

FORMALISING MONOTONE FRAMEWORKS

A dependently typed implementation in Agda

Master thesis

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AGDA

1999 *Agda*: Caterina Coquand

2007 *Agda 2*: Ulf Norell

Agda 2:

- › Dependent type system
 - » based on Martin Löf type theory
 - » type can be dependent on values: $(x : A) \rightarrow F\ x$
- › Syntax similar to Haskell
- › Functions are total

Curry Howard isomorphism

- › type = proposition
- › term = proof

Agda is used as proof assistant

Shares similarities with Coq, Epigram and NuPRL.

compilable to Haskell, Ocaml, javascript

Falsehood or non existence is represented by an uninhabitable type

```
data Empty where
```

Inductive datatype families are represented using a data block.

```
data Bool : Set where  
  false : Bool  
  true  : Bool
```

We can use records to denote tuples with named fields (and several other features):

```
record Person : Set where  
  field  
    name : String  
    age  : ℕ
```

Implicit parameters (denoted by `{ }`) when inferable from context

```
id : {A : Set} → A → A
id {A} x = x

id false --> id {Bool} false
```

placeholders denoted by `_` are used for fixity parsing.

e.g. `_≡_` : `A → A → Set`

makes `≡` an infix operator.

Propositional equality is represented by an indexed datatype:

```
data _≡_ {A : Set} (x : A) : A → Set where  
  refl : x ≡ x
```

```
unsound : false ≡ true  
unsound = ?
```

```
sound : true ≡ true  
sound = refl
```


First order logic quantifiers by Curry Howard correspondence:

Universal quantification (\forall) can be encoded as a dependent function $\forall x \in A : P\ x \dashv\!\rightarrow (x : A) \rightarrow P\ x$

We can use the dependent product (or record syntax) to represent existential quantification (\exists): $\exists x \in A : P\ x$

```
record  $\Sigma$  (A : Set) (P : A  $\rightarrow$  Set) : Set where
  field
    x : A
    Px : P x
```

These are defined in the Standard library.

ORDER THEORY

Partial ordered set

Set \mathbb{C} together with a binary relation $_ \sqsubseteq _ : \mathbb{C} \rightarrow \mathbb{C} \rightarrow \text{Set}$.

Requiring the following properties:

› Reflexivity = $\{x : \mathbb{C}\} \rightarrow x \sqsubseteq x$

› Transitivity = $\{x \ y \ z : \mathbb{C}\} \rightarrow x \sqsubseteq y \rightarrow y \sqsubseteq z \rightarrow x \sqsubseteq z$

› Antisymmetry = $\{x \ y : \mathbb{C}\} \rightarrow x \sqsubseteq y \rightarrow y \sqsubseteq x \rightarrow x \equiv y$

From this, we can define a strict partial order as:

$$\begin{aligned} _ \sqsubset _ &: \mathbb{C} \rightarrow \mathbb{C} \rightarrow \text{Set} \\ x \sqsubset y &= x \sqsubseteq y \wedge x \neq y \end{aligned}$$

Poset has τ , a.k.a. supremum / maximum. When:

$$(x : \mathbb{C}) \rightarrow x \sqsubseteq \tau$$

x is upperbound of $S \subseteq \mathbb{C}$:

$$(s : S) \rightarrow s \sqsubseteq x$$

y is least upper bound (\sqcup) of $S \subseteq \mathbb{C}$:

$$(c : \mathbb{C}) \rightarrow (s : S \rightarrow s \sqsubseteq c) \rightarrow y \sqsubseteq c$$

The least upper bound of S , if it exists, is referred to as $\sqcup S$.

Join semi lattice poset (C, \sqsubseteq) with \sqcup

$\sqcup : C \rightarrow C \rightarrow C$ is a binary total operator such that

$x \sqcup y = \sqcup \{x, y\}$. It is bounded if it has a least element: \perp s.t.:

$$(c : C) \rightarrow \perp \sqsubseteq c$$

Dually, we can define a lowerbound and a greatest lower bound (or meet; \sqcap) to form a (bounded) meet semi lattice.

Lattice poset that is a join semi lattice and meet semi lattice

Complete Lattice all subsets of $S \subseteq C$ have $\sqcup S$ defined.

When all possibly infinite sequences of form: $a_0 \sqsubseteq a_1 \sqsubseteq \dots \sqsubseteq a_k \sqsubseteq \dots$ eventually stabilize, i.e. : $\exists k \geq 0 : \forall j \geq k : a_j = a_k$ it is said that the poset satisfies the Ascending Chain Condition (ACC).

The ACC can be coded using well-foundedness. ACC on lattice implies it being complete.

Algebraic definition:

```
record BoundedSemiLattice a : Set (Level.suc a) where
  constructor boundedSemiLattice
  field
    C : Set a -- Carrier type
    _⊔_ : C → C → C -- Binary join
    _≡_ : (x y : C) → Dec (x ≡ y) -- decidability of propositional equality
    ⊥ : C -- Least element
    ⊥-isMinimal : (c : C) → ⊥ ≤ c -- Proof that ⊥ is the least element
    ⊔-idem : (x : C) → (x ⊔ x) ≡ x
    ⊔-comm : (x y : C) → (x ⊔ y) ≡ (y ⊔ x)
    ⊔-cong₂ : {x y u v : C} → x ≡ y → u ≡ v → (x ⊔ u) ≡ (y ⊔ v)
    ⊔-assoc : (x y z : C) → ((x ⊔ y) ⊔ z) ≡ (x ⊔ (y ⊔ z))
    ⊔-isWellFounded : (x : C) → Acc _⊔_ x
```

Additionally, from \sqcup and \sqsubseteq we define:

\sqsubseteq , $\sqsubseteq?$, \sqsubset , $\sqsubset?$, \sqsupset , $\sqsupset?$, \sqsupset and $\sqsupset?$.

-- properties about \sqcup and \sqsubseteq

\sqcup -on- \sqsubseteq : $\{a\ b\ c\ d : \mathbb{C}\} \rightarrow a \sqsubseteq b \rightarrow c \sqsubseteq d \rightarrow (a \sqcup c) \sqsubseteq (b \sqcup d)$

\sqcup -on-left- \sqsubseteq : $\{a\ b\ c : \mathbb{C}\} \rightarrow a \sqsubseteq c \rightarrow b \sqsubseteq c \rightarrow a \sqcup b \sqsubseteq c$

\sqcup -on-right- \sqsubseteq : $\{a\ b\ c : \mathbb{C}\} \rightarrow a \sqsubseteq b \rightarrow a \sqsubseteq b \sqcup c$

left- \sqcup -on- \sqsubseteq : $\{a\ b : \mathbb{C}\} \rightarrow a \sqsubseteq (a \sqcup b)$

\sqcup -monotone-right : $\{x : \mathbb{C}\} \rightarrow \text{Monotone } \sqsubseteq _ (_ \sqcup x)$

\sqcup -monotone-left : $\{x : \mathbb{C}\} \rightarrow \text{Monotone } \sqsubseteq _ (_ \sqcup x)$

-- properties about \sqsubseteq and \equiv

$\Rightarrow \sqsubseteq$: $\{a\ b : \mathbb{C}\} \rightarrow a \equiv b \rightarrow a \sqsubseteq b$

$\not\sqsubseteq \Rightarrow \equiv$: $\{a\ b : \mathbb{C}\} \rightarrow \neg (a \sqsubseteq b) \rightarrow \neg a \equiv b$

\sqsubseteq -split-left : $\{a\ b\ c : \mathbb{C}\} \rightarrow a \sqcup b \sqsubseteq c \rightarrow a \sqsubseteq c$

\sqsubseteq -split-right : $\{a\ b\ c : \mathbb{C}\} \rightarrow a \sqcup b \sqsubseteq c \rightarrow b \sqsubseteq c$

-- properties about \sqsupset and \sqsubset

\sqsubset -asymmetric, \sqsubset -trans, \sqsupset -trans, ...

TARSKI'S FIXED POINT THEOREM

1955: Alfred Tarski
 formulated his fixed point theorem
 He showed the existence of a fixed
 point ($f\ x \equiv x$) of any monotone
 function for any complete lattice.



```
Monotone : ∀{α ℓ} -> {C : Set α} -> Rel C ℓ ->
           (f : C -> C) -> Set (α Level.⊔ ℓ)
Monotone _⊆_ f = ∀ x y → x ⊆ y → f x ⊆ f y
```

Why is the least fixed point important?
to avoid superfluous information.

Given $f\ x = x \cup \{ 'a', 'b' \}$,

$y = \{ 'a', 'b', 'c' \}$ is a fixed point. But $\{ 'a', 'b' \}$ is also a fixed point so y is not the least one.

```
IsFixedPoint : (c :  $\mathbb{C}$ ) -> Set a
IsFixedPoint c = c  $\equiv$  f c

record FixedPoint : Set a where
  constructor fp
  field
    element :  $\mathbb{C}$ 
    isFixedPoint : IsFixedPoint element
```

Our initial point \perp is extensive

```
fp-base :  $\perp \sqsubseteq f \perp$   
fp-base =  $\perp$ -isMinimal (f  $\perp$ )
```

Given an extensive point c , $f c$ is also extensive

```
fp-step :  $\forall \{c\} \rightarrow c \sqsubseteq f c \rightarrow f c \sqsubseteq f (f c)$   
fp-step = isMonotone
```

Given an extensive point, we find a fixed point by iteratively applying f .

```
l0-isFixedPoint : {c : C} -> c ⊆ f c -> FixedPoint
l0-isFixedPoint {c} x with c ≐ f c -- are we there yet?
l0-isFixedPoint {c} x | yes p = fp c p
l0-isFixedPoint {c} x | no ¬p = l0-isFixedPoint (fp-step x)

l0 : FixedPoint
l0 = l0-isFixedPoint fp-base
```

Similarly:

```
IsLeastFixedPoint : (c : C) -> Set a
IsLeastFixedPoint c = IsFixedPoint c
                    × ((e : FixedPoint) -> c ⊆ element e)

record LeastFixedPoint : Set a where
  constructor lfp
  field
    element : C
    isLeastFixedPoint : IsLeastFixedPoint element
```

Suppose that e is a fixed point.

```
lfp-base :  $\perp \sqsubseteq e$ 
lfp-base =  $\perp$ -isMinimal e
```

The inductive case:

```
lfp-step :  $\{c : \mathbb{C}\} \rightarrow c \sqsubseteq e \rightarrow f\ c \sqsubseteq e$ 
lfp-step x =  $\sqsubseteq$ -trans (isMonotone x) (fixed $\Rightarrow$ reductive p)
```


Finally, we obtain:

```
l0-isLeastFixedPoint : {c : C} -> c ⊆ f c
                      -> ((e : FixedPoint) -> c ⊆ element e )
                      -> LeastFixedPoint
l0-isLeastFixedPoint {c} x x1 with c ⊆ f c
l0-isLeastFixedPoint {c} x x1 | yes p = lfp c (p , x1)
l0-isLeastFixedPoint {c} x x1 | no ¬p =
  l0-isLeastFixedPoint (fp-step x) (λ e → lfp-step e (x1 e))
```

But unfortunately, we have no termination guarantee.

Agda's standard library offers us the accessibility predicate:

```
-- x is accessible if everything strictly
-- smaller than x is also accessible.
data Acc {a ℓ} {A : Set a} (_<_ : Rel A ℓ) (x : A) : Set (a ⊔ ℓ) where
  acc : (rs : ∀ y → y < x → Acc _<_ y) → Acc _<_ x

-- if all elements are accessible, then _<_ is well-founded.
Well-founded : ∀ {a ℓ} {A : Set a} → Rel A ℓ → Set _
Well-founded _<_ = ∀ x → Acc _<_ x
```

Our encoding of a bounded join semi lattice ensures well foundedness of \sqsupset .

Use of the accessibility predicate:

```
l0-isLeastFixedPoint : {c : C} -> c ⊆ f c
                    -> ((e : FixedPoint) -> c ⊆ element e )
                    -> Acc _⊆_ c -> LeastFixedPoint
l0-isLeastFixedPoint {c} p q g with c ≐ (f c)
l0-isLeastFixedPoint {c} p q g | yes r = lfp c (r , q)
l0-isLeastFixedPoint {c} p q (acc g) | no ¬r =
  l0-isLeastFixedPoint (fp-step p)
    (λ e → lfp-step e (q e))
    (g (f c) (p , ¬r))
```

Select the next bigger element, accompanied by the proof that it is bigger.

Which we can invoke by starting from \perp and base cases:

```
l0-lfp : LeastFixedPoint  
l0-lfp = l0-isLeastFixedPoint fp-base lfp-base (λ-isWellFounded  $\perp$ )
```

LATTICE COMBINATORS

» LATTICE COMBINATORS

Manually creating the accessibility proofs for your structure can be challenging. Build up the proof using combinators. Each combinator is annotated with \mathcal{L} .

- › Unit: $\text{Unit}^{\mathcal{L}}$
- › Booleans: $\text{Bool}^{\mathcal{L}} = \text{May}^{\mathcal{L}}, \text{Must}^{\mathcal{L}}$
- › Product (order): $_x^{\mathcal{L}}_$
- › Biased sum: $\mathcal{U}\text{-left}^{\mathcal{L}}, \mathcal{U}\text{-right}^{\mathcal{L}}$
- › N-ary product: $\text{N-ary}^{\mathcal{L}}\ n\ L$
- › Vector: $\text{Vec}^{\mathcal{L}}\ L\ n$
- › Powerset: $\mathcal{P}^{\mathcal{L}}\ L = \mathcal{P}^{\mathcal{L}}\text{-by-inclusion}, \mathcal{P}^{\mathcal{L}}\text{-by-exclusion}$
- › Total function space: $A \rightarrow [\text{proof of } A \text{ being finite}] \rightarrow L$

MONOTONE FRAMEWORKS

Monotone framework: Generalisation of types of source code analyses.

1. Assign labels to program points
2. *Control Flow Graph*
 - » **Nodes:** Labels of program points
 - » **Edges:** possible information flow during execution
3. pick initial point by label and assign initial value
4. define set of monotone transfer functions that take context and produce effect

Examples of analyses:

1. Live variables - what variables may be live (i.e. can be used in the future)
2. constant propagation - what variables have a constant value at this program point

Example of assigning labels:

```
fac : Stmt
fac = "y" := var "x"1 seq
      "z" := lit (+ 1)2 seq
      while var "y" gt lit (+ 1)3 do
      (
        "x" := var "z" mul var "y"4 seq
        "y" := var "y" min lit (+ 1)5
      ) seq
      "y" := lit (+ 0)6
```

Gives us control flow: 1 → 2, 2 → 3, 3 → 4, 4 → 5, 5 → 3, 3 → 6

We then define a monotone framework in Agda to be:

```
record MonotoneFramework a : Set (Level.suc a) where
  field
    n : ℕ -- number of labels
    L : BoundedSemiLattice a -- Lattice instance
    Label : Set
    Label = Fin n
  field
     $\mathcal{F}$  : Label ->  $\mathbb{C}$  ->  $\mathbb{C}$  -- transfer functions indexed by label
    F : Graph n -- Control flow graph
    E : List Label -- Extremal labels
     $\iota$  :  $\mathbb{C}$  -- Extremal value
     $\mathcal{F}$ -isMonotone : ( $\ell$  : Fin n) → Monotone  $\_ \sqsubseteq \_$  ( $\mathcal{F}$   $\ell$ )
```

To compute the results we consider two values at every program point:

› Context:

$$\text{analysis}_0 \ell' = \sqcup \{ \text{analysis}_\bullet \ell \mid \ell \in \text{predecessors } F \ell' \}$$

› Effect: $\text{analysis}_\bullet \ell' = \mathcal{F} \ell' (\text{analysis}_0 \ell')$

We are looking for a fixed point in a vector structure x such that:

$$\forall \ell \rightarrow \text{lookup } \ell \ x \equiv \sqcup \{ \mathcal{F} \ell (\text{analysis}_\bullet \ell) \mid \ell \in \text{predecessors } F \ell' \}$$

We can use the transfer functions \mathcal{F} and the flow F to compute a least fixed point. straight forward:

```
Vx : BoundedSemiLattice _
Vx = VecL L n ×L VecL L n

transfer-parallel : Vx.C → Vx.C
transfer-parallel (entry , exit) =
  let entry' = V.map
    (λ ℓ' → ιE ℓ' ∪ ⋒ (L.map (flip lookup exit) (predecessors F ℓ'))))
    (allFin n)
  in (entry' , (tabulate  $\mathcal{F}$  V.⊗ entry'))

open TarskiFixedPointTheorem Vx transfer-parallel transfer-parallel-isM

parallel-lfp : LeastFixedPoint
parallel-lfp = l0-lfp
```

Even more simple:

```
P : BoundedSemiLattice _
P = Label -[ _ ]→ L

parallel-tfs : P.C → P.C
parallel-tfs σ ℓ' =
  ιE ℓ' ∪ ⋃ (L.map (λ ℓ →  $\mathcal{F}$  ℓ (σ ℓ)) (predecessors F ℓ'))

open TarskiFixedPointTheorem P parallel-tfs parallel-tfs-isMonotone

parallel-tfs-lfp : LeastFixedPoint
parallel-tfs-lfp = l₀-lfp
```

Likewise, a more efficient algorithm (Chaotic iteration):

```
transfer-chaotic : Vec ℂ n × Vec ℂ n → Vec ℂ n × Vec ℂ n
transfer-chaotic x =
  ∀.foldr (λ x₁ → _)
    (λ{ ℓ' (entry , exit) →
      (let entry' = ⋂E ℓ' ∪ ⋃ (ℒ.map (flip lookup exit) (predecessors F
        in (entry [ ℓ' ]= entry' , exit [ ℓ' ]= ℱ ℓ' entry'))))}
    x
    (allFin n)

open TarskiFixedPointTheorem P transfer-chaotic transfer-chaotic-isMono
```

The order is relevant.

```

W := nil;
for all  $(\ell, \ell') \in F$  do
  W := cons( $(\ell, \ell')$ , W);
for all  $\ell$  in F or E do
  if  $\ell \in E$  then Analysis[ $\ell$ ] :=  $\perp$ 
    else Analysis[ $\ell$ ] :=  $\perp$ ;

while W  $\neq$  nil do
   $\ell$  := fst(head(W));
   $\ell'$  := snd(head(W));
  W := tail(W);
  if  $f[\ell](\text{Analysis}[\ell]) \not\sqsubseteq \text{Analysis}[\ell']$  then
    Analysis[ $\ell'$ ] := Analysis[ $\ell'$ ]  $\sqcup f(\text{Analysis}[\ell])$ ;
    for all  $\ell''$  with  $(\ell', \ell'') \in F$  do
      W := cons( $(\ell', \ell'')$ , W);

for all  $\ell \in F$  do
  MFP $\circ$ ( $\ell$ ) := Analysis[ $\ell$ ];
  MFP $\bullet$ ( $\ell$ ) :=  $f(\text{Analysis}[\ell])$ ;

```

The functional version of the worklist algorithm (MFP) in Agda:

```
mfp1 : (x :  $\mathbb{C}$ ) → (workList : List Edge) →  $\mathbb{C}$ 
mfp1 x [] = x
mfp1 x ((l1 , l2) :: workList) with f l1 x  $\sqsubseteq$ ? lookup l2 x
mfp1 x ((l1 , l2) :: workList) | yes p = mfp1 x workList
mfp1 x ((l1 , l2) :: workList) | no ¬p =
  mfp1 x' (lookup l2 (adjacencyList F)  $\mathbb{L}.$ ++ workList)
  where x' :  $\mathbb{C}$ 
        x' = x [ l2 ] = f l1 x  $\sqcup$  lookup l2 x
```


Termination:

```

mfp2 : (x :  $\mathbb{C}$ ) → Acc  $\_ \sqsupset \_$  x → (workList : List Edge) →  $\mathbb{C}$ 
mfp2 x x1 [] = x
mfp2 x x1 ((l1 , l2) :: workList) with f l1 x L.≤? lookup l2 x
mfp2 x x1 ((l1 , l2) :: workList) | yes p = mfp2 x x1 workList
mfp2 x (acc rs) ((l1 , l2) :: workList) | no ¬p =
  mfp2 x' (rs x' x ⊆ x') (lookup l2 (adjacencyList F) L.++ workList)
  where x' :  $\mathbb{C}$ 
        x' = x [ l2 ] = f l1 x ∪ lookup l2 x
        x ⊆ x' : x ⊆ x'
        x ⊆ x' = x ⊆ x' , x ≠ x'

result :  $\mathbb{C}$ 
result = mfp2 ⊥ (⊔-isWellFounded ⊥) F

```

```

worklist-theorem :
  -- for all vectors x
  (x : V.C)
  -- that are below or equal to all other fixed points
  → (K : ((y : FixedPoint) → x V.≤ fp y))
  -- and of which all greater values are accessible
  → Acc V._⊑_ x
  -- and is above or equal to the initial value
  → initial V.≤ x
  -- and for all work lists
  → (workList : List Edge)
  -- that have all of their elements originating from the flow graph
  → ((e : Edge) → e ∈ workList → e ∈ F)
  → ...

```

» MONOTONE FRAMEWORKS » THE WORKLIST THEOREM

```
-- and such that all two labels that form an edge in the flow graph are
-- either contained in the work list or the value at  $\ell'$  in  $x$  is bigger
-- the transfer function applied over the value at  $\ell$  in  $x$ .
→ (I : (( $\ell \ell'$  : Label) → ( $\ell, \ell'$ ) ∈ F →
    (( $\ell, \ell'$ ) ∈ workList) ∪ (lookup  $\ell'$   $x \sqsupseteq \mathcal{F} \ell$  (lookup  $\ell$   $x$ ))))
-- and such that for all  $\ell'$  the value at  $\ell'$  in  $x$  is less or equal to the
-- of the transfer function applied over all predecessors and the initial
-- i.e. we stay below our definition of the fixed point
→ (J : (( $\ell'$  : Label) →
    lookup  $\ell'$   $x \sqsubseteq$  lookup  $\ell'$  initial ∪  $\bigsqcup$  ( $\mathbb{L}$ .map (flip  $f$   $x$ ) (predecessors  $\ell'$ ))))
-- there exists a fixed point, such that it is smaller than all other
→  $\Sigma$  [  $c \in \text{FixedPoint}$  ] (( $y : \text{FixedPoint}$ ) → fp  $c$   $\forall$   $\sqsubseteq$  fp  $y$ )
```

Maintain invariants by lots of branching and rewriting:

```
begin
   $\mathcal{F} \ell$  (lookup  $\ell$  ( $x$  [  $\ell'$  ] =  $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$  lookup  $\ell'$   $x$ ))  $\sqcup$  lookup  $\ell'$  ( $x$ 
 $\equiv$  ( cong ( $\backslash i \rightarrow \mathcal{F} \ell$  (lookup  $\ell$  ( $x$  [  $\ell'$  ] =  $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$  lookup  $\ell'$   $x$ ))  $\sqcup$ 
 $\mathcal{F} \ell$  (lookup  $\ell$  ( $x$  [  $\ell'$  ] =  $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$  lookup  $\ell'$   $x$ ))  $\sqcup$   $\mathcal{F} \ell$  (lookup  $\ell$ 
 $\equiv$  ( cong ( $\backslash i \rightarrow \mathcal{F} \ell$   $i \sqcup \mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$  lookup  $\ell'$   $x$ ) (lookup  $\circ$  update'  $\ell' \neq$ 
 $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$   $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$  lookup  $\ell'$   $x$ 
 $\equiv$  ( sym ( $\sqcup$ -assoc _ _ _ ) )
  ( $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$   $\mathcal{F} \ell$  (lookup  $\ell$   $x$ ))  $\sqcup$  lookup  $\ell'$   $x$ 
 $\equiv$  ( cong ( $\backslash i \rightarrow i \sqcup$  lookup  $\ell'$   $x$ ) ( $\sqcup$ -idem ( $\mathcal{F} \ell$  (lookup  $\ell$   $x$ ))) )
   $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$  lookup  $\ell'$   $x$ 
 $\equiv$  ( sym (lookup  $\circ$  update  $\ell'$   $x$  ( $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$  lookup  $\ell'$   $x$ )) )
  lookup  $\ell'$  ( $x$  [  $\ell'$  ] =  $\mathcal{F} \ell$  (lookup  $\ell$   $x$ )  $\sqcup$  lookup  $\ell'$   $x$ )
  ■
```

Given a monotone framework, the algorithm results in a least fixed point:

```
lfp :  $\Sigma[ c \in \text{FixedPoint} ] ((y : \text{FixedPoint}) \rightarrow \text{fp } c \vee \sqsubseteq \text{fp } y)$ 
lfp = worklist-theorem initial initial $\sqsubseteq$ fp ( $\vee.\exists$ -isWellFounded initial)
       $\vee.\sqsubseteq$ -reflexive F ( $\lambda e \ x \rightarrow x$ ) ( $\lambda \ell \ \ell' \ x \rightarrow \text{inj}_1 \ x$ ) ( $\lambda \ell' \rightarrow \sqcup\text{-on-right-}\sqsubseteq \sqsubseteq$ 
```

We use the While language as presented by Nielson, Nielson and Hankin¹.

The language consists of arithmetic and boolean expressions (simplified version):

```
data AExpr : Set where
  var : Ident → AExpr
  lit : ℤ → AExpr
  _plus_ : AExpr → AExpr → AExpr
  _min_ : AExpr → AExpr → AExpr
  _mul_ : AExpr → AExpr → AExpr
```

```
data BExpr : Set where
  true : BExpr
  false : BExpr
  not : BExpr → BExpr
  _and_ : BExpr → BExpr → BExpr
  _or_ : BExpr → BExpr → BExpr
  _gt_ : AExpr → AExpr → BExpr
```

```
data Stmt : Set where
  _:=_ : (v : String) → (e : AExpr) → Stmt
  skip : Stmt
  _seq_ : (s1 : Stmt) → (s2 : Stmt) → Stmt
  if_then_else_ : (c : BExpr) → (t : Stmt) → (f : Stmt) → Stmt
  while_do_ : (c : BExpr) → (b : Stmt) → Stmt
```

We assign labels to statements to form program blocks:

```
data Stmt' : Set where
  _:=_ : (v : Var) → (e : AExpr) → (l : Lab) → Stmt'
  skip : (l : Lab) → Stmt'
  _seq_ : (s1 : Stmt') → (s2 : Stmt') → Stmt'
  if_then_else_ : (BExpr × Lab) → (t : Stmt') → (f : Stmt') → Stmt'
  while_do_ : (BExpr × Lab) → (b : Stmt') → Stmt'
```

Finally, we assume the program input for an analysis to be well-formed. `WhileProgram : Set` all labels are unique.

Furthermore, we define the following functions for a `WhileProgram` :

```
-- The initial label (entry point) of a statement
init : Stmt → Lab

-- The non empty set of final labels a statement can end
final : Stmt → List+ Lab

-- The set of labels for a statement
labels : Stmt → List Lab

-- The control flow graph, represented by a list of label pairs.
flow : Stmt → List (Lab × Lab)

-- Reversed flow
flowR : Stmt → List (Lab × Lab)

-- variables of the program
Var* : Bag String
```


» MONOTONE FRAMEWORKS » LIVE VARIABLE ANALYSIS

```
-- fv is a function that returns all free variables for some expression
fv : (BExpr | AExpr) →  $\mathcal{P}$  Var*

gen : Block →  $\mathcal{P}$  Var*
gen (skip l) =  $\perp$ 
gen ((x := a) l) = fv a
gen (bExpr c l) = fv c

kill : Block →  $\mathcal{P}$  Var*
kill (skip l) =  $\perp$ 
kill ((x := a) l) = { x }
kill (bExpr c l) =  $\perp$ 
```

The transfer function can then be defined, for each label assuming Block is the block of the label, as:

```
transfer-function : Block →  $\mathcal{P}$  Var* →  $\mathcal{P}$  Var*
transfer-function b x = (x - (kill b))  $\cup$  gen b
```

Using these building blocks, we can form the monotone framework and perform the analysis:

```
live-variables : Stmt → MonotoneFramework _
live-variables program = record
  { L =  $\mathcal{P}^L$  Var*
    ;  $\mathcal{F}$  i = transfer-function (block i)
    ; F = flowR program
    ; E = final program
    ;  $\perp$  =  $\perp$ 
    ;  $\mathcal{F}$ -isMonotone = postulate
  }
```

Note that live variable analysis is a backward analysis, which we perform by using the reversed flow: `flowR` and by starting from the final labels.

Available Expression Analysis computes at every program point what subexpressions are available. It is also a kill-gen analysis.

```
available-expressions : MonotoneFramework _
available-expressions = record
{ L =  $\mathcal{P}^L$ -by-exclusion (length AExpr*)
;  $\mathcal{F}$  = transfer-functions
; F = flow labelledProgram
; E = [ init labelledProgram ]
;  $\perp$  =  $\perp$ 
;  $\mathcal{F}$ -isMonotone = postulate
}
```

Note that we now make use of the normal flow and start at the initial label of our program.

Constant propagation, a forward analysis using the total function space: For each variable: what value can it be?

```
constant-propagation : Stmt → MonotoneFramework _
constant-propagation program = record
  { L = Fin m → [ m , Inverse.id ] →  $\mathbb{Z}T1^L$ 
    ;  $\mathcal{F}$  = transfer-functions
    ; F = flow labelledProgram
    ; E = Data.List.[ init program ]
    ;  $\iota$  =  $\lambda x \rightarrow \text{top}$ 
    ;  $\mathcal{F}$ -isMonotone = postulate
  }
```

EMBELLISHED MONOTONE FRAME- WORKS

So far, only simple non procedural language. Luckily, we do not have to modify the `mfp` algorithm

instead: represent embellished as regular framework.

```
asMonotoneFramework : EmbellishedMonotoneFramework _ → MonotoneFramework
```

Update statement

```
data Stmt : Set where
  call : (name : String) → (arguments : List AExpr) → (result : String)
  ...
```

We assign two labels to call: call and return.

Add declarations:

```
data Decl : Set where
  proc_{_,_}_end : (name : String) → (arguments : List String) →
    (result : String) → (body : Stmt) → Decl
data Program : Set where
  begin_main-is_end : (declarations : List Decl) → (main : Stmt) → Prog
```

We call the resulting language While-Fun.

Also:

```
init* : Program → Lab  
final* : Program → List Lab  
flow* : Program → List Edge
```

Validity constraints:

- › referenced procedure calls must be defined
- › all procedures must be uniquely named

Like Nielson, Nielson and Hankins: Use abstract call stacks to make sure information flows through valid paths:

```
 $\Delta$  : Set
 $\Delta$  = BoundedList Label k
```

A call string represents the top of a call stack (of length k) at each program point.

At call and return labels we select only valid paths.

To model the call stack we use the total function space (so the call string must be finite):

```
 $\hat{L}$  : BoundedSemiLattice a
 $\hat{L}$  =  $\Delta$  - [ .. ]  $\rightarrow$  L
```

Information at the return label of a call:

- › local scope
- › from the call

use Agda's dependent type system:

```
 $\mathcal{F} : (l : \text{Label}) \rightarrow \text{arityToType } (\text{arity } \text{labelType } l) \text{ (BoundedSemiLattice.}$ 
```

Also adjust monotonicity requirement:

```
 $\text{BiMonotone} = \forall \{x \ y \ z \ w\} \rightarrow x \sqsubseteq y \rightarrow z \sqsubseteq w \rightarrow f \ x \ z \sqsubseteq f \ y \ w$ 
```

We then form an EmbellishedMonotoneFramework:

```
constant-propagation-embellished : EmbellishedMonotoneFramework _
constant-propagation-embellished = record
{ n = n
; L = L
; k = 2
; labelType = embellishedType
;  $\mathcal{F}$  = transfer-emb
; F = flow* labelledProgram
; E = Data.List.[ init* labelledProgram ]
;  $\perp$  =  $\lambda x \rightarrow \text{top}$ 
;  $\mathcal{F}$ -isMonotone = postulate
}
```

Note: \mathcal{F} acts on L (instead of \perp), so proofs are a lot easier.

EXTENDED MONOTONE FRAMEWORKS

Dynamically typed languages such as Python. Control Flow Graph unknown statically.

Compute CFG using Lattice during `mfp` algorithm.

```
FL : BoundedSemiLattice _  
FL =  $\mathcal{P}^L$ -by-inclusion ( $\bar{n} * n$ )
```

use a `next` function, that supplies new edges.

Simple version without proof:

```
mfp-extended :
  -- for all vectors x
  (x : V.ℂ)
  -- and for all work lists
  → (workList : List (Label × Label))
  -- and for all control flow graphs
  → (F : CFG)
  -- there exists a control flow graph  $\hat{F}$  such that we obtain an x.
  →  $\Sigma [ \hat{F} \in \text{CFG} ] \text{ V.}\mathbb{C}$ 
```

For regular monotone frameworks, as well as embellished ones.

- › *David Darais and David Van Horn*
Abstract interpreter correctness by construction monadically composed galois connections.
- › *J. Knoop et al* machine checkable abstract interpretation based interprocedural data flow analysis in the theorem prover Athena
- › *David Cachera et al*
provide a similar framework in Coq with a constraint based analysis for OCaml.

- › *Kahl and Al-hassy* Relational Algebraic Theories in Agda (RATH)
Dualisation techniques: > 52GB of heap space (on a machine with 64GB of RAM) and at least a few days to type check.
- › *Compcert project* possibilities of compiler verification
Compcert C compiler: 90% of the algorithms are verified (Coq).
Kildall's algorithm for
 - » constant propagation
 - » dead code elimination
 - » common subexpression elimination

Formalized:

- › Tarski's theorem
- › Monotone frameworks
- › Parallel and chaotic iteration and MFP
- › Embellished frameworks
- › Extended frameworks
- › Set of combinators to ensure termination

- › Dependently typed attribute grammar
- › Real life examples
- › model MFP as monotone function

Agda code, thesis and references:

github.com/jornvanwijk/monotoneframeworks-agda

presentation theme based on mtheme by Matthias Vogelgesang

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