# Instructions for the TREES program, v 1.0

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#### Introduction

The *TREES* program is a versatile tool for individual based simulations of large systems of evolving, competing species. It includes trait-based, eco-evolutionary feedbacks, full-blown genetics and evolving mating behavior. A main feature is the possibility to combine different modules to a large variety of models, which can be aimed at a multitude of scientific questions.

# Installing the software

Mac:		
PC:		
Linux:		

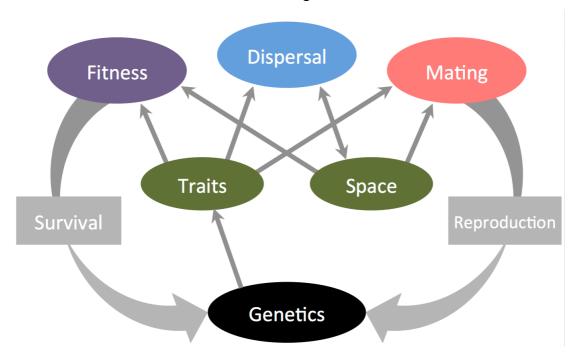
<u>Disclaimer</u>: This program is used at own risk. All modules have been tested, but there may still be lurking bugs. Some settings can require massive amounts of computer memory and possibly crash the computer. Other settings can produce extensive output and fill a hard-drive. There are no built-in safety functions to prevent such events. In other words, be careful. Make short, trial runs before launching large scale simulations.

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## **Model design**

The overall model structure is shown in the figure below.



Each individual has a set of *traits* and a position in *space* (one or more coordinates). Each trait is controlled by a set of loci in the individual's genome.

The traits and the position in space of an individual determines its fitness and controls its dispersal and mating behavior. Individual fitness and mating success dictate the genetic composition of the next generation.

The content of each colored ellipse in the diagram above is controlled by the user. There are a number of *modules* that can be specified and combined to a large number of possible model configurations. The number of scientific questions that can be addressed is therefore also large.

All modules can be combined with each other, with few exceptions. It is thus possible to change just one part of a model, for instance the genetics module or a mating module, but leave the rest intact. It is in this way easy to make informative comparisons and investigate underlying mechanisms.

The model design is specified in a single text file, which is easy to read, edit and save for future reference. It can also be published as the exact definition of a particular model, making published results easy to reproduce.

## **Program flow**

Each generation of the model comprises the following steps, in this order:

- 1. **Reproduction**. Each individual chooses a mate from the local *mating pool* according to its preferences and produces *F* offspring. Each offspring inherits the genes of the two parents recombined in standard manner, and the spatial position of the mother (the choosing mate). All individuals are diploid and hermaphroditic.
- 2. **Generation shift**. The parent generation is discarded, replaced by their offspring.

- 3. **Dispersal.** Each individual disperses with a given probability, which is a heritable trait. If no space module is defined, this step is skipped.
- 4. **Survival.** The fitness of each individual is determined depending on its traits, local interactions, and its position in space. Survival is random for each individual, but the probability to survive is proportional to its fitness.
- 5. **Sampling.** The population is sampled at fixed generation intervals. The samples are saved to a file at the end of the simulation.

The steps above are controlled by a set of *modules*, set by the user. The different module types are described in detail below, but we will start with an example model.

## **Building the model**

A model is constructed through a single text file, where all modules and parameters are specified. The general syntax is

```
specifier : value
```

where specifier can be either a parameter name or a module type. The colon between specifier and value is required, but the spaces on each side are optional. The whole file is composed of such [specifier:value] pairs, separated by a new line or a comma. Please pay attention to the order of parameter specifications, since changing the order usually results in an error. The program is indifferent to upper or lower case, except for trait names.

The '#' character is used for comments and means the rest of a line is ignored. Tab characters and empty lines are ignored and can be added for structure. A sample model file is given below, with green-colored comments for clarity:

```
#Simulation parameters:
verbose : Y # Y or N. If Y, control output will be produced
t max : 4000 # the length of the simulation in generations
sample interval: 200 # the number of generations between each sample
seed : R  # this can be a fixed integer, or R for Random.
gene_tracking : N  # a Y/N variable
gene_sampling : N  # a Y/N variable
# Population parameters:
F : 2 # Fecundity parameter
n_0: 100 # initial population size
# Specify a Genetics module:
Genetics : Continuous Alleles, P mutation : 1e-4
# Specify traits:
Trait: beak size, dimensions: 1, loci per dim: 8, initial value: 0
    Transform: linear, offset: 1, scale: 0.05
# Specify a Space module (optional)
# no space in this case
# Specify fitness module(s):
Fitness: Resource landscape
    trait : beak_size # This is the evolving trait
    r : 1
    K_0 : 500
    s_K : 1
    s_a : 0.5
    s_space : 0 # spatial extent of competition
```

```
k_space : 0 # spatial gradient of resource optimum

# Set the mating parameters:
Mating_pool : Global
Mating_trials : 100 # Trial matchings before a mating fails.

# Add mating preference modules (optional)
```

The parameter file above specifies a model of an evolving consumer feeding from a continuous (Gaussian) resource landscape. A one-dimensional trait beak\_size controls the resource niche position of an individual. Mating is random.

The first few lines define a few necessary model parameters, described below. Next, the Genetics module is specified. There are two types to choose from — Continuous alleles and Diallelic.

After the Genetics module follows a list of one or several traits, in the example just one. Each trait is given a name ('beak\_size' in the example). Each trait also has a number of dimensions and a number of loci per dimension. In the example, the trait beak\_size is one-dimensional with 8 coding loci. Each trait is also followed by a (optional) list of transforms, which is part of the genotype-to-phenotype mapping.

After the list of traits follows the optional specification of a Space module, defining the spatial structure and dispersal parameters. If no Space module is defined, a panmictic population is assumed.

Next follows specifications of one or several Fitness modules, in arbitrary order. These modules determine the fitness of each individual, which in turn regulates individual survival. Fitness is applied in a multiplicative manner, i.e. the fitness of an individual is the product of the fitness values supplied by each fitness module.

Lastly follows a few mating parameters, described in detail below. The example has random mating, for simplicity.

To run the model above, save the specification as a text file, such as beak\_size\_model.txt, and run it from a command prompt by issuing the TREES command followed by the name of the parameter file:

Mac / UNIX:

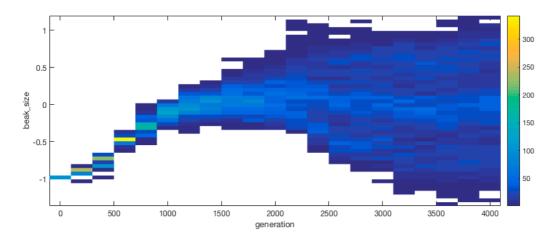
```
./TREES beak_size_model.txt

PC:

TREES beak_size_model.txt
```

The program output is a results file with extension .sim. It takes the same name as the parameter file, appended with \_results and an index, defaulting to 1. The results file in this example is beak\_size\_model\_results\_1.sim. It can be imported to Matlab<sup>TM</sup> and plotted according to:

```
>> beak_sim = read_sim('beak_size_model', 1);
>> plot_sim(beak_sim)
```



The figure shows a heat map of the abundances of phenotypes over time. It can be seen that the evolving trait beak\_size first converges to zero, the point of maximal resource abundance, and next diversifies to a large continuous distribution. Mating is random, so speciation is not possible.

Below follows detailed descriptions of all sections of the parameter file and all available modules. It is not necessary, and not even recommended, to read all descriptions before using the program. It is quite possible to instead cherry-pick the sections of one's personal interest and refer back to this document when necessary.

## **Simulation parameters**

The model file has to start with a few simulation parameters:

**verbose** requires a Yes / No (Y/N) value. Actually, any sequence of characters beginning with Y/y or N/n is a valid value. If Y (Yes), some text output will be produces every 1000 generations. The example above generated the following output:

```
Running replicate 1 to 1
name: beak_size_model
Randomize seed: 4101878042
Running 1
Generation 1000, population size 489
Time 0:0:2.4099, time left: 0:0:7.22969
Generation 2000, population size 550
Time 0:0:6.34454, time left: 0:0:7.86929
Generation 3000, population size 730
Time 0:0:13.9849, time left: 0:0:7.64035
Generation 4000, population size 807
Time 0:0:21.8138, time left: 0:0:0
Saving beak_size_model_results_1.sim
0:0:21.8142
```

The last line states that the whole simulation took almost 22 seconds.

**t\_max** is simply the length of the simulation. This has to be an above-zero integer, but can be specified using a scientific format: 1.5e5 is equivalent to 150 000. The same format is allowed for all integer parameters.

sample\_interval specifies how often the population will be sampled. All samples are stored in the output file at the end of the simulation. Frequent sampling gives high resolution output, but may generate very large data files. An advice is to first experiment with short simulations (a few hundred generations) to find an appropriate value. Remember to take possible increase in population size into account, since

sample size scales with population size. The first generation (generation 0) is always sampled, followed by generations sample\_interval, 2×sample\_interval, and so on.

**seed** determines the seed for the random number generation. If seed is set to 'Random' (or just 'R'), as in the example, a seed is generated from the system clock with nanosecond resolution. The seed value (an integer) is later stored in the output file, which makes it possible to repeat simulations, perhaps with a smaller sample\_interval or a larger t\_max. This is a handy way to analyze specific events in more detail or investigate an interesting evolutionary scenario on a longer time-scale.

**gene\_tracking** takes values Y (Yes) or N (No). If this option is on (Yes), individual genes are tracked throughout the simulation. This is described in a separate chapter below.

**gene\_sampling** takes values Y or N, just like gene\_tracking. If this option is on (Yes), the genotypes of all individuals will be sampled together with the phenotypes. This offers additional detail, but may cause large output files.

## Population parameters and initialization

Two population parameters have to be specified, in this order:

- **F** (for fecundity) sets the fixed number of offspring produced by each individual in the reproduction stage. **F** has to be an integer larger than 1 and is an important fitness component. See the section on fitness modules below.
- **n\_0** is the number of individuals of generation 0.

Each simulation starts with  $n_0$  identical individuals with identical spatial coordinates. The genes are initiated as described below in the Genetics section, and the initial spatial position is specified in the Space section. The phenotypes are derived directly from the genotypes, as described in the Traits section.

#### **Genetics**

Each individual has a its own diploid genome, inherited from both parents in a standard manner. Each locus recombines independently. The two alleles at each locus take values depending on the selected Genetics module:

#### **Diallelic**

This module implements an additive diallelic genetic model. Each locus has two possible alleles – a plus- and a minus-allele. The effect of each allele is  $\pm 1/2$ , i.e. the difference in allelic effects is 1. The phenotypic value of a trait (prior to transforms) is given by the sum of all allelic effects. As an example, consider a trait coded by 4 loci with the following genotype: [++, +-, -+, ++]. The corresponding phenotype equals  $6\times 1/2 - 2\times 1/2 = 2$ . The range of possible trait values is in this case between –4 and +4. In general, a trait coded by L loci can take values between –L and +L (before transforms).

All traits listed in the model file are allocated the specified number of loci, all inherited independently.

Mutations occur at a rate P\_mutation per allele per generation and transforms a plus-allele to a minus-allele and vice versa. The phenotypic effect of a single mutation is thus  $\pm 1$ . P\_mutation is the single parameter of this module and has to be given a value. Example syntax:

Genetics : Diallelic, P\_mutation : 1e-5

## Continuous\_Alleles

This module implements an additive continuum-of-alleles genetic model. Allelic effects can take any value. Mutations change the allelic effect according to a symmetric double exponential (Laplace) distribution with mean absolute value 1. The average phenotypic effect (in absolute values) of a mutation is thus 1, just like in the Diallelic module.

In all other respects, the Continuous\_Alleles module behaves as the Diallelic module. Example syntax:

Genetics : Continuous\_Alleles, P\_mutation : 1e-5

#### **Traits**

The user specifies the number of heritable traits in the model. The traits are incorporated in the Fitness, Space and Mating\_preference modules as parameters.

The traits are specified one after the other in the model file. Each trait has a name and three parameters — dimensions, loci per dim, and initial value. Example:

```
Trait : A, dimensions : 3, loci_per_dim : 5, initial_value = 0
```

Trait *names* have to be unique, but can be any sequence of letters, digits and underscores, preferably chosen to describe the nature of the trait (such as 'size' or 'color'). Note that the trait name is the only case when the difference between upper and lower case is acknowledged by the program. It is thus possible, but not recommended, to have two separate traits labeled a and A.

The dimensions variable sets the number of dimensions. A value of 1 corresponds to a simple, real-valued trait. Higher integer values of dimensions generates vector-valued traits.

loci\_per\_dim sets the number of appointed loci per dimension. The example above generates a three-dimensional trait called A coded by in total  $3\times5 = 15$  loci.

Finally, initial\_value sets the starting value for the trait, given to all individuals in generation 0, in all dimensions. The starting population consists of identical, completely homozygote, individuals. Each trait is set to its initial\_value, but the implementation varies between the two genetics modules.

In the Continuous\_Alleles genetics module, the first locus is set to be homozygote with allelic effects equal to initial\_value / 2. All other loci have starting value 0.

In the Diallelic module, there are some constraints to the possible phenotypes. The program tries to find a homozygote genotype that matches the initial\_value, and outputs an error if it fails. For instance, consider the case with just two loci (loci\_per\_dim = 2). The possible homozygote genotypes are [++/++], [++/--], [--/++], and [--/--], corresponding to the phenotypes 2, 0, 0 and -2 (each allele contributes +/-0.5). The only allowed values of initial\_value are thus 2, 0 and -2. In general the possible values range from  $-loci_per_dim$  to  $+loci_per_dim$  in steps of 2.

### **Constant Traits**

It is possible to define constant, non-evolving, traits. This is useful if a trait can evolve in some simulations, but not in all. It is then sufficient to change the definition of the trait itself, without changing its usage.

The syntax to define a constant trait is:

```
Trait constant: X, dimensions: 3, initial value = 4.5
```

The only difference to an ordinary trait is the keyword Trait\_constant and that the parameter loci\_per\_dim is missing. The trait is fixed at the value set by initial\_value, in all dimensions. A constant trait is not saved in population samples. In all other respects, a constant trait functions as an ordinary trait.

#### **Transforms**

The genotype-to-phenotype mapping of each trait is completed by a (possibly empty) list of transforms. The transforms are applied in the order they are listed. Multi-dimensional traits are transformed one dimension at a time. **Note** that the initial\_value parameter applies to the *untransformed* trait.

## Linear transform

The linear transform is perhaps the most common. It transforms a phenotypic value *x* according to

```
y = offset + scale \cdot x
```

The linear transform is, among other things, used to set the size of mutations. By default, mutations have an average effect of  $\pm 1$ . A linear transform can rescale the phenotype such that mutations have an effect of arbitrary size. For example

```
Trait : color, dimensions : 3, loci_per_dim : 4, initial_value : 0
    Transform : linear, offset : 1, scale : 0.01
```

introduces a three-dimensional trait color with average absolute mutational effect of 0.01. The offset parameter (here set to 1) determines the initial phenotype since the untransformed phenotypes start at zero (initial value = 0).

#### Abs transform

The abs transform removes the sign of a phenotypic value. This may be useful to avoid negative values. There are no parameters. Example syntax:

```
Trait : size, dimensions : 1, loci_per_dim : 8, initial_value : 1
    Transform : abs
```

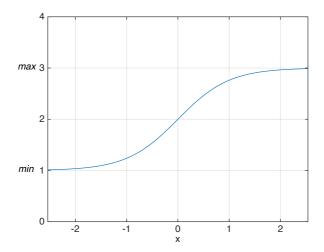
## Logistic transform

The logistic transform is a more elaborate way of putting boundaries to phenotypic values. It has two parameters, min and max, which set the lower and upper boundaries, respectively. The functional relationship is given by

$$y = min + R/(1 + e^{-\frac{4x}{R}}),$$

where  $R = \max - \min$ . The function is scaled such that the slope at x = 0 is equal to 1. This means that mutational effects near x = 0 are in principle unaffected, but as x grows to large positive or negative numbers the transformed mutational effects become increasingly smaller. Example:

```
Trait : size, dimensions : 1, loci_per_dim : 8, initial_value : 0
    Transform : logistic, min : 1, max : 3
```



### Normal Deviate transform

This adds a normally distributed random deviate with zero mean to the phenotypic value, independently to all individuals. It can be used to introduce environmental noise to phenotypes, reducing the heritability. The single parameter is the standard deviation, SD. Example:

```
Trait : size, dimensions : 1, loci_per_dim : 8, initial_value : 5
    Transform : Normal Deviate, SD : 0.5
```

## Combined transforms

Finally, here follows a few examples of combined transforms.

```
Trait : size, dimensions : 1, loci_per_dim : 8, initial_value : 0
    Transform : linear, offset : 0, scale : 0.01
    Transform : logistic, min : 0, max : 2
```

Description: A trait size with mutational size 0.01, bounded to the interval (0, 2). The initial value can be calculated as the combined transform of the initial untransformed value 0. The first linear transform does not alter it (offset is 0), but the logistic transform maps a zero to the interval midpoint, which in this case is 1.

#### **Space**

Following the list of traits there is the possibility to specify a Space module. It can also be left out, which results in a totally panmictic population (complete mixing).

The space module defines the structure of space, but also controls the dispersal behavior of individuals.

Note that some fitness modules (below) require a particular space module.

## **Discrete Space**

Space is implemented as a suite of discrete patches with this module. The position of an individual is an integer value from 0 to (size-1), where size is an integer parameter > 0. The position can also be multi-dimensional, with one integer value per dimension. All individuals are initiated in the same place, specified by the variable  $initial\_position$  (applied to all dimensions).

Each individual disperses independently with probability P\_disperse, which takes values from a heritable trait. The trait has to be one-dimensional and specified in the preceding traits section, as described above. If the space module has more than one dimension, the dimension of dispersal is chosen at random.

There are three dispersal behaviors, or *types* to choose from:

- Neighbor (or just N): Move to one of the two neighboring patches (position  $\pm 1$ ).
- Global (or just G): Choose a patch randomly.
- Distance (or just D): Disperse a distance +/-X, where X is drawn from a geometric distribution with mean dispersal distance.

There are also three boundary conditions to choose from:

- Reflective (R): dispersal across the boundaries is simply reflected
- Circular (C): classic circular boundaries
- Absorbing (A): individuals dispersing across the boundaries are removed

*Note*: The Circular boundary condition only applies to dispersal. Competition and mate choice do not occur across boundaries.

Syntax examples:

A briefer but equivalent specification reads:

```
Space : Discrete, size : 2, dimensions : 1, P_disperse : PD
    dispersal_type : N, boundary : C, initial_position : 1
```

Here's a two-dimensional case, a 5x5 grid, and a specified dispersal distance:

```
Space : Discrete, size : 5, dimensions : 2, P_disperse : PD
    dispersal_type : D, dispersal_distance : 1.5
    boundary : A
    initial_position : 1
```

The first example has a constant P\_disperse trait, but it can also evolve, if desired. A couple of trait transforms are then useful to rescale the size of mutations and keep the trait value between 0 and 1:

```
Trait : PD, dimensions : 1, loci_per_dim : 20, initial_value : -10
    Transform : linear, offset : 0, scale : 0.05
    Transform : logistic, min : 0, max : 1
...

Space : Discrete, size : 2, dimensions : 1, P_disperse : PD
    dispersal_type : N, boundary : C, initial_position : 1
```

## **Continuous Space**

This is a continuous space implementation, but otherwise with much the same functionality as the Discrete module. An individual's spatial coordinate is a real number in the interval  $0 \le \text{position} < \text{size}$ , one coordinate per dimension. The dispersal distance is drawn from an exponential distribution with mean dispersal\_distance. The dispersal direction is drawn from a circular / spherical distribution, depending on dimensionality.

Syntax example:

```
Trait_constant : PD, dimensions : 1, initial_value : 1e-3
...

Space : Continuous
size : 100 # the size of the world in each dimension
dimensions : 1 # number of dimensions
P_disperse : PD # dispersal probability, per individual
dispersal_distance : 1 # average distance (exponential distribution)
boundary : Reflective # one of: Reflective, Circular, Absorbing
initial_position : 50 # initial position of all individuals
```

### **Fitness**

Natural selection is implemented through differentiated survival of individuals. The survival stage consists of two steps: First, the fitness of all individuals is calculated by multiplying the fitness given by all *Fitness* modules. Second, each individual survives with a probability equal to its fitness divided by F (the fecundity). In this way, the total fitness is always survival multiplied with fecundity, as it should in a model with non-overlapping generations.

The survival probability (fitness / F) is truncated above at 1, which means that the realized fitness is never larger than F. A large value of F increases the demographic stochasticity and puts less limits to individual fitness. It also means a large number of offspring are produced each generation which may take time. An F-value of 2 means any individual fitness can never exceed 2, but may save computation time. Recall that the population is normally close to ecological equilibrium, which means most fitness-values are normally close to 1.

There are a number of fitness modules to choose from, and they can all be combined with each other.

### **Stabilizing selection**

This module puts a trait under stabilizing selection towards zero. It can be used to keep trait evolution within reasonable bounds, to introduce a source of deleterious mutations (it the trait is otherwise neutral), or a mechanism for evolving incompatibilities. Fitness is calculated as

$$f = 1 - \sum_{i} c u_i^e$$
 (truncated below at zero),

where u is the trait under selection and the sum is across the dimensions of u. The positive parameters c (cost\_coefficient) and e (cost\_exponent) determine the shape and strength of the selection.

Syntax example:

```
Fitness : Stabilizing_selection
trait : Z # the name of the trait under selection
cost_coefficient : 1
cost exponent : 2 # a value 2 gives a quadratic cost function
```

If the trait under stabilizing selection has no other function it is expected to quickly evolve to zero and stay there, save for drift. Disadvantageous mutations may become fixed by drift, but are expected to be canceled out by compensating mutations, possibly at other loci coding for the same trait. In this way, two isolated populations may evolve unique genetic solution to the same optimal phenotype, corresponding to co-adapted gene complexes. If the two populations do interbreed, the F2 offspring will vary extensively in phenotype and have low average fitness. It is in this way possible to introduce a mechanism of evolving genetic incompatibilities.

## Resource landscape

This module is based on the well-studied model of a continuous resource landscape, modeled as a Gaussian carrying capacity function. An evolving trait dictates the amount of resources available to an individual. The peak of the resource landscape, i.e. the maximal amount of available resources, corresponds to a trait value  $k_s x_1$ , where  $x_1$  is an individual's position in space in *the first spatial dimension* and  $k_s$  is a spatial

gradient parameter. If  $k_s$  is zero, the peak of the resource landscape always corresponds to a trait value of zero. If  $k_s$  is non-zero, the local resource peak Competition between individuals depends on their proximity in trait space as well as actual space (if specified). The fitness of individual i is given by

$$f_{i} = 1 + r \left(1 - \frac{\sum_{j} \exp\left(-\left(\frac{\sum_{d} (u_{jd} - u_{id})^{2}}{2\sigma_{a}} + \frac{\sum_{p} (x_{jp} - x_{ip})^{2}}{2\sigma_{s}}\right)\right)}{K_{0} \exp\left(-\left(\frac{\sum_{d} (u_{id} - k_{s}x_{i1})}{2\sigma_{k}^{2}}\right)\right)}\right)$$

(truncated below at zero). The sum over j is taken across all individuals, the sums over d are across the dimensions of trait u, and the sum over p is across all dimensions of space (if any). The parameters r,  $\sigma_a$ ,  $K_0$ , and  $\sigma_K$ ,  $\sigma_S$  (s\_space) and  $k_S$  (k\_space) have to be specified in the syntax:

```
Fitness : Resource_landscape
trait : beak_size # for example
r : 1
K_0 : 100
s_K : 1
s_a : 0.4
s_space : 1 # spatial extent of competition (all spatial dimensions)
k space : 0 # spatial gradient of resource optimum
```

## **Density dependence**

This module introduces density dependence localized in space. Individual fitness is given by

$$f_i = \exp\left(r\left(1 - \frac{\sum_j e^{-\frac{d_{ij}^2}{2\sigma_s^2}}}{K}\right)\right),$$

where the sum is across all individuals and  $d_{ij}^2$  is the squared euclidian distance between individuals i and j,  $d_{ij} = \sum_p (x_{ip} - x_{jp})^2$  ( $x_{ip}$  is the position of individual i in spatial dimension p). Syntax:

```
Fitness : Density_dependence
r : 1
K : 500
s_space : 2 # s_s
```

The parameter  $\sigma_s$  (s\_space) controls the spatial extent of competitive interactions. A large  $\sigma_s$  implies long-range competition and smaller local population sizes. The total population also scales directly with the carrying capacity parameter K.

*Note*: In discrete space it is possible to set  $\sigma_s = 0$ , implying within-patch competition only. *It will also speed up computation times considerably*. A value  $\sigma_s > 0$  implies at least some amount of competition between individuals in different patches and much longer computation times.

### Discrete resources

This is a model of  $n_R$  discrete resources with explicit (fast) dynamics according to a chemostat model. Individual consumption rate is controlled by an ecological trait. The module is only available for discrete space models or models without space.

Resource dynamics of resource *j* within a single patch occur on a fast time-scale, following

$$\frac{dR_j}{dt} = K - R_j - \sum_i a_{ij}R_j, \qquad j = 0..(n_R - 1),$$

where the sum is across all individuals in the patch. Notice that the index of the first resource is 0. The parameter K is a scaling parameter, setting the overall size of the system. The attack rate of individual j on resource i is

$$a_{ij} = \frac{a_0}{K} e^{-\frac{(u_j - i)^2}{2\sigma_a^2}}$$

where  $u_j$  is the assigned (one-dimensional) trait,  $a_0$  dictates the maximal attack rate, and  $\sigma_a$  is the niche-width of the consumer. A trait value u=i makes an individual specialized on resource i.

The equilibrium resource abundance evaluates to

$$R_i^* = \frac{K}{1 + \sum_i a_{ij}}.$$

The fitness of an individual is evaluated in discrete time, assuming all resources are at equilibrium, according to

$$f_j = 1 + \sum_i a_{ij} R_i^* - c_{min} ,$$

where  $c_{min}$  is the minimal amount of resources required for an individual to replace itself.

The equilibrium population size of a resource specialist feeding on a single resource in a single patch can be calculated to

$$N^* = K \left( \frac{1}{c_{min}} - \frac{1}{a_0} \right),$$

which confirms the scaling property of K and sets bounds on  $c_{min}$  and  $a_0$  for population persistence.

Syntax example:

```
Fitness: Discrete_resources
trait: X # any previously specified trait
n_R: 3 # the number of resources
K: 2000 # scaling constant
a_0: 4 # maximal attack rate. Too high values leads to unstable population dynamics
s_a: 0.6 # consumer niche width
c_min: 1 # minimal intake rate for self-replacement
```

#### Spatial gradient

This module applies selection towards an optimal phenotype, that varies linearly in

space. It operates only along the first spatial dimension and the first dimension of the associated trait. The fitness function is

$$f_i = e^{-\frac{\left(u_i - k_S x_i\right)^2}{2\sigma_S^2}},$$

where  $u_i$  is the trait value and  $x_i$  is the position of individual i, respectively. The parameter  $\sigma_s$  (s\_selection) controls the strength of selection and  $k_s$  (k\_space) is the steepness of the spatial gradient.

This module works in both discrete and continuous space. The discrete space position is simply the index of the patch (0, 1, 2, ...).

Syntax example:

```
Fitness : Spatial_gradient
trait : B # trait for local adaptation
k_space : 1 # steepness of spatial gradient
s_selection : 1 # spatial niche width
```

## **Catastrophes**

The Catastrophes module introduces global mortality events at random intervals. It has two parameters:

P\_catastrophe is the probability there will be a catastrophe in any given year.

P survive is the probability that an individual will survive a catastrophe.

Syntax example:

```
Fitness : Catastrophes
P_catastrophe : 0.001
P survive : 0.01
```

### **Mating**

Each reproduction event starts with mate selection. Each individual chooses a mate from the assigned *mating pool* according to its *mating preference* modules. More explicitly, a choosing individual is presented candidate mates randomly picked from the specified mating pool. It will accept a mate with a probability set by its mating preferences. If the candidate mate is rejected, a new candidate is picked randomly (with replacement), and so on until a mate is accepted or a maximal number of mating\_trials candidates have been rejected. If all candidates are rejected, the choosing individual will not reproduce unless it is chosen as a mate by another individual.

The mating section of a model description includes at least two parameters

```
Mating_pool : [one of Selfing, Local, Global]
Mating_trials : [an integer > 0]
```

followed by an optional list of mating preference modules.

The *Mating pool* can be one of three choices:

**Selfing**: This is the simplest mating pool, and quite self-explanatory (non pun intended...). All individuals mate with themselves, but the mechanics of gamete formation, recombination and zygote formation still operate. No parameters are required. This module invalidates all specified mating\_preference modules. Syntax:

```
Mating pool : Selfing
```

**Local**: The random pick of candidate mates is weighted depending on their proximity in space, using a Gaussian weight function with width parameter  $\sigma_s$  (s\_space). The weight of a partner at Euclidian distance d in space is  $\exp\left(-\frac{d^2}{2\sigma_s^2}\right)$ . This option requires a specification of the extra parameter  $\sigma_s$ . Syntax example:

```
Mating_pool : Local, s_space : 0.5
```

If space is discrete and  $\sigma_s = 0$  (s\_space : 0), mates are chosen randomly from the local patch, which is computationally more efficient than the general case.

**Global**: A global mating pool means that mating candidates are chosen randomly from the entire population, irrespective of proximity in space. Syntax:

```
Mating_pool : Global
```

The Global and Local mating pools are equivalent if no spatial module is defined.

The Mating\_pool and Mating\_trials parameters are followed by an optional list of Mating\_preference modules. If more than one module is specified, they are applied in the order they are specified. The modules are multiplicative, such that the weight of any given mating candidate is the product of weights from all Mating\_preference modules. The actual mate is then chosen randomly according to the combined weights. Selfing is allowed, i.e. an individual may choose itself as a mate.

There is currently only one type of Mating\_preference module to choose from, the target\_selection module, but it is highly versatile. The general idea (from

Thibert-Plante & Gavrilets 2013) is that each individual has a heritable *display* trait and a heritable *preference* trait. Mate selection is based on matching the preference with the display. The target and preference traits may be the same trait, which generates assortative mating – individuals tend to choose mates similar in phenotype to themselves. A third trait regulates the *strength* of partner selection, i.e. the choosiness. A negative strength trait implies disassortative mating, i.e. that mates dissimilar in phenotype are preferred. A strength of zero implies random mating. All three traits (*display*, *preference*, *strength*) can be multi-dimensional, but must have the same number of dimensions.

If we label the target trait x, the preference trait y, and the strength trait c, the probability that individual i will accept individual j is

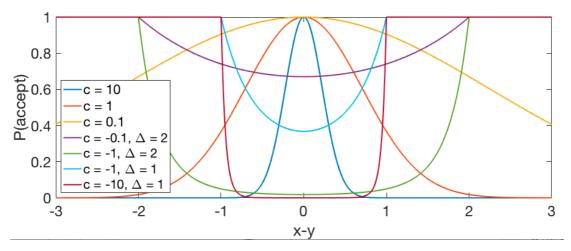
$$P_{ij} = \min\left(1, exp\left(-\sum_{d} p_{d}\right)\right),$$

where the sum is across the dimensions of x, y and c and

$$p_d = c_{id}(x_{jd} - y_{id})^2, c_{id} > 0$$

$$p_d = \min(1, c_{id}((x_{jd} - y_{id})^2 - \Delta^2)), c_{id} < 0.$$

The additional parameter  $\Delta$  (Disassortative\_limit) is only used if there is disassortative mating, i.e. if the strength (c) is negative. It sets the phenotypic distance beyond which all mates are accepted. A few example preference functions are drawn below.



A couple of examples, that also illustrate the syntax, are given below.

```
Mating_preference : Target_selection
    Display : beak_size
    Preference : beak_size
    Strength : C
    Disassortative limit : 1
```

If beak\_size is associated with a fitness module, the above could be a magic trait model. The trait C could be an evolving trait or a constant trait.

Here's a more complete example with independently evolving target and preference traits, as well as an evolving strength:

```
Trait : Color
    dimensions : 3
```

```
loci_per_dim : 10
    initial_value : 0
Trait: Color Preference
    dimensions : 3
    loci_per_dim : 10
    initial_value : 0
Trait : Color_Choosiness
    dimensions: 3
    loci_per_dim : 10
    initial value : 0
# other traits / modules ...
Mating pool : Local
    s_space : 1 # set to 0 for within-patch mating
Mating_trials : 1000
Mating_preference : Target_selection
    Target : Color
    Preference : Color_Preference
    Strength : Color_Choosiness
    Disassortative limit: 1
```

The above creates a three-dimensional 'color' trait with associated preference and choosiness traits. If none of the traits is associated with a *Fitness* module, this is a pure sexual selection model.

More than one Mating\_preference module can be specified. The probability to choose a mate is then simply the product of all probabilities generated by the different modules. A fixed strength of assortative mating on one trait can in this way be combined with sexual selection on another trait. Alternatively, assortativeness can evolve in parallel with sexual selection (cf. Thibert-Plante & Gavrilets 2013). The two examples above can thus be combined in the same model:

```
Mating_preference : Target_selection
    Display : beak_size
    Preference : beak_size
    Strength : C
    Disassortative_limit : 1

Mating_preference : Target_selection
    Target : Color
    Preference : Color_Preference
    Strength : Color_Choosiness
    Disassortative_limit : 1
```

## **Running simulations**

Simulations are typically issued from a command prompt. The *TREES* executable should be in the search path or the current directory. The parameter file should be in the current directory, which is also where all output is saved. The command is simply *TREES* followed by the name of the parameter file:

> TREES myModel.txt

Optionally, two integers can be added to specify indices of repeated simulations:

> TREES myModel.txt 11 20

The above command will run 10 replicate simulations which will be given indices from 11 to 20. The output of each replicate is saved in its separate output file. The file name consists of the name of the parameter file, appended with '\_results\_', the index of the simulation, and an extension 'sim'. The last example above would generate output files myModel\_results\_11.sim, myModel\_results\_12.sim, myModel\_results\_13.sim, etc. If no indices are specified, as in the first example, the single simulation is given index 1.

It is wise to make short test-runs, with perhaps a few hundred generations, to get a feeling for the time required. Remember that simulation time increases as the number of individuals increases, often at a quadratic rate. If total population size is expected to increase by a factor 10, the simulation may be 100 times slower towards the end!

It is also wise to let the name of the parameter file reflect the parameter values that may vary between simulations. This is to avoid over-writing earlier results, but also to assist sorting output files. Alternatively, different settings can be given different directories.

#### **Output**

Each simulation is saved in a separate file with a hopefully unique name. The file name starts with the name of parameter file, followed by '\_results\_', the index of the replicate, and the extension '.sim'. For example, the command

> TREES Model8.txt 1 2

would generate two output files: 'Model8 results 1.sim' and 'Model8 results 2.sim'.

#### File format

The output files are in binary format. Please refer to the Plotting and Analysis section below if all you need to do is analyzing results.

All integers are stored as 32 bit, unless otherwise specified, all floats are single precision (32 bit)). The sequence of data is:

- 1. File version (integer)
- 2. Simulation seed (integer)
- 3. A complete copy of the parameter file text, ended by a zero byte.
- 4. The number of loci (integer)
- 5. The number of samples (integer)
- 6. All samples in chronological order (see below)
- 7. Gene tracking data, if geneTracking is activated (see Gene Tracking below)

Each sample is structured as:

- 1. The generation number, starting at 0 (64 bit integer)
- 2. Population size (integer)
- 3. Complete genomes of all individuals, if Gene\_sampling is on. These are saved as one integer (Diallelic Genetics module) or float (Continuous\_alleles module) per allele. The first half genome is saved for each individual before the second, analogous, half is saved for each individual.
- 4. All traits, one at a time. Each traits is saved simply as the phenotypic values of all individuals (floats). Multivariate traits are saved with all dimensions together ((individual 1, dimension 1), (individual 1, dimension 2), (individual 2, dimension 1), and so on).
- 5. Spatial information, if applicable. The spatial position (float) is saved analogous to a trait.
- 6. Gene tracking sample, if Gene\_tracking is activated (see Gene Tracking below).

### **Gene Tracking**

The program can keep track of all genes (or, more correctly, alleles) and their descendants. This is activated by setting the parameter Gene\_tracking to 'Y' or 'Yes' (see 'Simulation parameters' above). Gene tracking makes it possible to draw separate phylogenies of all genes present in the final population, or to calculate coalescence times of separate loci. The gene tracking data is continuously pruned of extinct, non-sampled, lineages to save computer memory and file size.

Each gene is given a unique ID number at its first appearance, which is either in generation 0 or following a mutation. The ID is stored in a table, together with the gene's phenotypic effect, the ID of the parent and the time of birth (in generations). There is one separate table per locus.

The gene tracking data is stored at the end of an output file as a sequence of lists, one list per locus. Each list contains

- 1. List length (integer)
- 2. Each gene in the list, containing:
  - a. id: a unique number (64 bit integer)
  - b. parent: the parent id (64 bit integer)
  - c. birth: the generation of first appearance (64 bit integer)
  - d. death: the generation of last appearance (64 bit integer)
  - e. effect: its genetic effect (float)
  - f. children: the number of (sampled) descendants (integer)
  - g. child\_list: all id:s (if any) of the immediate descendants (64 bit integers)

The first gene in the list is the root, from which all other descend. It has id = 1 and parent = 0. The generation of last appearance is constrained to sample events. It is only during sampling that gene presence/absence is checked in the population.

All population samples also contain gene tracking data. It occurs at the end of the sample, analogous in structure to the Gene\_sampling data but with all entries 64 bit integers. This is simply the id:s of all genes currently present in the population.

## Plotting and analysis (Matlab)

The basic Matlab program to read sim-files is read\_sim.m. It takes two parameters – the name of the parameter file (not the results file) and the index of the simulation. The output is a struct containing all available information about the simulations. As an example, consider a parameter file m1.txt like this:

```
#Simulation parameters:
verbose: Y
t_max : 5000, sample_interval : 100
seed : R, gene_tracking : N, gene_sampling : N
# Population parameters
F : 2, n_0 : 100
# Genetics
Genetics: Continuous Alleles, P mutation: 1e-4
Trait: X, dimensions: 1, loci_per_dim: 10, initial_value: -20
    Transform: linear, offset: 0, scale: 0.05
# Fitness
Fitness : Stabilizing_selection, trait: X
    cost_coefficient : 0.1
    cost_exponent : 2
Fitness: Density_dependence, r: 1, K: 1000, s_space: 0
# Mating
Mating_pool : Global
Mating_trials : 100
```

The model has a single trait X coded by 10 continuous alleles loci and subject to stabilizing selection towards zero. Density dependence is added to control population size. Some sort of density dependence has to be included. Fitness will otherwise never exceed 1 and the population will rapidly go extinct.

On a standard computer, such a short simulation runs in a few of seconds. The simulation can be run using

gene\_sampling: 0

```
F: 2
    n_0: 100
Genetics: [1x1 struct]
    Traits: [1x1 struct]
    Space: [1x1 struct]
    Fitness: {[1x1 struct] [1x1 struct]}
    Mating: [1x1 struct]
    loci: 10
sampleCount: 51
    samples: [1x51 struct]
```

All modules are included in the struct, including all parameters. The Traits field is a vector of structs, one per trait (only one in this case). Each trait struct contains the parameters of that specific trait:

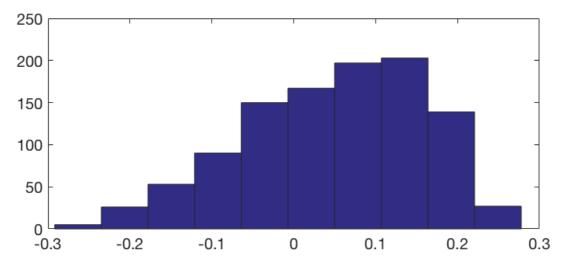
which in turn contains a list of the transforms associated with that trait. In a similar manner, all modules are represented in the struct.

All population samples are contained in the samples field, which is a vector of structs, one per sample. A sample of this example model looks like this:

The first field is the generation number, followed by the current size of the population. Next, each trait is stored as a separate array, named after the trait name specified in the parameter file. The last sample of this simulation looks like this:

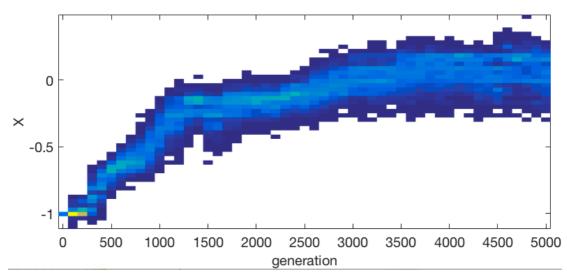
which means the population had grown to 1057 individuals after 5000 generations. We can plot the final distribution of phenotypes in a histogram:

```
>> figure
>> hist(sim1.samples(51).X)
```



To plot the phenotypic evolution over time, us the plot\_sim command instead:

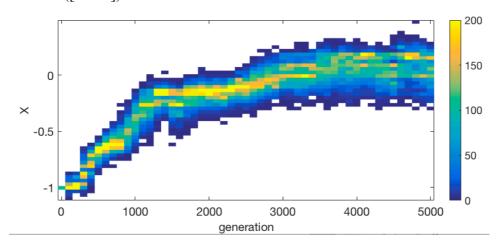
>> plot\_sim(sim1)



The result is a heat map of the phenotypic evolution over time. The colors correspond to the number of individuals within each grid cell. Use caxis to change the color scale and colorbar to see the scale:

>> colorbar

>> caxis([0 200])

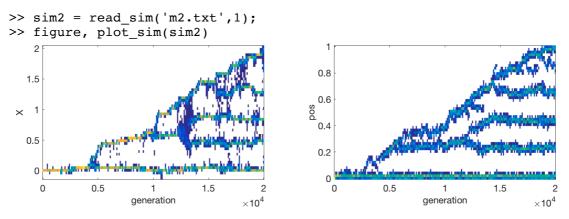


It can be seen that the X trait converges to the optimal phenotype X = 0. Genetic variation is maintained through continuous mutations and drift.

A more elaborate example is given below (m2.txt). The model is expanded with a one-dimensional continuous spatial structure and the stabilizing selection is given a gradually shifting optimum in space. Individuals compete and mate with neighbors in space.

```
#Simulation parameters:
verbose: Y
t_max : 20000, sample_interval : 100
seed: R, gene tracking: N, gene sampling: N
# Population parameters
F : 2, n_0 : 100
# Genetics
Genetics : Continuous_Alleles, P_mutation : 1e-4
Trait : X, dimensions: 1, loci_per_dim: 10, initial_value: 0
    Transform: linear, offset: 0, scale: 0.02
Trait_constant : PD, dimensions: 1, initial_value : 1
#Space
Space : Continuous, size: 1, dimensions : 1
    P_disperse : PD, dispersal_distance : .001
    boundary : A, initial position : 0
Fitness: Spatial gradient, trait: X
    k_space : 2
    s_selection : 0.3
Fitness: Density_dependence, r: 1, K: 100, s_space: .1
# Mating
Mating_pool : Local, s_space : .05
Mating_trials : 100
```

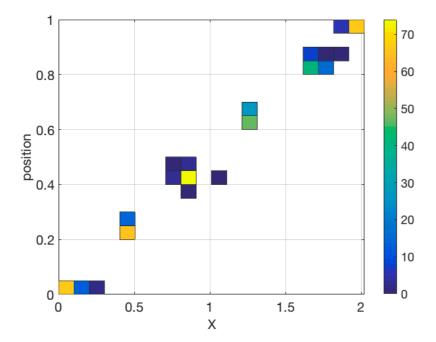
A sample simulation (./TREES m2.txt 1) looks like this:



Both the trait X and the spatial position ('pos') are plotted across time. The population spreads and diversifies in space. One can easily identify clusters, both in phenotype space and actual space. The spread in space goes hand in hand with local adaptation in X. Clusters are fairly reproductively isolated due to spatial separation and selection against maladapted migrants. The structure of the last generation can be plotted like

this to visualize the clustering and strong correlation between spatial position and trait value:

```
>> sa = sim2.samples(end);
>> figure
>> histogram2(sa.X, sa.pos, 20, 'DisplayStyle','Tile')
>> xlabel('X'), ylabel('position'), colorbar
```



A third example demonstrates the versatility of the mating modules. The model organism has one ecological trait (BeakSize) controlling its utilization of a continuously distributed resource (the Gaussian resource landscape model). It also has two ecologically neutral traits involved in sexual selection — a three-dimensional display trait (Color) and a likewise three-dimensional preference trait (ColorPreference). This is also a demonstration of the Diallelic genetics module.

```
#Simulation parameters:
verbose : Y
t_max : 10000
sample_interval : 25
seed : R, gene_tracking : N, gene_sampling : N

# Population parameters:
F : 2 # Fecundity parameter
n_0 : 100 # initial population size

# Specify a Genetics module:
Genetics : Diallelic, P_mutation : 1e-4

# Specify traits:
Trait : BeakSize, dimensions: 1, loci_per_dim: 40, initial_value: 0
    Transform: linear, offset: 0, scale: 0.05

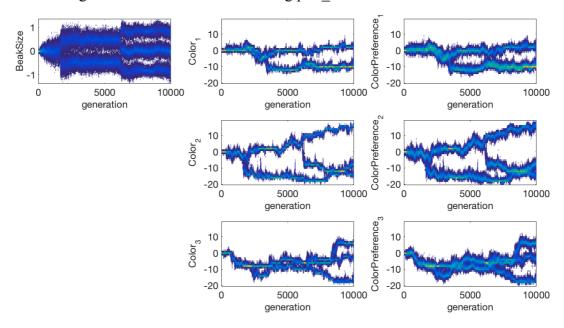
Trait : Color, dimensions: 3, loci_per_dim: 20, initial_value: 0
Trait : ColorPreference, dimensions: 3, loci_per_dim: 20,
```

```
initial_value: 0
Trait_constant : Choosiness, dimensions: 3, initial_value: 0.4

# Fitness:
Fitness : Resource_landscape, trait : beak_size
    r : 1, K_0 : 500, s_K : 1, s_a : 0.4, s_space : 0, k_space : 0

# Set the mating parameters:
Mating_pool : Global
Mating_trials : 1e3
Mating_preference : target_selection
    Display : Color
    Preference : ColorPreference
    Strength : Choosiness
    Disassortative_limit : 1 # not used, since Choosiness is > 0
```

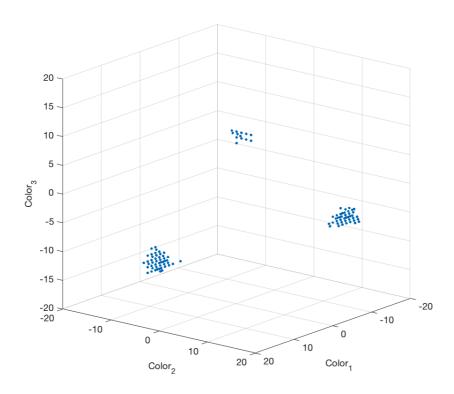
The resulting simulation can be studied using plot sim:



The multi-dimensional traits are displayed with one panel per dimension, stacked on top of each other.

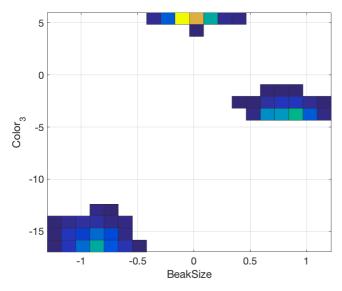
At the end of the simulation there are three reproductively isolated species, well separated in trait space. The final distribution of Color-morphs can be plotted as:

```
>> sa = sim3.samples(end);
>> plot3(sa.Color(1,:), sa.Color(2,:), sa.Color(3,:), '.',
'markersize',12)
>> grid on
>> xlabel('Color_1')
>> ylabel('Color_2')
>> zlabel('Color_3')
```



One can also plot the final distribution of BeakSize and Color<sub>3</sub>:

```
>> histogram2(sa.BeakSize, sa.Color(3,:), 20, 'DisplayStyle','Tile')
>> xlabel('BeakSize')
>> ylabel('Color_3')
```



It is apparent that the three color-morphs also correspond to three ecologically separated species.

## Genetic analys and seeding the random number generator

The last simulation in the previous section was run without saving any genetic data. If we want to analyze the genetics, we can re-run it using the same random number seed. This will reproduce exactly the same simulation. The seed is stored in the output file and can be obtained from the sim struct in Matlab:

```
>> uint32(sim3.seed)
ans = 4042805153
```

We copy that seed to the parameter file and turn gene\_tracking and gene\_sampling on. To save disk-space, the sampling is also less frequent. All changes are highlighted in yellow below:

```
#Simulation parameters:
verbose : Y
t_max : 10000
sample interval : 500
seed: 4042805153, gene tracking: Y, gene sampling: Y
# Population parameters:
F : 2 # Fecundity parameter
n 0 : 100 # initial population size
# Specify a Genetics module:
Genetics: Diallelic, P mutation: 1e-4
# Specify traits:
Trait: BeakSize, dimensions: 1, loci_per_dim: 40, initial_value: 0
    Transform: linear, offset: 0, scale: 0.05
Trait: Color, dimensions: 3, loci per dim: 20, initial value: 0
Trait: ColorPreference, dimensions: 3, loci_per_dim: 20,
initial value: 0
Trait constant: Choosiness, dimensions: 3, initial value: 0.4
# Fitness:
Fitness: Resource landscape, trait: beak size
    r: 1, K 0: 500, s K: 1, s a: 0.4, s space: 0, k space: 0
# Set the mating parameters:
Mating pool : Global
Mating_trials : 1e3
Mating preference : target selection
    Display : Color
    Preference : ColorPreference
    Strength : Choosiness
    Disassortative_limit : 1 # not used, since Choosiness is > 0
```

The simulation result is exactly the same, albeit sampled less frequently. The sample of the final generation now looks like:

```
>> sa = sim3.samples(end)
sa =
```

```
gen: 10000
sample_size: 1112
G1: [160x1112 double]
G2: [160x1112 double]
BeakSize: [1x1112 double]
Color: [3x1112 double]
ColorPreference: [3x1112 double]
G1id: [160x1112 double]
G2id: [160x1112 double]
```

The fields G1 and G2 contain the entire genomes of all individuals. There are in total 160 loci, associated to the traits in the order they appear in the parameter file. The first trait BeakSize is thus controlled by the first 40 loci, the following 60 (3×20) code for Color and the last 60 code for ColorPreference. The fields G1id and G2id contain id:s of the corresponding alleles.

Due to the separation in Color<sub>3</sub> at the end (see plot above) it is straightforward to single out the three ecomorphs of that sample as logical vectors:

```
>> morph1 = sa.Color(3,:) < -10;
>> morph2 = sa.Color(3,:) > 0;
>> morph3 = sa.Color(3,:) > -10 & sa.Color(3,:) < 0;</pre>
```

The morphs (or species) are numbered in BeakSize order, smallest to largest, which can also be confirmed:

```
>> mean(sa.BeakSize(morph1))
ans =
        -0.8739

>> mean(sa.BeakSize(morph2))
ans =
        -0.0178

>> mean(sa.BeakSize(morph3))
ans =
        0.8237
```

We can now calculate the F<sub>ST</sub>-values of, for instance, the loci coding for BeakSize:

```
>> F_ST(sa, morph1, morph2, 1:40)
ans =
          0.1649

>> F_ST(sa, morph1, morph3, 1:40)
ans =
          0.2361

>> F_ST(sa, morph2, morph3, 1:40)
ans =
          0.1651
```

The F\_ST function returns an averaged  $F_{ST}$  following Weir & Cockerham (1984). A second output argument contains the  $F_{ST}$  for each locus, if required. Apparently, morphs 1 and 3 are most separated, whereas the other genetic separations are more equal. This pattern may be at least partly artificial since there are only two alleles possible at each locus (we use the Diallelic genetics module). Identical alleles does not necessarily mean identical-by-descent – it may also be due to back-mutations or convergent mutations. The Continuous\_alleles genetics module does not generate identical mutations, but  $F_{ST}$  may still be afflicted with considerable noise and biases

```
(Whitlock 2011).
```

A better measure of evolutionary distance is the coalescence  $F_{ST}$  (Whitlock 2011). It compares the mean time to coalescence of two randomly sampled alleles within a group to the same measure between groups. A measure of 0 means there is no differentiation – coalescence times are the same within as between groups – and a measure of 1 implies all alleles are identical within a group but different between groups. Since gene-tracking is turned on, we can calculate it from the samples:

```
>> F_ST_coal(sa,s3,morph1,morph2,1:40)
ans =
        0.8818

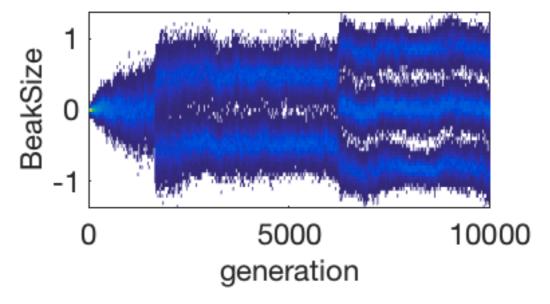
>> F_ST_coal(sa,s3,morph1,morph3,1:40)
ans =
        0.9254

>> F_ST_coal(sa,s3,morph2,morph3,1:40)
ans =
        0.6159
```

The results show that morphs 2 and 3 share more evolutionary history with each other than they do with morph 1. A close-up of the first, frequently sampled, simulation supports that conclusion (below), although the exact details can be hard to disentangle. A direct measure of the phylogenetic distance between morphs is given in the third output argument of F\_ST\_coal:

```
>> [~, ~, phylodist] = F_ST_coal(sa,s3,morph1,morph2,1:40);
>> mean(phylodist)
ans =
7.0062e+03
```

This statistic points at a 7000 generation old separation of morph 1 and 2. The graph below shows that it is somewhat older. The discreapancy can be due to gene flow as well as mutations occurring later than the split of the populations. The coalescence  $F_{ST}$  as it is defined here only measured separation by mutation, not by demography.



## References

Thibert-Plante, X. & Gavrilets, S. 2013. Evolution of mate choice and the so-called magic traits in ecological speciation. *Ecology Letters*, **16**:1004-1013

Weir, B. & Cockerham, C. 1984. Estimating F-statistics for the analysis of population-structure. *Evolution*, **38**:1358-1370

Whitlock, M. C. 2011.  $G'_{ST}$  and D do not replace  $F_{ST}$ . *Molecular Ecology,* **20**:1083-1091