Flu Shot Learning: Predicting H1N1 and Seasonal Flu Vaccination

Jorge Pais, José Baptista and Pedro Duarte up201904841@edu.fe.up.pt; up201904814@edu.fe.up.pt; up201905050@edu.fe.up.pt

Abstract—

I. Introduction

Pandemics have rarely taken center stage in the way they have recently with COVID-19 in 2020. Vaccines are a key public health measure used to fight infectious diseases like COVID-19. They provide immunization for individuals, and enough immunization in a community can further reduce the spread of diseases through "herd immunity." In 2009, the H1N1 influenza virus, also known as swine flu, caused a global pandemic that was estimated to have resulted in 150,000 to 600,000 deaths worldwide. A vaccine for H1N1 became available in October of that year. In this study, a machine learning model was developed to help estimate the probability of a person receiving seasonal and H1N1 vaccines. For this, several classification methods were explored and compared between each other in order to figure out which one exhibited the best performance.

II. DATA RESOURCES

For the competition partaken in this project, the dataset was provided by DrivenData, and it comes from the National 2009 H1N1 Flu Survey (NHFS) which was collected through telephone interviews. The dataset consists of 36 attributes, varying from numerical with both ordinal and binary variables, and also categorical attributes. For the training data, there were 2 labels associated with each respondent, indicating whether or not each respondent had taken the H1N1 and Seasonal flu vaccines.

A. Data Cleaning and Pre-processing

The first step in the data analysis was to check if the data has any duplicate (i.e. duplicate respondent ids) and missing values, duplicates were not observed, but the dataset had many missing values in different attributes. So, the first concern was to clean the data. Since the attributes employment concern and employment occupation had the highest missing data (13470 values) we decided to drop the same along with respondent id as it was of no use. The next step involved checking of correlation between the attributes. This was done using the seaborn library's correlation heatmap. It was noted that some attributes exhibited mild positive correlation, with two standout attributes named doctor recc H1N1 vaccine and doctor recc seasonal vaccine, which were positively correlated by 60%.

In the next step, the missing data were handled. Two different imputation methods are implemented in this project, the first one being median imputation and the second one, the onehot encoder. The algorithms and packages used in this work require numeric data, and not categorical data. Therefore, a unique numerical value is assigned to each category in the object columns. This method can cause problems, as the model might not recognize the data as categorical and would process it on a scale. This leads to incorrect weight assignments. To avoid this problem, one-hot encoder is used, which encodes a category in a 1-hot vector, where the position in the vector refers to each category and its size is equal to the number of categories. Simple imputer is used to fill in missing data using median method. The *Pandas* library in Python identifies missing values as NaN. After the data is cleaned and preprocessed, graphical visualizations are generated.

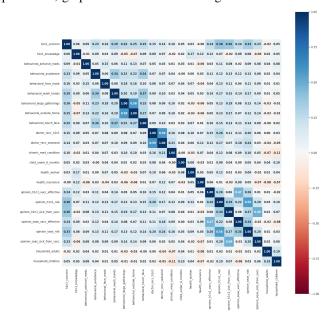


Figure 2.1 - Feature Correlation Heatmap

B. Class Balance

III. PERFORMANCE METRIC

Explicar aqui o que é o ROC

Mostrar o ROC do Dummy Classifier, e o ROC do catboost por exemplo

IV. METHODOLOGY

As mentioned previously, several classification models were experimented with and compared. Some of the standard clas-

1

sification models utilized for the purposes of this project included Logistic Regression, Multinomial Naive Bayes, K-Nearest neighbors, Decision Trees and Support Vector Machines. Besides these, two gradient boosting algorithms, *Cat-Boost* and *XGBoost* were also used. For most of the models, in order to automate the data processing and estimation steps, *sklearn* pipelines were utilized. Within the preprocessing steps, column transformations were performed separately for the numerical and categorical features. For the numerical processing, the StandardScaler() SKLearn function was utilized in most cases to guarantee that each column had nil mean and unit variance, followed by SimpleImputer() to fill all missing data values utilizing each columns median value.

For categorical features, missing data was also filled using SimpleImputer(), but this time with the most frequent value, before being encoded utilizing a One-Hot Encoder, which separated each possible category with each feature into separate binary variables. For the estimation, since most classifiers utilized did not support multi-label classification, the MultiOutputClassifier() function was utilized, which in the this case will train two separate instances of the desired estimator.

For measuring the performance of the classifiers obtained before submitting any results for the competition (only 3 daily submissions were allowed on this competition), the training set was split/folded randomly using train_test_split() to obtain a performance measurement. After this, the models were trained on the entire dataset. Figure 4.1. illustrates this entire process.

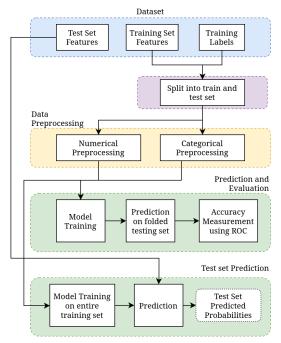


Figure 4.1 - Model Structure for the project

A. Logistic Regression

The first model to be trained was the Logistic Regression. Unlike what the name suggests, the Logistic model is a classification model rather than a regression model. It makes use of logist curve (also known as the sigmoid function)

- B. Naive Bayes
- C. K-Nearest Neighbors
- D. Decision Trees
- E. Support Vector Machines
- F. XGBoost
- G. CatBoost

V. RESULTS
VI. CONCLUSION

VII. REFERENCE EXAMPLES

- Basic format for books:
 - J. K. Author, "Title of chapter in the book," in *Title of His Published Book*, *x*th ed. City of Publisher, (only U.S. State), Country: Abbrev. of Publisher, year, ch. *x*, sec. *x*, pp. *xxx*–*xxx*.

See [1], [2].

- Basic format for periodicals:
 - J. K. Author, "Name of brief," *Abbrev. Title of Periodical*, vol. *x, no. x*,pp. *xxx*–*xxx*, Abbrev. Month, year, DOI. 10.1109.*XXX*.123456. See [3]– [5].
- Basic format for reports:
 - J. K. Author, "Title of report," Abbrev. Name of Co., City of Co., Abbrev. State, Country, Rep. *xxx*, year. See [6], [7].
- Basic format for handbooks: Name of Manual/Handbook, x ed., Abbrev. Name of Co., City of Co., Abbrev. State, Country, year, pp. xxx-xxx. See [8], [9].
- Basic format for books (when available online):
 J. K. Author, "Title of chapter in the book," in *Title of Published Book*, xth ed. City of Publisher, State, Country: Abbrev. of Publisher, year, ch. x, sec. x, pp. xxx-xxx. [Online]. Available: http://www.web.com
 See [10]- [13].
- Basic format for journals (when available online):
 J. K. Author, "Name of brief," Abbrev. Title of Periodical, vol. x, no. x, pp. xxx-xxx, Abbrev. Month, year. Accessed on: Month, Day, year, DOI: 10.1109.XXX.123456, [Online].

See [14]- [16].

- Basic format for briefs presented at conferences (when available online):
 - J.K. Author. (year, month). Title. presented at abbrev. conference title. [Type of Medium]. Available: site/path/file
 See [17].
- Basic format for reports and handbooks (when available online):
 - J. K. Author. "Title of report," Company. City, State, Country. Rep. no., (optional: vol./issue), Date. [Online] Available: site/path/file See [18], [19].
- Basic format for computer programs and electronic documents (when available online):
 - Legislative body. Number of Congress, Session. (year, month day). *Number of bill or resolution*, *Title*. [Type of medium]. Available: site/path/file
 - *NOTE:* ISO recommends that capitalization follow the accepted practice for the language or script in which the information is given.

See [20].

Basic format for patents (when available online):
 Name of the invention, by inventor's name. (year, month day). Patent Number [Type of medium]. Available: site/path/file

- See [21].
- Basic formatfor conference proceedings (published):
 J. K. Author, "Title of brief," in Abbreviated Name of Conf., City of Conf., Abbrev. State (if given), Country, year, pp. xxxxxx.
 See [22].
- Example for briefs presented at conferences (unpublished):
 See [23].
- Basic format for patents:
 - J. K. Author, "Title of patent," U.S. Patent *x xxx xxx*, Abbrev. Month, day, year. See [24].
- Basic format for theses (M.S.) and dissertations (Ph.D.):
 - 1) J. K. Author, "Title of thesis," M.S. thesis, Abbrev. Dept., Abbrev. Univ., City of Univ., Abbrev. State, year.
 - J. K. Author, "Title of dissertation," Ph.D. dissertation, Abbrev. Dept., Abbrev. Univ., City of Univ., Abbrev. State, year.

See [25], [26].

- Basic format for the most common types of unpublished references:
 - J. K. Author, private communication, Abbrev. Month, year.
 - 2) J. K. Author, "Title of brief," unpublished.
 - 3) J. K. Author, "Title of brief," to be published.

See [27]– [29].

- Basic formats for standards:
 - 1) Title of Standard, Standard number, date.
 - 2) *Title of Standard*, Standard number, Corporate author, location, date.

See [30], [31].

- Article number in reference examples: See [32], [33].
- Example when using et al.: See [34].

REFERENCES

- [1] G. O. Young, "Synthetic structure of industrial plastics," in *Plastics*, 2nd ed., vol. 3, J. Peters, Ed. New York, NY, USA: McGraw-Hill, 1964, pp. 15–64.
- [2] W.-K. Chen, Linear Networks and Systems. Belmont, CA, USA: Wadsworth, 1993, pp. 123–135.
- [3] J. U. Duncombe, "Infrared navigation—Part I: An assessment of feasibility," *IEEE Trans. Electron Devices*, vol. ED-11, no. 1, pp. 34–39, Jan. 1959, 10.1109/TED.2016.2628402.
- [4] E. P. Wigner, "Theory of traveling-wave optical laser," *Phys. Rev.*, vol. 134, pp. A635–A646, Dec. 1965.
- [5] E. H. Miller, "A note on reflector arrays," IEEE Trans. Antennas Propagat., to be published.
- [6] E. E. Reber, R. L. Michell, and C. J. Carter, "Oxygen absorption in the earth's atmosphere," Aerospace Corp., Los Angeles, CA, USA, Tech. Rep. TR-0200 (4230-46)-3, Nov. 1988.
- [7] J. H. Davis and J. R. Cogdell, "Calibration program for the 16-foot antenna," Elect. Eng. Res. Lab., Univ. Texas, Austin, TX, USA, Tech. Memo. NGL-006-69-3, Nov. 15, 1987.
- [8] Transmission Systems for Communications, 3rd ed., Western Electric Co., Winston-Salem, NC, USA, 1985, pp. 44–60.
- [9] Motorola Semiconductor Data Manual, Motorola Semiconductor Products Inc., Phoenix, AZ, USA, 1989.

- [10] G. O. Young, "Synthetic structure of industrial plastics," in Plastics, vol. 3, Polymers of Hexadromicon, J. Peters, Ed., 2nd ed. New York, NY, USA: McGraw-Hill, 1964, pp. 15-64. [Online]. Available: http://www.bookref.com.
- [11] The Founders' Constitution, Philip B. Kurland and Ralph Lerner, eds., Chicago, IL, USA: Univ. Chicago Press, 1987. [Online]. Available: http://press-pubs.uchicago.edu/founders/
- [12] The Terahertz Wave eBook. ZOmega Terahertz Corp., 2014. [Online]. Available: http://dl.z-thz.com/eBook/zomega_ebook_pdf_1206_sr.pdf. Accessed on: May 19, 2014.
- [13] Philip B. Kurland and Ralph Lerner, eds., The Founders' Constitution. Chicago, IL, USA: Univ. of Chicago Press, 1987, Accessed on: Feb. 28, 2010, [Online] Available: http://press-pubs.uchicago.edu/founders/
- [14] J. S. Turner, "New directions in communications," *IEEE J. Sel. Areas Commun.*, vol. 13, no. 1, pp. 11-23, Jan. 1995.
- [15] W. P. Risk, G. S. Kino, and H. J. Shaw, "Fiber-optic frequency shifter using a surface acoustic wave incident at an oblique angle," *Opt. Lett.*, vol. 11, no. 2, pp. 115–117, Feb. 1986.
- [16] P. Kopyt et al., "Electric properties of graphene-based conductive layers from DC up to terahertz range," *IEEE THz Sci. Technol.*, to be published. DOI: 10.1109/TTHZ.2016.2544142.
- [17] PROCESS Corporation, Boston, MA, USA. Intranets: Internet technologies deployed behind the firewall for corporate productivity. Presented at INET96 Annual Meeting. [Online]. Available: http://home.process.com/Intranets/wp2.htp
- [18] R. J. Hijmans and J. van Etten, "Raster: Geographic analysis and modeling with raster data," R Package Version 2.0-12, Jan. 12, 2012. [Online]. Available: http://CRAN.R-project.org/package=raster
- [19] Teralyzer. Lytera UG, Kirchhain, Germany [Online]. Available: http://www.lytera.de/Terahertz_THz_Spectroscopy.php?id=home, Accessed on: Jun. 5, 2014
- [20] U.S. House. 102nd Congress, 1st Session. (1991, Jan. 11). H. Con. Res. 1, Sense of the Congress on Approval of Military Action. [Online]. Available: LEXIS Library: GENFED File: BILLS
- [21] Musical toothbrush with mirror, by L.M.R. Brooks. (1992, May 19). Patent D 326 189 [Online]. Available: NEXIS Library: LEXPAT File: DES
- [22] D. B. Payne and J. R. Stern, "Wavelength-switched pas- sively coupled single-mode optical network," in *Proc. IOOC-ECOC*, Boston, MA, USA, 1985, pp. 585–590.
- [23] D. Ebehard and E. Voges, "Digital single sideband detection for interferometric sensors," presented at the 2nd Int. Conf. Optical Fiber Sensors, Stuttgart, Germany, Jan. 2-5, 1984.
- [24] G. Brandli and M. Dick, "Alternating current fed power supply," U.S. Patent 4 084 217, Nov. 4, 1978.
- [25] J. O. Williams, "Narrow-band analyzer," Ph.D. dissertation, Dept. Elect. Eng., Harvard Univ., Cambridge, MA, USA, 1993.
- [26] N. Kawasaki, "Parametric study of thermal and chemical nonequilibrium nozzle flow," M.S. thesis, Dept. Electron. Eng., Osaka Univ., Osaka, Japan. 1993.
- [27] A. Harrison, private communication, May 1995.
- [28] B. Smith, "An approach to graphs of linear forms," unpublished.
- [29] A. Brahms, "Representation error for real numbers in binary computer arithmetic," IEEE Computer Group Repository, Brief R-67-85.
- [30] IEEE Criteria for Class IE Electric Systems, IEEE Standard 308, 1969.
- [31] Letter Symbols for Quantities, ANSI Standard Y10.5-1968.
- [32] R. Fardel, M. Nagel, F. Nuesch, T. Lippert, and A. Wokaun, "Fabrication of organic light emitting diode pixels by laser-assisted forward transfer," *Appl. Phys. Lett.*, vol. 91, no. 6, Aug. 2007, Art. no. 061103.
- [33] J. Zhang and N. Tansu, "Optical gain and laser characteristics of InGaN quantum wells on ternary InGaN substrates," *IEEE Photon. J.*, vol. 5, no. 2, Apr. 2013, Art. no. 2600111
- [34] S. Azodolmolky et al., Experimental demonstration of an impairment aware network planning and operation tool for transparent/translucent optical networks," J. Lightw. Technol., vol. 29, no. 4, pp. 439–448, Sep. 2011.