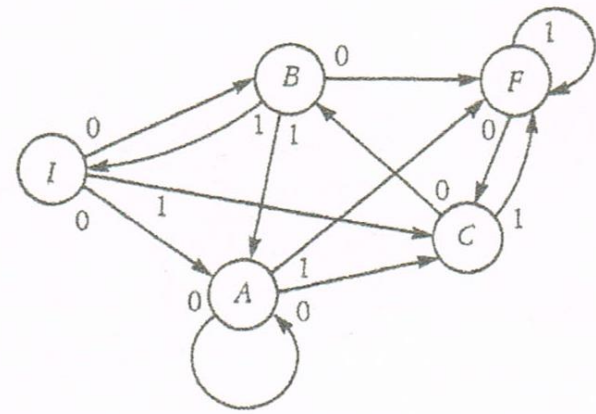
# Turing Machines

- Are 'Turing Complete'

# Turing Machines

- Are 'Turing Complete'
- I.e. they can perform every possible computation (given enough time and space)

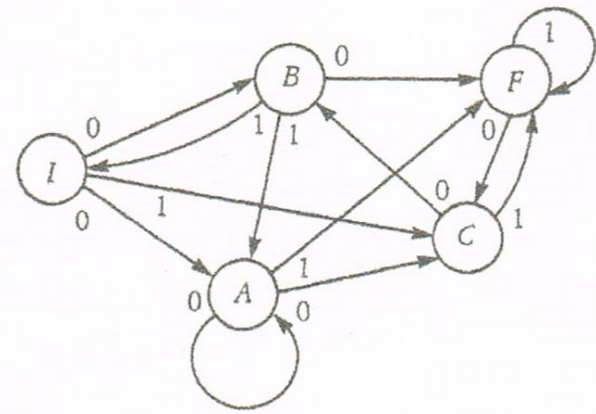
# Non-Determinism



**Figure 26.1** A nondeterministic automaton

# Non-Determinism

(Automata that guess correctly)



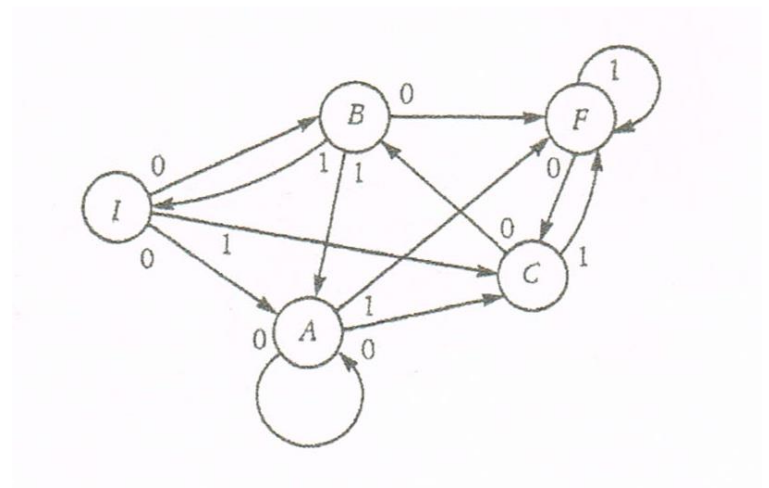
**Figure 26.1** A nondeterministic automaton



# Non-Determinism

(Automata that guess correctly)

- Don't exist – but are useful in theory (can simplify problems)



**Figure 26.1** A nondeterministic automaton

# Non-Determinism

(Automata that guess correctly)

- Don't exist – but are useful in theory (can simplify problems)
- For some states and inputs, there may be multiple options

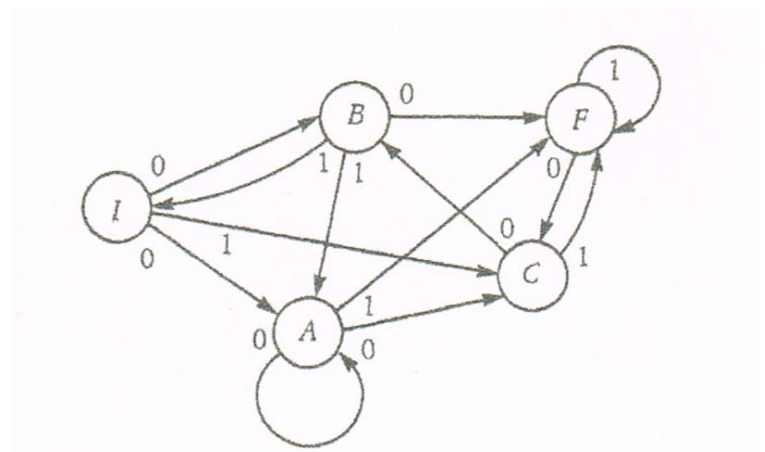
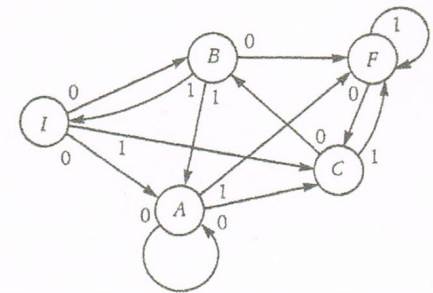


Figure 26.1 A nondeterministic automaton

# Non-Determinism



**Figure 26.1** A nondeterministic automaton

# Non-Determinism

- d – Transition Function

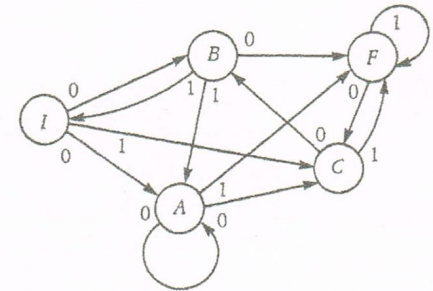


Figure 26.1 A nondeterministic automaton

# Non-Determinism

- $\delta$  – Transition Function
- $\Sigma$  - Finite Alphabet

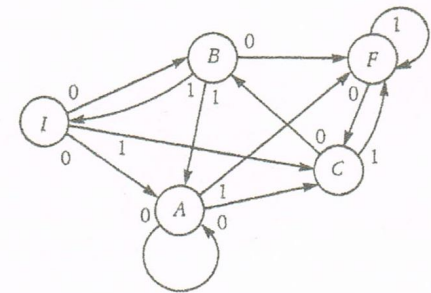


Figure 26.1 A nondeterministic automaton

# Non-Determinism

- $\delta$  – Transition Function
- $\Sigma$  - Finite Alphabet
- Finite set of  $Q$  states

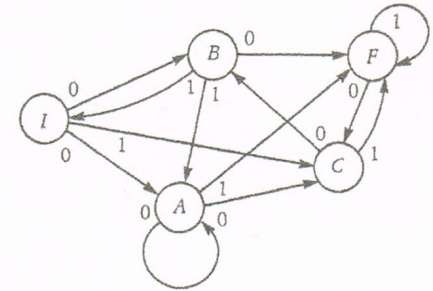


Figure 26.1 A nondeterministic automaton

# Non-Determinism

- $\delta$  – Transition Function
- $\Sigma$  - Finite Alphabet
- Finite set of  $Q$  states
- $P(Q)$  - Power set of  $Q$  (Set of all subsets of  $Q$ )

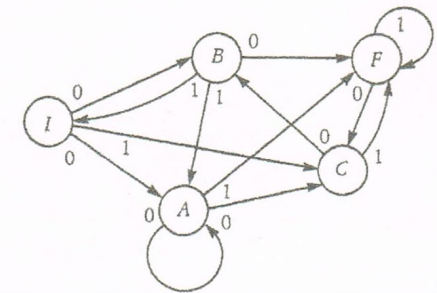


Figure 26.1 A nondeterministic automaton

# Non-Determinism

- $\delta$  – Transition Function
- $\Sigma$  - Finite Alphabet
- Finite set of  $Q$  states
- $P(Q)$  - Power set of  $Q$  (Set of all subsets of  $Q$ )
- Such that  $\delta: Q \times \Sigma \rightarrow P(Q)$

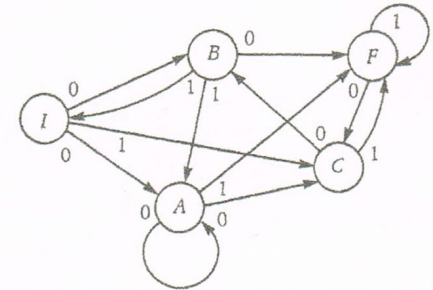


Figure 26.1 A nondeterministic automaton



# Non-Determinism

- $d$  – Transition Function
- $\Sigma$  - Finite Alphabet
- Finite set of  $Q$  states
- $P(Q)$  - Power set of  $Q$  (Set of all subsets of  $Q$ )
- Such that  $d: Q \times \Sigma \rightarrow P(Q)$
- E.g.  $d$  maps the input  $(I,0) \rightarrow$  state set  $\{A, B\}$

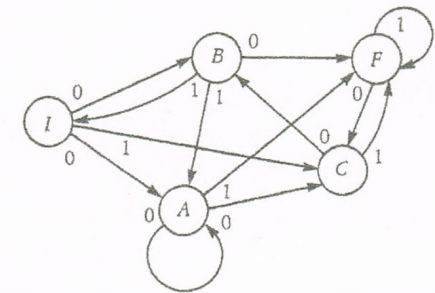


Figure 26.1 A nondeterministic automaton

# What is an NP Problem?

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# What is an NP Problem?

- Can be solved by a **non-deterministic** Turing Machine
  - In **polynomial time**
- I.e.
- Where there is a solution
  - That solution must be *verifiable* in polynomial time (by a deterministic Turing Machine)

# Big-O Notation



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- Each program has a maximum runtime

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- The worst-case time complexity of an algorithm on an input of size  $n$  – maximum time taken

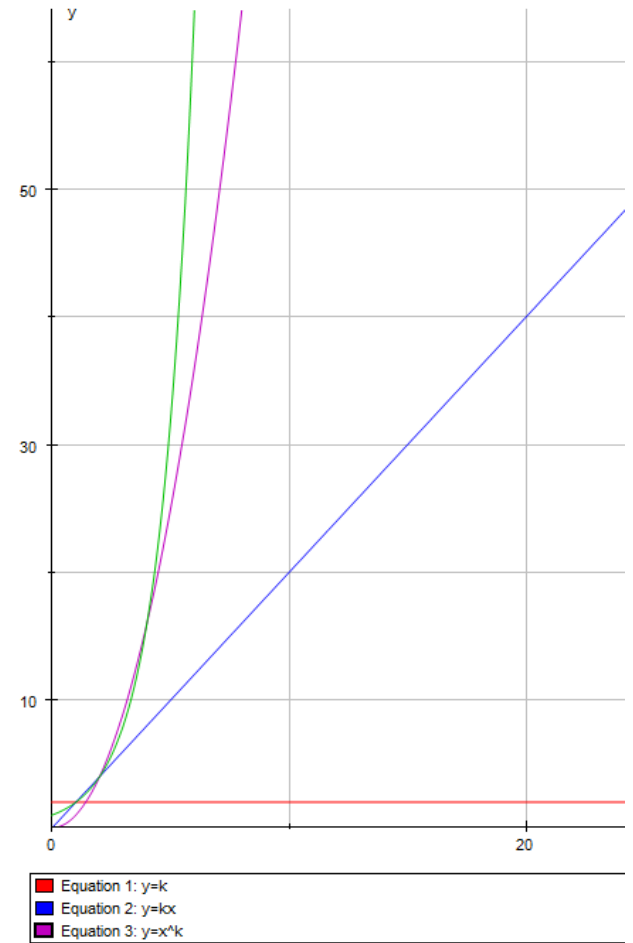
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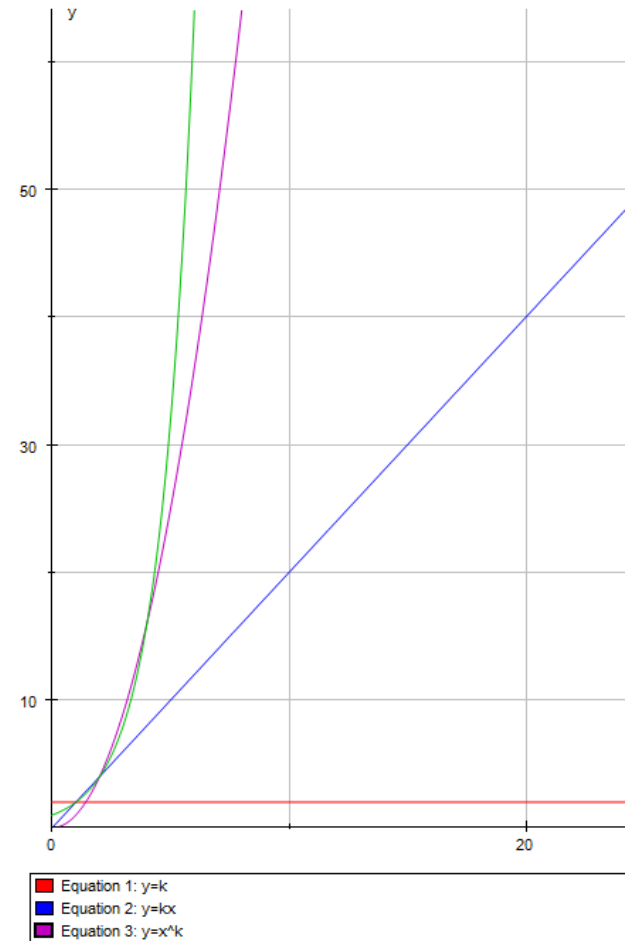
- Each program has a maximum runtime
- The worst-case time complexity of an algorithm on an input of size  $n$  – maximum time taken
- Big-O notation ignores exact runtimes for simplification
- E.g.  $(7.25n^2 - 1.14n + 2.83)/2 \rightarrow O(n^2)$  –  
Regardless of the values of constants –  
runtime will always be quadratic

# Big-O Notation



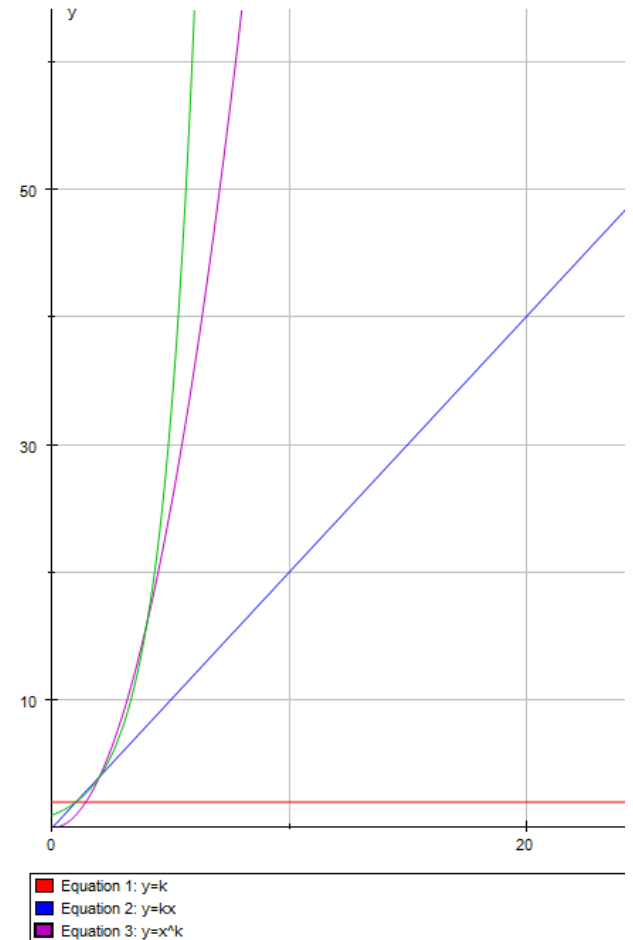
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- $O(k)$  Constant



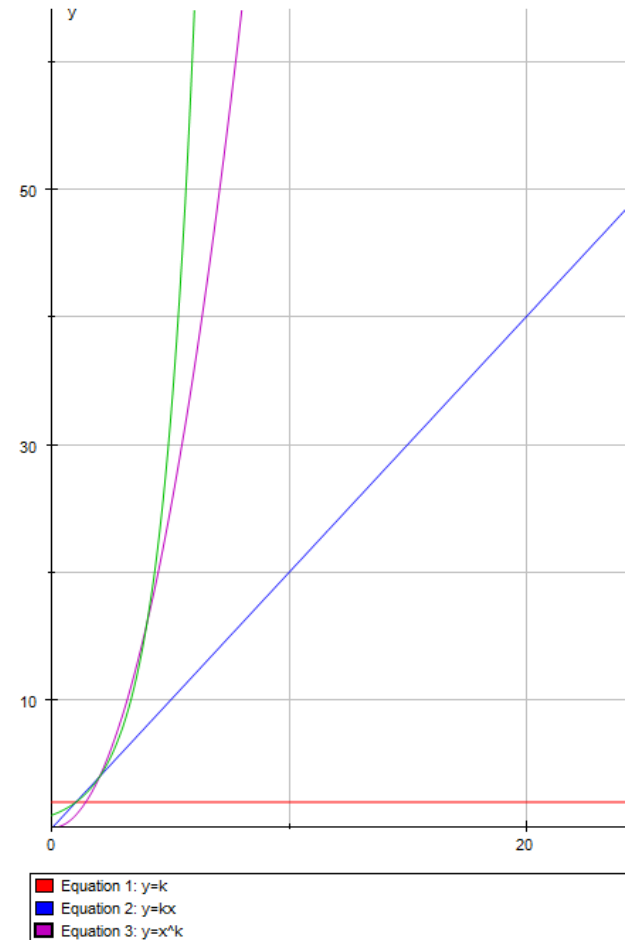
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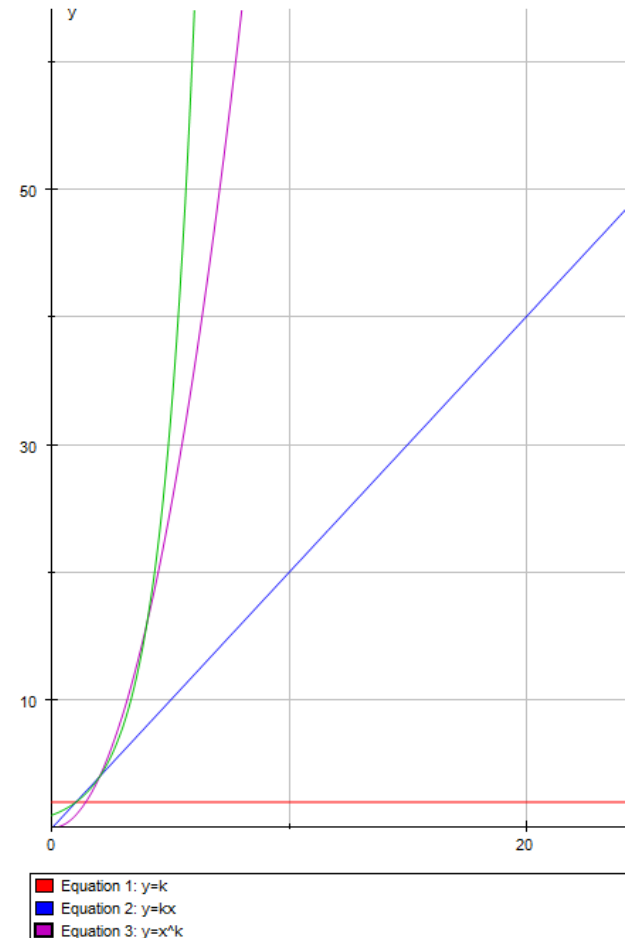
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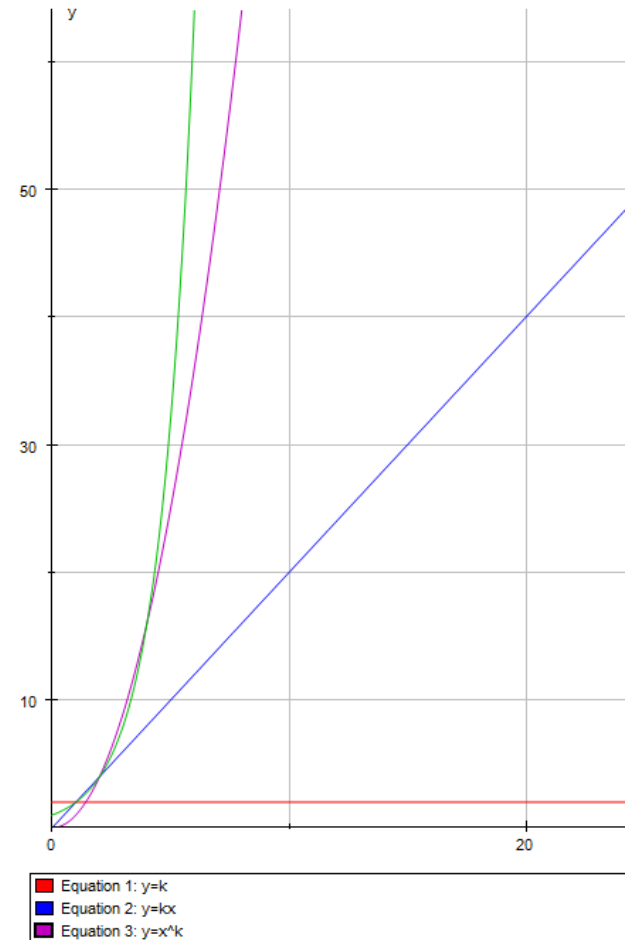
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(Ranked in order of runtime as  $n$  tends toward infinity)



# What is an NP-Complete Problem?

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- Solved by a computer only by sometimes waiting an extraordinarily long time for a solution

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- Solved by a computer only by sometimes waiting an extraordinarily long time for a solution
- Application of Cook's Theorem – states that the Boolean Satisfiability Problem is NP-Complete

# Satisfiability Problem (SAT)

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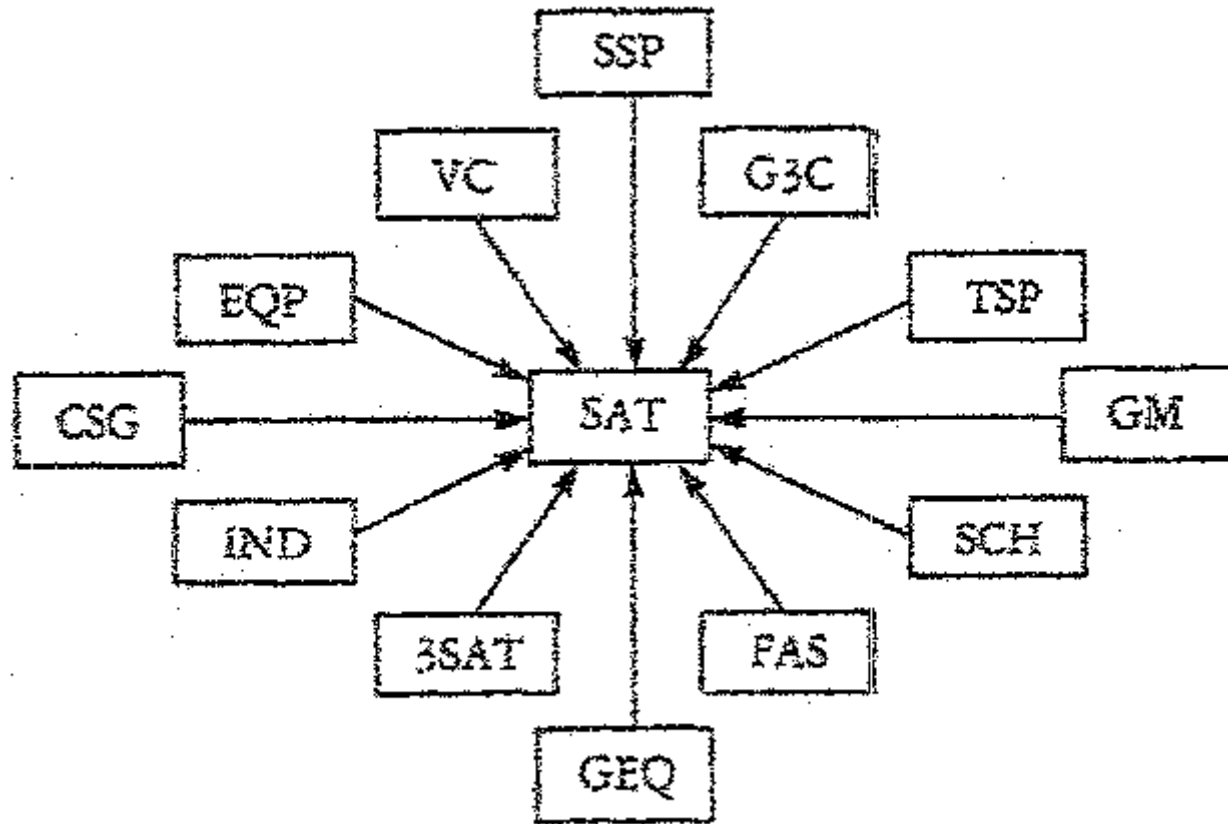
- Asks whether the variables of a given Boolean formula can be consistently replaced by the values TRUE or FALSE in such a way that the formula evaluates to TRUE.

# Satisfiability Problem (SAT)

- Asks whether the variables of a given Boolean formula can be consistently replaced by the values TRUE or FALSE in such a way that the formula evaluates to TRUE.
- A generic transformation from every NP Problem



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- Take the Travelling Salesperson Problem (TSP) – can be mapped to SAT, such that:
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  - The instance of TSP has a solution only if the corresponding instance of SAT does

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- Therefore  $\Rightarrow$  SAT is at least as hard as any other problem in NP

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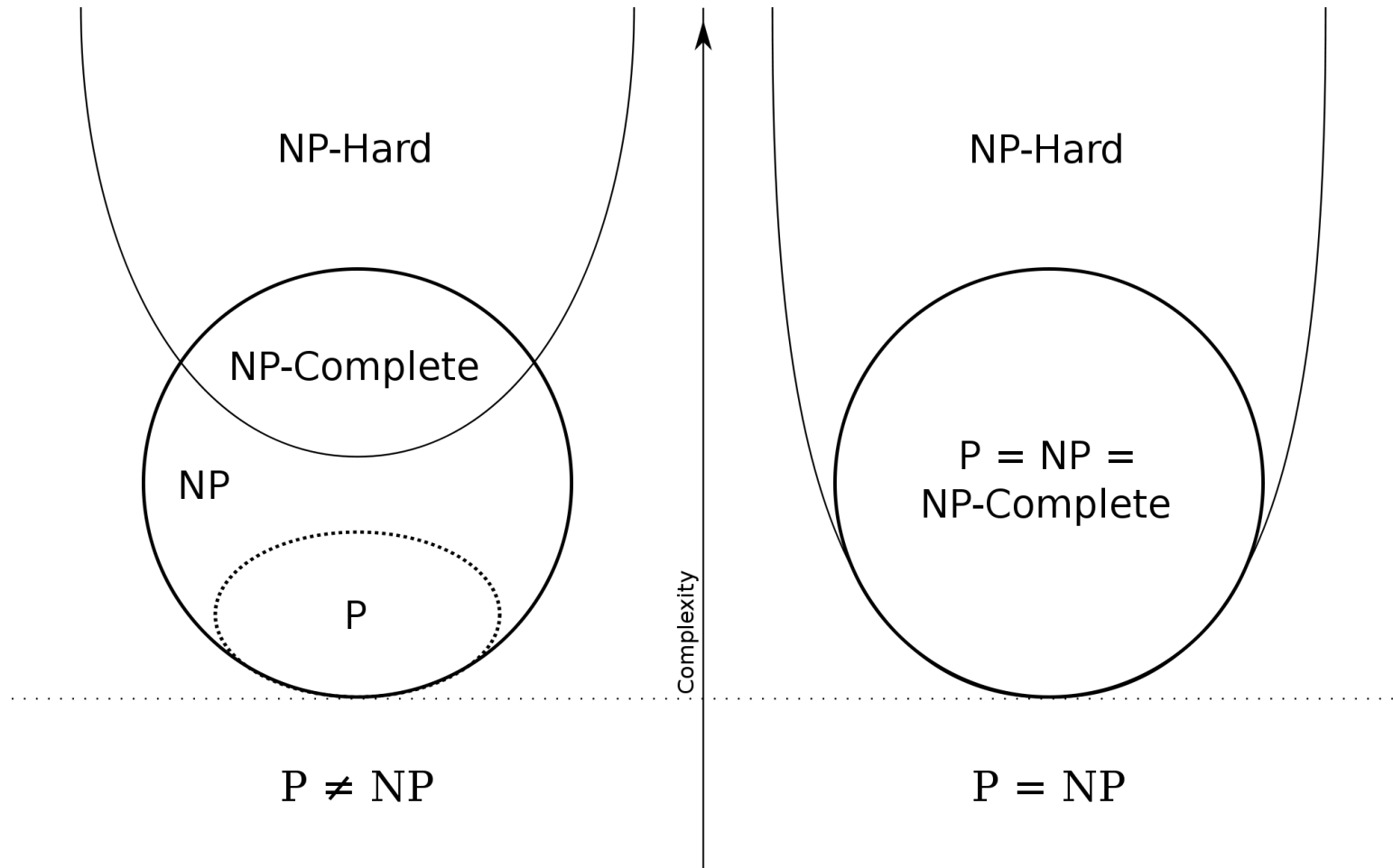
- Therefore  $\Rightarrow$  SAT is at least as hard as any other problem in NP
- If you can find an algorithm to solve SAT, it can also solve all problems in NP



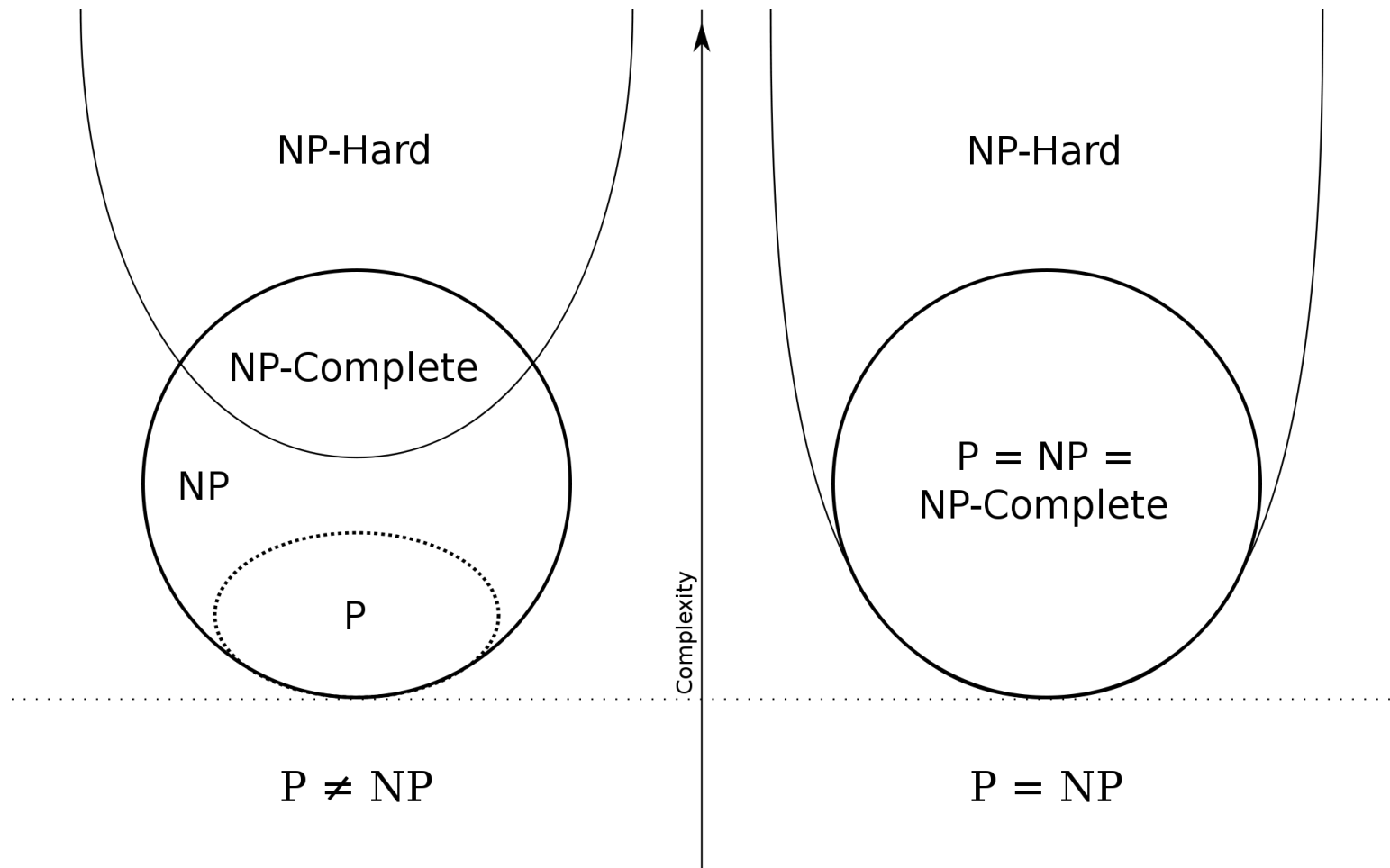
# Satisfiability Problem (SAT)

- Therefore  $\Rightarrow$  SAT is at least as hard as any other problem in NP
- If you can find an algorithm to solve SAT, it can also solve all problems in NP
- We call SAT “NP-Hard” – At least as hard as the hardest problems in NP

# NP-Completeness



# NP-Completeness



NP-Complete Problems are both in NP and also in NP-Hard

# Partition Problem (PRT)

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- 17, 5, 31, 12, 9, 20, 8
- Computer guesses: 17, 5, 9, 20
- Sum = 51
- Complement = 31, 12, 8; Sum = 51

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# Partition Problem (PRT)

- For each yes instance of PRT (i.e. an instance that has a solution) – there must be a yes computation of minimum length
- One of these minimum computation lengths over all instances of size  $n$  would be a maximum
- This could be calculated exactly, but it suffices to say that PRT never requires more than  $O(n^3)$  steps – so it may be completed in polynomial time

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- “Each number in one part must be at least as large as any number in the other part”
  - Sort the inputs into decreasing order; find their sum
  - Scan the numbers from first to last, accumulating a partial sum
  - If the partial sum even equals one half of the total, then output yes

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- This new problem is in the class P
  - Can be solved and polynomial time, by a deterministic computer

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- $P$  must be a subset of  $NP$  (All problems in  $P$  can also be solved by a non-deterministic computer)



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- $P$  must be a subset of  $NP$  (All problems in  $P$  can also be solved by a non-deterministic computer)
- Therefore → The actual question is “Are there any decision problems in  $NP$  that do not have a polynomial-time deterministic solution?”

$P = NP?$

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- Hasn't been proved either way

# Further Reading

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- Satisfiability Problem

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- Satisfiability Problem
- Cook's Theorem (the theorem that establishes SAT must be NP-Complete by mapping every problem in NP to SAT in polynomial time)