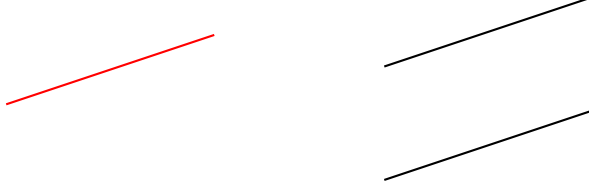


# 1 Introduction



The general model for the elastic energy per unit length for a mixed dislocation dissociated into two partials can be given as [1]:

$$E_D(\theta) = E_S(\theta) + E_I(\theta, \Delta) + E_F(\Delta, \gamma) \quad (1)$$

" $E_S$ " is the self-energy, " $E_I$ " is the interaction energy, and " $E_F$ " is the fault-energy. " $\theta$ " is the angle between the Burgers vector and the unit line vector,  $\Delta$  is the separation between two line segments,  $\gamma$  is the stacking-fault energy.

A general expression can be given for each energy.

$$E_S(\theta) = \frac{\mu b^2}{4\pi} (1 - \nu \cos^2 \theta) \ln \left( \frac{R}{r_0} \right) \quad (2a)$$

$$E_I(\theta) = \frac{\mu b^2}{2\pi} \left( \alpha + \frac{\beta}{1 - \nu} \right) \ln \left( \frac{R}{\Delta} \right) - \frac{\mu}{2\pi(1 - \nu)} \psi \quad (2b)$$

$$E_F = \gamma \Delta \quad (2c)$$

$r_0$  and  $R$  are the inner and outer cutoff radii, respectively.  $\mu$ ,  $b$ , and  $\nu$  are the shear modulus, the Burgers vector of the dislocation, and the Poisson ratio.

Equation 2b is the interaction energy between two parallel dislocation segments separated by a vector  $\vec{\Delta}$ .  $\alpha$ ,  $\beta$ , and  $\psi$  are related to the Burgers vectors and the line direction vectors of the two dislocations.  $\Delta$  is the vector pointing from one dislocation segment to the second.

$\gamma$  is the stacking-fault energy

## 2 Interaction energy between two parallel in-plane dislocation segments

The interaction energy between two parallel dislocations is defined from eq.(5-16)[2]. It has the same form as defined in eq.(2b). As such  $\alpha$ ,  $\beta$ , and  $\psi$  are defined as:

$$\alpha = (\vec{b}_1 \cdot \hat{l}) (\vec{b}_2 \cdot \hat{l}) \quad (3a)$$

$$\beta = (\vec{b}_1 \wedge \hat{l}) \cdot (\vec{b}_2 \wedge \hat{l}) \quad (3b)$$

$$\psi = \frac{1}{\Delta^2} [(\vec{b}_1 \wedge \hat{l}) \cdot \vec{\Delta}] [(\vec{b}_2 \wedge \hat{l}) \cdot \vec{\Delta}] \quad (3c)$$

For our case, the dislocations also exist in the same plane since they are partials. Hence  $\vec{b}_i \wedge \hat{l} \perp \vec{\Delta} \Rightarrow \psi = 0$  for our model.

The form of the elastic energy is then

$$\begin{aligned} E_D(\theta) &= E_S(\theta) + E_I(\theta, \Delta) + \gamma\Delta \\ &= E_S(\theta) + \frac{\mu}{2\pi} \left( \alpha + \frac{\beta}{1-\nu} \right) \ln \frac{R}{\Delta} + \gamma\Delta \end{aligned}$$

Taking the partial derivative wrt  $\Delta$  to find the equilibrium separation  $d$

$$\begin{aligned} \left. \frac{\partial E_D}{\partial \Delta} \right|_{\Delta=d} &= \frac{\mu}{2\pi} \left( \alpha + \frac{\beta}{1-\nu} \right) \frac{-1}{d} + \gamma \\ 0 &= \frac{\mu}{2\pi} \left( \alpha + \frac{\beta}{1-\nu} \right) \frac{-1}{d} + \gamma \end{aligned}$$

Which gives the relation between the equilibrium separation and the interaction energy coefficients

$$\gamma d = \frac{\mu}{2\pi} \left( \alpha + \frac{\beta}{1-\nu} \right) \quad (6)$$

Replacing equation 6 in equation 1 we get

$$\boxed{E_D(\theta) = E_S(\theta + \phi) + E_S(\theta - \phi) + \gamma d \ln \frac{R}{d} + \gamma d} \quad (7)$$

### 3 Shockley dislocation ( $\theta, \phi = \pm\pi/6$ )

#### 3.1 Self-energy

Using equation (2a) we can show that the self-energy for a pair of Shockley partials is given as

$$E_S \left( \theta + \frac{\pi}{6} \right) + E_S \left( \theta - \frac{\pi}{6} \right) \cong 2 - \nu \cos^2 \left( \theta + \frac{\pi}{6} \right) - \nu \cos^2 \left( \theta - \frac{\pi}{6} \right) \quad (8)$$

Using the identity:

$$\cos^2(A+B) + \cos^2(A-B) = 2(\cos^2 A \cos^2 B + \sin^2 A \sin^2 B) \quad (9)$$

And letting  $A = \theta, B = \pi/6$  we get

$$\begin{aligned} \cos^2 \left( \theta + \frac{\pi}{6} \right) + \cos^2 \left( \theta - \frac{\pi}{6} \right) &= 2 \left( \frac{3}{4} \cos^2 \theta + \frac{1}{4} \sin^2 \theta \right) \\ &= \frac{1}{2} (3 \cos^2 \theta + \sin^2 \theta) \\ &= \frac{1}{2} (1 + 2 \cos^2 \theta) \end{aligned}$$

Replacing in equation 8 gives:

$$\begin{aligned} E_S \left( \theta + \frac{\pi}{6} \right) + E_S \left( \theta - \frac{\pi}{6} \right) &= \frac{\mu b^2}{4\pi} \ln \left( \frac{R}{r_0} \right) \frac{1}{1-\nu} \left[ 2 - \frac{\nu}{2} (1 + 2 \cos^2 \theta) \right] \\ &= \frac{\mu b^2}{8\pi} (4 - \nu - 2\nu \cos^2 \theta) \ln \frac{R}{r_0} \end{aligned} \quad (11)$$

### 3.2 Interaction energy

Hirth [2](eq.10-15) defines the equilibrium separation between two Shockley partials as:

$$\gamma_B d_B = \frac{\mu b^2}{8\pi} \frac{2-\nu}{1-\nu} \left( 1 - \frac{2\nu \cos 2\theta}{2-\nu} \right) \quad (12)$$

### 3.3 Full expression

Replacing equations 12 and 11 in equation 7 we get the elastic energy of a pair of Shockley partial dislocations

$$\begin{aligned} E_D^B(\theta, \nu, \gamma) &= \frac{\mu b^2}{8\pi} \frac{4-\nu-2\cos^2\theta}{1-\nu} \ln \frac{R}{r_0} \\ &+ \frac{\mu b^2}{8\pi} \left( 1 - \frac{2\nu \cos 2\theta}{2-\nu} \right) \left( \ln \frac{R}{d_B} + 1 \right) \end{aligned} \quad (13)$$

where  $d_B$  is defined in equation 12.

## 4 Prismatic dislocation ( $\theta, \phi = 0$ )

### 4.1 Self-energy

The self energy of a pair of dislocations with equal Burgers vectors ( $\phi = 0$ )

$$E_S(\theta) = \frac{\mu b^2}{2\pi} \frac{1-\nu \cos^2\theta}{1-\nu} \ln \frac{R}{r_0} \quad (14)$$

### 4.2 Interaction energy

The equilibrium separation between the pair of dislocations in the prismatic plane can be obtained from equation 3

$$\begin{aligned} \alpha &= b^2 \cos^2 \theta \\ \beta &= b^2 \sin^2 \theta \end{aligned}$$

Replacing in equation 6 gives

$$\begin{aligned} \gamma d &= \frac{\mu b^2}{2\pi} \left( \cos^2 \theta + \frac{\sin^2 \theta}{1-\nu} \right) \\ &= \frac{\mu b^2}{2\pi} \frac{1}{1-\nu} (\cos^2 \theta - \nu \cos^2 \theta + \sin^2 \theta) \\ &= \frac{\mu b^2}{2\pi} \frac{1}{1-\nu} (1 - \nu \cos^2 \theta) \\ &= \frac{\mu b^2}{2\pi} \frac{1}{1-\nu} \left( 1 - \nu \frac{\cos 2\theta + 1}{2} \right) \\ &= \frac{\mu b^2}{4\pi} \frac{1}{1-\nu} (2 - \nu \cos 2\theta - \nu) \end{aligned}$$

And we get

$$\gamma_P d_P = \frac{\mu b^2}{4\pi} \frac{2-\nu}{1-\nu} \left( 1 - \frac{\nu \cos 2\theta}{2-\nu} \right) \quad (15a)$$

which is quite similar to equation 12 up to the constant inside the parantheses.

### 4.3 Full expression

If we combine equations 15a and 14 we get

$$\begin{aligned} E_D^P(\theta, \nu, \gamma) = & \frac{\mu b^2}{4\pi} \frac{2}{1-\nu} (1 - \nu \cos^2 \theta) \ln \frac{R}{r_0} \\ & + \frac{\mu b^2}{4\pi} \frac{2-\nu}{1-\nu} \left( 1 - \frac{\nu \cos 2\theta}{2-\nu} \right) \left( \ln \frac{R}{d_P} + 1 \right) \end{aligned} \quad (16)$$

where  $d_P$  is defined in equation 15a.

## 5 Implementation for the case of Zr

We will take  $E0 = \mu a^2/\pi$  as units of energy and  $a$  as units of distance. This allows us to define the dimensionless stacking-fault energies  $\gamma'$  as

$$\gamma' = \frac{\gamma}{E0/a} = \frac{\gamma\pi}{\mu a} \quad (17)$$

We use the parameters  $\nu = 1/3$ ,  $\mu = 131$  GPa,  $a = 3.232$  Å,  $\gamma_P = 135$  mJ/m<sup>2</sup>,  $\gamma_B = 198$  mJ/m<sup>2</sup>. This gives

$$\begin{aligned} \gamma'_P &= 0.000100 \\ \gamma'_B &= 0.000147 \end{aligned} \quad (18)$$

For the radii let  $r_0 = a$  and let  $R = 10^n r_0$ . We also specify  $d = d' \cdot a$ . This choice of values allows us to identify the dimensionless value of  $d$  and gives the following useful approximations to the natural logarithm:

$$\begin{aligned} \ln \frac{R}{r_0} &= \ln \frac{a \cdot 10^n}{a} = \ln 10^n = 2.3n \\ \ln \frac{R}{d} &= \ln \frac{10^n a}{s \cdot a} = \ln 10^n - \ln(s) = 2.3n - \ln(d') \end{aligned} \quad (19)$$

Using equations 19, 18, 16, and 13 we plot the elastic energy of a dissociated dislocation in the basal plane as a function of  $\theta$  and we compare with that of a screw dislocation ( $\theta = 0$ ) in the prismatic plane

## References

- [1] D. J. Bacon. The effect of dissociation on dislocation energy and line tension. *Philosophical Magazine A*, 38(3):333–339, 1978.
- [2] J.P. Hirth and J. Lothe. *Theory of Dislocations*. Krieger Publishing Company, 1982.

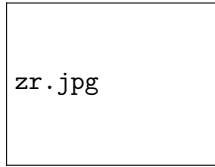


Figure 1: Elastic energy as a function of  $\theta$  the angle between the Burgers vector and the line unit vector for the case of zirconium